

1 The natural history of musical rhythm: functional and mechanistic theories on the evolution of  
2 human rhythm cognition and the relevance of rhythmic animal behaviors

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22 mechanistic hypotheses

## 23 **Abstract**

24 There has recently been a growing interest in investigating rhythm cognition and behavior in  
25 nonhuman animals as a way of tracking the evolutionary origins of human musicality – i.e., the  
26 ability to perceive, enjoy and produce music. During the last two decades, there has been an  
27 explosion of theoretical proposals aimed at explaining why and how humans have evolved into  
28 musical beings, and the empirical comparative research has also gained momentum. In this  
29 paper, we focus on the rhythmic component of musicality, and review functional and  
30 mechanistic theoretical proposals concerning putative prerequisites for perceiving and producing  
31 rhythmic structures similar to those encountered in music. For each theoretical proposal we also  
32 review supporting and contradictory empirical findings. To acknowledge that the evolutionary  
33 study of musicality requires an interdisciplinary approach, our review strives to cover  
34 perspectives and findings from as many disciplines as possible. We conclude with a research  
35 agenda that highlights relevant, yet thus far neglected topics in the comparative and evolutionary  
36 study of rhythm cognition. Specifically, we call for a widened research focus that will include  
37 additional rhythmic abilities besides entrainment, additional channels of perception and  
38 production besides the auditory and vocal ones, and a systematic focus on the functional contexts  
39 in which rhythmic signals spontaneously occur. With this expanded focus, and drawing from  
40 systematic observation and experimentation anchored in multiple disciplines, animal research is  
41 bound to generate many important insights into the adaptive pressures that forged the component  
42 abilities of human rhythm cognition and their (socio)cognitive and (neuro)biological  
43 underpinnings.

## 44 1. Introduction

45 There has recently been a growing interest in investigating rhythm cognition and  
46 behavior in nonhuman animals (henceforth ‘animals’), as a way of tracking the evolutionary  
47 origins of human musicality – i.e., the ability to perceive, enjoy and produce music (e.g., Honing,  
48 2019, and references therein). Currently, there is wide agreement that musicality is a biocultural  
49 ability supported (and constrained) by a suite of cognitive and emotional traits. Consistent with  
50 this view, a **componential approach** to the evolution of musicality is presently advocated by  
51 several scholars (Fitch, 2006; Justus & Hutsler, 2005; McDermott & Hauser, 2005; Patel, 2006),  
52 whereby the presence and variability of component traits of human musicality ought to be  
53 systematically examined across human cultures and in nonhuman species. This approach offers  
54 real potential for mapping musicality-relevant traits that are shared with other species, thereby  
55 providing unique insights into **why**, **how** and **when** musicality has evolved in our own species.

56 Since **rhythm** (together with the use of discrete pitches) is commonly accepted as a  
57 putative universal in human music (Savage et al., 2015), abilities related to **rhythm cognition**  
58 constitute an obvious candidate topic for the comparative study of musicality. Musical rhythm  
59 can be broadly defined as the systematic patterning of sounds in time (McAuley, 2010). One of  
60 the most salient features of musical rhythm is *isochrony*, i.e., the periodic recurrence of sounds  
61 (or sound groupings) at equal time intervals. Rhythmic isochrony makes a musical piece highly  
62 recognizable and facilitates the individuals’ synchronization with the music (e.g., Ravignani &  
63 Madison, 2017; Savage et al., 2015).

64 While definitions such as the above emphasize the acoustic modality, musical rhythm  
65 must also encompass the regular patterning of body movements in time, since, across cultures of  
66 the world, dance is universally coupled to music (Cross, 2001; Savage et al., 2015; Richter &

67 Ostovar, 2015). Ethnographic records suggest, moreover, that music and dance as separate  
68 phenomena and separate concepts have emerged only recently in the cultural history of humans.  
69 Indeed, it is not unusual that so-called traditional cultures lack specific concepts (and words) for  
70 music and / or dance (Cross, 2001; Fitch, 2006; Merker 2000, 2002; Nettle, 2015). Instead,  
71 musical behaviors (e.g., dance, song, tool-based sound making) occur as an indissoluble part of  
72 rituals or religious ceremonies, and are often named after the specific ritual or purpose they serve  
73 (Cross, 2001; Nettle, 2015). This may contrast with how we customarily consume, experience and  
74 conceive of music and dance in post-industrial, contemporary societies. Nevertheless, it is likely  
75 to be highly informative about **how** and **why** musicality evolved, as hunter-gatherer societies are  
76 often considered a more faithful reflection of the socio-ecological niche in which our ancestors  
77 evolved (Mithen, 2005). Notably, evolutionary theories focused on the putative adaptive  
78 functions of *musicality* are often theories of *dance* evolution as well, insofar as their focus lies on  
79 the benefits of rhythmically moving together (as detailed in sections 2 and 3).

80         The comparative study on rhythm cognition has begun to take off only during the last  
81 decade, especially after the seminal study of Patel et al., (2009), who provided experimental  
82 evidence of flexible **audiomotor entrainment** in an animal – the sulfur-crested cockatoo  
83 (*Cacatua galerita*) Snowball. Ever since, audiomotor entrainment (i.e., the ability to accurately  
84 synchronize bodily movements to an exogenous auditory rhythm) has constituted the main focus  
85 of comparative research. Emerging evidence suggests that individuals of several species can (be  
86 trained to) align motor responses to metronomic and even musical stimuli (Honing, 2019, and  
87 references therein). Although limited, this evidence has rekindled the century-long interest in the  
88 origins of human musicality (Darwin, 1871), spurring an effervescence of speculations and  
89 hypotheses related to the evolution of human rhythmic abilities.

90 Traditionally, the theoretical debate around the evolution of musicality has revolved  
91 much around whether musicality is an adaptation, i.e., whether it has evolved in response to  
92 selective pressures from the socio-ecological environment of our ancestors, and thus fulfilled  
93 important adaptive functions for the species (e.g., Fitch, 2006; Huron, 2012). Alternative  
94 proposals are that musicality is an exaptation (i.e., a trait originally lacking adaptive benefits, but  
95 later co-opted in the service of an adaptive function), a spandrel (i.e., a by-product of other  
96 adaptations), or a technology (e.g., Dissanayake 2000, 2009a; Fitch, 2006; Huron, 2001, 2012;  
97 Kotz et al. 2018; Mithen, 2005; Patel, 2006). Several scholars have recently argued that such  
98 debates are counter-productive, since musicality is not a monolithic trait, but encompasses a  
99 conglomerate of abilities with distinct adaptive benefits and evolutionary trajectories (e.g., Fitch,  
100 2011; Kotz et al., 2018; Mithen, 2005; Trainor, 2018). In the evolution of complex traits such as  
101 musicality, it is generally the case that adaptation, exaptation and spandrel are intertwined and  
102 iterated (Andrews et al., 2002). The alternative approach that has gained momentum during the  
103 last decade is to separately investigate constituent components of musicality in order to  
104 formulate specific functional and/or mechanistic hypotheses that are amenable to empirical  
105 testing in both human and animal populations (e.g., Fitch, 2006; Trainor, 2018).

106 In this paper, we pursue a twofold purpose: (i) we review the main **functional** (section 2)  
107 and **mechanistic** (section 3) theoretical proposals on the evolution of musical rhythm, and the  
108 relevant empirical evidence that supports each of them; (ii) we outline a **research agenda** for the  
109 field of comparative rhythm cognition, and highlight relevant topics that have received little or  
110 no empirical treatment (section 4). The reviews in section 2 and 3 rely on both human and  
111 nonhuman data, as the aim is to characterize the current status of each theoretical proposal with  
112 respect to empirical support. To help the reader navigate these dense review sections, Tables 1-6

113 provide summaries and key references for the reviewed proposals.

114         In the research agenda outlined in section 4, however, we focus narrowly on comparative  
115 research, although, whenever relevant, human studies will be also reviewed. Specifically, we call  
116 for a widened research focus that will include additional rhythmic abilities besides entrainment  
117 (section 4.1), additional channels of perception and production besides the auditory and vocal  
118 ones (section 4.2), and a systematic focus on the functional contexts in which rhythmic signals  
119 spontaneously occur (4.3). The topics proposed within each of these sections, along with aims,  
120 significance and suitable methodology are synthesized in Figures 1-3.

121         Several reviews have been recently published on topics pertaining to the evolution of  
122 musical rhythm, either dedicated broadly to the evolution of musicality (or music, e.g., Savage et  
123 al., 2021), or to specific aspects of research on rhythm cognition, such as, for example e.g.,  
124 isochrony (Ravignani & Madison, 2014), audiomotor entrainment in animals (e.g., Wilson &  
125 Cook, 2016), experimental methods in comparative rhythm perception (Bouwer et al., 2021),  
126 analytical tools (e.g., Ravignani & Norton, 2017). The focus of our review is narrower compared  
127 to the former type of reviews, and broader compared to the latter. We concentrate specifically on  
128 rhythm cognition and rhythmic behaviors, but without limiting the scope of our focus to  
129 particular aspects of rhythmicity. Our ambition is to cover as many aspects and explanatory  
130 angles as possible in order to establish links, and invite potential synergies between traditional  
131 disciplines, and more recent areas of research and perspectives.

132         We dedicate separate sections to functional and mechanistic proposals, in order to stress  
133 that, although complementary, these are distinct levels of analysis. **Functional** theories address  
134 questions about **why** a trait (e.g., a behavior) has evolved, thus attempting to account for the  
135 selective pressures that led to the emergence of a trait and the adaptive functions that it putatively

136 fulfilled, given those specific selective pressures. **Mechanistic** theories address questions about  
137 **how** the adaptive function(s) of a trait are achieved, thus accounting for the mechanisms  
138 (neuroanatomical, neurochemical, genetic, cognitive, socio-cognitive or motivational) that  
139 implement it. By reviewing functional and mechanistic proposals in separate sections we also  
140 attempt to counter the illusion, which may emerge from the literature, that certain functions are  
141 obligatorily and/ or exclusively coupled with certain mechanisms. Instead, we aim to expose the  
142 flexibility of function - mechanisms relationships. This reveals limits in the explanatory power of  
143 (past and current) attempts to outline grand evolutionary theories by pinpointing *the one* function  
144 or *the one* functional context that had precedence in ancestral times, and may have been the  
145 primary driving force in the evolution of musical rhythm – and musicality, in general. In  
146 contrast, function - mechanism flexibility suggests that current evolutionary theories of musical  
147 rhythm (and musicality) are best treated as complementary.

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## 149 **2. Functional theories and derived hypotheses**

150 In today's world, we spend a good portion of our time immersed in music, whether we  
151 relax, exercise, drive, play computer games, participate in demonstrations, etc. Research suggests  
152 that the rhythmic component of music exerts a plethora of emotional, aesthetic and social effects  
153 on humans, which makes it a powerful regulatory tool for the individual. This also applies at  
154 group level, with benefits that seemingly range from facilitating group cohesion, thereby  
155 cementing trust and cooperation, to communicating cultural identity (as reviewed in section 2.3).  
156 It is far from clear, however, to what extent these individual and social benefits reflect the  
157 adaptive significance that rhythm-based behaviors had for ancestral hominins.

158 In this section, we review the most prominent functional theories on the evolution of

159 musicality and musical rhythm, as well as the empirical evidence they have generated (for a  
160 quick overview of these, including key references, see Table 1). Before proceeding, it is  
161 important to point out that functional proposals are often generically formulated, in the sense that  
162 the envisaged trait is musicality (as if it were a monolithic trait), rather than rhythmicity in  
163 particular. These proposals, however, tend to highlight adaptive benefits related to rhythmic  
164 displays and/ or synchronization effects, which entails that rhythmicity is likely the relevant  
165 aspect.

166

167 Insert Table 1 about here

168

### 169 **2.1. Musicality as a sexually selected trait**

170 Darwin (1871) suggested that, by analogy with other species, music and dance originated  
171 in the mating displays of our ancestors, and speculated that the strong emotional impact that  
172 music exerts on humans owes to these courtship origins. He argued that both musical notes and  
173 rhythms are vehicles by which primeval displays advertised physical or mental fitness to the  
174 opposite sex. This theory has been revived and elaborated by Miller (2000). As an argument for  
175 the sexual selection hypothesis, Miller draws a parallel between the high complexity and energy  
176 expenditure of mating displays in other species and the musical behaviors of tribal human  
177 societies, both of which seemingly involve the largest and most energy-craving muscles in the  
178 human body, and commonly feature repeated high stepping, stamping, and jumping. Darwin's  
179 initial proposal did not imply a sex bias in the production of protomusical behaviors. Miller,  
180 however, sides with the idea that musical behaviors have emerged as a male-specific display,  
181 with arguments that, e.g., music production is more widespread in men than women, and peaks



182 in men around 30 years of age, which is claimed to be the prime age for courtship.

183         According to Miller (2000), musical behaviors function both as honest fitness signals and  
184 aesthetic displays. As an honest fitness signal, for example, a well-sustained rhythm – whether  
185 enacted in song, drumming or movement – would advertise aerobic fitness, health, strength,  
186 stamina, and good motor coordination, as well as cognitive abilities, such as memory, learning,  
187 and the processing of complex sequences. Such skills, it is argued, are valuable for persistence  
188 hunting, which involves party coordination and prolonged tracking of prey, and is currently  
189 practiced by hunter-gatherer communities, and, thus, was likely also practiced by our ancestors.

190         As aesthetic displays, musical behaviors would be signal traits shaped by evolutionary  
191 processes that exploited species-specific sensory biases, thereby setting up sexual-selection  
192 pressures in favor of behaviors that appealed to such biases. In the field of animal  
193 communication, this process is captured by the **sensory exploitation** hypothesis (e.g., Ryan et  
194 al., 1990), according to which the preference for certain signal traits may be the outcome of a  
195 sensory bias that already existed in receivers before the signalers evolved the traits to exploit it.

196         An incontestable merit of Miller's comprehensive proposal is that it sketches several  
197 empirically testable hypotheses, and suggests exploratory analyses aimed at assessing his theory.  
198 Several of these hypotheses concern the predictive power of musical skills with respect to  
199 reproductive success and the physical or mental aptitudes purportedly advertised by musical  
200 skill. The exploratory research suggested by Miller revolves around the hypothesis that musical  
201 behaviors function as aesthetic display, which may entail that rhythmicity prompts an optimal  
202 level of neural excitation in the receiver. Consequently, Miller suggests studies aimed at  
203 inventorying stimuli that are optimally exciting – and, thereby, attractive for our species – and  
204 assessing whether such optimality ranges extend across closely related species.

205           Currently, the empirical evidence to evaluate the theory that musicality has evolved as a  
206 sexually selected trait comes from three areas of investigation: *ethnographic data (i)*,  
207 *experimental studies with humans (ii)*, and *animal communication studies (iii)*. The *ethnographic*  
208 *evidence (i)* is ambiguous, with both consistent and divergent records. For example, Malinowski  
209 (1929) and Sachs (1962) provide several examples of musical behaviors used competitively, as  
210 male displays. Moreover, a recent study has revealed that, across cultures of the world, singing  
211 and instrumental music are predominantly performed by males (Savage et al., 2015). Counter-  
212 examples, however, are also abundant in ethnographic records. It is relatively common in  
213 traditional societies that older men and women, who are well-beyond the optimal reproductive  
214 age, are regarded as the most skilled performers. There are also communities, such as the Moso  
215 (from the provinces of Yunnan and Sichuan in China), where the use of song in courtship rituals  
216 is an exclusive female prerogative (Namu & Mathieu, 2004). Finally, musical behaviors are  
217 found in a broad range of contexts, and serve a variety of purposes, which is inconsistent with  
218 the idea of functional specialization.

219           *Experimental studies with humans (ii)* aimed at testing the sexual selection hypothesis are  
220 currently scarce, and their results are inconsistent. In support of this hypothesis, some studies  
221 suggest that musical skill may function as a putative indicator of mental or sexual fitness. For  
222 example, both women and men have been reported to prefer sexual partners who demonstrate  
223 some music abilities (Tifferet et al. 2012), and women (but not men) give higher ratings of facial  
224 attractiveness and dating desirability after listening to music compared to a silent control  
225 condition (Marin et al., 2017). Moreover, women rate prospective long-term partners higher with  
226 respect to intelligence, health and social status, when these partners are associated with high-  
227 quality musical performance (Madison et al. 2018). Finally, musical discrimination skills

228 correlate with general intelligence, a correlation that is accounted for by genetic heritability in  
229 males only (Mosing et al., 2015). In the same study, however, Mosing et al. (2015) found that  
230 musical skills do not predict measures of mating success, and that musical aptitude and mating  
231 success are not genetically correlated (Mosing et al., 2015).

232 Findings from *animal communication (iii)* studies are often invoked in theoretical  
233 proposals related to the hypothesis that musicality – in particular, musical rhythm – has evolved  
234 as a sexually selected trait. In animals, the main functional context in which rhythmic behaviors  
235 – primarily rhythmic sound production – have been investigated is that of mating displays. For  
236 many species, it seems that rhythmic displays have an exclusively reproductive function  
237 (Greenfield, 2006), as such displays seem to be strictly regulated by sex hormones (for a review,  
238 see Schlinger and Brenowitz, 2002), and are often a male-specific trait (Greenfield, 2006;  
239 Greenfield et al., 2021; ten Cate & Spierings, 2019; Party et al., 2014). It is important to note that  
240 scholars who invoke animal data as potential evidence to back up the theory of musicality as a  
241 sexually selected trait (or any other evolutionary theory of musicality, for that matter) do not  
242 claim that animal signals are musical. Instead, features of animal signals that are reminiscent of  
243 human musical behaviors (e.g., isochrony, communicative function) are studied as potential  
244 *precursors* and behavioral *analogues* meant to inform on the selective pressures that could be  
245 conducive to rhythm cognition and rhythmic communication, as it is ultimately found in human  
246 musicality. As such, the rhythmic behaviors of animals that will be discussed in this paper are  
247 minimally defined as signals exhibiting a rapid succession (typically in the sub-second timescale)  
248 of notes or movements that appear to exhibit isochrony. We discuss both solo rhythms and  
249 choruses, as evolutionary theories ought to consider building blocks and assess the potential  
250 continuity of relevant phenomena. Given the current status of empirical research, it is mostly

251 unclear whether conspecific receivers perceive such signals as rhythmic, i.e. if they grasp the  
252 relational structure of isochrony or whether rhythmicity affects them at all. In this paper, we will  
253 not discuss irregular rhythms, nor interactive rhythms with offset-driven coordination (e.g.  
254 duetting), as this type of coordination is likely to depend on local cues rather than global patterns  
255 (e.g. Brown, 2007; Terleph et al., 2017), thereby being reactive rather than predictive.

256 Rhythmic signals have been studied most extensively in arthropod, anuran, and avian  
257 species, whose mating signals – also designated *songs* – have been traditionally likened to  
258 human music (Fitch, 2006; Ravignani et al., 2019a). In mammalian species, studies on the  
259 presence of rhythm in courtship displays have only recently begun to take off, with evidence  
260 coming primarily from marine mammals and bats. For example, throughout the breeding season,  
261 males of certain pinniped species and baleen whales (e.g., the bearded seal (*Erignathus*  
262 *barbatus*), harbor seal (*Phoca vitulina*), walrus (*Odobenus rosmarus*), leopard seal (*Hydrurga*  
263 *leptonyx*), blue whale (*Balaenoptera musculus*), humpback whale (*Megaptera novaeangliae*),  
264 minke whale (*Balaena rostrata*), fin whale (*Balaenoptera physalus*)), emit intense bouts of  
265 rhythmic calls underwater (e.g., Handel et al., 2009; Handel et al. 2012; Handel & Mercado,  
266 2016; Rogers, 2017; Schneider & Mercado, 2019). Similar rhythmically structured calls that  
267 coincide with the breeding season have been described in the greater sac-winged bat  
268 (*Saccopteryx bilineata*, Burchardt et al., 2019; Knörnschild et al., 2017).

269 Several hypotheses have been advanced to account for the broad occurrence of rhythmic  
270 signaling in a reproductive context. It has been hypothesized that for species with a low-density  
271 distribution (e.g., certain marine and parrot species), call rhythmicity functions as a failsafe  
272 redundancy that preserves call distinctiveness and enhances its recognition by distant receivers  
273 (e.g., Heinsohn et al. 2017; Rogers, 2017). Conversely, in species with high population density, it

274 has been hypothesized that rhythmic calling counters signal masking in noisy environments (e.g.,  
275 Brumm & Slater, 2006; Serrano & Terhune, 2001). The predictability of rhythmic signals may  
276 also provide a mnemonic benefit (as, e.g., proposed for the rhythmic structure of whale song,  
277 Schneider & Mercado, 2019) by enhancing auditory processing. When performed as acoustic  
278 communal displays, synchronized rhythmicity may have a signal conservation function, by  
279 improving the quality of the broadcasted signal or the conspicuity of species-specific rhythms,  
280 thereby facilitating species recognition (Greenfield & Schul, 2008). Finally, such communal  
281 rhythmic displays may have a beacon effect, whereby the increased peak signal amplitude  
282 generated by multiple synchronized callers enhances the broadcasting range of the signal  
283 (Hartbauer et al., 2014; Merker, 2000; Merker et al., 2009; Morris et al., 1978).

284 A common assumption in theories of musicality as a sexually selected trait is that the  
285 specific *ways* in which rhythmic signals are executed function as honest fitness indicators, thus  
286 informing female receivers of male caller capacities. For example, in marine mammals that call  
287 underwater where breathing is not possible, the quality of rhythmic calling might advertise the  
288 caller's breath-holding capacity, which in turn is related to endurance and, thus, hunting ability.  
289 This hypothesis has been recently corroborated by an empirical study which revealed that, in  
290 leopard seals, rhythmic calling is more consistent in large-sized males compared to smaller ones  
291 (Rogers, 2017). As such, large-sized males are able to maintain long calling bouts and stable  
292 calling rates throughout the breeding season, while the calls of smaller males become shorter and  
293 irregular as the breeding season progresses.

294 Similar hypotheses have been advanced for the rhythmic vocalizing of humpback whales  
295 on breeding grounds. Since in this species male songs are updated annually with novel elements  
296 that spread across all males in a region (Eriksen et al., 2005), it has been hypothesized that the

297 temporal consistency and structural complexity of a male's rendition of an updated song serve as  
298 fitness indicators for attracting females (Chu & Harcourt, 1986; Medrano et al., 1996; Tyack,  
299 1981). An alternative hypothesis is that whale song serves as an inter-male competitive display  
300 for establishing a dominance hierarchy, based on age and status information provided by the  
301 features of individual song execution (Darling & Béruré, 2001). Finally, a third hypothesis that  
302 relates whale song to reproductive function is that whale song functions as a long-range sonar,  
303 allowing males to locate females (Frazer & Mercado, 2000), or to locate other individuals, both  
304 for mating and other purposes, such as traveling or foraging (Mercado, 2018).

305         In a number of orthopterans and anurans, rhythmic courtship takes the form of communal  
306 displays, often involving a large number of individuals that signal either synchronously or in  
307 alternation. The seemingly cooperative appearance of such displays, while occurring in an  
308 obviously competitive context, has intrigued scholars for a long time, compelling them to draw  
309 parallels to rhythmic synchronization in humans, and thus to human musicality (for discussions,  
310 see Ravignani et al., 2014; Wilson & Cook, 2016, and references therein). It has to be stressed,  
311 however, that there is a key difference between such animal signals and musical rhythm, in that  
312 the former are simple and innate, while the latter can take complex forms that are learned.

313         Data from playback experiments and rigorous acoustic analyses reveal that chorusing  
314 synchrony in insects is not a unitary phenomenon. Even in closely related species, the emergence  
315 of synchrony can be achieved through distinct mechanisms that have been shaped by distinct  
316 selective pressures (Greenfield & Schul, 2008). In species where the females show a strong  
317 orienting response towards the first call emitted in a chorusing bout, synchronous calling  
318 emerges incidentally, as the by-product of males' selective attention and a competitive drive to  
319 produce the leading signal (for recent reviews, see Greenfield et al., 2017; Greenfield et al.,

2021; Hartbauer & Römer, 2016). Accordingly, males time their calls to avoid overlapping with other males, but only monitor the calls of nearest neighbors, which results in call alternation between neighboring males and accidental call synchronization between distant males. Interestingly, at least in some species, communal calling entails greater rhythmic regularity compared to solo calls, although the rhythmic structure attained by the chorus is irrelevant to females (e.g., Greenfield & Schul, 2008).

In species where female response is dependent upon the perception of distinct sound envelopes, a more precise form of synchrony tends to emerge as a ‘cooperative’ process, whereby males adjust their intrinsic calling to align to the rate and phase of neighboring males (e.g., Greenfield et al., 2017; Greenfield et al., 2021). This type of synchrony presents multiple adaptive advantages, including the preservation of species-species acoustic signatures (consistent with the signal conservation hypothesis), and increased broadcasting reach (consistent with the beacon effect hypothesis).

Similar adaptive benefits have been linked to the evolution of musical rhythm by Merker and colleagues (Merker, 2000; Merker et al., 2009). Accordingly, selection pressures related to male communal territoriality and female exogamy – which likely characterized the last common ancestor of humans and chimpanzee – led to the emergence of synchronized rhythmic displays in a human ancestor during the late Miocene, as a way of attracting distant females. Arguably, synchronized stomping and vocalizing would have provided a beacon benefit in this context, allowing the signal to reach farther than single or unsynchronized signals. The potential similarity of adaptive benefits between insect and hominin synchronized displays should not be interpreted as entailing that the two are identical with respect to forms, synchronization mechanisms or, indeed, the putative selection pressures that shaped them. As it will be reviewed

343 in the remainder of section 2 and in section 3, additional adaptive benefits, selection pressures  
344 and supporting mechanisms may have been involved in the evolution of human audiomotor  
345 entrainment and inter-individual synchronization.

346

## 347 **2.2. Music as the emotional sibling of speech**

348         Suggestions that music – in particular, song – and speech have common evolutionary  
349 origins date far back in the history of philosophical thinking, although these accounts do not  
350 focus specifically on rhythm. Originally, the debate revolved around whether music or speech  
351 had precedence over the other (e.g., Darwin, 1871; Rousseau, 1761; Spencer, 1857).

352         An alternative account, that can be traced back to Darwin (1871) and has been significantly  
353 developed by Brown (2000b; 2017) postulates that a rudimentary communication system  
354 eventually diverged into a referential communicative system (speech) and an emotional  
355 communicative system, i.e., music (for additional perspectives on this account, see also Mithen,  
356 2005).

357         Most arguments for the common origins of musicality and speech are theoretical attempts  
358 at bridging data from speech and music research in order to find similarities between the two.  
359 Such comparisons suggest that speech and singing engage overlapping neural networks (e.g.,  
360 Musso et al., 2015; Özdemir et al., 2006), rely on similar mechanisms of emotional modulation  
361 (Brown, 2017) and emotional induction (Ma & Thompson, 2015), and attractiveness ratings for  
362 speaking and singing correlate within the same individual (Valentova, 2019). These similarities  
363 also encompass aspects of rhythm processing and production. For example, the temporal  
364 organization of both language and music exploits perceptual grouping, generativity and recursion  
365 whereby elements are mentally organized into hierarchically structured sets, whose boundaries



366 are marked by changes in duration or frequency (e.g., Fitch, 2006). As critics have pointed out,  
367 however, the similarities between speech and music may be the result of confounding variables,  
368 such as common neuromotor systems connected to auditory input and vocal output (Zatorre &  
369 Baum, 2012). This is highly plausible considering that ‘common origins’ accounts focus  
370 primarily on song (i.e., *vocal* musical behavior), overlooking other musical behaviors such as  
371 dance or tool-assisted sound production.

372         Beyond similarities related to common input and output systems, the production of song  
373 and speech diverges with respect to breathing patterns (Leanderson et al., 1987), the use of the  
374 vocal apparatus (Sundberg 2018) and underlying processing mechanisms (Zatorre & Baum,  
375 2012). Generally, singing is more demanding than speech, as it requires finer vocal control  
376 (Zarate, 2013), higher capacities to regulate breathing and subglottal pressure (Sundberg, 2018),  
377 as well as higher energy expenditure, due to engaging all respiratory muscles (intercostal,  
378 abdominal and diaphragm), as opposed to speech, which typically implicates only the intercostal  
379 muscles (Leanderson et al., 1987; Åkerlund & Gramming, 1994). Clinical evidence further  
380 suggests divergences with respect to the neuro-cognitive processing of speech and music, as  
381 speech processing is intact in patients with amusia (i.e., tone-deafness), while music processing  
382 is not impaired in aphasia patients (Mithen, 2005; Peretz & Coltheart, 2003). Finally, and of  
383 most relevance to the present paper, rhythm and speech differ with respect to rhythmic structure.  
384 Unlike speech, musical rhythm is dominated by isochrony, i.e., evenly spaced time intervals  
385 (Kotz et al., 2018; Ravignani & Madison, 2017; Savage et al., 2015). Finally, interactive musical  
386 behaviors build on *predictive coordination* of event *onsets* either between individuals or between  
387 an individual and another exogenous rhythm source. In contrast, speech is primarily a system of  
388 *alternation*, which relies on the between-individual coordination of event *offsets* (Brown, 2007).

389           These differences notwithstanding, a recent iterated learning study (Ma et al., 2019)  
390 found that a single communication system could diverge, across several generations, under the  
391 exclusive pressure of communicative function. As such, when nonsense vocalizations were  
392 presented as having the function to communicate emotional states, they evolved into  
393 vocalizations that were rated as more music-like by naive participants from two different  
394 cultures. These vocalizations exhibited wider pitch and intensity variation, slower rates and  
395 longer durations compared to average vocalizations. Conversely, nonsense vocalizations whose  
396 function was described as referential, were rated as being more speech-like. These findings are  
397 consistent with the ‘common origins’ theories reviewed above, as they suggest that demands for  
398 functional specialization may trigger a cascade of phonatory and acoustic changes ultimately  
399 driving a communication system to split into distinct systems. Since animal vocalizations  
400 function as behavioral expressions of emotional states (Bachorowski & Owren, 2003), this  
401 putative functional specialization could have been prompted by increased demands for referential  
402 communication in ancestral human species. It is also possible, however, that such demands have  
403 more protracted origins, which extend to the last common ancestor of hominids, given evidence  
404 of referential vocal communication in the other great ape species (e.g., Crockford et al., 2014;  
405 O’Byrne et al., 2018).

406

### 407 **2.3. Musicality as a biocultural adaptation in the service of group-level functions**

408 It has long been suggested that musicality has emerged under selection pressures related to  
409 human sociality, to support group functions with regard to within- and/or between-group  
410 cooperation or inter-group competitiveness (e.g., Kogan, 1997; Roederer, 1984). Consistent with  
411 these theories, cross-cultural evidence suggests that the rhythmic component of musicality may

412 be inherently social, considering that, across cultures of the world, there is a universal  
413 association between isochronous rhythm and musical *group* performance (Savage et al., 2015).  
414 While social theories on the evolution of musicality agree that rhythmic isochrony is the crucial  
415 feature that facilitates the social functions of musicality, they diverge with respect to the putative  
416 primordial function(s) ascribed to proto-musical behaviors. Some theories are relatively  
417 unspecific, in that musicality is assigned a generalized group-level function by reinforcing social  
418 life (Brown, 2000a; Koelsch, 2014; Loersch & Arbuckle, 2013; Merker et al., 2009; Roederer,  
419 1984). Other theories emphasize a particular adaptive function as primordial for ancestral  
420 musical-like behaviors, with the most prominent among these being **social bonding** (Dunbar  
421 2004; Dunbar 2012; Huron 2001; Savage et al., 2021), **coalition signaling** (Bryant, 2013; Hagen  
422 & Bryant, 2003; Hagen & Hammerstein, 2009; Mehr et al., 2020), and **cooperation** (Brown  
423 2000a; Freeman, 2001; Kogan, 1997; Merker et al., 2009). Since social theories rely on  
424 arguments pertaining to human-specific features of sociality, most evidence in their support  
425 comes from human studies. Animal behaviors are, nevertheless, referenced by such theories  
426 insofar as they are related to adaptive pressures presumed to have spurred the emergence of  
427 protomusical behaviors.

428         According to the **social bonding** theory (e.g., Dunbar, 2004; Dunbar 2012; Huron, 2001),  
429 musicality has evolved as a prelinguistic mechanism that supplanted social grooming – the  
430 primary bonding mechanism of primates – under pressures exerted by increased group-size in  
431 early *Homo* species. Arguably, dyadic bonding mechanisms entailed prohibitive time resources  
432 in large groups (Lehman et al., 2007), being thus replaced by novel ones such as laughter, proto-  
433 musical chorusing and speech (Dunbar, 2004). This putative shift from tactile to vocal social  
434 bonding would have exerted a pressure on the development and refinement of the

435 neuroanatomical mechanisms involved in vocal bonding behaviors, such as the motor control of  
436 vocal output and bodily time keeping (Dunbar 2004; Dunbar, 2012). Early arguments in favor of  
437 this theory highlighted, for example, the potential of music to simultaneously reach, involve, and  
438 thus connect a large number of individuals (Huron, 2001). Moreover, the ancestral  
439 neurochemical mechanisms underlying primate bonding through grooming appear to also be  
440 activated by rhythmic behaviors (as detailed in 3.4).

441 Other theories highlight musicality – and in particular rhythmic synchronization – as  
442 abilities that enable individuals to coordinate in time, thereby supporting human-specific forms  
443 of **social cooperation**, besides the affiliative effects mentioned above (Brown, 2000a; Freeman,  
444 2000; Kirschner & Tomasello, 2009; Merker et al., 2009;). Kogan (1997), as well as Merker and  
445 colleagues (2009) argued, for example, that the feelings of bonding engendered by musical  
446 behaviors contributed to group solidarity, and promoted altruism, thereby increasing the  
447 effectiveness of collective actions (e.g. predator defense, warfare).

448 The experimental evidence in support of the **social bonding** theory and the **social**  
449 **cooperation** theory is abundant, as musical behaviors, such as communal singing, chanting,  
450 dancing and drumming, have a wide range of prosocial effects (Anshel & Kipper, 1988; Pearce  
451 et al., 2017; Reddish et al., 2013; Reddish et al 2014; Tarr et al., 2016; Wiltermuth & Heath,  
452 2009) that fail to materialize when only passively listening to music (e.g., Dunbar et al., 2012).  
453 Moreover, these effects appear to be specifically triggered by the rhythmic components of music,  
454 as the prosocial effects of rhythmic synchronization extend beyond the context of music-making.  
455 Indeed, interpersonal rhythmic synchronization (e.g., rocking in chairs, finger tapping) leads to  
456 prosocial feelings, such as liking, rapport, trust, affiliation, entitativity (i.e., ‘feeling as one’),  
457 cooperation and generosity (Hove & Risen, 2009; Valdesolo et al., 2010; Wiltermuth & Heath,

458 2009).

459         The prosocial effects of rhythmic synchronization emerge early in human development,  
460 which is also suggestive of ancestral evolutionary roots (e.g., Justus & Hutsler, 2005;  
461 McDermott & Hauser, 2005; Trainor 2018). For example, 12-month old infants prefer a person  
462 who rocks in synchrony with them as opposed to an asynchronous one, and this preference does  
463 not extend to synchrony exhibited by non-social entities (Tunçgenç et al., 2015). Fourteen-month  
464 old infants are more helpful after being engaged in interpersonal synchrony (Cirelli et al., 2014),  
465 an effect which is present also in the absence of music (Cirelli et al., 2017). In preschoolers, the  
466 prosocial effects of interpersonal rhythmic synchronization are documented across a variety of  
467 behaviors, such as swinging, music-making, clapping and tapping (Kirschner & Tomasello,  
468 2010; Rabinowich & Meltzoff, 2017a, b; Tunçgenç & Cohen, 2016). In conclusion, from an  
469 early stage of human development, rhythmic synchronization triggers a wide array of prosocial  
470 effects in both musical and non-musical contexts, thereby suggesting a social bonding function  
471 for the rhythmic component of musicality.

472         In the **coalition signaling** theory on the evolution of musicality, the causal relationship  
473 between interpersonal rhythmic synchronization and social bonding is reversed, as compared to  
474 the **social bonding** theory. Rather than *facilitating* social bonding, proto-musical behaviors  
475 purportedly *advertised* the level of bonding within a group, with bonding being acquired by other  
476 means (Bryant, 2013; Hagen & Bryant, 2003; Hagen & Hammerstein, 2009; Mehr et al. 2021;  
477 Merker, 2000). Accordingly, a putative benefit of synchronous rhythmic displays would have  
478 been to confuse and frighten the enemy through the mimicry of a large animal (Merker, 2000).  
479 According to an alternative proposal, synchronous displays co-evolved with the complexity of  
480 social organization as a way of advertising group fitness in the formation of inter-group alliances

481 (Hagen & Bryant, 2003). Consequently, it has been hypothesized that complex and well-  
482 synchronized group displays signal group stability and higher coordination abilities, since a high  
483 degree of synchronization entails long-term commitment and dedication.

484 Compared to the **social bonding** theory, the empirical evidence supporting the **coalition**  
485 **signaling** theory is more limited, and consists of findings suggesting that tight synchronization  
486 may communicate high group quality . For example, in one study, participants rated highly  
487 synchronized musical performances as being of better quality, and rated better performances as  
488 suggestive of higher coalition quality (Hagen & Byrant, 2003). Similar correlations have been  
489 found beyond the context of music, as the formidability (size and muscularity) of a group was  
490 judged to be higher for audio-tracks of highly synchronized footsteps compared to audio-tracks  
491 of less synchronized footsteps (Fessler & Holbrook, 2016). Several experiments have also  
492 revealed that entitativity is judged to be higher when individuals move in synchrony (Edelman &  
493 Harring, 2014; Lakens, 2010; Lakens & Stel, 2011).

494 According to the **coalition signaling** theory, the crucial socio-ecological pressures that  
495 triggered the emergence of proto-musical behaviors are linked to two ancestral traits of *Homo*  
496 species during the Middle Pleistocene: primate territoriality and social carnivory. Specifically,  
497 the coalition signaling function of musicality would be rooted in the territorial displays of  
498 ancestral humans (Hagen & Hammerstein, 2009). The communal territorial displays of present  
499 day social carnivores (e.g., lions, wolves) and nonhuman primates (e.g., chimpanzees) are  
500 postulated as *analogous* and *homologous* precursors to the putative territorial advertisement of  
501 ancestral humans, based on socio-ecological convergence and shared ancestry (e.g., Hagen &  
502 Hammerstein, 2009). On the one hand, during the Middle Pleistocene, our ancestors occupied a  
503 similar niche to that of large social carnivores. On the other hand, territorial group displaying is

504 likely an ancestral primate trait, which in monogamous primate species may take on the form of  
505 duetting based on song-like vocalizations (e.g., Hagen & Hammerstein, 2009).

506

#### 507 **2.4. Mother-infant interaction as the primordial context of proto-musical behaviors**

508 Theories of mother-infant interaction as the primordial context of musicality focus  
509 primarily on vocal behavior, and emphasize two putative adaptive functions that may explain  
510 why hominin vocalizations acquired music-like features: **mother-infant bonding** (Dissanayake,  
511 2000, 2009a; Mithen, 2005) and **credible signaling of parental attention** (Mehr & Krasnow,  
512 2017; Mehr et al., 2020).

513 According to the **mother-infant bonding** theory (e.g., Dissanayake 2000, 2009a), music-  
514 like vocalizing stems from affiliative signals that in mother-infant interaction were modified  
515 through a process of ritualization. Borrowed from the field of animal acoustic communication,  
516 the notion of ritualization refers to a process by which instrumental behaviors evolve into  
517 communicative signals, through gradual changes toward increased formalization, repetition, and  
518 exaggeration (Grammer & Eibl-Eibesfeldt, 1990; Watanabe & Smuts, 1999). Arguably, adult  
519 affiliative signals (e.g., head bobbing, body leaning toward, smiling, eye-brow flash, high-pitch  
520 voice modulations, etc.) were subjected to similar changes in the context of mother-infant  
521 interaction, thereby evolving into repetitive and exaggerated signals that were emotionally-  
522 evocative to infants. Given their emotional efficacy, music-like interactions would have then  
523 spread from the primordial context of ritualized parent-infant communication to ceremonial  
524 contexts, where they were further elaborated through ritualization (Dissanayake, 2000, 2009a).

525 The **credible signaling of parental attention** theory proposes that infant-directed song,  
526 which is universally present across human cultures (Mehr et al., 2019; Trehub, 1993), is rooted

527 in increasingly elaborated contact calls that, in ancestral hominin species, had evolved to encode  
528 parental cues of attention to the offspring (Mehr & Krasnow, 2017; Mehr et al., 2021). Two  
529 putative selection pressures would have led to this development. On the one hand, it is argued  
530 that multiple immature offspring simultaneously competed for parental attention, given the  
531 longer postnatal period of brain development and the shorter interbirth intervals of humans  
532 compared to the other apes. On the other hand, bipedalism and loss of body hair prevented  
533 infants from clinging onto the parent's body (as the infants of other ape species do), thereby  
534 preventing constant mother-infant proximity. These shifts in parent-infant ecology purportedly  
535 prompted early Homo species to evolve song-like vocalizations that enabled foraging caregivers  
536 to continuously convey parental attention to their multiple offspring from some distance, without  
537 incurring foraging costs (Mehr & Krasnow, 2017).

538 As recently pointed out by Trehub (2021), the **credible signaling of parental attention**  
539 theory is challenged by childcare features among contemporary foraging cultures, where  
540 prolonged breastfeeding entails prolonged interbirth intervals and infants are continuously  
541 carried by their mothers. Moreover, cross-cultural data indicate that the most frequent strategies  
542 employed by mothers for soothing distressed children are picking up, rocking and talking to the  
543 infant rather than song (Bornstein et al., 2017; Richter & Ostovar, 2016).

544 Another potential challenge is that the evolutionary scenario presented by the **credible**  
545 **signaling of parental attention** theory is very similar to a scenario of speech evolution  
546 presented more than a decade earlier by Falk (2004). Specifically, Falk (2004) theorized that  
547 speech emerged from infant-directed signals used by foraging parents to communicate with  
548 physically distant infants. Just like Mehr & Krasnow (2017), Falk (2004) emphasized  
549 evolutionary pressures related to increased demands for parental attention, and identical adaptive



550 solutions to deal with such demands, i.e., the elaboration of ancestral contact calls. The two  
551 theories, however, diverge with respect to the outcome of this evolutionary process: infant-  
552 directed speech versus infant-directed song. As current research shows, these two parent-infant  
553 communication systems are acoustically distinct, and differ with respect to many pitch, timbre,  
554 and rhythmic features (Moser et al., 2020). It is currently unclear how the two theories can be  
555 reconciled, since neither of them discusses when and what prompted the emergence of the  
556 specific features that differentiate infant-directed song from infant-directed speech. One potential  
557 route to reconciliation is through the ‘musilanguage’ theory reviewed in 2.2. Accordingly, infant-  
558 directed song and infant-directed speech could be viewed as resulting from an originally  
559 undifferentiated system of contact calls. This would, however, require that each of the two  
560 theories is complemented with additional assumptions concerning a putative differentiation stage  
561 and the adaptive demands that prompted it. In line with the theories reviewed in 2.2, other  
562 possibilities would be that one of the systems evolved from the other, or independently from one  
563 another but at different points in time. Both phenomena would then have survived because both  
564 have added to the survival of the species, or at least were connected to something that has.

565         Before concluding this section, it is important to note that one of the two proposals  
566 reviewed here – the **credible signaling of parental attention** theory – is primarily concerned  
567 with the evolutionary emergence of musical pitch and melodic modulation. The **mother-infant**  
568 **bonding** theory implicitly incorporates the evolution of both rhythm and melody, by  
569 emphasizing the emergence of rhythmicity and exaggerated pitch contours through the  
570 ritualization of pre-existing affiliative signals. The explanatory power of evolutionary proposals  
571 focused on mother-infant interaction as the putative primordial context of musicality might  
572 benefit from extending their focus beyond vocal behavior, to also encompass rhythmic moving,

573 as reviewed above.

574

## 575 **2.5. Therapeutic and ritual uses of musicality and rhythmicity**

576 Self-report studies show that music is one of the most common affect-regulation  
577 strategies that humans use in everyday life to boost energy levels, or reduce tension (Saarikallio,  
578 2011; Saarikallio & Erkkilä, 2007; Thayer et al. 1994). Music is also used in clinical settings, to  
579 alleviate stress-related disorders (Aalbers et al., 2017; Koelsch, 2009; Landis-Shack, 2017;  
580 Sihvonen et al., 2017; Thaut et al. 2015). Many studies show that the beneficial psychological  
581 effects of music are reflected in physiological measures, including heart rate, blood pressure and  
582 hormonal levels (for a recent review and meta-analysis, see de Witte et al., 2020). Similar  
583 positive effects on stress levels, assessed with both physiological and behavioral measures, have  
584 been documented in multiple nonhuman species, including apes, monkeys, dogs, hens and rats  
585 (for a review, see Alworth & Buerkle, 2013), although the presence and type of such effects vary  
586 as a function of species and type of musical stimuli.

587 It is currently unclear, however, which musical features – and thus mechanisms –  
588 underlie the clinical benefits of musicality, since relevant variables – such as tempo, rhythm, and  
589 melodic contours – are typically not dissociated in the design of intervention studies, or are  
590 inconsistently controlled in clinical and research practices (Aalbers et al., 2017; Leubner &  
591 Hinterberger, 2017; McPherson et al., 2019). Recent evidence, nonetheless, suggests that active  
592 interventions that implement rhythm-based activities (hand clapping, instrument playing,  
593 dancing) may have greater potential for improving physiological stress markers (McPherson et  
594 al., 2019). Additional findings show that greater stress relief is provided when experiencing live  
595 music, compared to prerecorded music (for a review, see Witte et al., 2020).

596           The use of music as a coping strategy has been attributed to the sense of pleasure that  
597 arises when humans synchronize to external rhythms (Dunbar, 2012; Koelsch, 2014; Launay et  
598 al., 2016; Salimpoor et al., 2015; Thaut et al., 2015; Trost et al., 2017; Vuust & Kringelbach,  
599 2010), which in turn may be linked to anxiolytic and elating effects mediated by the release and  
600 circulation of dopamine, oxytocin, and endorphins (as detailed in section 3.4). Purportedly, these  
601 acted as reinforcers for the preservation and elaboration of rhythmic synchronization into rituals  
602 and, ultimately, into that which today is called music and dance (Brown, 2000a; Dissanayake,  
603 2006, 2009b; Mithen, 2005). Plausibly, this reinforcement mechanism has protracted  
604 evolutionary origins, given the presence of entrainment in a type of chimpanzee behavior – the  
605 so-called ‘Conga line’ (Lameira et al., 2019). This behavior is a form of locomotion, whereby  
606 two or more individuals align in a row, either standing or sitting, moving forward with a swaying  
607 movement, while maintaining bodily contact. Lameira et al. (2019) described the Conga-line as a  
608 stereotypical behavior, and speculated that rhythmic synchronization may have emerged in the  
609 *Homo* lineage as a coping co-stereotypy under the pressure of increasing socio-ecological  
610 stressors, such as resource scarcity (due to climate change) and crowding (as a consequence of  
611 increased group size and cooperative breeding). In support of this theory, the authors also  
612 mention that chimpanzee rocking is commonly regarded as a kind of stereotypical behavior  
613 related to confinement in captivity (e.g., Chamove, 1989; Lopresti-Goodman et al., 2013).  
614 Conga-line variants, however, may occur in zoo-housed groups in contexts (e.g. social play)  
615 where they cannot be explained as stereotypical behaviors (personal observation). Moreover, the  
616 Conga-line behavior (called ‘snake dance’) has been observed in gorillas in wild populations  
617 (Schaller, 1963).

618           Other putative selective pressures that would have facilitated the recurrent use – and thus

619 preservation – of musical behaviors as a coping mechanism may derive from enhanced cognitive  
620 abilities in the *Homo* lineage, in particular enhanced memory, foresight and causal reasoning  
621 abilities. Plausibly, this entailed an increased awareness of the uncertainty surrounding the  
622 outcome of future events, activities or natural phenomena, thereby leading to increased stress and  
623 anxiety. It has been proposed that apprehension about the future and the need to control  
624 uncertainty likely co-evolved with musicality – and the other artistic skills – as the motivators  
625 and the expression of what we currently define as religious practices (Brandt, 2009;  
626 Dissanayake, 2009a,b). In other words, proto-musical behaviors – which took the form of  
627 ritualized versions of ordinary, instrumental behaviors – came to be connected to situations that  
628 had a vital significance to the group, as practices for curbing future uncertainty and mitigating  
629 collective crises. In such contexts, joining with others in rhythmic behaviors had purported  
630 coping benefits, by lowering stress and anxiety, and instilling a sense of uncertainty-control in  
631 the participants (Brandt, 2009; Dissanayake, 2009b; Mithen, 2005).

632 Consistent with the view that musicality – and in particular musical rhythm – has evolved  
633 to cope with future uncertainty, musical stimuli engage several components of the so-called  
634 ‘default mode neural network’, which is commonly associated with remembering the past and  
635 simulating the future (Herdener et al., 2010; Toiviainen et al., 2020). In addition, musical stimuli  
636 improve memory functions and induce plasticity by increasing gray matter volume in a key  
637 structure of this network – the hippocampus (Herdener et al., 2010).

638 Strong support in favor of this view comes also from ethnographic records showing that  
639 musical behaviors are often linked to rituals that are associated with the supernatural, and have  
640 the function of invoking forces believed to affect vital aspects of group functioning, from  
641 hunting success and fertility, to protection from disease or warfare victory (Arom, 2000; Cross,

2003; Dissanayake, 2009b; Brandt, 2009; Nettle, 2015). As mentioned above, the widespread presence of synchronized displays in such ritualic practices has been attributed to the putative anxiolytic effects of rhythmic behaviors and, thus, to the sense of control that these may have evoked in situations in which ancestral humans had to deal with outcome uncertainty prior to crucial undertakings (Dissanayake, 2009b; Mithen, 2005). There are several examples of ritual practices that fit this scenario, such as the collective chanting and swaying of tribes in Papua New Guinea during violent storms (Malinowski, 1922; Mead, 1930, apud Dissanayake 2009a), and the widespread use of communal musical behaviors in healing ceremonies and for lamenting the passing of group members (Dissanayake, 2009b; Mithen, 2005). Whether the anxiolytic use of collectively enacted rhythms constituted a primordial function in the *evolution* of musicality is, however, far from clear. In contemporary traditional societies, the ritual use of musical behaviors extends to a variety of rituals that are less clearly connected to a need for stress relief. As Seeger (1987:7) wrote about the lowland regions of South America: “wherever music is heard, something important is happening”. In perhaps all cultures of the world, musical behaviors are used – and conceptualized – as an integral part of important ceremonies, and as means to achieve important social purposes.

658

### 659 **3. Proximate mechanisms**

660 Many mechanistic hypotheses on the evolution of musicality focus on audiomotor  
661 entrainment, i.e., on the accurate synchronization of bodily movements to an auditory rhythmic  
662 stimulus. This is perhaps understandable, since audiomotor entrainment is regarded as the most  
663 iconic expression of human musicality, and has long been considered absent in other species.  
664 There is general agreement that entrainment relies on an ability to extract the temporal

665 periodicity of an acoustic signal, and to predict the subsequent occurrence of its units, which in  
666 turn enables individuals to synchronize their rhythmic movements with the acoustic signal.  
667 Mechanistic hypotheses on the evolution of other aspects of human rhythm cognition are  
668 generally lacking (as will be detailed in section 4), with the exception of recent attempts to  
669 extend the scope of entrainment hypotheses to relevant perceptual abilities, such as isochrony  
670 detection (as reviewed in 3.1 and 3.2).

671

### 672 **3.1 Neural adaptations underpinning rhythmicity**

673 The most prolific hypothesis advanced within the recent wave of comparative research on  
674 rhythmicity is the **vocal learning and rhythmic synchronization** hypothesis (in short, VLRS:  
675 Patel, 2006), with its subsequently revised versions – the **intrinsic reward and rhythmic**  
676 **synchronization** hypothesis (Takeya et al., 2017) and the **vocal learning as a preadaptation**  
677 **for human beat perception and synchronization** (Patel 2021; Rouse et al. 2021). In its original  
678 formulation, VLRS held that audiomotor entrainment is a by-product of neural adaptations  
679 evolved to support vocal learning (in short, VL), in particular tight audio-motor neural couplings  
680 in the forebrain circuitry. The VLRS thus predicted that audiomotor entrainment should be  
681 present only in *flexible* VL species, such as songbirds, parrots, hummingbirds, seals, whales, bats  
682 and elephants (e.g. Petkov & Jarvis, 2012).

683 Initially, the comparative data from experimental studies appeared consistent with this  
684 divide, as eight budgerigars (*Melopsittacus undulatus*) could learn to synchronize motor  
685 responses with a metronomic stimulus (Hasegawa et al., 2011), and two parrots could  
686 synchronize head bobs with complex musical stimuli at various tempi (Patel et al., 2009;  
687 Schachner et al., 2009). Moreover, a YouTube sampling study found that only individuals of VL

688 species (14 parrot species, one elephant species) exhibited audiomotor entrainment, while  
689 individuals of non-VL species (including great apes) did not (Schachner et al., 2009). Initial  
690 experimental studies with rhesus macaques (*Macaca mulatta*) further suggested that nonhuman  
691 primates may be unable to synchronize motor output with an acoustic signal (Merchant &  
692 Honing, 2014).

693         Subsequent findings from experimental studies with species traditionally regarded as  
694 vocal non-learners appeared to contradict VLRS. Notably, a sea lion (*Zalophus californianus*,  
695 Cook et al., 2013), and several rhesus macaques (Gámez et al., 2018; Takeya et al., 2017) could  
696 be trained to synchronize a motor response with an exogenous auditory rhythm. To account for  
697 such findings, a revised version of VLRS – the **intrinsic reward and rhythmic**  
698 **synchronization** hypothesis (Takeya et al., 2017) – stated that non-VL species may be able to  
699 acquire audiomotor entrainment, but only *flexible* VL species are motivated to display it  
700 *spontaneously*. Yet, evidence of spontaneous audiomotor entrainment in chimpanzees (*Pan*  
701 *troglydytes*: Hattori et al., 2013; *Pan paniscus*: Large & Gray, 2015), who are not considered  
702 flexible vocal learners, may challenge this updated version of the VLRS. Evaluating the great  
703 ape data in relation to VLRS, however, is not entirely straightforward. On the one hand, there is  
704 increasing evidence that non-human great apes exhibit VL, both in the form of socially-driven  
705 adaptations of pre-existing vocalizations and in the more advanced form of acquiring entirely  
706 new vocalizations through social learning (as reviewed by Lameira et al., 2017). On the other  
707 hand, as Patel has argued (2021), the chimpanzee in Hattori et al. (2013), was only exposed to  
708 metronomic stimuli (as opposed to more complex beat-driven rhythm). Moreover, the  
709 chimpanzee did not generalize spontaneous entrainment to tempi that differed from her own  
710 spontaneous motor output. Thus, evidence of audiomotor entrainment in the common

711 chimpanzee is limited to the most rudimentary form of audiomotor synchronization (following  
712 the conceptual model of Iversen & Balasubramaniam, 2016). Finally, visual feedback (i.e., the  
713 drumming behavior of the experimenter) may have aided drumming synchronization by the  
714 bonobo in Large & Gray (2015). Against this latter objection, however, a recent study has found  
715 that chimpanzees display similar levels of rhythmic coordination with a conspecific, regardless  
716 of whether the exogenous rhythm (i.e., the tapping rhythm of the conspecific) is provided in the  
717 auditory channel only or in both the auditory and visual channels (Yu & Tomonaga, 2018).

718         The most recent version of VLRS states that **VL is a necessary preadaptation for beat**  
719 **perception and synchronization** (Patel 2021). In this reformulation, it is clarified that  
720 audiomotor entrainment to metronomic stimuli is not within the scope of the VLRS. In contrast  
721 to such rudimentary forms of synchronization, perceiving and synchronizing with a *beat* entails  
722 the ability to extract the regular pulse in a temporally and/or acoustically varying rhythm  
723 (Honing, 2012). Accordingly, this newly updated VLRS predicts that, across species, degree of  
724 audiomotor connectivity – and thus VL ability – correlates with differences in rhythm perception  
725 and/or production. Specifically, and drawing on the VL taxonomy of Petkov & Jarvis (2012),  
726 only high VL species (i.e., humans and parrots) will exhibit spontaneous beat perception and  
727 synchronization. Rhythm perception and production in other species are predicted to co-vary  
728 with their VL capabilities, and thus to decrease from complex vocal learners (bats and songbirds)  
729 to moderate vocal learners (non-human apes), to limited vocal learners (mice), to vocal non-  
730 learners, such as chickens or lizards.

731         The VLRS has driven the comparative study of rhythm cognition more than any other  
732 theoretical proposal in the field. More research is, however, needed to consolidate its empirical  
733 basis. Research is, for example, needed on the rhythm perception abilities of animals, which



734 should be examined, comparatively, across categories of VL species, using standardized  
735 methods. Likewise, the capability and readiness of animals to acquire rhythmic synchronization  
736 needs to be assessed across the VL continuum. Finally, the spontaneous predilection of parrots to  
737 synchronize with the beat of complex musical stimuli, as well as its ontogenetic emergence,  
738 needs to be systematically investigated. Interestingly, parrots exhibit unique patterns of brain  
739 organization compared to other avian VL species, as vocal motor pathways that are anatomically  
740 adjacent to the auditory pathways in songbirds, are more distant in parrots (Jarvis & Mello,  
741 2000). Moreover, parrots possess an additional vocal system that also includes non-vocal motor  
742 pathways (Chakraborty et al., 2015), which are active during e.g., hopping and head bobbing  
743 movements (Feenders et al., 2008). Based on these findings and given evidence of spontaneous  
744 audiomotor entrainment in parrots, it is possible that, not only VL, but a predisposition for  
745 rhythmic gestural/bodily communication (especially if exhibiting a certain level of flexibility and  
746 voluntary control) represents a prerequisite trait for spontaneous rhythmic synchronization to  
747 emerge. In turn, this could explain why chimpanzees, which are less accomplished vocal learners  
748 than parrots but exhibit rhythmic gestures (as detailed in 4.3.), also exhibit spontaneous – albeit  
749 rudimentary – audiomotor entrainment.

750 An alternative hypothesis, which, like VLRS, focuses on neural audiomotor adaptations  
751 (see Table 2 for an overview of hypotheses on neural adaptations that support rhythm production  
752 and perception), is **the gradual audiomotor evolution** hypothesis (in short, GAE). According to  
753 GAE, a progressive chain of anatomical and functional brain changes has led to the evolution of  
754 a human-specific beat-based timing (or relative timing) mechanism, in addition to the interval-  
755 based timing mechanism present in, e.g., monkeys (Merchant & Honing, 2014). As such, in the  
756 primate lineage, audiomotor entrainment is predicted to co-vary with the complexity of auditory

757 and motor circuits and the robustness of their coupling. More specifically, monkeys are predicted  
758 to lack an ability for audiomotor entrainment, and to exhibit very few audiomotor neural  
759 connections. In contrast, nonhuman apes are predicted to exhibit higher complexity of relevant  
760 audiomotor circuitry, as well as rhythmic abilities that are superior to those of monkeys, but  
761 inferior to those of humans. Initial support for GAE came from evidence of spontaneous – yet  
762 inflexible – audiomotor entrainment in chimpanzees (Hattori et al., 2013; Large & Gray, 2015  
763 reviewed above), as well as evidence that macaque monkeys failed to acquire entrainment in  
764 spite of extensive training (Merchant & Honing, 2014).

765

766 Insert Table 2 about here

767

768 The GAE is to some extent challenged by recent data showing that macaque monkeys can  
769 be trained to synchronize a motor response to an isochronous metronome pulse (Gómez et al.,  
770 2018; Takeya et al., 2017). Moreover, they generalize their response to non-trained tempi  
771 (Takeya et al., 2018), adapt the tempo of their motor response to accelerating and decelerating  
772 metronomes (Gómez et al., 2018), with tempo adaptation being driven by the adjustment of the  
773 inter-onset interval (Donnet et al., 2014). Taken together, these results show that, when trained  
774 and tested with more suitable methods, monkeys can exhibit more flexible audiomotor  
775 synchronization than initially predicted by GAE.

776 Unlike the VLRS and GAE, other theories argue that entrainment is widespread in the  
777 animal kingdom. Cook et al. (2013), for example, propose that entrainment is enabled by **neural**  
778 **resonance** mechanisms that are largely conserved across taxa, and result from oscillatory  
779 interactions between neuronal assemblies in sensory and motor areas (e.g., Large & Gray, 2015;

780 Rouse et al., 2016). Specifically, in the case of audiomotor entrainment, oscillations in auditory  
781 neurons phase-lock on the frequency of an exogenous auditory stimulus. In turn, this causes  
782 neural oscillations in the motor system to phase-lock onto the same frequency, thereby leading to  
783 motor output that is entrained to the exogenous auditory input. Consistent with this proposal,  
784 evidence from studies with rats and macaques suggests that, similarly to what has been observed  
785 in humans (e.g., Rouse et al., 2016), exogenous rhythmic stimuli induce entrainment in  
786 nonhuman brains as well (Bartolo et al., 2014; Noda et al., 2017).

787 Besides oscillatory interactions (such as those described above), additional neural  
788 mechanisms, such as **dynamic attending** (e.g., Large & Jones, 1999) and **predictive coding**  
789 (e.g., Vuust et al., 2009) have been proposed to contribute to the emergence of rhythm percepts.  
790 The involvement of **dynamic attending** in rhythm processing is linked to the fact that, due to  
791 their regularity, rhythmic stimuli induce strong expectations about upcoming events. This causes  
792 attention to fluctuate in an oscillatory way, with most attentional resources being cyclically  
793 allocated to the most salient or most informative events in a sequence. Through dynamic  
794 attending, the deployment of highly energetic cognitive capacities is thus optimized to capture  
795 the most relevant stimuli. The process described above also entails that rhythmic stimuli are  
796 **coded predictively**, i.e., predictions are generated about event recurrence at regular points in  
797 time, which in turns enables optimal allocation of attention, as well as audiomotor entrainment  
798 (Vuust et al., 2009). Behaviorally, predictive coding is captured by the fact that bodily  
799 movements occur near the onset times of the auditory stimulus, with a slight anticipation of it  
800 (for reviews, see e.g., Fitch, 2013; Merchant & Honing, 2014; Merker et al., 2009; Repp & Su,  
801 2013). Since **dynamic attending** and **predictive coding** provide broad adaptive advantages, by  
802 optimizing stimulus reception and minimizing processing load (Large & Jones, 1999), and are

803 crucially involved in rhythm perception and production, it is plausible that rhythmic signals are  
804 widespread in animal communication, as such signals would be easier to decode and recall, while  
805 also allowing for temporal pattern variations and, thus, species specificity. This is corroborated  
806 by evidence of shared neural mechanisms of dynamic attending in humans and nonhuman  
807 primates. In particular, when macaque monkeys are presented with rhythmic stimuli, neural  
808 oscillations in the relevant sensory modality entrains to the stimuli, with momentary sensory  
809 response gain (i.e., increased attention) at expected points in time that reflect the attended rhythm  
810 (Lakatos et al., 2008).

811 Another proposal that argues for the widespread distribution of entrainment in the animal  
812 kingdom, and implicates neuroanatomical adaptations, is **the acoustic advantages** hypothesis  
813 (Larsson & Abbott, 2018). This hypothesis extends an earlier version, which proposed that  
814 human entrainment is a by-product of **incidental sounds of bipedal locomotion** (Larsson, 2013;  
815 Larsson et al., 2019). Since bipedal locomotion entails periodic symmetric gaits, it purportedly  
816 enabled our ancestors to match each other's steps, and, thus, to reduce footfall noise, which  
817 resulted in stealthier locomotion and better detection of relevant environmental sounds. The  
818 **acoustic advantages hypothesis** extends this reasoning to vertebrates in general, proposing that  
819 the audiomotor circuits and genes involved in entrainment have their origins in fish schooling  
820 behavior and locomotor-respiratory coupling. In tetrapod descendants, this then evolved into  
821 couplings between forepaw motor processing and vocal communication, which favored the  
822 synchronization of movement and vocalization (Larsson & Abbott, 2018). Synchronized  
823 behaviors, such as schooling guided by incidental sounds of locomotion, putatively provided the  
824 adaptive advantage of improved situational awareness due to extended windows of silence. To  
825 explain evidence of entrainment in vocal non-learners, the **acoustic advantage hypothesis**

826 contemplates the relationship between entrainment and VL in reverse, i.e., the ability to entrain  
827 to external sounds is proposed to be a prerequisite for VL and not the other way around (Larsson  
828 & Abbott, 2018).

829 A related hypothesis is the **bipedal experience in utero** hypothesis (Larsson et al., 2019;  
830 Parncutt & Chuckrow, 2017), whereby maternal walking provides coupled multisensorial  
831 (auditory, vestibular, tactile) and motor isochronous experience to the fetus, thereby facilitating  
832 the development of neural sensorimotor couplings. As such, perceptual and affective biases for  
833 rhythmic stimuli are induced in the fetus, in all three sensory modalities, with the effects being  
834 predicted to be stronger when modalities are combined. Empirical research addressing  
835 evolutionary hypotheses of musicality connected to bipedalism is currently lacking. There are,  
836 however, some intriguing parallels between the optimal tempo of rhythmic synchronization (for  
837 a review see, e.g., Repp & Su, 2013) and the optimal walking tempo recorded in laboratory  
838 studies (MacDougall & Moore, 2005), as both are situated around 120 beats per minute (BPM).  
839 In addition, the tempo of popular dance music tends to cluster around 120-130 BPM (Leman et  
840 al., 2013). Humans, however, are able to synchronize with an auditory stimulus over a broader  
841 tempo range (50 - 230 BPM), that also exceeds the locomotor tempo range, which is between  
842 75–190 BPM (Larsson et al., 2019).

843

### 844 **3.2. Cognitive mechanisms of rhythm perception and production**

845 Currently, there is agreement that, through evolutionary time, musicality has been shaped  
846 by species-specific **bio-cognitive constraints** (e.g., Fitch, 2015; Honing & Ploegger, 2012;  
847 Huron, 2012; Merker et al., 2015). Candidate cognitive mechanisms discussed in the literature  
848 comprise both low- and high-level features, which range from **perceptual biases** rooted in

849 attentional, learning and memory processes to cognitive operations based on **relational**  
850 **processing**, such as **isochrony perception, hierarchical grouping, recursion** and  
851 **combinatorics** (Brown, 2000b; Fitch, 2013, 2015; Merker et al., 2015; Ravignani et al., 2016;  
852 ten Cate & Spierings, 2019).

853         The involvement of such cognitive mechanisms in shaping musical rhythm is reflected by  
854 quasi-universal structural features, including (1) the presence of an isochronous beat; (2) the  
855 hierarchical organization of beats in a metric structure of strong and weak beats; (3) the  
856 predilection for hierarchical grouping based on (multiples of) two; (4) but also three beats; (5)  
857 the presence of motivic patterns (e.g., riffs) grounded on the beat (Savage et al., 2015).  
858 Interestingly, this set of quasi-universal rhythm features has been experimentally reproduced in  
859 an iterated learning study in which participants allocated to several ‘generations’ in a  
860 transmission chain had to reproduce a sequence of percussive sounds for the next ‘generation’ of  
861 participants (Ravignani et al., 2016). At the end of the experiment, the random sequences seeded  
862 into the first ‘generation’ evolved into rhythmic patterns that exhibited all the quasi-universal  
863 features of musical rhythm listed above, although they also exhibited ‘cultural’ (i.e., transmission  
864 chain) specificity (Ravignani et al., 2016). Using a similar approach, couched in a task where  
865 participants had to tap or vocalize seeded sequences, Jacoby & McDermott (2017) found that  
866 both US and Amazonian participants favored rhythms with intervals characterized by durations  
867 based on small integer ratios (e.g., 1:1, 2:1), although the specific intervals exhibited by the two  
868 populations differed qualitatively.

869         Given the converging outcomes of cross-cultural and experimental research, it has been  
870 proposed that the rhythmic structure of music is shaped by mechanisms, processes and biases  
871 that generically constrain human cognition. For example, working memory constraints are

872 arguably responsible for the emergence of features that make stimuli easier to process and recall,  
873 such as isochrony, motifs and few durational categories (Ravignani et al., 2016). Many of the  
874 cognitive traits that shape musical rhythm – and enable musicality – may have evolved for the  
875 purposes of **auditory scene analysis**, i.e., as mechanisms that enable parsing auditory input  
876 (Honing et al., 2015; Sperber, 1996; Trainor, 2018). To exemplify, the tendency to assign the  
877 basic beat to the lowest-pitched instrument in an ensemble is likely rooted in inner ear  
878 adaptations that give low-pitch superiority for temporal processing (e.g., Hove et al., 2014;  
879 Nelson & Young, 2010). In contrast, it has been argued that rhythmic **isochrony**, **beat-based**  
880 **(i.e., relative) timing** and **entrainment** are traits that cannot be explained as non-musical  
881 adaptations (Trainor, 2018). The argumentation for such musicality-specific traits builds  
882 primarily on comparative data, which initially suggested the absence of isochrony detection,  
883 beat-based timing, and entrainment in other species. However, the evidence reviewed in 3.1.,  
884 suggests that audiomotor **entrainment** can be acquired by animals through training, and that  
885 some species may even display it spontaneously.

886 **Isochrony detection** is considered to be ‘the first cognitive step for beat perception’  
887 (Celma-Miralles & Toro, 2020), which, in humans, is postulated to rely on **relational**  
888 **processing**, i.e., the ability to extract and assess relations between items or events (ten Cate &  
889 Spierings, 2019). In the case of isochrony detection, this entails that structural patterns in the  
890 auditory stream are detected based on relative – rather than interval – timing (e.g., Merchant &  
891 Honing, 2014; Teki et al., 2011; ten Cate & Spierings, 2019). It is important to note that  
892 **relational processing** is not a music-specific ability, but can occur in a variety of domains,  
893 including theory of mind, language, and visual processing. Moreover, relational processing is not  
894 a monolithic ability, but varies in complexity from the encoding of basic relations (such the

895 relation between a tool and its use), to the processing of highly abstract and hierarchically  
896 embedded relations. It is not yet clear if such abilities translate from one domain to the other, but,  
897 in humans, relational processing abilities, for example, have been found to correlate between the  
898 domains of language and theory of mind (Oesch & Dunbar, 2017). While the comparative study  
899 of relational processing in the domain of rhythm is in its infancy, there is suggestive evidence  
900 that starlings (Hulse et al., 1984), jackdaws (Reinert, 1965, as reported in ten Cate & Spierings,  
901 2019), rhesus macaques (Honing et al., 2018), rats (Celma-Miralles & Toro, 2020) and zebra  
902 finches (Rouse et al., 2021) can be trained to discriminate between isochronous and non-  
903 isochronous sequences. Budgerigars, moreover, perform such discriminations spontaneously, in  
904 the absence of training (Hoeschele & Bowling, 2016). The mechanisms underlying such  
905 discrimination, however, may differ across species. For example, zebra finches and, to a lesser  
906 extent, rats seem to rely on relative timing, as they are able to generalize isochrony detection  
907 from trained to novel tempi (Celma-Miralles & Toro, 2020; Rouse et al., 2021). Rhesus  
908 macaques, on the other hand, seem to detect isochrony by attending to the absolute duration of  
909 inter-onset intervals (Honing et al., 2018; Merchant & Honing, 2014).

910 Beat perception and, broadly, the production and detection of metric structure arguably  
911 relies on even more complex forms of relational processing that entail **hierarchical grouping**  
912 and **recursion** (Fitch, 2013; Iversen & Balasubramaniam, 2016). Such abilities enable  
913 individuals to extract the hierarchical structure of meter, which is based on recurring patterns of  
914 strongly and weakly accented beats, with strong beats being relatively louder or longer than  
915 weak beats. For example, when exposed to rhythmic sequences where strong or weak beats are  
916 occasionally omitted, human adults and neonates react stronger to downbeat alterations (Bower  
917 et al., 2014; Winkler et al., 2009). So far, beat perception has not been demonstrated in animals.



918 For example, rhesus macaques exhibit undifferentiated reactions to occasional omissions of  
919 strong and weak beats, regardless of their position (Honing et al., 2018). Rhesus monkeys can,  
920 however, detect rhythmic groupings, as they show a differential – and stronger – reaction to  
921 omissions at the onset of a rhythmic group (Selezneva et al., 2013).

922 Another cognitive mechanism suggested to enable meter perception is **perceptual**  
923 **grouping**, i.e., the propensity to spontaneously group stimuli into units based on, e.g., their  
924 proximity or similarity (Fitch, 2016; Patel, 2006). In humans, a common form of perceptual  
925 grouping in the auditory domain is the so-called ‘iambic-trochaic law’, whereby sound sequences  
926 with contrasting duration or intensity/pitch are spontaneously perceived as binary groups with  
927 two distinct patterns. When the contrast is durational, humans tend to perceive an iambic  
928 structure, i.e., a rhythmic pattern accented on the second sound. When the contrast regards pitch  
929 (high-low) or intensity (loud-soft), humans tend to perceive the reversed (i.e., trochaic) pattern,  
930 with emphasis on the first element (e.g., Hay & Diehl, 2007). These propensities appear to be  
931 innate in humans (Abboub et al., 2016), although the iambic bias is susceptible to influences  
932 from the linguistic background of the individual, while the trochaic bias is robust regardless of  
933 linguistic background (Iversen et al., 2008; Molnar et al., 2016).

934 Research shows that animals (e.g., budgerigars: Hoeschele & Fitch, 2016; rats: de la  
935 Mora et al., 2013; Toro & Hoeschele, 2017; Toro & Nespors, 2015; zebra finches: Spierings et al.  
936 2016) can (be trained to) discriminate both trochaic and iambic patterns, and generalize this  
937 learned discrimination to novel test stimuli. Similarly to humans, the trochaic bias appears to be  
938 more robust in other species, and the iambic bias more dependent on relevant acoustic  
939 experience (de la Mora et al., 2013; Spierings et al., 2017; Toro & Nespors, 2015). It has thus  
940 been proposed that the trochaic (but not the iambic) bias may be an evolutionarily ancient

941 principle for sound grouping, and that the two forms of sound grouping (trochaic, iambic) may  
942 depend on distinct mechanisms (Spierings et al., 2017; Toro, 2016). In addition, there seem to be  
943 cross-species differences with respect to pattern representations that are deployed in perceptual  
944 grouping tasks. As such, when test sequences are degraded by removing one or more salient  
945 acoustic features (e.g., pitch, duration), rats are no longer able to perform a trained  
946 discrimination (Toro & Hoeschele, 2017). In contrast, budgerigars continue to perform well  
947 when only one acoustic feature is removed (Hoeschele & Fitch, 2016). For comparison, humans  
948 are able to recognize iambic and trochaic patterns even with very degraded stimuli (Hoeschele &  
949 Fitch, 2016). These differences may reflect cross-species differences with respect to relational  
950 processing, in particular abilities related to degrees of abstraction ‘power’ and top-down  
951 processing, as argued in research on the recognition of severely degraded words. Interestingly, in  
952 such tasks, language-trained chimpanzees may attain human-level performance in certain  
953 conditions (Heimbauer et al., 2021), thereby suggesting a common foundation for pattern  
954 discrimination in humans and nonhuman apes.

955         In addition to the research reviewed in the present section (3.2), the evidence of  
956 entrainment reviewed in 3.1. implies that animals that are capable of audiomotor entrainment  
957 also perceive isochrony, since isochrony detection is assumed to be a prerequisite for  
958 synchronizing bodily responses to an auditory stimulus. Intriguingly, the only species that have  
959 so far shown spontaneous entrainment – parrots and chimpanzees – are also among the few  
960 species that display an ability to map higher-level abstract relations, thereby evidencing some  
961 **recursive** abilities. Indeed, evidence of second order relational processing in animals is currently  
962 limited to great apes (Hribar et al., 2011 and references therein), corvids (Smirnova et al., 2015)  
963 and parrots (Obozova et al., 2015). The great apes also exhibit some recursive abilities in the

964 theory of mind domain, as they show an awareness of how others monitor their own attentional  
965 states (Hall et al., 2016) and a sensitivity to others' false beliefs (e.g., Krupenye et al., 2016).  
966 Finally, chimpanzees are capable of cross-modal audio-visual structural mapping, being able to  
967 map an abstract relationship from the visual to the auditory domain (Ravignani & Sonnweber,  
968 2017), and can also represent distant dependencies between visual stimuli, e.g., by detecting the  
969 regularity of non-adjacent elements (Sonnweber et al., 2015). A sensitivity to distant  
970 dependencies has been also demonstrated in other primate species, including cotton-top tamarins,  
971 squirrel monkeys and common marmosets (Ravignani et al., 2013; Reber et al., 2019, and  
972 references therein). These findings suggest that sensitivity to distant dependencies, which has  
973 been argued to underlie the structuring of musical pitch and rhythm (e.g., Fitch, 2013; Patel,  
974 2003), is an ancestral trait that probably emerged in the common ancestor of anthropoid  
975 primates.

976         Summing up, there is widespread agreement that both low- and high-level cognitive  
977 abilities are implicated in the perception and production of musical rhythm (see Table 3 for an  
978 overview of these and key references). Extant evidence suggests that some of these abilities  
979 support auditory scene analysis, and are shared with many vertebrates. Other relevant cognitive  
980 abilities, such as second order relational processing, have been attested only in, e.g., great apes,  
981 corvids and parrots. Currently, it is unclear which cognitive mechanisms – if any – are  
982 specialized for musical rhythm production and perception, considering that all the mechanisms  
983 reviewed in this section serve general cognitive abilities that span several domains. Much work  
984 remains to be done in order to answer this question, including research aimed at clarifying the  
985 role of relational processing in enabling musical rhythm, as well as research mapping the  
986 presence of rhythmic abilities in nonhuman species (as detailed in section 4).

987

988 Insert Table 3 about here

989

990 **3.3. Socio-cognitive and motivational mechanisms**

991 According to the most recent versions of VLRS (reviewed in 3.1), VL abilities affect both the  
992 capacity and the *motivation* to engage in rhythmic synchronization (Takeya et al., 2017; Patel,  
993 2021). The ensuing prediction is that non-VL species can learn to entrain after extensive training,  
994 whereas high VL species will show an intrinsic motivation to engage in it. Neural specializations  
995 in the striatum are proposed as a hypothetical neural mechanism underlying this divide,  
996 considering that, in humans, this brain region is implicated in both beat perception and reward  
997 (Patel, 2021; see also section 3.4 for additional details). As such, the predilection to  
998 spontaneously engage in beat synchronization is rooted in the intrinsic rewards that high VL  
999 species experience when predicting the temporal structure of complex auditory stimuli (Patel,  
1000 2021). Consistent with this hypothesis (as reviewed in 3.1), flexible entrainment to musical  
1001 stimuli has been only demonstrated in high VL species – humans and parrots (Patel et al., 2009;  
1002 Schachner et al., 2009). However, spontaneous audiomotor entrainment, albeit in more  
1003 rudimentary forms, has been also demonstrated in chimpanzees (Hattori et al., 2013; Large &  
1004 Gray, 2015). Given the unclear VL status of chimpanzees, it is currently difficult to determine if  
1005 such spontaneous rhythmic synchronization is related to VL, to other socio-cognitive and  
1006 motivational traits that chimpanzees may share with humans (as reviewed further down, and also  
1007 summarized in Table 4), or to both.

1008 Another motivational mechanism potentially implicated in rhythmic synchronization is  
1009 **emotional contagion**, whereby emotional cues exhibited by an individual trigger similar

1010 behaviors and corresponding states in other individuals. For example, Fritz & Koelsch (2013)  
1011 argued that **acoustically mediated emotional contagion** is a homologous trait that, alongside  
1012 song and drumming, has been conducive to the emergence of musicality in the *Homo* lineage. By  
1013 enabling the synchronization of mood and motivations in large-sized groups of ancestral  
1014 hominins, acoustically mediated emotional contagion has purportedly played a role in facilitating  
1015 vital group functions (cooperation, communication, cohesion), thereby contributing to the  
1016 development and establishment of rituals. As such, **acoustically mediated emotional contagion**  
1017 is a mechanism consistent with **social functional theories** on the evolution of musical rhythm  
1018 and musicality (as reviewed in 2.3).

1019

1020 Insert Table 4 about here

1021

1022 As discussed by Fritz & Koelsch (2013), the chimpanzees exhibit two relevant types of  
1023 vocalizations that spread at group level through emotional contagion and display acoustic  
1024 features reminiscent of musical structure: the play pant and the pant hoot. The play-pant is  
1025 homologous to human laughter, and, like laughter, facilitates social interaction (Davila-Ross et  
1026 al., 2011; Matsusaka, 2004). While human laughter has contagious and prosocial effects at the  
1027 group level, great ape play-panting has, thus far, primarily been documented at a dyadic level.  
1028 The pant hoot has been documented in various contexts, both affiliative and agonistic (e.g.,  
1029 discovering food, connecting with distant group members, patrolling, displaying). Typically, this  
1030 vocalization spreads within the group, potentially amplifying arousal in a social – and indeed  
1031 interactive – fashion. As argued by Fritz & Koelsch (2013), the outputs of musicality (i.e., music  
1032 and dance) differ from such chimpanzee vocalizations in that they mediate a broader spectrum of

1033 emotional experiences (Juslin & Västfjäll, 2008; Koelsch, 2010; Koelsch et al., 2010), which are  
1034 transmitted to groups far larger than the typical gatherings of nonhuman apes (Dunbar, 2012;  
1035 Fritz & Koelsch, 2013).

1036         With respect to relevant structural features, both these vocalizations build on the  
1037 repetition of a motif, which hypothetically contributes to increasing their emotional  
1038 contagiousness (Fritz & Koelsch, 2013). This kind of structural organization has been  
1039 highlighted in ritualized communication (in humans and other animals, as reviewed in 2.1, 2.2  
1040 and 2.4), as well as in the strong formal structure of traditional music, which is driven by a few  
1041 simple rhythms (Savage et al., 2015).

1042         A similar mechanism has been proposed by Brown (2007) in his **contagious**  
1043 **heterophony** theory, whereby the unsynchronized group calls of social species are a common  
1044 precursor for musicality and speech. Unlike the **acoustically mediated emotional contagion**  
1045 theory, the **contagious heterophony** theory sees the evolution of human musicality as an  
1046 analogous rather than homologous phenomenon. According to the **contagious heterophony**  
1047 theory, a crucial point for the emergence of proto-musical rhythm was the transition from  
1048 reflexive vocal contagion (which is considered common in animals) to intentionally cooperative  
1049 and synchronized chorusing, which is postulated as uniquely human (Brown, 2007; Bryant,  
1050 2013). Evidence suggests, however, that some nonhuman species exhibit intentionality during  
1051 chorusing. For example, chimpanzees flexibly adjust the duration of pant hoot elements in a way  
1052 that favors chorusing (Fedurek et al. 2013; Mitani & Gros-Luis, 1998), and actively modify their  
1053 pant-hoots to create community-specific calls (Crockford et al., 2004). Similar tempo  
1054 adjustments have been documented in the coordinated calls that Indo-Pacific bottlenose dolphins  
1055 emit when herding or defending mates (Moore et al., 2020).

1056 Higher-level motivational mechanisms theorized to be implicated in the evolution of in  
1057 human entrainment are the **propensity for gathering to display** as a group (e.g., dance, sing:  
1058 Merker et al., 2015) and **shared intentionality** (Kirschner & Tomasello, 2009). Owing to  
1059 observations that chimpanzees in the wild exhibit excited communal displays, in which loud calls  
1060 co-occur with drumming and exaggerated bodily movements (Geissman, 2000), Merker and  
1061 colleagues (e.g., Merker et al., 2015) argued that the motivation to gather and display in large  
1062 groups likely constitutes a primitive trait of musicality, which was present in the last common  
1063 ancestor of humans and chimpanzees. In the human lineage, however, such displays have  
1064 arguably undergone a process of refinement and elaboration, whereby the pace of vocal and  
1065 bodily displays became increasingly regular, thereby enabling rhythmic synchronization.

1066 **Shared intentionality** captures the purportedly human-specific motivation to share – and  
1067 co-represent – mental states with others, which in turn enables individuals to jointly attend to and  
1068 coordinate actions towards common goals. This kind of motivation is theorized to have emerged  
1069 late in human evolution, as recently as 400 000 years ago, with the onset of obligate,  
1070 collaborative foraging, which required increased and frequent joint coordinated action (e.g.,  
1071 Tomasello et al., 2012). The contribution of shared intentionality to the emergence of human  
1072 musicality remains an understudied topic. Extant evidence – although very limited – suggests  
1073 that synchronization-induced prosociality (as reviewed in 2.3) is the result of a co-dependence  
1074 between shared intentionality and rhythmic synchronization, rather than being the effect of  
1075 synchronization alone. As such, there is evidence that synchronization-induced prosociality  
1076 selectively emerges in contexts that prompt the representation of shared goals, i.e., when  
1077 rhythmic synchronization is the result of a joint intention to synchronize, as opposed to when  
1078 synchronization is the by-product of individuals separately synchronizing with the same beat

1079 (Reddish et al., 2013). Conversely, across situations that impose shared goal representation,  
1080 prosocial effects *only* emerge in the presence of rhythmic synchronization. For example,  
1081 participants cooperate more when instructed to work together to synchronize with respect to  
1082 phase and tempo, as opposed to when instructed to work together to keep the same tempo, but by  
1083 delivering sequential, rather than simultaneous, contributions to rhythm keeping (Reddish et al.,  
1084 2013). Thus, it has been suggested that a **reinforcement of cooperation model** may explain the  
1085 persistence of protomusical behaviors across evolutionary time. As such, perceived synchrony  
1086 during the pursuit of shared goals would give an immediate feedback for achieving successful  
1087 cooperation, thereby reinforcing the group's cooperative tendencies.

1088         Developmental evidence suggests that **shared intentionality** facilitates the expression of  
1089 musicality – in particular rhythmic synchronization – in toddlers. Indeed, the age at which  
1090 children exhibit flexible and accurate entrainment is lowered from 4,5 to 2,5 years of age if the  
1091 entrainment task (e.g., drumming) is set in a social context (Kirschner & Tomasello, 2009). The  
1092 presence of a social partner supposedly prompts a shared representation of the task as a joint  
1093 action, thus engaging the motivation to co-represent the task and synchronize movements in  
1094 time. Fundamental components of shared intentionality, such as joint attention and engagement  
1095 in imitation games, emerge already at 6 months of age in humans (Sauciuc et al., 2020 and  
1096 references therein). Thus, it is also likely that shared intentionality mediates the prosocial effects  
1097 of rhythmic synchronization documented in 12- and 14-month old infants (as reviewed in 2.3),  
1098 since such effects are specific to social stimuli. A caveat, however, could be that in the studies  
1099 reviewed in 2.3 the infants' rhythmic movement was not intentionally produced by the infants.  
1100 Instead infants were rocked in a car seat or bounced by an adult. Nevertheless, this does not  
1101 preclude that the infants recognized the intention of the synchronizing interaction partner to



1102 match, and thus share, their state. If the evolution of human musicality can be explained by its  
1103 cooperative effects, the key mediating mechanism is likely shared intentionality.

1104 Shared intentionality has been theorized to be unique to humans, but recent evidence  
1105 shows that all great ape species exhibit behaviors indicative of it. For example, in the context of  
1106 social play, all great ape species engage in joint attention and use communicative gestures to re-  
1107 engage reluctant partners, which in turn suggests an understanding of collaborative roles and  
1108 shared motivations (chimpanzees, bonobos: MacLean & Hare, 2013; gorillas: Tanner & Byrne,  
1109 2010; orangutans: Gruber, 2013). Moreover, when being imitated, apes show signs of enjoyment  
1110 and playfulness, as expressed by imitation games, play face, and laughter (Persson et al., 2018).  
1111 Rudimentary shared intentionality, such as it is attested in human infants and nonhuman great  
1112 apes, may thus underlie spontaneous rhythmic engagement in these populations (for more details  
1113 see 4.1 and 4.3). It is also plausible that the maturation of shared intentionality enables more  
1114 precise forms of coordination in human ontogeny, including inter-personal synchronization in the  
1115 auditory and visual domain. This does not entail, however, that the maturation of shared  
1116 intentionality is solely responsible for the emergence of accurate and flexible entrainment in  
1117 children, nor that advanced shared intentionality is solely responsible for the emergence of  
1118 advanced rhythm cognition in human evolution. Evidence shows that rhythm cognition co-  
1119 develops in children with a whole suite of motoric and (socio) cognitive abilities that are  
1120 underpinned by several neural, neurochemical and genetic developmental transitions (e.g.,  
1121 Hannon et al., 2021).

1122

### 1123 **3.4. Neurohormonal mechanisms of musical behaviors**

1124 Research conducted within the last two decades suggests that neurotransmitters, such as

1125 dopamine, endorphin, oxytocin, are implicated in the production of musical behaviors, as well as  
1126 in mediating their positive effects. Several of these findings relate specifically to rhythm  
1127 perception or rhythmic synchronization, and may account for mechanisms which, evolutionarily,  
1128 promoted a predilection for rhythmic communication and facilitated the cross-generational  
1129 transmission – and thus preservation – of musical behaviors (see 2.3, 2.5 for functional theories  
1130 featuring such arguments). Indeed, all of these neurotransmitters are known to promote  
1131 psychological well-being through interactions with the hypothalamic-pituitary-adrenal (HPA)  
1132 axis (for reviews, see, e.g., Carson et al., 2013; Pilozzi et al., 2020; Stanwood, 2019). These  
1133 interactions are thought to mediate prosociality during communal performance, thus being  
1134 crucial for achieving adaptive benefits such as those reviewed in section 2.3, 2.4 and 2.5.

1135 **Endorphins** are endogenous opioid neuropeptides produced in the pituitary gland, which  
1136 are released in response to pain, and have an analgesic effect. Endorphin release has been linked  
1137 to euphoric effects induced by physical exertion and rewarding activities such as sex, laughter,  
1138 and eating (Chaudhry & Bhimji, 2018). The role of the opioid system in the evolution of  
1139 musicality – in particular the role of *endorphins* – has been emphasized by proponents of the  
1140 **social bonding** theory, based on evidence that rhythmically synchronized behavior seemingly  
1141 implicates this neurochemical mechanism (Cohen et al., 2009; Dunbar et al., 2012; Tarr et al.,  
1142 2015; Tarr et al., 2016). As such, active musical behaviors (e.g., communal singing, chanting,  
1143 dancing and drumming) trigger endorphin release (Dunbar et al., 2012; Tarr et al., 2015; Tarr et  
1144 al., 2016), while passive listening to music does not (e.g., Dunbar et al., 2012). Rhythmic  
1145 interpersonal synchronization outside of a musical context appears to have comparable effects,  
1146 as, e.g., synchronized rowing produces higher levels of endorphins than solo rowing (Cohen et  
1147 al., 2009).

1148           The anxiolytic function of rhythm has also been invoked by evolutionary theories that  
1149 stress the **therapeutic functions of musicality** (reviewed in 2.5), linking proto-musical motor  
1150 behaviors to animal stereotypic movement (Dissanayake, 2009a; Lameira et al., 2019), which is  
1151 known to result in reduced stress (Chamove, 1989). Brain imaging evidence suggests that music  
1152 exerts a strong influence on several amygdala clusters that contribute to emotion regulation  
1153 through the release of endorphins (Koelsch, 2014). It is, thus, plausible that the endorphin system  
1154 is implicated in the evolution of proto-musical rhythmic displays in the primate lineage. Indeed,  
1155 in the primate lineage the endorphin system is involved in regulating social bonding beyond kin  
1156 and reproductive relationships (Chang et al., 2013; Launay et al., 2016), and spontaneous  
1157 rhythmic synchronization with a potential anxiolytic function has been documented in  
1158 chimpanzees (Lameira et al., 2019). However, it remains to be established if the same  
1159 neurochemical mechanism mediates the anxiolytic effects of musical and stereotypic behaviors.

1160           It has long been theorized that **dopamine** is involved in mediating the processing and  
1161 production of musical rhythm, and the feeling of pleasure elicited by engaging with music  
1162 (Ferreri et al., 2019; Salimpoor et al., 2015). Dopamine is generally known as the main  
1163 neurotransmitter involved in reward and motivation processing, although distinct dopaminergic  
1164 pathways are also implicated in learning, executive function, motor function and neuroendocrine  
1165 control (Alcaro et al., 2007). Several cognitive computations that are dopamine-dependent have  
1166 been proposed to account for the role of dopamine in musical behaviors, such as expectations  
1167 regarding rhythmic structure and the violation of these expectations (Salimpoor et al., 2015;  
1168 Vuust & Kringelbach, 2010; Zatorre & Salimpoor, 2013), associative or episodic memory  
1169 (Janata, 2009; Panksepp & Bernatzky, 2002), and temporal processing in the millisecond range  
1170 (e.g., Merchant et al., 2013). Starting with the seminal study of Blood & Zatorre (2001), brain

1171 imaging research has repeatedly established increased activity in dopamine-rich areas, such as  
1172 the striatum, when listening to pleasurable music (for reviews see Koelsch, 2014; Zatorre, 2015).  
1173 Direct evidence for the role of dopamine in rhythmic motor control and synchronization has only  
1174 recently begun to emerge (Brodal et al., 2017; Koshimori et al., 2019; Miller et al., 2013).

1175         Freeman (2000) suggested **oxytocin** as an underlying mechanism of the social bonding  
1176 function of musicality, while Feldman (2007) suggested that interactional synchronization  
1177 triggers the release of oxytocin. Oxytocin is an evolutionarily conserved neuropeptide that, in  
1178 mammals, is implicated in sexual and parental behaviors through effects exerted on subcortical  
1179 structures in the forebrain and amygdala (for a review see, e.g., Rogers et al., 2018). In humans  
1180 and other primates, oxytocin and vasopressin have also been linked to social cognition and  
1181 affiliation beyond sexual- or kin relationships (Crockford et al., 2013; Festante et al., 2021;  
1182 Samuni et al., 2018; Webb et al., 2019), owing to effects exerted on cortical regions relevant to  
1183 social reward, emotional recognition and empathy.

1184         Recent empirical research on the involvement of oxytocin and vasopressin in musical  
1185 behaviors appears to confirm the early suggestions of Freeman (2000) and Feldman (2007), as  
1186 intranasally administered oxytocin was shown to increase interpersonal rhythmic  
1187 synchronization (Gebauer et al., 2014). Conversely, singing in group (Grape et al., 2002) and  
1188 passive music listening (Nilsson, 2009) may lead to an increase in peripheral oxytocin, especially  
1189 for slow-paced rhythms (Ooishi et al., 2017). Moreover, therapeutic interventions consisting of  
1190 group drumming sessions were found to result in increased oxytocin levels and well-being in  
1191 maltreated children (aged 8-12 years, Yuhi et al., 2017). Other studies, however, have reported a  
1192 decrease in peripheral oxytocin after solo and/ or group singing (Bowling et al., 2022 and  
1193 references therein). Such discrepant findings are most likely explained by methodological

1194 differences related to procedural details and sample characteristics. As discussed by Bowling et  
1195 al. (2022), contextual factors, such as stress levels, the relationships between participants and the  
1196 level of intimacy implicated by the procedure may interact with – and affect – the dynamics of  
1197 oxytocin expression. The current literature further suggests that rhythmic tempo (Ooishi et al.,  
1198 2017) and rhythmic movement (Gebauer et al., 2014; Yuhi et al., 2017) are relevant variables  
1199 that should be examined by future research.

1200

1201 Insert Table 5 about here

1202

1203 As reviewed above, recent evidence suggests that several neurotransmitters are  
1204 implicated in the production and/or perception of musical rhythm (see Table 5 for an overview  
1205 and key references). Since these neurotransmitters are ancestral mechanisms for promoting well-  
1206 being, the evidence reviewed in this section is consistent with the therapeutic function of musical  
1207 rhythm (and musicality), as outlined in 2.5. Moreover, it is well-established that these  
1208 neurotransmitters are implicated in regulating a wide range of socio-behavioral traits in humans,  
1209 as well as social bonding in primates. Thus, the evidence reviewed here is also consistent with  
1210 the **social bonding** theory on the evolution of musical rhythm (as reviewed in 2.3). Indeed, it has  
1211 been argued that human musical behaviors are a recently evolved form of social bonding that has  
1212 appropriated the neurochemical mechanisms of ancestral bonding behaviors existent in primates  
1213 (e.g., Dunbar et al, 2012). Additional studies are, however, required to pinpoint the specific role  
1214 that each of these neurotransmitters plays in the production and appreciation of musical rhythm.  
1215 Indeed, in the formation and expression of socio-affiliative behaviors, oxytocin and vasopressin  
1216 interact with dopamine, which is believed to exert a reinforcing influence on behaviors

1217 conducive to, e.g., affiliation (Baskerville & Douglas, 2010; Carson et al. 2013; Riedl & Javor,  
1218 2012). Similar interactions are likely to characterize the production and appreciation of musical  
1219 rhythm, considering the bidirectional relationships that exist between rhythmic synchronization  
1220 and social bonding (as reviewed in 2.3). Moreover, given the co-localization of the dopaminergic  
1221 and opioid systems, it is likely that the hedonic effects of music-related behaviors are due to an  
1222 interaction between the two systems, and, possibly, also with the oxytocinergic system.

1223         Before concluding this section, we will mention relevant findings from research on the  
1224 neurochemical mechanisms of birdsong, which is so often invoked in discussions on the  
1225 evolutionary foundation of human musicality. This research reveals that birdsong is highly  
1226 dependent on steroid sex hormone release and hormone-neurotransmitter interactions, both  
1227 during ontogenetic development and throughout the reproductive cycle. Songbirds appear to be,  
1228 in fact, unique among vertebrates, in that they display considerable amounts of sex steroid  
1229 receptors in non-limbic regions of the forebrain (Alward et al., 2017; Schlinger & Brenowitz,  
1230 2002). Such receptors abound in neural assemblies that are implicated in song production, thus  
1231 suggesting that sex steroids are directly involved in controlling birdsong. This high dependency  
1232 on the release and fluctuations of sex steroids is not seen in other bird species, not even in other  
1233 vocal learning birds, such as parrots (Schlinger & Brenowitz, 2002). The prominence of sex  
1234 hormones in birdsong control might explain the functional inflexibility of birdsong (as compared  
1235 to human music), with birdsong being typically linked to either mating or territorial defense.

1236         The role of sex steroid hormones in the evolution of human musicality – and musical  
1237 rhythm, in particular – has been minimally investigated, with preliminary results being  
1238 inconclusive. On the one hand, a recent exploratory analysis found no relationship between  
1239 singing and testosterone levels in males (Bowling et al., 2022). On the other hand, a single-

1240 subject study found evidence that sexual arousal in males improves rhythmic synchronization,  
1241 thus suggesting that rhythmic synchronization may be mediated by sex hormones (Miani, 2016).  
1242 Sexual arousal, however, is also mediated by vasopressin – a neuropeptide closely related to  
1243 oxytocin. Just like oxytocin, vasopressin is implicated in regulating social behavior and binds to  
1244 receptors in the basal ganglia (reviewed by Baribeau & Agnastou, 2015) – a neural structure  
1245 whose involvement in rhythmic processing is well-established (Grahn & Brett, 2007; Nozaradan  
1246 et al., 2017). Evidence shows that sex steroid hormones affect the expression of oxytocin and  
1247 vasopressin in animal models, although in humans the relationships between sex steroid  
1248 hormones and neuropeptides is not entirely clear, and, in certain studies even fails to materialize  
1249 (for a review, see Baribeau & Agnastou, 2015). Altogether, these findings suggest that sex  
1250 steroid hormones may exert an indirect influence on human rhythmic synchronization (and other  
1251 musical behaviors), given the implication of oxytocin in such behaviors and the potential  
1252 influence of sex steroid hormones on oxytocin. As such, future research should more directly  
1253 target the involvement of sex steroid hormones in rhythmic behaviors and synchronization in  
1254 humans. Similar research with animals will help address if this potential neurochemical  
1255 mechanism of rhythmic behavior is a trait shared with other species, thereby informing theories  
1256 on the evolutionary foundations of human rhythm cognition and of musicality, in general.

1257

### 1258 **3.5. The genetic basis of musical rhythm**

1259 The heritability of rhythmic abilities has been assessed in twin studies and with molecular  
1260 genetic methods. The twin studies have yielded somewhat contrasting results. Ullén et al. (2014)  
1261 found evidence of moderate (i.e., 50%) heritability for rhythm perception in a task that required  
1262 participants to make similarity judgements for rhythmic strings. In contrast to these findings,

1263 Seesjärvi et al. (2016) found that rhythm perception, as measured by the ability to detect off-beat  
1264 sounds, was best predicted by non-shared environment effects, as opposed to genetic or shared  
1265 environment effects. These inconsistent findings may reflect distinct task requirements for  
1266 measuring rhythm perception ability. As such, similarity judgments are likely to rely more on  
1267 innate abilities for **auditory scene analysis** and even on mechanisms that do not necessarily  
1268 require **beat-based timing** (e.g., ten Cate & Spierings, 2019). In contrast, off-beat detection is  
1269 more directly linked to beat-based timing, although it is also likely to capitalize on experience  
1270 and acquired knowledge. Additional studies probing the heritability of the mechanisms that  
1271 underpin the emotional processing of musical rhythm, in particular dopaminergic striatal  
1272 functions and music-induced rewardness, indicate that these do not appear to be affected by  
1273 genetic or shared environmental effects (Menne-Lothmann et al., 2012; Stokes et al., 2013).

1274         Recent genome-wide association studies corroborate the findings above. As such, the  
1275 heritability estimates for the ability to synchronize to a musical rhythm range between 13-16%  
1276 (Niarchou et al., 2021). The heritability estimate for rhythmic discrimination skills is reported to  
1277 be 21%, both by an initial small-sample study, and a subsequent large-scale replication  
1278 (Oikkonen et al., 2015; Pulli et al., 2008).

1279         Genomic analyses in humans reveal a similar pattern of findings, whereby genes  
1280 implicated in the development of auditory pathways, sound production, as well as non-  
1281 specialized cognitive processes (such as learning, memory and attention) are important for  
1282 musical skill (Mosing et al., 2014; Oikkonen et al., 2015). Such molecular mechanisms that are  
1283 implicated in musical skill, but are not necessarily specific to musicality, appear to be ancient  
1284 and well-conserved in vertebrates (Oikkonen et al., 2016).

1285         Genetic correlation analyses further indicate that the gene coding for the 1a receptor of



1286 the neuro-hormone arginine-vasopressin (which is widely implicated in regulating social  
1287 behavior and socio-behavioral traits), is associated with traits related to musical rhythm, such as  
1288 creative dance (Bachner et al., 2005), rhythm perception and rhythm memory (Granot et al.,  
1289 2007; Ukkola et al., 2009). A study, which more directly targeted the ability to synchronize with  
1290 musical rhythm, found that rhythmic synchronization is a highly polygenic trait that shares  
1291 molecular architecture with traits relevant to emotion, cognition, neurodevelopment and health  
1292 (Niarchou et al., 2021). As such, better rhythmic synchronization correlates with better lung  
1293 function, faster processing speed in matching tasks, stronger hand grip, and the evening  
1294 chronotype (i.e., people who prefer activity late in the day). Moreover, genomic loci related to  
1295 neural structures that are crucially implicated in rhythm cognition (cerebellum, basal ganglia and  
1296 cortex) contribute to the heritability of rhythmic synchronization.

1297         Recently, the genetic signature of human rhythmic synchronization (as outlined in  
1298 Niarchou et al., 2021) has been compared to several zebra finch gene sets that reflect genes  
1299 expressed in brain structures known to be implicated in birdsong (Gordon et al., 2021). This  
1300 comparison revealed that the genetic architecture of human rhythmic synchronization is, to some  
1301 extent, shared with that of birdsong, as the former was significantly enriched for the avian gene  
1302 sets expressed in Area X – the avian analogue of mammalian basal ganglia. This shared genetic  
1303 substrate between human rhythmic synchronization and learned vocalizations in zebra finch  
1304 provides some support to VLRS (reviewed in 3.1). A first caveat, however, to this interpretation,  
1305 as this shared genetic architecture may represent a homologous – rather than a convergently  
1306 evolved – trait (Gordon et al., 2021), especially considering that the mammalian basal ganglia  
1307 are broadly associated with dopaminergic control, action selection and timing, motor learning, as  
1308 well as value-based decision making (for a review, see, e.g., Grillner & Robertson, 2016). To

1309 address this caveat, future research should, for example, include vocal non-learning species (both  
1310 avian and mammalian) in such comparisons. A second caveat is that the study did not find  
1311 significant enrichment for the gene sets expressed in three other brain structures – the HVC  
1312 (proper name), the lateral magnocellular nucleus of the anterior nidopallidum (LMAN) and the  
1313 robust nucleus of the arcopallium (RA) – that are crucially involved in birdsong (Nieder &  
1314 Mooney, 2019). The HVC-RA circuitry, in particular, is commonly referred to as the forebrain  
1315 song control circuitry and is regarded as analogous to the human laryngeal motor cortex (LMC).  
1316 The HVC-RA and the LMC circuitry share a feature considered to be a recent neural adaptation  
1317 for advanced VL, i.e. they exhibit *direct* connections to vocal motor neurons (Nieder & Mooney,  
1318 2019; Patel, 2021; Vernes et al., 2021).

1319         Currently, very little is known about the genetic underpinnings of traits related to musical  
1320 rhythmicity, with the handful of studies conducted so far targeting primarily rhythm  
1321 discrimination (see Table 6 for an overview of current findings and key references). Future  
1322 studies will thus need to investigate the heritability of additional rhythmic abilities, and uncover  
1323 their molecular underpinnings. Since a small inventory of rhythmic features are universally  
1324 present in musical traditions across the world, and since these seem connected to specific  
1325 perceptual and production skills, it is highly plausible that genetic factors play an important role  
1326 in the variability of their expression. Genetic studies targeting each of these skills – as well as  
1327 their interplay – will provide valuable data for research on the origins and evolution of human  
1328 rhythmicity and musicality.

1329  
1330 Insert Table 6 about here

1331

#### 1332 4. A theoretical and empirical agenda for research on animal rhythmicity

1333 As outlined above, the research on the evolutionary origins of musicality – and rhythm  
1334 cognition, in particular – is currently characterized by an effervescence of theories and a mosaic  
1335 of fragmentary evidence. Given this motley empirical background, researchers have launched  
1336 repeated calls for systematic data collection, in which various animal taxa are emphasized as  
1337 highly relevant for an evolutionary inquiry into the origins of musical rhythm and associated  
1338 behaviors. Recurrent taxa in these calls are seals, whales, parrots and songbirds (Fitch, 2006;  
1339 Kello et al., 2017; Kotz et al., 2018; ten Cate et al., 2016), thus implicitly suggesting underlying  
1340 assumptions about convergent evolution. In other words, these calls imply that the rhythmic  
1341 abilities involved in musicality may have repeatedly evolved in various species due to socio-  
1342 environmental pressures similar to those that influenced their evolution in humans. Proposals  
1343 favoring a homology-based perspective – i.e., that emphasize the phylogenetic continuity of  
1344 musicality-relevant traits in the primate lineage – are less common. Nevertheless, given the  
1345 current componential approach to the evolution of rhythmic abilities, homology and convergence  
1346 need not be mutually exclusive. For example, the phenomenon of tempo adaptation during  
1347 communal calling in a cooperative context has been described in both chimpanzee (Crockford et  
1348 al., 2004; Fedurek et al., 2013; Mitani & Gros-Luis, 1998) and dolphins (Moore et al., 2020).  
1349 This could suggest that, in humans, tempo adaptation during communal sound-making is a  
1350 phylogenetic trait ostensibly present in the last common ancestor of humans and chimpanzees. At  
1351 the same time, the trait is also shared with dolphins, a species in which it presumably evolved by  
1352 analogy, given similar evolutionary constraints related to cooperation. Considering the current  
1353 empirical status of the field, it is, however, premature to jump to any conclusions. In the next  
1354 section, we will outline several research topics that need to be consolidated empirically – and in

1355 a systematic manner – if we are to make any major progress in disentangling the evolutionary  
1356 foundations of human musicality.

#### 1357 **4.1. Musical rhythm is not a monolithic ability – moving beyond audiomotor entrainment**

1358 The empirical evidence reviewed in sections 2 and 3 suggests that musical rhythm is not  
1359 a monolithic property of music, and that synchronizing to a musical rhythm is not a monolithic  
1360 ability. Instead, humans exhibit a suite of rhythmic abilities, which fall along a continuum of  
1361 perceptual and motor sophistication. This point is well-illustrated by the protracted development  
1362 of rhythmic abilities in human ontogeny, with perceptual rhythmic abilities generally preceding  
1363 rhythmic production. With respect to perceptual abilities, experimental evidence suggests that  
1364 neonates exhibit rudimentary beat perception (Winkler et al., 2009). Another milestone occurs at  
1365 3-5 months of age, when infants can learn to discriminate between audio-visual rhythmic  
1366 patterns (Bahrick & Lickliter, 2000; Bahrick et al., 2002). Around 7 months of age, infants begin  
1367 to categorize rhythms based on their underlying beat (Hannon & Johnson, 2005), and at 8  
1368 months of age they begin to detect metric asynchrony in complex audio-visual stimuli, an ability  
1369 which is significantly consolidated at 12 months (Hannon et al., 2017).

1370 With respect to production abilities, from 3 months of age, infants begin to sparingly  
1371 show rhythmic engagement, i.e., they display rhythmic movement when exposed to musical  
1372 stimuli, and adapt bodily responses to the faster or slower tempo of the stimulus (e.g., Fuji et al.,  
1373 2014). Accurate audiomotor entrainment is only attained at 4 years of age, albeit in a  
1374 rudimentary form, whereby entrainment is generally restricted to stimuli that match the  
1375 children's spontaneous tempo. As reviewed in 3.3, the age when this ability is expressed can be  
1376 lowered to 2,5-years if the exogenous stimulus is embodied by a social partner (Kirschner &

1377 Tomasello, 2009). Adult-like proficiency with respect to timing accuracy and tempo flexibility is  
1378 only attained at 8-10 years (as reviewed by Hannon et al., 2017; Hannon et al., 2021), an age  
1379 when children have also formed stable representations of the metrical structures present in their  
1380 culture (e.g., Hannon et al., 2012). The development of advanced abilities related to rhythm  
1381 perception and production coincides with important milestones in the physical, hormonal,  
1382 cognitive and socio-cognitive maturation of children during middle childhood (as reviewed by  
1383 Hannon et al., 2021). In turn, this points to biomechanical, neural, neurochemical, genetic,  
1384 (socio) cognitive and cultural mechanisms that need to be taken into account by evolutionary  
1385 theories of musical rhythm.

1386         In the light of this evidence, we argue that comparative and evolutionary research will  
1387 benefit from considering the full set of quasi-universal features of musical rhythm (as outlined in  
1388 3.2.), as well as the full spectrum of rhythmic abilities as they unfold in human ontogeny. Taking  
1389 into account developmental precursors to mature adult musical behaviors may provide unique  
1390 insight into the functions served by particular musical features and behaviors, and may also  
1391 explain certain aspects of cross-cultural variability (Hannon et al., 2021). As reviewed in 3.1,  
1392 animal studies initially focused on entrainment, using methods that required animal subjects to  
1393 produce a motor response (tapping, head bobbing, etc.), and synchronize it with an exogenous  
1394 stimulus. Developmental data indicate that, in our species, accurate audiomotor entrainment is  
1395 only achieved after years of practice and exposure to (auditory) rhythms. Thus, when probing  
1396 animal rhythm cognition, a heavy focus on motor responses that need to be acquired relatively  
1397 quickly (i.e., within the timespan of an experiment), most likely underestimates the rhythmic  
1398 abilities of those species, in particular those related to rhythm perception (as suggested by the  
1399 recent studies on isochrony detection reviewed in 3.2).

1400           Observational studies, playback experiments and detailed analyses will be needed for  
1401 gaining insights into the kind of rhythmic patterns and structures that different species produce  
1402 and are likely to discriminate. The extensive body of research on insect rhythmic chorusing (as  
1403 reviewed in 2.1) provides an excellent model, both with respect to methodological practices and  
1404 the level of theoretical refinement that can be achieved based on data generated with such  
1405 methods. So far, in vertebrates, the emphasis has been on the need for detailed analyses of multi-  
1406 syllable, complex calls, that potentially exhibit a hierarchical structure, and that have been  
1407 likened to music in the past, e.g., bird, whale or gibbon song. We maintain that the focus of such  
1408 detailed analyses needs to be broadened to encompass vocalizations that we do not perceive as  
1409 melodic, but which nevertheless exhibit a rhythmic structure, as well as non-vocal rhythmic  
1410 behaviors. Such studies are fundamental for mapping the perception and production capabilities  
1411 of animals with respect to rhythmic structures of variable complexity, and for assessing if  
1412 structural features of the temporal organization of animal signals bear similarities to musical  
1413 rhythm. *Rhythm production capabilities* that are regularly involved in species-specific  
1414 communication can be directly extracted from observational data with the help of appropriate  
1415 analytic tools. *Perception capabilities* can sometimes be inferred from observational data. For  
1416 example, when the data contains sufficient signal variability with respect to given features (e.g.,  
1417 tempo, isochrony, timbre) and information concerning conspecific responses, it should be  
1418 possible to determine which rhythmic features – if any – are likely to be salient to individuals of  
1419 a given species, as they should induce a measurable response. Playback experiments that  
1420 systematically manipulate specific features of species-specific signals would be most useful in  
1421 this respect.

1422           Acoustic analyses of rhythmic features have recently been conducted on the calls of

1423 several marine mammal species (humpback whales: Schneider & Mercado, 2019; killer whales:  
1424 Kello et al., 2017; Northern elephant seals: Mathevon et al., 2017; harbor seal: Ravignani et al.,  
1425 2019b), birds (e.g., Kello et al., 2017; Roeske et al., 2020) and primates (e.g., de Gregorio et al.,  
1426 2021; Gamba et al., 2019; Schruth et al., 2019; Terleph et al., 2017). Comparative studies that  
1427 include multiple species are also under way. For example, a comparison of the complex  
1428 vocalizations of whale (humpback whale, killer whale) and bird (nightingale, hermit thrush)  
1429 species with human speech and various music genres have revealed important differences (Kello  
1430 et al., 2017). As such, hierarchical grouping and recursion were found to be specific to human  
1431 music, in which a small inventory of notes is typically combined into repetitive structures with a  
1432 nested clustering of subunits. Bird and humpback whale song, on the other hand, relied on  
1433 adjacency relationships, whereby only adjacent phrases shared sound units, but distant ones did  
1434 not. Killer whale vocalizations had features more similar to the structure of human conversation,  
1435 in that they exhibited features of turn-taking coordination of offsets, which is comparable to  
1436 findings from a study on duet coordination in gibbon song (Terleph et al., 2017).

1437         Data from two recent studies have uncovered that the song of thrush nightingales  
1438 (*Luscinia luscinia*) and indri lemurs (*Indri indri*) exhibits a number of similarities to human  
1439 musical rhythm at the lowest level of rhythmic organization, i.e., when comparing two  
1440 successive inter-onset intervals in a sound sequence (de Gregorio et al., 2021; Roeske et al.,  
1441 2020). As such, both indri and thrush song exhibited isochronous rhythms that favored small  
1442 integer ratios, although the tendency for 1:2 ratio was significant only in the indris. Thrush song  
1443 was also compared to human music, revealing that both exhibited comparable amounts of  
1444 isochrony (Roeske et al., 2020). High-ratio rhythms, on the other hand, were very frequent in  
1445 thrush song, as opposed to human music where these typically function only as ornaments.

1446 Finally, in both thrushes and human music the number of rhythm categories decreased with  
1447 faster tempo, to ultimately transition into stereotyped isochrony. However, the threshold of this  
1448 transition was much lower in thrush song (135 ms) compared to human music (265-410 ms), thus  
1449 suggesting that only thrushes produced variable rhythms at tempi that equated the limit imposed  
1450 by species-specific biomechanical constraints. In contrast, in human music, isochrony was  
1451 present at tempi that were much slower than such constraints.

1452 Worth of mention are also the findings of a comparative study that assessed the musical  
1453 quality of the calls in 45 primate species (Schruth et al., 2019). Although rhythm was one of six  
1454 musical variables scored in this work, this variable was subsequently incorporated into a  
1455 composite index of “acoustic reappearance diversity”, which the authors used as a proxy for  
1456 musical quality. This index was intended to capture the balance of redundancy (internal  
1457 repetition) and diversity considered to be characteristic of human music (Brown & Jordania,  
1458 2013; Nettle 2015), by quantifying the number of distinct syllables in a call, as well as their  
1459 reappearance. When pitted against ecological and social variables, this composite index was  
1460 found to be higher for species with an arboreal lifestyle, as well as for species that live in  
1461 monogamy or small groups (2-6 individuals). These results are intriguing, as they run against  
1462 several of the theories presented in section 2 and 3. Most notably, the **social bonding** theory  
1463 (section 2.3) attributes the emergence of musicality to selective pressures imposed by increased  
1464 group size (50+) in the human lineage. Other theoretical proposals that invoke increased group  
1465 size as the selection pressure implicated in the emergence of human musicality are the **coalition**  
1466 **signaling** theory (section 2.3), the **credible signaling of parental attention** theory (section 2.4),  
1467 and certain **therapeutic function** theories, such as the hypothesis that rhythmic synchronization  
1468 may have emerged as a **coping co-stereotypy** (section 2.5). Mechanistic theories that relate the



1469 emergence of musical rhythm to bipedalism, such as the **incidental sounds of locomotion** theory  
1470 (section 3.1) and the **coping co-stereotypy** hypothesis (section 2.5) are also contradicted by the  
1471 findings of Schruth et al. (2019), since the emergence of bipedalism is related to terrestrial life  
1472 (section 3.1). All these theories need, however, not be dismissed given their more specific focus  
1473 on rhythmic cognition as opposed to musicality in general. In contrast, Schruth et al. (2019) used  
1474 a composite index of musicality, and thus no separate correlation analyses were conducted  
1475 between socio-ecological variables and distinct components of musicality. Since this composite  
1476 index stresses complexity as a fundamental feature of human music, it would also fail to capture  
1477 several forms of human music in which simplicity predominates. Moreover, there are reasons to  
1478 assume that acoustic structural complexity was not one of the foundational features in the  
1479 emergence of human musicality, but likely it was a culturally evolved one (Nettl, 2000). Thus,  
1480 theories that run against the findings of Schruth et al. (2019) may still offer good accounts of  
1481 putative selection pressures involved in the emergence of human rhythmic cognition. Additional  
1482 research along the lines suggested throughout this section will be instrumental in clarifying such  
1483 issues.

1484         The mere presence of certain rhythmic features in the vocalizations (and movements) of  
1485 other species does not necessarily imply that individuals of these species possess rhythmic  
1486 abilities that are relevant to the evolution of musicality. In other words, rhythmic structure in  
1487 animal signals does not entail that conspecifics *perceive* rhythmic structures in these behaviors in  
1488 the same way as humans can, nor that they will be able to detect rhythmic structure in arbitrary  
1489 stimuli. Nevertheless, sufficient and systematic data on consistent variations as a function of  
1490 relevant variables (e.g., contexts, social consequences, sex, potential physiological correlates)  
1491 can provide valuable insights into the evolution of rhythmic abilities. Data on such variables can,

1492 in turn, inform playback experiments that will allow us to determine if animals attend to the  
1493 temporal structure of rhythmic behaviors, and whether their perception of rhythmic signals relies  
1494 on interval or relative timing. For example, available playback studies indicate that both song-  
1495 and non-songbird species may be sensitive to the temporal structure of vocalizations, but also  
1496 that some songbird species are indifferent to it (Fishbein et al., 2019; Slabbekoorn & ten Cate,  
1497 1999 and references therein).

1498         A recent playback study with Northern elephant seals (*Mirounga angustirostris*)  
1499 demonstrates the importance of studying non-melodic calls (Mathevon et al., 2017). Throughout  
1500 the breeding season, after the male hierarchy is established through fighting, mature males of this  
1501 species emit threat calls that consist of a rhythmic series of pulses with individual-specific tempo  
1502 and timbre signatures. By playing back calls recorded from dominant males, as well as altered  
1503 versions of these calls, in which tempo, timbre and rhythmic structure were modified, Mathevon  
1504 et al. (2017) showed that Northern elephant seals can discriminate a variety of tempi and  
1505 rhythmic patterning. Indeed, the navigational decisions of *beta* males were influenced by  
1506 stimulus manipulations, in that they would inhibit approach when call features were within the  
1507 range of more dominant individuals. This finding indicates that Northern elephant seal males  
1508 memorize and recognize the individual rhythmic signatures of their rivals, and use this  
1509 information for navigating social life in the colony.

1510         Finally, the usefulness of investigating non-vocal rhythmic behaviors beyond entrainment  
1511 is illustrated by two recent primate studies: an observational study of cao vit gibbons (*Nomascus*  
1512 *nasutus*, Fan et al., 2016) and an experimental study with chimpanzees (Hattori & Tomonaga,  
1513 2019). Female cao vit gibbons were observed making sequential movements of single body parts  
1514 that have been likened to human so-called ‘robot dance’ and described as rhythmic. Directed

1515 towards males, these displays seemingly function as a form of sexual solicitation. The  
1516 chimpanzee study, on the other hand, brings evidence of rhythmic engagement with an  
1517 exogenous auditory rhythm, which was documented in both males and females. Moreover, in a  
1518 follow-up single-subject experiment, the involved chimpanzee flexibly adapted the tempo of his  
1519 movement to the tempo of musical stimuli (Hattori & Tomonaga, 2019). Intriguingly, however,  
1520 the chimpanzee also exhibited rhythmic engagement when exposed to random – as opposed to  
1521 periodic – rhythm. This may suggest important differences between chimpanzees and human  
1522 infants with respect to rhythmic engagement, with the caveat that tests of rhythmic engagement  
1523 in human infants have not included random musical stimuli as a control condition, although they  
1524 contrasted infants' responses to musical rhythm with response to, e.g., infant-directed speech,  
1525 which is characterized by an irregular rhythm (Fuji et al., 2017 and references therein). The two  
1526 primate studies mentioned here provide examples of spontaneous bodily displays with rhythmic  
1527 structure, as opposed to the trained bodily movements featured by the experimental studies on  
1528 entrainment reviewed in section 3.1. Non-vocal rhythmic displays have been also documented in  
1529 palm cockatoos (*Probosciger aterrimus*), in the form of courtship drumming displays that are  
1530 directed by males towards females (Heinsohn et al., 2017).

1531

1532 Insert Figure 1 about here

1533

1534 In conclusion, after mostly focusing on audiomotor entrainment, comparative research is  
1535 now beginning to direct attention to other rhythmic abilities. Recent studies have, for example,  
1536 targeted isochrony detection and beat perception, have attempted to map and compare the  
1537 rhythmic structure of animal calls to that of musical rhythms, and have begun to examine non-

1538 vocal rhythmic behaviors. We argue that this research can further be expanded by systematically  
1539 targeting rhythmic abilities that, in human ontogeny precede the emergence of audiomotor  
1540 entrainment (as reviewed above), and structural features of musical rhythm that are cross-  
1541 culturally universal (see Figure 1 for a synopsis of future research directions outlined in this  
1542 section). Systematic research targeting such perception and production abilities separately will  
1543 put us on a better track for determining ancestral traits that are shared with other species, as well  
1544 as potential innovations that have emerged in the *Homo* lineage.

#### 1545 **4.2. Rhythmicity is not modality specific – moving beyond the auditory domain**

1546 Rhythmic synchronization is epitomized by the ability to align behaviors with a musical  
1547 beat. In humans, however, this ability extends beyond the realm of music and dance, and beyond  
1548 a sensitivity to rhythmic stimuli in the auditory modality. It enables a great variety of activities  
1549 that rely on precisely timed teamwork through jointly synchronizing to a rhythm, from rowing in  
1550 a team to military marches. It is highly likely that, throughout human history, rhythmic  
1551 synchronization has been frequent in coordinating work during routine chores, such as grain  
1552 pounding, rope pulling, tree chopping, group paddling, etc. (e.g., Dissanayake, 2009a).

1553 Recent experimental evidence suggests that, rather than being modality specific, human  
1554 rhythmic abilities are susceptible to multi-modal stimulation (as reviewed by Iversen &  
1555 Balasabramaniam, 2016). Initially, evidence from several studies showed that humans  
1556 synchronized better with auditory than visual stimuli (for a review see Repp & Su, 2013). These  
1557 findings appeared to conform to a broader pattern, whereby, unlike other primates, humans  
1558 would have superior short-term and recognition memory in the auditory modality compared to  
1559 other modalities. Inferior memory performance in the auditory modality compared to the visual

1560 or tactile modality has been documented in Old World and New World monkeys, as well as one  
1561 chimpanzee (as reviewed by Bigelow & Poremba, 2014). However, when tested empirically, the  
1562 assumption of human superiority in the auditory modality did not hold. Human participants,  
1563 similarly to other primates, showed inferior memory for auditory stimuli compared to both visual  
1564 and tactile stimuli (Bigelow and Poremba 2014).

1565         Research also suggests that the auditory advantage, which humans appear to exhibit in  
1566 the context of rhythmic synchronization, could be stimulus- and experience-driven (for a review  
1567 see, e.g., Iversen & Balasubramaniam, 2016). Indeed, the auditory advantage disappears when  
1568 humans synchronize to dynamic visual stimuli (e.g., bouncing balls) as opposed to static ones  
1569 (e.g., blinking flashes), which were the customary visual stimulus in early synchronization  
1570 studies (e.g., Gan et al., 2015; Iversen et al., 2015). Moreover, just like audiomotor entrainment  
1571 (e.g., Madison, 2014), visuomotor entrainment to complex stimuli improves in the presence of  
1572 metrical subdivisions (Su, 2016), thus extending the evidence on the amodal nature of rhythmic  
1573 synchronization even to the higher-level of meter processing. There is also evidence that  
1574 entraining to vibrotactile rhythms can attain comparable accuracy to audiomotor entrainment,  
1575 although entrainment to nonisochronous, complex rhythms is superior when rhythms are  
1576 presented in the auditory – compared to the somatosensory – modality (Gilmore & Russo, 2021  
1577 and references therein). Additional research shows that, contrary to what might be expected,  
1578 visual – as opposed to auditory – information has a greater impact on people’s ratings of musical  
1579 performance quality (Griffiths & Reay, 2018; Tsay, 2013). For example, humans are  
1580 significantly more successful at identifying winners of musical competitions using visual  
1581 information of their performance only, compared to using auditory information only (Tsay,  
1582 2013). Moreover, when presented with manipulated audiovisual recordings of a classical piece in

1583 which the audio recording of a professional performer is paired with the visual recording of an  
1584 amateur performer and vice-versa, both musicians and non-musicians rate the latter (i.e., the  
1585 visual recording of the professional performer plus audio recording of the amateur performer) as  
1586 being of higher musical quality (Griffiths & Reay, 2018).

1587         Consistent with these findings, brain imaging evidence shows that auditory, visual and  
1588 vibrotactile rhythms activate a common neural network for beat detection, which is mostly  
1589 supramodal, thus transcending sensory modalities (Araneda et al., 2016). In fact, this might  
1590 extend to all vocal communication (including speech), considering the multisensorial nature of  
1591 most neocortical areas, including the primary auditory, somatosensory and visual cortices, even  
1592 though these are, typically, regarded as the foundation of unimodal processing (Ghazanfar, 2009;  
1593 Ghazanfar & Schroeder, 2006). Research shows, for example, that the auditory and visual  
1594 components of speech are processed simultaneously at the earliest level in the neural circuitry,  
1595 rather than unimodally and serially (Chandrasekaran et al., 2009; Rosenblum, 2008).

1596         Evidence from early human infancy or prenatal development further supports the  
1597 hypothesis that human rhythmic abilities are not modality specific. Research shows that fetuses  
1598 are able to discriminate between vibrotactile rhythms (e.g., the mother's walking vs. swaying vs.  
1599 rocking), as evidenced by changes in heart-rate response patterns (Lecanuet & Jacquet, 2002).  
1600 Such vibrotactile stimulation appears to be crucial for normal fetal development, which is also  
1601 evidenced by the benefits of rocking in promoting typical development in prematurely born  
1602 infants (as reviewed by Provasi et al., 2014), as well as by the worldwide use of rocking to calm  
1603 young infants (Richter & Ostovar, 2016). In both fetuses and young infants, cross-modal (e.g.,  
1604 vibro-acoustic, audio-visual) presentation of a rhythm provides a processing advantage compared  
1605 to unimodal presentation of an auditory rhythm. As such, fetal responses are higher when fetuses

1606 are exposed to vibro-acoustic stimuli compared to unimodal stimuli (Kisilevsky & Muir, 1991;  
1607 Kisilevsky et al., 1992). In addition, 3- and 5-month old infants can learn to discriminate a  
1608 rhythmic pattern when the pattern is presented synchronously in the visual and auditory  
1609 modalities, but fail to learn the rhythm when it is presented unimodally, in either the visual or  
1610 auditory modality (Bahrick & Lickliter, 2000; Bahrick et al., 2002).

1611       Taken together, the findings presented in this section suggest that unimodal theories  
1612 likely provide an incomplete account of musicality in general, and of rhythmic abilities in  
1613 particular. Examining the rhythmic behaviors of other species beyond vocal production and  
1614 auditory reception will likely provide further insights into the evolution of human musicality. It  
1615 is worth noting that a similar need exists in human developmental research, where the  
1616 overwhelming majority of studies focus on rhythms in vocal communication and auditory  
1617 perception, while the perception and production of *bodily* rhythms remain relatively  
1618 underexplored (Provasi et al., 2014). For the purposes of comparative research, relevant (non-  
1619 vocal or cross-modal) behaviors are those that fulfill a communicative function in other species,  
1620 thus excluding biological rhythms such as breathing or locomotion. Observational and playback  
1621 experiments similar to those mentioned in section 4.1. would be instrumental in this respect, but  
1622 with a focus informed by research questions pertaining to modality. Investigating rhythmic  
1623 communicative behaviors that take the form of bodily displays may reveal behavioral patterns  
1624 and rhythmic features that are comparable to e.g., human dance and musical rhythm. For  
1625 example, the detailed analysis of rhythmic co-locomotion in chimpanzees (i.e., the so-called  
1626 ‘Conga line’) has recently led to the proposal that human proto-dance may have been rooted in  
1627 stress-relieving behaviors that initially did not involve any sound (Lameira et al., 2019). Our own  
1628 observations show that, generally, chimpanzees exhibit several rhythmic behaviors that seem to

1629 accomplish a communicative function, as these behaviors are primarily exhibited in a social  
1630 context (Sauciuc et al., 2019a), and exert a social influence on conspecifics (Sauciuc et al.,  
1631 2019b). Many parrot species also show spontaneous bodily movements (e.g., head bobbing) that  
1632 seem to have a communicative function.

1633         Non-communicative rhythmic behaviors (e.g., respiration, locomotion) are not  
1634 completely without relevance for the evolutionary study of musical rhythm (see e.g., Pouw et al.,  
1635 2021 for a similar point). Comparing e.g., respiratory and/or locomotor rates with the output  
1636 rates of communicative rhythmic behaviors in various modalities will provide valuable data to  
1637 determine if there are biological couplings across modalities and behaviors. In turn such findings  
1638 might point to underlying mechanisms in the form of, e.g., species-specific internal-clock like  
1639 mechanisms. This could also be informative for understanding how and why optimal rhythmic  
1640 rates emerge, and for explaining variations in such rates. For example, the optimal finger tapping  
1641 rate in human adults and common chimpanzees (Hattori et al., 2013; Repp & Su, 2013) appears  
1642 to be similar (around 500-600 ms inter-onset-interval), while the rate of drum striking in bonobos  
1643 and human children (Large & Gray, 2015; Repp & Su, 2013) are higher (around 300-450 ms  
1644 inter-onset interval). Such data will also be helpful for assessing the predictions of the **acoustic**  
1645 **advantages** hypothesis (Larsson & Abbott, 2018), which links the emergence of rhythmic  
1646 synchronization to locomotor-respiratory couplings present in fish, and therefrom to audiomotor  
1647 couplings between forepaw processing and vocalizing in tetrapods. Biological constraints  
1648 grounded on such couplings appear to drive the rhythm of isochronous echolocation calls in  
1649 several bat species, where such calls are coupled with wingbeat and breathing cycles (for a  
1650 review see Burchardt et al., 2019). Recent evidence further shows that in the Greater sac-winged  
1651 bat (*Saccopteryx bilineata*), a single frequency dominates the rhythm of both echolocation and



1652 social calls, such as pup isolation calls and male territorial songs (Burchardt et al., 2019). It is  
1653 intriguing that these types of calls share a similar frequency (which is also shared with non-  
1654 communicative rhythms), considering that isolation calls are innate (and later in life repurposed  
1655 as appeasement calls in males) while territorial songs, in contrast, are learned (Eckenweber &  
1656 Knörnschild, 2013).

1657         Systematically mapping the biomechanics of animal gaits and the rhythmic patterns they  
1658 produce will allow testing hypotheses that emphasize **bipedalism** as a crucial trait for the  
1659 emergence of human rhythmic abilities. As reviewed in section 3.1, it has been proposed that  
1660 bipedal walking more readily affords rhythmic synchronization (compared with quadrupedal  
1661 locomotion), by virtue of entailing biphasic isochronous movement (see Larsson, 2013; Larsson  
1662 et al., 2019). Testing this hypothesis requires the study of locomotion rates and perceptual  
1663 rhythmic abilities in species in which locomotion is accomplished through biphasic periodic  
1664 movements, such as flight and walking in birds, or stotting (i.e., springing with all four legs in  
1665 the air) in mammalian species. Also, it remains to be investigated if other gait patterns have an  
1666 influence on rhythm *perception*, for example, if quadrupeds are primed by quadrupedal gait  
1667 patterns, thus showing heightened sensitivity to or a preference for non-binary rhythms.

1668         Finally, experimental studies with animals should also compare rhythmic production and  
1669 perception across modalities, as well as compare the perception of rhythmic and non-rhythmic  
1670 stimuli in different modalities. Such experimental data will be helpful in assessing hypotheses  
1671 that rhythmic signaling entails transmission advantages and patterning flexibility to facilitate the  
1672 recognition of species-specific calls (as reviewed in section 2.1). Recognition and mnemonic  
1673 advantages are also predicted by **the dynamic attending theory** (as reviewed in 3.1). While  
1674 memory for auditory stimuli poses a challenge for many species, including humans (Bigelow &

1675 Poremba, 2014 and references therein), this challenge may be lessened by rhythmic patterning,  
1676 as e.g., suggested by several of the theoretical proposals reviewed in sections 2 and 3.

1677

1678 Insert Figure 2 about here

1679

1680           Summing up, our call to expand the focus of research on the rhythmic abilities of animals  
1681 beyond auditory perception and vocal production encompasses behaviors with – as well as  
1682 without – a *communicative* function (as also shown in Figure 2). Such research should examine  
1683 the presence, range and function of rhythmic behaviors across modalities of perception and  
1684 production, the flexibility of such behaviors, as well as the degree of intentional control over  
1685 them. Within-species comparisons of rhythmic abilities exhibited across modalities and cross-  
1686 species comparisons focusing on single modalities will allow us to test long-held assumptions  
1687 (e.g., human auditory superiority) and more recent hypotheses (e.g., the **beacon effect**  
1688 hypothesis, the **signal conservation** hypothesis, the **incidental sounds of locomotion**  
1689 hypothesis, the **acoustic advantage** hypothesis) on the nature of musical rhythms and its  
1690 underlying mechanisms.

#### 1691 **4.3. A diversity of functional contexts – moving beyond courtship and territorial defense**

1692           As reviewed in section 2, rhythmic behavior has been associated with both individual and  
1693 social benefits in humans, yet it remains unclear which of these have an ancestral relevance for  
1694 the evolution of musicality. Interestingly, human music appears to exhibit cross-cultural form-  
1695 function regularities. Independent of their cultural background, people reliably match lullabies,  
1696 dance, love, and healing songs from across the world with their functional context (Mehr et al.,

1697 2018). Such functional categorization is partly driven by rhythmic features related to emotional  
1698 valence, or levels of arousal conveyed by a given musical piece. For example, dance songs are  
1699 characterized by higher rhythmic complexity, a steadier beat and a higher level of arousal and  
1700 pleasantness compared to lullabies. This suggests the presence of a systematic relationship  
1701 between musical form, its affective effects and functional uses, with potentially ancestral origins  
1702 that are rooted in behavioral (vocal, gestural) expressions of emotion.

1703         The comparative literature reviewed above suggests that rhythmic structure may be  
1704 common in animal signals. Preliminary evidence further shows that the mammalian brain  
1705 automatically attunes its oscillations to exogenous rhythms (e.g., Bartolo et al., 2014; Noda et al.,  
1706 2017) and detects on-beat emphasis (Rajendran et al., 2020), which suggests a preparedness for  
1707 rhythm detection, whose functional relevance, however, remains elusive. A systematic study of  
1708 the rhythmic behaviors exhibited by a diversity of species, and the functional contexts in which  
1709 they occur (as summarized in Figure 3), is thus likely to provide valuable data both for  
1710 understanding the evolution of human musicality, as well as the species-specific functions of  
1711 various forms of rhythmic behaviors, and whether functional trends can be delineated across  
1712 species. This research should also consider modalities of perception (e.g., auditory, visual, as  
1713 outlined in 4.2.) and the full spectrum of rhythmic abilities (as outlined in 4.1), and provide  
1714 detailed descriptions of the structure of rhythmic behavior, as a crucial first step in understanding  
1715 their ecology and evolution (for a similar point see Caselli et al., 2014).

1716         Generally, there is a lack of studies focused on the potential significance of rhythmic  
1717 signals beyond the contexts of mating and territorial defense (as reviewed in 2.1), as well as a  
1718 bias towards studying rhythmically structured behavior in the vocal channel only (as reviewed in  
1719 4.2). A handful of studies suggests, however, that rhythmic signals may occur in other contexts

1720 as well, although these focus on vocal behaviors. For example, rhythmic vocalizations with a  
1721 socialization function have been described in gelada monkeys (*Theropithecus gelada*: Richman,  
1722 1978) and in long-finned pilot whales (*Globicephala melas*: Zwamborn & Whitehead, 2016).  
1723 The geladas appear to be able to synchronize the tempo and rhythm of their own vocalizations  
1724 with the vocalizations emitted by a conspecific, while the pilot whales emit their rhythmic  
1725 vocalizations primarily when gathered in larger groups at sea surface.

1726

1727 Insert Figure 3 about here

1728

1729 Rhythmic vocalizations in aggressive contexts have been described, for example, in bats  
1730 and dolphins. In the greater sac-winged bat, these take the form of male-emitted appeasement  
1731 signals (Fernandez & Knörnschild, 2017). In Atlantic spotted dolphins (*Stenella frontalis*) and  
1732 bottlenose dolphins (*Tursiops truncatus*) two respectively three, distinct rhythmic vocalizations  
1733 have been documented in such contexts. Dolphins emit these calls communally, during both  
1734 intra- and interspecific aggressive encounters, where they also perform bodily displays, although  
1735 the temporal structure of these displays remains to be studied in detail (Herzing & Dolphin,  
1736 2015).

1737 Recently, our research group set out to examine the presence, range, form and functional  
1738 significance of rhythmic behaviors in chimpanzees. Our preliminary reports suggest that  
1739 rhythmic behaviors are frequent in chimpanzees, and may occur in a variety of contexts,  
1740 including initiation of social interaction, social play, solitary play, dominance display, territorial  
1741 group display, affiliative interaction, and courtship (Sauciuc et al., 2019a). We documented  
1742 considerable flexibility with respect to form and function, as these behaviors can be vocal or

1743 gestural, can take on a diversity of forms, involving various effectors (whole body, head, hand,  
1744 arm, foot, or combinations of these), and, generally, are not rigidly bound to a specific context  
1745 (Sauciuc et al., 2019b). Rhythmic bouncing, for example, can occur as a signal for initiation of  
1746 social interaction, as well as during social play, courtship or as an appeasement gesture. In  
1747 addition, rhythmic synchronization has been also documented for instrumental behaviors, in a  
1748 social learning context, where a chimpanzee infant mimicked the hammering movements of  
1749 more experienced individuals (Fuhrmann et al., 2014). To date, such diversity and functional  
1750 flexibility of rhythmic behaviors has only been documented in one other species: humans. It is  
1751 not clear, however, if chimpanzees are indeed exceptional in this respect, or if this is the result of  
1752 a comprehensive lack of data from other species. This further underscores the significance of the  
1753 point raised in this section, i.e., the need for the systematic study of the rhythmic behaviors  
1754 exhibited by a diversity of species in the functional contexts in which they occur.

1755         In chimpanzees, rhythmic behaviors have previously been associated with stress-induced  
1756 stereotypical movement, and, thus, with high levels of arousal (Chamove, 1989; Lopresti-  
1757 Goodman et al., 2013). In the majority of the cases that we documented, piloerection was absent,  
1758 thus suggesting that these rhythmic behaviors were not a mere expression of arousal. In addition,  
1759 rhythmic behaviors co-occurred frequently with the relaxed open-mouth face, which is a  
1760 correlate of states of relaxation and positive emotion (Parr & Waller, 2006). In chimpanzees,  
1761 both males and females engaged in such rhythmic behaviors, while for the great majority of other  
1762 species where rhythmic output has been described – almost exclusively in the form of  
1763 vocalizations – this phenomenon is typically restricted to males (as reviewed in sections 2.1. and  
1764 4.1). Based on extant data, the vocalizations of some primate species – such as titi monkeys,  
1765 tarsiers and indris – could be an exception to this male bias (Caselli et al., 2014; Clink et al.,

1766 2020; de Gregorio et al., 2021; Gamba et al., 2019). While we found that male chimpanzees  
1767 performed rhythmic behaviors more often than female chimpanzees, the social efficacy of these  
1768 behaviors did not seem to differ between sexes, displaying similar levels of social influence on  
1769 conspecifics (Sauciuc et al., 2019b).

1770

## 1771 **5. Conclusions: The fallacy of “one and only-theories”**

1772         During the last two decades, there has been an explosion of theoretical proposals aimed at  
1773 explaining why and how humans have evolved into musical beings. Most often, these proposals  
1774 focus on pinpointing *the one* function or *the one* functional context that had precedence in  
1775 ancestral times, thus being the primary driving force in the evolution of musicality. Such  
1776 endeavors, however, are invariably predestined to result in a chicken-or-the-egg debate, as  
1777 complex traits, such as musicality, are inherently componential, and thus, their evolution is  
1778 irreducible to the evolution of a single component with a single adaptive function. Even when  
1779 looking at single components, however, multiple factors combine into making something  
1780 adaptive, in the sense that traits and behaviors emerge ontogenetically from gene-brain-body-  
1781 environment feedback loops, and it is therefore impossible to propose a ‘one-and-only’ origin  
1782 story behind any evolved thing. This is perhaps best resolved by not asking “what has musicality  
1783 evolved for”, which easily leads to the idea of a singular reason, but “what makes and has made  
1784 each component of musicality adaptive”, which can be many things.

1785         The review of proximate mechanisms hypothesized to be involved in the perception and  
1786 production of musical rhythm demonstrates this point, suggesting that musicality has emerged in  
1787 concert with, and not independently of, other communication systems as well as a plethora of  
1788 socio-cognitive abilities. Some of these appear to be widespread in the animal kingdom (e.g.,

1789 predictive coding, neural resonance, emotional contagion), others appear to have evolved  
1790 repeatedly in phylogenetically distant species (e.g., vocal learning), while others appear to be  
1791 human- (or Hominid-) specific (e.g., shared intentionality) or present in a few highly intelligent  
1792 species (e.g., episodic foresight). How these prerequisites for evolving music-like rhythmic  
1793 behaviors relate to one another to contribute to the emergence of musical rhythm is still to be  
1794 investigated. The findings accumulated up to date (many of which reviewed in this paper) are far  
1795 from providing a coherent picture of the evolution of musicality and, in particular, the rhythmical  
1796 abilities that make it possible. They succeed, nevertheless, at revealing that even the most  
1797 focused and seemingly straightforward questions beg highly complex answers. Since the  
1798 evolutionary study of musicality is inherently multidisciplinary, seeking answers to these  
1799 questions will require interdisciplinary collaboration, and research that will both *zoom in* on  
1800 specific mechanisms, contexts and species, and *zoom out* from detailed analyses to broader  
1801 views.

1802         In this paper, we proposed a research agenda centered on topics that have been so far  
1803 neglected in the comparative study of rhythmic abilities, but that are highly relevant for  
1804 understanding where musicality comes from. In particular, we call for a widened focus of  
1805 research on rhythmic cognition in nonhuman species, so as to include additional rhythmic  
1806 abilities besides entrainment (4.1), other modalities of rhythmic expression besides the vocal  
1807 modality (4.2), and a systematic focus on the functional contexts in which rhythmic abilities are  
1808 spontaneously employed (4.3). Broadening the scope of comparative research to include  
1809 rhythmic abilities (e.g., rhythmic engagement, isochrony detection, beat perception) that are  
1810 considered precursors to accurate entrainment (at least in human ontogeny) is necessary if the  
1811 purpose is to track the evolutionary *origins* of advanced rhythmic abilities. Expanding research

1812 to other modalities than auditory perception and vocal communication is also important. In  
1813 particular, there is a need for systematic research on the perception and production of bodily  
1814 rhythms, since rhythmic movement is an essential feature of musicality, and given suggestions  
1815 that musicality may have originated as bodily-coupled entrainment in the absence of an auditory  
1816 stimulus. Finally, systematically mapping the contexts in which animal rhythmic signals occur  
1817 spontaneously is crucial to understanding the functional significance of rhythmic  
1818 communication, its efficacy and the factors that underlie and influence it. The insights gained  
1819 from such research will, in turn, inform theories about the likely adaptive significance of musical  
1820 rhythm. In this context, special attention should be given to rhythmic communication through  
1821 bodily movement, as this is conducive to rhythmic engagement, which likely promotes and  
1822 reaffirms social affiliation.

1823         Extant comparative data suggests that most of the mechanisms that enable musical  
1824 rhythm may not be musicality specific. Some of these mechanisms appear to be widespread  
1825 across species, and, thus, phylogenetically old. Yet, detailed analyses and cross-species  
1826 comparisons are needed to determine the extent to which the neural networks and  
1827 psychobiological mechanisms that mediate the perception, production and appreciation of  
1828 musical rhythm in humans have similar counterparts in other species. A few high-level cognitive  
1829 and socio-cognitive abilities that are relevant to the evolution of musical rhythm (e.g., relational  
1830 processing, causal reasoning, enhanced memory and foresight, shared intentionality, imitation  
1831 and pantomime) appear to be rare in the animal kingdom, being exclusive to highly intelligent  
1832 species, such as corvids and hominids, or restricted to hominids only. The emergence of these  
1833 high-level abilities is generally related to adaptive pressures posed by complex social  
1834 organization and complex foraging strategies. Seemingly, however, at some point in the



1835 evolution of our species, these abilities have been co-opted into rhythmical, communal displays.  
1836 Additional traits that, so far, do not seem to have a counterpart in the animal kingdom, and which  
1837 are related to human creativity, narrativity, aesthetics and linguistic communication, have further  
1838 shaped such behaviors into what we today call music and dance.

1839

#### 1840 **Author contributions**

1841 Gabriela-Alina Sauciuc conceived and drafted the paper. Tomas Persson and Elainie Madsen  
1842 have critically reviewed it.

1843

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1848

#### 1849 **Conflicts of interests**

1850 The authors have no conflicts of interest to declare that are relevant to the content of this article.

1851 **Ethical statement.** Not applicable.

1852 **Data availability statement.** Not applicable.

1853

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**Table 1 - Functional theories**  
(adaptive functions)

Proposal	Supporting findings / arguments	Contradicting findings / arguments	Relevant reading
<p><b>Sexual selection:</b> Protomusical behaviors have evolved as mating displays, and function as honest fitness signals and/ or aesthetic displays. Rhythmic structure supports mating by facilitating species recognition through signal conservation, or by increasing broadcast range through a beacon effect.</p>	<ul style="list-style-type: none"> <li>- Universality of the courtship function of musical behaviors</li> <li>- Male bias for musical behaviors in humans</li> <li>- Preference for sexual partners with musical ability</li> <li>- The courtship function of ‘song’ in other species (insects, birds, whales, seals)</li> <li>- Male bias for ‘song’ in other species</li> <li>- ‘Song’ is regulated by sex hormones in frogs, birds, seals</li> </ul>	<ul style="list-style-type: none"> <li>- Musical behaviors are found in a broad range of contexts in humans</li> <li>- Both sexes may use music for courtship.</li> <li>- In many societies, the most skilled performers are those beyond reproductive age</li> <li>- Musical skills do not predict mating success</li> <li>- Musical skills do not correlate with fitness</li> <li>- In other species, ‘song’ is often used for territorial defense</li> </ul>	<p>Darwin, 1871; Frazer &amp; Mercado, 2000; Greenfield, 2006; Greenfield &amp; Schul, 2008; Greenfield et al., 2017; Hartbauer &amp; Römer, 2016; Madison et al., 2018; Medrano et al., 1996; Merker, 2000; Merker et al., 2009; Mercado, 2018; Miller, 2000; Mosing et al., 2015; Namu &amp; Mathieu, 2004; Rogers, 2017; Savage et al., 2015; Schlinger &amp; Brenowitz, 2017</p>
<p><b>Vocal emotional communication:</b> Speech and song are evolutionarily related: a) musical communication preceded speech; b) musicality is a by-product of speech-related adaptations; c) a rudimentary communication system diverged into a referential communication system (speech) and an emotional one (music)</p>	<ul style="list-style-type: none"> <li>- Similarities between speech and song: overlapping neural and structural mechanisms, similar mechanisms for emotional modulation / induction</li> <li>- Evidence that a single communication system can split into an emotional vs. referential system, with the emotional system being more music-like</li> </ul>	<ul style="list-style-type: none"> <li>- Musicality is more than song</li> <li>- Diverging neuro-cognitive and physiological mechanisms between song and speech</li> </ul>	<p>Brown, 2000a,b, 2017; Darwin, 1871; Fitch, 2006; Ma et al., 2019; Mithen, 2005; Musso et al., 2015; Ozdemir et al., 2006; Peretz &amp; Coltheart 2003; Rousseau, 1761; Spencer, 1857; Sundberg, 2018; Zarate, 2013; Zatorre &amp; Baum (2012)</p>
<p><b>Social function:</b> Musicality has emerged under selection pressures related to human sociality.</p>	<ul style="list-style-type: none"> <li>- Musical behaviors reach, involve, and connect many people simultaneously</li> </ul>	<ul style="list-style-type: none"> <li>- Musical behaviors have adaptive functions beyond those exerted at group level</li> </ul>	<p>Brown, 2000a; Bryant 2013; Cirelli et al., 2014, 2017; Dunbar, 2004, 2012; Fessler &amp; Holbrook, 2016; Freeman, 2001; Hagen &amp; Bryant, 2003; Hagen &amp; Hammerstein, 2009; Kirschner &amp; Tomasello, 2009; Kogan, 1997; Lakens &amp; Stel, 2011; Mehr et al., 2020; Merker et al., 2009; Reddish et al., 2013, 2014; Roederer, 1984; Savage et al., 2021; Tarr et al., 2016; Tungenc et al., 2015; Valdesolo et al., 2010; Wiltermuth &amp; Heath, 2009</p>
<p><b>Generic social functions:</b> Musicality supports group functions regarding within/between group cooperation or inter-group competition.</p>	<ul style="list-style-type: none"> <li>- Rhythmic synchronization leads to feelings of liking, rapport, trust, affiliation, entitativity, cooperation and generosity</li> </ul>		
<p><b>Social bonding:</b> Musicality has evolved to replace grooming as hominid group-size increased.</p>	<ul style="list-style-type: none"> <li>- The prosocial effects of rhythmic synchronization emerge early in ontogeny thus suggesting ancestral evolutionary roots</li> </ul>		
<p><b>Social cooperation:</b> Musical behaviors promote affiliation and altruism, thereby increasing the effectiveness of collective actions.</p>	<ul style="list-style-type: none"> <li>- High quality musical performances (that are synchronized) suggest higher coalition quality</li> </ul>		
<p><b>Coalition signaling:</b> Proto-musical behaviors advertised group fitness and group quality (cohesion, coordination ability, commitment) in the formation of inter-group alliances.</p>	<ul style="list-style-type: none"> <li>- Rhythmic synchronization is suggestive of strength and cohesion</li> </ul>		

<p><b>Mother-infant interaction</b> is the primordial context of musicality.</p>	<ul style="list-style-type: none"> <li>- Infant-directed song is universal across human cultures</li> </ul>	<ul style="list-style-type: none"> <li>- Musical behaviors have adaptive functions beyond those potentially exerted in the context of mother-infant interaction</li> </ul>	<p>Dissanayake, 2000, 2009a; Mehr &amp; Krasnow, 2017; Mehr et al., 2021; Moser et al., 2020; Trehub et al., 2021</p>
<p><b>Mater-infant bonding</b> Affiliative signals acquired music-like feature in the context of mother-infant communication through ritualization.</p>	<ul style="list-style-type: none"> <li>- Infant-directed song is most prevalent in mother-infant interaction</li> <li>- Compared to other primates, humans have higher demands for parental investment since a) human infants cannot cling onto their parents' body; b) humans have shorter interbirth intervals and longer postnatal development</li> </ul>	<ul style="list-style-type: none"> <li>- Musicality is not limited to song</li> <li>- The formal features of infant-directed song diverge from those of musical behaviors in other functional contexts</li> </ul>	
<p><b>Credible signaling of parental attention</b> Musicality has emerged as infant-directed song, which is based on ancestral hominid contact calls that have evolved to encode cues of attention to offspring.</p>		<ul style="list-style-type: none"> <li>- Features of childcare in foraging cultures, whereby extended breast feeding increases interbirth interval, and infants almost constantly carried</li> </ul>	
<p><b>Coping strategy:</b> Musical behaviors have evolved under selective pressures related to a) socio-ecological stressors; b) enhanced episodic cognition, leading to constant apprehension about the future, and thus to stress and anxiety.</p>	<ul style="list-style-type: none"> <li>- Musical behaviors have therapeutic effects, and are used for stress alleviation in everyday life and clinical settings</li> <li>- Musical behaviors are universally linked to rituals related to events of vital significance for the group or for the individual, where outcome uncertainty is likely to have caused stress and anxiety</li> <li>- Musical stimuli engage neural networks engaged in simulation of future events</li> <li>- Rhythmic entrainment in chimpanzees may be linked to stressful situations</li> </ul>	<ul style="list-style-type: none"> <li>- In other species, behaviors that are considered precursors or analogues of human musical behaviors are present in functional contexts that may not entail a need for coping strategies</li> <li>- Musical behaviors are also found in contexts that are not likely to generate stress and anxiety</li> </ul>	<p>Arom, 2000; Brown, 2000a; Cross, 2003; de Witte et al., 2020; Dissanayake, 2009b; Koelsch, 2009, 2014; Lameira et al., 2019; McPherson et al., 2019; Mithen, 2005; Nettle, 1983, 2015; Saarikallio, 2011; Thaut et al., 2015; Thayer et al., 1994</p>

**Table 2: Neural adaptations in the evolution of human rhythm cognition**

Proposal	Supporting findings / arguments	Contradicting findings / arguments	Relevant reading
<p><b>Vocal learning and rhythmic synchronization hypothesis:</b> audiomotor entrainment is a by-product of neural adaptations that support vocal mimicry / flexible vocal learning</p>	<ul style="list-style-type: none"> <li>- Initial evidence of audiomotor entrainment in vocal learning species exclusively</li> <li>- Some similarities between speech and music with respect to the temporal structure of units</li> </ul>	<ul style="list-style-type: none"> <li>- Evidence of audiomotor entrainment in non-vocal learning species</li> </ul>	<p>Brown, 2007; Cook et al., 2013; Gámez et al., 2018; Hattori et al., 2013; Large &amp; Gray, 2015; Merchant &amp; Honing, 2014; Noda et al., 2017; Patel, 2006; Patel et al., 2009; Patel, 2021; Rouse et al., 2021; Schachner et al., 2009; Takeya et al., 2017</p>
<p><b>The gradual audiomotor evolution hypothesis:</b> complex and tightly coupled audiomotor neural circuitry enables relative (beat-based) timing, which in turn enables audiomotor</p>	<ul style="list-style-type: none"> <li>- Humans exhibit flexible entrainment, other apes exhibit spontaneous entrainment, but less flexible</li> </ul>	<ul style="list-style-type: none"> <li>- Audiomotor entrainment is also present in non-primate species</li> </ul>	<p>Cook et al., 2013; Gámez et al., 2018; Gan et al., 2015; Hattori et al., 2013; Iversen et al., 2015; Large &amp; Gray,</p>

entrainment. This circuitry exhibits a gradual evolution in the primate lineage	and complex compared to humans, while monkeys can acquire entrainment with extensive training - More robust and complex audiomotor couplings in the human vs. monkey brain	- Humans also exhibit entrainment that involves other reception channels than the auditory one	2015; Merchant and Honing, 2014; Noda et al., 2017; Takeya et al., 2017; ten Cate & Spierings, 2019
<b>Neural resonance supported by dynamic attending and predictive coding:</b> entrainment is widespread across taxa and results from oscillatory interactions between neurons in the motor and sensory areas. Rhythmic regularity generates predictive coding (i.e. predictions about event recurrence) and supports dynamic attending, i.e. the optimal allocation of attentional resources to stimuli expected to be most salient or informative	- Rhythmic entrainment is present / can be learned by a variety of species - Oscillatory interactions between motor and sensory areas are elicited by rhythmic stimuli in human and nonhuman brains	- Incompatible with evidence that organisms that exhibit the relevant neural auditory and motor brain areas fail to exhibit cognitive abilities that support entrainment in humans	Bartolo et al., 2014; Cook et al., 2013; Large & Gray, 2015; Large & Jones, 1999; Noda et al., 2017; Rouse et al., 2016; ten Cate & Spierings, 2019; Vuust et al., 2009; Wilson & Cook, 2016
<b>Acoustic advantages hypothesis:</b> entrainment is based on audiomotor and genetic adaptations derived from locomotor-reparatory couplings that in fish enable synchronization guided by incidental sounds of locomotion, in order to reduce interferences caused by such sounds. In the tetrapod descendants of ancestral fishes, these couplings further evolved into couplings between forepaw motor processing and vocal communication	- Rhythmic entrainment is present / can be learned by a variety of species	- Incompatible with evidence that organisms that exhibit the relevant neural structures fail to exhibit cognitive abilities that support entrainment in humans	Larsson, 2013; Larsson & Abbott, 2018; ten Cate & Spierings, 2019
<b>Bipedal experience in utero:</b> maternal walking provides sensory-motor experience to human fetuses, thereby stimulating the prenatal development of sensory-motor neural couplings, and inducing perceptual biases in the fetus	- In humans, the optimal tempo of rhythmic synchronization overlaps with the optimal tempo of walking and popular dance music	- Nonhuman animals that are not exposed to rhythms derived from bipedal walking during fetal development can spontaneously entrain to human music  - The optimal tempo of entrainment in chimpanzees is comparable to that of humans, although chimpanzees do not experience rhythms derived from bipedal walking during fetal development	Hattori et al., 2013; Larsson et al., 2019; Leman et al., 2013; MacDougall & Moore, 2005; Parncutt & Chuckrow, 2017; Repp & Su, 2013

Table 3: Cognitive mechanisms in the evolution of human rhythm cognition

Proposal	Supporting findings / arguments	Contradicting findings / arguments	Relevant reading
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<p><b>Perceptual biases</b> rooted in attentional, learning and / or memory processes that have evolved for the purposes of (a) auditory scene analysis; (b) vocal / non-vocal communication; (c) courtship and mating; (d) group function; (e) parent-offspring bonding; (e) coping.</p>	<ul style="list-style-type: none"> <li>- The tendency to assign the basic beat to the lowest-pitched instrument reflects inner ear adaptations for the temporal processing of sound, which prioritize low-pitch</li> <li>- The universality of certain rhythmic features across musical cultures, including isochrony, the preference for certain types of relationships and contrasts</li> <li>- (for findings and arguments linked to the functions (b) – (e), see Table 1)</li> </ul>		<p>Jacoby &amp; McDermott, 2017; Ravnani et al., 2016; Savage et al., 2015; Trainor, 2018 and references cited in Table 1, concerning the adaptive pressures that could have given rise to such perceptual biases</p>
<p><b>Perceptual grouping</b>, i.e. the tendency to group sequential stimuli into units based on proximity, similarity, etc., which in human music may support meter perception</p>	<ul style="list-style-type: none"> <li>- The ‘iambic-trochaic law’ in humans, whereby a sequence of alternating sounds is chunked into groups of two sounds, and perceived as a: (a) iambic pattern (accent on the second sound) for sequences of short-long alternating sounds; (b) trochaic pattern (accent on the first sound) for sequences of high-low / loud-soft alternating sounds.</li> <li>- Duple meter based on a strong-weak alternation is universal in human music</li> <li>- Animals can be trained to discriminate trochaic and iambic sequences, which are generalized to ambiguous stimuli</li> </ul>		<p>Abboub et al., 2016; Fitch, 2016; Hay &amp; Diehl 2007; Hoeschele &amp; Fitch, 2016; Iversen et al. 2008; Mora et al., 2013; Patel, 2006; Savage et al., 2015; Spierings et al., 2017; Toro &amp; Hoeschele, 2017; Toro &amp; Nespor, 2015;</p>
<p><b>Relational processing</b>, i.e. the ability to map relations between relations, supports relative timing and thus isochrony perception. More complex forms of relational processing, such as hierarchical grouping and recursion enable meter perception and production.</p>	<ul style="list-style-type: none"> <li>- Isochrony is a universal feature of musical rhythm</li> <li>- Isochrony is also present in other species</li> <li>- Species that entrain spontaneously also exhibit relational reasoning in other domains</li> <li>- Metric structure based on hierarchical grouping and recursion is widespread in human music</li> </ul>	<p>- Currently debated if isochrony detection is based on relative timing in the tested species</p>	<p>Celma-Miralles &amp; Toro, 2020; Fitch, 2013; Hoeschele &amp; Bowling, 2016; Hribar et al., 2011; Obozova et al., 2015; Ravnani &amp; Sonnweber, 2017; Savage et al., 2015; Sonnweber et al., 2015; ten Cate &amp; Spierings, 2019</p>

**Table 4: Socio-cognitive and motivational mechanisms in the evolution of human rhythm cognition**

Proposal	Supporting findings / arguments	Contradicting findings / arguments	Relevant reading
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<b><i>Intrinsic reward and rhythmic synchronization hypothesis:</i></b> vocal learning species show an intrinsic motivation to entrain to auditory rhythms	- Parrots, which are flexible vocal learners, appear capable of spontaneous audiomotor entrainment	- Chimpanzees, which are not considered flexible vocal learners, exhibit spontaneous – albeit rudimentary - audiomotor entrainment	Hattori et al., 2013; Large & Gray, 2015; Schachner et al., 2009; Takeya et al., 2017; ten Cate & Spierings, 2019
<b><i>Acoustically mediated emotional contagion:</i></b> ‘contagious’ vocal signals (which trigger similar signals and corresponding emotional states in conspecifics) are a homologous precursor of human musicality.	- The pant hoot and the play pant of chimpanzees are ‘contagious’ vocalizations, entailing that this is an ancestral hominid trait - The pant hoot and the play pant of chimpanzees exhibit motif repetition	- This form of emotional contagion is likely present in other species as well; more research is needed to establish if this is a homologous or analogous trait in human evolution	Davila-Ross et al., 2011; Fritz & Koelsch, 2013; Matsusaka, 2004; Savage et al. 2015
<b><i>The contagious heterophony hypothesis:</i></b> unsynchronized group calls are an analogous precursor of music and speech. In ancestral humans, these calls have evolved from reflexive vocal contagion to intentionally synchronized chorusing	- Vocal chorusing in several species, both closely and distantly related to humans	- Potential intentionality in the coordinated calls of chimpanzees and dolphins	Brown, 2007; Crockford et al., 2004; Fedurek et al., 2013; Mitani & Gros-Luis, 1998; Moore et al., 2020
<b><i>Propensity for gathering to display:</i></b> the motivation to gather and display in large groups is a primitive, homologous trait of musicality	- Chimpanzees exhibit communal displays that combine loud calls, drumming, and exaggerated bodily movements		Geissman, 2000; Merker et al., 2015
<b><i>Shared intentionality:</i></b> rhythmic synchronization relies on a human-specific motivation to share and co-represent mental states with others, which enables joint action coordination towards common goals, and has emerged about 400 000 years ago	- Synchronization-induced prosociality is only present when synchronization is motivated by shared intentionality - Shared intentionality facilitates accurate rhythmic synchronization in toddlers	- The roots of musicality may be traced even earlier, given evidence of shared intentionality in other ape species	Gruber, 2013; Kirschner & Tomasello, 2009; MacLean & Hare, 2013; Persson et al., 2018; Reddish et al., 2013; Tanner & Byrne, 2010

**Table 5: Neurohormonal mechanisms in the evolution of human rhythm cognition**

<b>Proposal</b>	<b>Supporting findings / arguments</b>	<b>Contradicting findings / arguments</b>	<b>Relevant reading</b>
<b><i>Endorphins</i></b> contribute to the positive effects of rhythmic movement and rhythmic synchronization, in particular sense of reward, social bonding, coping with stress and anxiety	- Active engagement in group musical behaviors (communal singing, chanting, drumming, dancing) trigger endorphin release - Music exerts influence on neural assemblies that contribute to emotion regulation through endorphin release - Anxiolytic behaviors in other species are rhythmic		Chamove, 1989; Cohen et al., 2009; Dunbar et al., 2012; Koelsch, 2014; Lameira et al., 2019; Launay et al., 2016; Tarr et al. 2015, 2016
<b><i>Dopamine</i></b> is involved in mediating the processing and production of musical rhythm, and the feeling of pleasure elicited by engaging with music	- Several mechanisms involved in rhythm cognition are dopamine-dependent, such as expectations about rhythmic structure and their violations,		Ferreri et al., 2019; Koelsch, 2014; Koshimori et al., 2019; Merchant et al., 2013; Miller et al., 2013; Salimpoor et al.,

	temporal processing in the millisecond range, the reward feeling elicited by music - Dopamine-rich areas are stimulated by music listening and are involved in rhythmic motor control		2015; Vuust & Kringelback, 2010; Zatorre & Salimpoor (2013); Zatorre, 2015
<b>Oxytocin</b> Oxytocin is an underlying mechanism of the social bonding function of musicality	- Music-making, and passive music listening triggers an increase of oxytocin - Oxytocin administration improves rhythmic synchronization		Freeman, 2000; Gebauer et al., 2014; Grape et al., 2002; Nilsson, 2009; Ooishi et al., 2017; Yuhi et al., 2017
<b>Sex steroid hormones.</b>	- Birdsong is highly dependent on the release of sex steroid hormones - Potential indirect influence of sex steroids on rhythm cognition and behavior in humans due to influences exerted on oxytocin		Baribeau & Agnastou (2015); Schlinger & Brenowitz (2002)

**Table 6: The genetic bases of human rhythm cognition**

Aspect investigated	Findings		References
<b>Heritability</b>	- Twin studies	- Moderate heritability levels (50%) for similarity judgments of rhythmic structure - Lack of heritability for the ability to detect off-beat sounds based on twin studies	Seesjärvi et al., 2015; Ullén et al., 2014
	- Genome-wide association assays	- Modest heritability (13-16%) for rhythmic synchronization - Modest heritability (21%) for rhythm discrimination	Niarchou et al., 2019; Oikkonen et al., 2014; Pulli et al., 2008
<b>Genes and genetic correlations</b>	<ul style="list-style-type: none"> <li>- Musical skill, in general, and rhythmic synchronization, in particular, are highly polygenic traits that share molecular mechanisms with traits implicated in emotion, cognition, neurodevelopment and health: lung function, processing speed, strong hand grip, evening chronotype</li> <li>- Polymorphisms in the genes for 1a receptor of arginine-vasopressin predict creative dance, rhythm perception, rhythm memory</li> <li>- The genetic signature of human rhythmic synchronization is enriched for genes expressed after song in area X of the avian brain, which is analogous to the mammalian basal ganglia;</li> </ul>		Bachner et al., 2005; Gordon et al., 2021; Granot et al., 2007; Niarchou et al., 2019; Oikkonen et al., 2016; Ukkola et al., 2009

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