

Commission d'enquête sur le déclin des populations de saumon rouge du fleuve Fraser

**Public Hearings** 

**Audience publique** 

Commissioner

L'Honorable juge / The Honourable Justice Bruce Cohen

Commissaire

Salle 801

Cour fédérale

Held at: Tenue à :

Room 801 Federal Courthouse 701 West Georgia Street Vancouver, B.C.

Thursday, December 2, 2010

le jeudi 2 décembre 2010

701, rue West Georgia

Vancouver (C.-B.)

# Errata for the Transcript of Hearings on December 2, 2010

Page	Line	Error	Correction
ij		Lara Tessaro is missing her title	Junior Commission Counsel
iv		James Walkus is not a participant	remove from record
iv		Musgagmagw Tsawataineuk Tribal Counsel	Musgamagw Tsawataineuk Tribal Council
72	44	Answer	Question
52	47	Ryall	Riddell

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No appearance Pacific Salmon Commission

Chris Buchanan B.C. Public Service Alliance of Canada

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No appearance Rio Tinto Alcan Inc ("RTAI").

Shane Hopkins-Utter B.C. Salmon Farmers Association

("B.C.SFA")

No appearance Seafood Producers Association of B.C.

("SPAB.C.")

No appearance Aquaculture Coalition: Alexandra

Morton; Raincoast Research Society; Pacific Coast Wild Salmon Society

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Chris Watson West Coast Trollers Area G Association;

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Union ("TWCTUFA")

Keith Lowes B.C. Wildlife Federation; B.C. Federation

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No appearance Maa-nulth Treaty Society; Tsawwassen

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Brenda Gaertner

Leah Pence

First Nations Coalition: First Nations
Fisheries Council; Aboriginal Caucus of
the Fraser River; Aboriginal Fisheries
Secretariat; Fraser Valley Aboriginal
Fisheries Society; Northern Shuswap Tribal

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Secwepemc Fisheries Commission of the Shuswap Nation Tribal Council; Upper Fraser Fisheries Conservation Alliance; Other Douglas Treaty First Nations who applied together (the Snuneymuxw, Tsartlip and Tsawout); Adams Lake Indian Band; Carrier Sekani Tribal

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No appearance Sto:lo Tribal Council

Cheam Indian Band ("STCCIB")

No appearance Laich-kwil-tach Treaty Society

James Walkus and Chief Harold Sewid Aboriginal Aquaculture Association

("LJHAH")

No appearance Heiltsuk Tribal Council ("HTC")

No appearance Musgagmagw Tsawataineuk Tribal

Counsel ("MTTC")

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Vancouver, B.C. /Vancouver (C.-B.) December 2, 2010/le 2 decembre 2010

THE REGISTRAR: The hearing is now resumed.

MR. WALLACE: Good morning, Commissioner Cohen. Brian Wallace, commission counsel. We have the Wild Salmon Policy Development Panel back for reexamination by Mr. Timberg for Canada and by me and I understand that we will be very brief.

Oh, and, sorry, Mr. Lowes has one matter he'd like to just put on the record.

- MR. LOWES: Yes, thank you. Excuse me, Mr. Timberg.
  Mr. Commissioner, I referred or I read the
  definition of the resource to Mr. Chamut yesterday
  and asked for his agreement. What I was reading
  from was paragraph 41 of the reasons for judgment
  of the Supreme Court of Canada in Ward v. Canada
  [2002] 1 S.C.R. 569.
- MR. TIMBERG: Mr. Timberg, T-i-m-b-e-r-g, counsel for Canada.

#### RE-EXAMINATION BY MR. TIMBERG:

- Mr. Chamut, I have a question for redirect for you. Yesterday counsel for the Conservation Coalition asked that you identify an email, it was Exhibit 15, wherein you set out your reaction to the Conservation Coalition's refusal to endorse the Wild Salmon Policy. This was sent on May 13th, 2005; do you recollect that conversation? MR. CHAMUT: Yes, I do.
- Q Mr. Registrar, if you could bring up Tab 14 from the Conservation Coalition's list of documents, it's CAN143383, and Mr. Chamut, this is an email train. At the bottom it appears to have come from your email and it looks like a draft letter in response to the Conservation Coalition. If you could go to the second page, Mr. Registrar, and if you could just highlight that perhaps, the first paragraph.

So my understanding is that the first point is the point, there were ten points that the Conservation Coalition raised and then this is -- number 1 is their concern that:

The Wild Salmon Policy proposes is a radical departure from Ottawa's longstanding

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commitment to protect British Columbia's salmon fisheries and the diversity and abundance of B.C.'s 9,000-plus salmon runs.

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draft response; is that correct? That is the draft response that the MR. CHAMUT: department would make to the assertion that was made by the Marine Conservation Coalition which is item number 1. But I do need to be clear that you referred to this as the development of a response and I want to emphasize that it was not -- there was no -- as far as I'm -- as far as I recall, there was no written response to the Conservation Coalition's document with its ten points. And the reason for that is that I don't think it was ever formally transmitted to the department. document that I recall was provided to us through the minister's office because the coalition had prepared these ten points and had sent these ten points to members of the B.C. caucus. So it was basically information for Members of Parliament. And the minister's office had asked for a response to these ten points, and so that's basically what

And then my understanding is that that's your

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you see here.

There were -- there was a -- our reaction to the assertions that were being made was provided to the minister's office to provide him with some understanding of the context and the department's reaction to the issues.

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Thank you. And perhaps, Mr. Registrar, we could take us down to the second point in the letter. And so it's at -- again, my understanding that's the first paragraph was one of the ten points and I'd ask for your comments in response to the statements that -- made that:

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The proposed policy is the most significant, dangerous event in at least a quarter century of attempts to address the many complex salmon conservation, salmon fisheries and salmon habitat in British Columbia. It completely undermines and defeats the purpose of efforts to reform the fishery along individual quota lines.

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If you could just perhaps for the benefit of the

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commissioner, provide your response to this point. MR. CHAMUT: Well, the -- there's two main themes expressed in point number 2, and we've had ample discussion about certainly my opinion and I think the department's opinion about the importance of the Wild Salmon Policy and I found it extraordinary that it would be regarded or it would be cast as a dangerous event, as a dangerous attempt to address conflicts about salmon conservation and salmon habitat in B.C. because we had made a number of changes to the policy to accommodate some of the concerns that were being represented by the environmental community and we felt that we were -- that the policy actually addressed very many of the concerns that the environmental community had been directing at the department, so felt that it was simply erroneous to reflect that it was a dangerous event. We saw it as being something very positive and, in fact, reflecting many of the elements that the environmental community had been asking us to do for many, many years.

And the second point is simply -- is not a matter of opinion. It's just simply wrong. Wild Salmon Policy deals with the conservation of the resource and it very clearly and explicitly avoids issues of sharing of the resource between user groups or the management of that resource, for example, of individual quota lines which is a management technique more associated with how you divide the resource up.

We recognized early on that if the Wild Salmon Policy attempted to deal with the issue of allocation, it would never, ever be finalized. we developed a policy that dealt with conservation, which we felt was the most important thing that we could do, and secondly, avoided any reference to sharing of resource between, for example, First Nations and commercial fishermen and sport fishermen. And also we resisted any effort to try and deal with the issue of individual quotas. The policy is absolutely silent on that and, in fact, as this policy was being developed, there were initiatives underway to develop individual quota lines and they would continue quite independently of the adoption of the Wild Salmon Policy, which has no influence or

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- effect on a decision to adopt individual quotas.

  Thank you. Mr. Saunders, can you describe whether the Conservation Coalition has maintained this opposition to the WSP?
- MR. SAUNDERS: I can't really speak probably the last
   four -- three and a half years, I would say,
   because I haven't been in a capacity to sort of
   know first-hand whether that's the case or not.
  O Right.
- MR. SAUNDERS: But I do know from the time in -- since 2005 in June when the policy was developed and the time that I was in the coordinator's position, that I would say that -- I would say that that position has not been held. While there -- I think there has been criticism of various aspects of Wild Salmon Policy implementation in general, the majority of the coalition have actually participated actively in the implementation and in fact provided resources in many cases.
- Q And that would be up until, you say, three years, so approximately 2007? Okay. Thank you.

MR. SAUNDERS: Yes.

Yesterday, Mr. Riddell was asked a question about -- you were asked about what would be needed to move forward with the WSP and one of your statements, Mr. Riddell, was that it would be helpful to have -- for DFO to ensure an open and transparent process by using the website to track the progress of implementation of the Wild Salmon Policy; do you remember that conversation?

DR. RIDDELL: Yes, I do.

- Q And are you aware of whether DFO does, in fact, do that presently?
- DR. RIDDELL: Well, DFO certainly has websites. We were talking about having a wild salmon specific website to make available publicly a number of the documents developing that, and I don't believe that we ever separated a specific website for the Wild Salmon Policy and that, but we do have communications about the Wild Salmon Policy within the departmental website.
- Q Okay. Thank you. And a question for -- follow-up question for Dr. Irvine. Yesterday counsel, Mr. Butcher, asked a question suggesting that the motivation to pass the Wild Salmon Policy was to avoid **SARA** designation, and at the time you were raising your hand to comment and you did not have

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an opportunity to respond to that question, and so I'm wondering if you would like to share your views on that question.

DR. IRVINE: Certainly. I don't remember the exact question, but I remember the discussion. Wild Salmon Policy was developed during the period that the species at risk legislation was being finalized and what we attempted to do within the Wild Salmon Policy was to try to align the conservation units up with the so-called designateable units as much as possible so that we were aware of what was going on within the COSEWIC arena and the species at risk arena. But the real place that the two overlap is in the designation of the lower benchmark, which was deliberately established at a point above or at a healthier stage than when a population or a CU or a designateable unit would be considered endangered under the Species at Risk Act.

So it was not possible to have the two completely aligned because they were being developed by different agencies, different individuals, and one is an Act and one is a policy. But we did, as I say, try to align the conservation units up with what might be considered a minimal designateable unit under the **Species at Risk Act**.

Q Okay. Thank you very much. And my final question for redirect is yesterday, Dr. Riddell, you were being asked questions by Chris Harvey, counsel for the West Coast Trollers Association and he suggested that the Wild Salmon Policy is not clear in explaining the importance of conservation within a conservation unit, that -- and you answered that you weren't sure of the exact language of the WSP as to clarifying the importance of preserving biodiversity within a CU and the importance of that. So I'd just like to take you to Exhibit 8, the Wild Salmon Policy and pages 10 and 11 and my review of this is that the -- on page 10, if we could take up the one, two, three, the fourth paragraph starting with:

Over the geographic area of a CU...

That paragraph, and then also I'll be asking you to -- when perhaps you have a moment to review

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that. And there's also a section on page 11, which I'll take you to in a moment. And then perhaps we could turn to page 11 and it's the one, two, three, fourth paragraph down starting:

These networks...

And so my question is can you clarify whether or not this point is, in fact, already included in the final copy of the Wild Salmon Policy?

DR. RIDDELL: Well, I think you've identified a couple of the locations where the particular topic is addressed in the policy, but if the concern was that it wasn't sufficiently clear or emphasized, then that's a matter of opinion of the writing and Mr. Harvey may feel that we didn't emphasize that sufficiently compared to the inter-population or between conservation units, but there isn't any question that the within conservation unit diversity was a major element of the proposal all the time.

There were many discussions in local communities about how will you guarantee the protection of my very local stream and that. Those very local streams, we can't identify in most major fisheries, but the entire concept of the conservation units and maintaining the distribution of salmon through the landscape is the only way that you could honestly say that you're managing to maintain the distribution amongst all streams within a conservation unit. So the diversity within the conservation unit is basically fundamental in terms of maintaining genetic diversity and production and the utilization of all habitats as much as we can —Right.

- DR. RIDDELL: -- and that, and so it was fundamental in the program and it's required in the assessments now -- it's required in the assessments because it's not just production. It's now production and distribution between spawning populations within each CU so --
- MR. TIMBERG: Right. We'll be getting to that point today. Yes. Okay. Well, thank you very much. Those are all my questions.
- MR. LEADEM: Sorry, Mr. Commissioner, but my learned referred Mr. Chamut to an email that I did not

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tender into evidence yesterday and I think for the sake of completeness, the entire email ought to be tendered into evidence.

MR. TIMBERG: Thank you for clarifying that point. If we could have that document marked as the next exhibit. This is CAN143383.

THE REGISTRAR: Exhibit number 124.

EXHIBIT 124: Email from Mark Saunders to Pat Chamut dated May 17, 2005 Re: ENGO Response May 17, 2005

MR. WALLACE: Thank you, Mr. Commissioner. Brian Wallace, commission counsel. Before -- I have just a couple of questions on re-examination but I would like just to correct the record on one point. Yesterday Mr. Timberg put a question to Dr. Irvine and Dr. Irvine gave an answer which was an interpretation of law. I don't want to ask the doctor any more questions about his interpretation.

I just would like to point out that there may be a misunderstanding about the timing of the implementation of the Species at Risk Act and Dr. Irvine made the comment that it was fully implemented in 2003. This is at page 19 of the transcript, lines 9 to 13. I just would like to point out that the order fixing the dates for the coming into force of the Species at Risk Act which is PC2003-763 of 29 May 2003 fixes the dates for the coming into force in these words "assented to December 12th, 2002", June 5, 2003 the day in which a large number of sections of the act came into force and June 1, 2004 as the day in which several other sections came into force, so to be carefully accurate, it was not fully brought into force until June of 2004.

The other point, without commenting on Dr. Irvine's evidence with respect to the use of the expression "designable units" that expression does not actually appear in the statute, the **Species at Risk Act**.

#### RE-EXAMINATION BY MR. WALLACE:

Q I have just a couple of issues I'd like to canvass on re-examination. The first I would ask Mr.

Lunn, please, to bring up a document on the commission's non-Ringtail list number 7, which is a document that we intended to mark today as an exhibit, and I'll just... And this -- this document, which I think is being put in by consent, it's September 27, 2010 email to me from counsel for Canada attaching a chronology of the approval of various CSAC papers and if you can just scroll to the next page, Mr. Lunn. The question was put to Dr. Riddell with the third and fourth papers on that list, which 

respect to the timing of the two papers which are the third and fourth papers on that list, which indicate that the PSARC review date for the Holtby and Ciruna paper was June of 2007 but it was not approved until 2008. Do you have any reason to quibble with that timing, Dr. Riddell?

- DR. RIDDELL: No. I'm sure that my response is that when we typically refer to a scientific paper, we talk to the date on the published paper which, as you see here, is 2007. The review process subsequently is delayed, of course, by the time we get full publication on the CSAS website.
- Q And indeed, the publication on that one was not until October of 2008, as it -- does that seem --
- DR. RIDDELL: That's certainly true. The discussion may have pertained more to when we began implementing some of the activities of that paper.
- Q I just wanted the record to demonstrate the timing here, because you indicated it was useful to you in 2007 but, in fact, it wasn't published until 2008. And that paper goes to the methodology. The next paper, the identification of the CU's and again do you have any reason to differ from the publication dates set out in this exhibit?
- DR. RIDDELL: No, not at all. I would point out only that the second paper is really a very brief summary of the primary results and a list, the text is literally two or three pages long maximum. And it's really written as a very rapid summary for communication.
- Mr. Chamut, you were -- in fact, the panel was asked yesterday about the tension between fisheries management and science in the development of the Wild Salmon Policy and I wonder if I could ask Mr. Lunn to pull up Exhibits 84 and 85, please?

Exhibit 84 is an email which is from Steven

Wright. Who is Steven Wright?

MR. CHAMUT: Steven Wright is a member of the fisheries management sector in Pacific Region. At the time of this email, I really don't know exactly what his role was. I just don't recall. But I know he was part of the fisheries management office in Vancouver.

Q And you see, just if I might just read from the first paragraph:

First, in the transmittal email, Marc states that "there is not consensus among Fisheries Managers on the working group". To date I have accepted the differing positions between Sandy and myself by the fact that Sandy was acting in his capacity of team lead, which required him to try and forge some agreement between the various sectors within his group. As such he was acting in a non-Fisheries Management capacity and therefore not representing the views of our sector. Unfortunately, it is now clear that this is not how his role is perceived and the impression is left that [Fisheries Management] is not of one view on the current draft guidelines. Given our views on the current draft quidelines, I think we need to make it perfectly clear that Pacific Region Fisheries Management does not support the quidelines as currently drafted.

And then in the next paragraph:

To make our position clear and to prevent anyone from exploiting this perceived difference of view within [Fisheries Management] I recommend that when you talk to Pat Chamut ... you clarify the position of [Fisheries Management] in Pacific Region.

 And the question was left yesterday with the suggestion that there was a line between science and fisheries management. Reading this email, it suggests that perhaps there was — it was more individual to individual and that there were differences of view about this that weren't so black and white.

MR. CHAMUT: Well, it's difficult to cast my mind back to that time. I know that there was obviously some tension between Mr. Fraser and Mr. Wright. Mr. Fraser was the individual who was actually working on the draft and Mr. Wright, I guess, felt that he was -- he was not reflecting all of the views within fish management. So it's -- there was -- there was division. As we discussed yesterday, I don't recall hearing any other names mentioned other than these two, and I'm really not in a position where I was close enough to some of the technical staff in the region to know exactly what it was that they were debating or where their views were polarized, but I know there was a polarization and a concern and that is very much what's reflected here.

Q Yes.

- MR. CHAMUT: But as you say, it was not every member of fish management being opposed to it. It was -- there was obviously some internal disagreements between individuals.
- Mm-hmm. And it appears that you were drawn more directly into the discussion in the exchange which is reflected in Exhibit 85. If I could just refer there. This exchange, if you start at the bottom, starts with an email from Laura Richards to Paul Sprout, who was RDG at the time, I believe, discussing -- and let me just read that:

During the July 11 --

32 This is 2003.

-- meeting with the Canadian members of the Fraser Panel, Tom Bird made a comment about a "perception of a disconnect" between fisheries management and science. I talked to him after the meeting and asked for clarification. He said that the issue was of "perception" that was "out there".

There was then response from Paul Sprout on which you were copied and then your remarks are on the large paragraph at the top of the page where you say:

Paul; I agree with your response. I have

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spoken to Wendy and Laura about the significant tensions between staff of the two This is not just a rumour that sectors. circulates among members of the industry, and who then dismiss it as something more perception than reality. It has been raised frequently, most recently by members of the industry who met the [Deputy Minister] yesterday. There are differences of view about some fundamental policy issues, and a strong concern that there is a lack of coordinated direction on many issues associated with conservation of the resource. We need to come to ground on issues associated with Wild Salmon Policy, but there are also divisive views on the Precautionary Approach, and how to implement, and on relative roles and responsibilities. A discussion to address differing views is overdue, and cannot be ignored. I think that the session we have discussed for early August to talk about WSP will be a good starting point.

Again, you reflect on the existence of the tension. Just without getting into long detail, was that coming to -- did that coming to ground take place? Did you have discussions among sectors?

MR. CHAMUT: Yeah. We'd -- we did get together as I recall and I don't remember the date but I believe there was a meeting that was held in Vancouver sometime in August where we -- I attended from Ottawa and I believe that Wendy Watson-Wright was there from Ottawa, as well, and we tried to essentially look at where we were in terms of progress and try to lay out an approach to trying to finalize the WSP because this is in August of 2003 and recall that there had been many recommendations about expediting the WSP and reports that had recommended that it be finalized by December 31st and it was -- it's clear from this memo you can tell that there's still a lot of disagreement and a long distance to go. And I think -- I know what I was trying to do was understand where the policy was in terms of development at that time and see if we could find

- ways to move it forward to achieve that deadline of the 31st of December.
  - Q And on July -- in July of 2003 you were the ADM of fisheries management.
  - MR. CHAMUT: Yes, that's correct. And if you recall, there was a deck that was done which -- it was determined that it came out -- that I had done it, I'd written it, and it came out of my office. I think as we -- as I recall, it was about mid-June that I wrote it and then was -- I circulated it out to the region for comments and that was -- I think there was an opportunity to use that deck at that meeting that we had in early August, so it tried to -- it was put together to try and crystallize some of the issues and concerns as I understood them and as of June.
  - Q And six months later you were in Vancouver heading up the finalization of it?
  - MR. CHAMUT: Yes, that's correct. But I think there's another point here that's -- that I'd just flag. You'll see in my note I refer to a meeting of industry members with the deputy minister and they did, in fact, flag the issue of Wild Salmon Policy and they expressed their concerns and I think it certainly highlighted for the deputy the need to get on with completing it. It was starting to cause real difficulties between the department and with members of industry, but also within -internally he was made -- the deputy was made aware that there were concerns. And it was one of the things that I think that probably raised the issue on his particular radar screen and may have contributed to him asking me to come out and do some work on this before I retired.
  - MR. WALLACE: Thank you. Mr. Commissioner, I have no further questions for the panel, so I believe that wraps it up, and I would thank you for your attendance.
  - THE COMMISSIONER: Thank you, Mr. Wallace. I too would like to thank Dr. Riddell, Mr. Chamut, Mr. Saunders and Dr. Irvine for making yourselves available for this panel which has gone on longer than I'm sure you were told it would go on and —but we are very grateful that you were willing and cooperative to be part of this and to make yourselves available again this morning. Thank you very, very much for that.

1 Mr. Wallace, are we now moving to the next 2 panel? Is that the...? 3 MR. WALLACE: Yes, Mr. Commissioner. I wonder if we could just stand down for a short break and... 5 THE COMMISSIONER: All right. Thank you. 6 For ten minutes. MR. WALLACE: 7 THE REGISTRAR: We are now recessed for five minutes. 8 MR. WALLACE: Ten, five. 9 10 (PROCEEDINGS ADJOURNED FOR MORNING RECESS) 11 (PROCEEDINGS RECONVENED) 12 THE REGISTRAR: Order. 13 The hearing is now resumed. 14 MR. WALLACE: Mr. Commissioner, Brian Wallace, for the 15 record. 16 Perhaps we could commence by having this 17 panel affirmed, those who have not already been 18 affirmed. 19 THE REGISTRAR: Good morning. Dr. Irvine and Mr. 20 Saunders (indiscernible - off microphone). 21 rest of you, do you solemnly affirm that the 22 evidence to be given by you to this hearing shall 23 be the truth, the whole truth and nothing but the 24 truth. Witness number 1? 25 MS. STALBERG: I do. 26 DR. HOLT: I affirm. 27 DR. HYATT: I do. 28 THE REGISTRAR: Thank you. And your full name, please. 29 MS. STALBERG: It's Heather Christine Stalberg. 30 THE REGISTRAR: Thank you. 31 DR. HOLT: Carrie Holt. 32 THE REGISTRAR: Thank you. 33 DR. HYATT: Kim Dennis Hyatt. 34 THE REGISTRAR: Thank you. Counsel. MR. WALLACE: Thank you. The first thing, Mr. 35 36 Commissioner, I'd like to do in the context of 37 this panel is to mark by consent a list of some 38 exhibits that we have advised the participants of. 39 And, Mr. Lunn, the first is the number 2 on our 40 list of Implementation potential exhibits. 41 that be marked as the next exhibit please. 42 THE REGISTRAR: It will be Exhibit number 125. 43 44 EXHIBIT 125: "Assessing status and 45 identifying benchmarks of Conservation Units 46 for the Wild Salmon Policy", Carrie Holt

	WALLACE: Number 6 on that list. REGISTRAR: One hundred and twenty-six.
5 5 6	EXHIBIT 126: Table of Indicator(s), Project, Cost, Effort and Comments
7 MR.	WALLACE: Number 7. REGISTRAR: One hundred and twenty-seven.
10 11 12	EXHIBIT 127: Table of Single CU or Watershed Indicators Costing
13 MR.	WALLACE: Number 8. REGISTRAR: One hundred and twenty-eight.
16 17	EXHIBIT 128: Habitat Compatibility Model
	WALLACE: The next two documents on the list, numbers 13 and 21 from the list have already been marked as Exhibit 123.
21 THE	REGISTRAR: "A" and "B", I believe. WALLACE: Thank you. The next one on the list to be marked, then, is document 15 from the list.
	REGISTRAR: That will be 129.
26 27 28	EXHIBIT 129: May 16, 2005 e-mail from Jay Hartling to Mary Hobbs
29 MR.	WALLACE: Sixteen. REGISTRAR: One-thirty.
32 33 34	EXHIBIT 130: June 1, 2005 e-mail from Susan Farlinger to Carol Cross
	WALLACE: Seventeen. REGISTRAR: One-thirty-one.
38 39 40	EXHIBIT 131: June 2, 2005 e-mail from Richard Wex to Susan Farlinger
	WALLACE: Eighteen. REGISTRAR: Two, 132.
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44 45	EXHIBIT 132: June 3, 2005 e-mail from Susan Farlinger to Pat Chamut
46 47 MR.	WALLACE: Twenty.

1 THE 2	REGISTRAR: One-thirty-three.
2 3 4 5	EXHIBIT 133: Wild Salmon Policy Habitat Strategy Discussion Paper, July 23, 2006
6 MR.	WALLACE: Twenty-four. REGISTRAR: One-thirty-four.
9 10 11 12	EXHIBIT 134: Regional Management Committee Meeting of August 09, 2005, Record of Decisions
13 MR.	WALLACE: Twenty-five. REGISTRAR: One-thirty-five.
16 17 18	EXHIBIT 135: WSP Implementation Workplan, RMC, September 20, 2005
19 MR. 20 21 THE 22 THE 23 MR. 24 THE 25 MR. 26 27 THE	WALLACE: The next document on the list was marked during the examination yesterday of by Mr. Timberg. I don't recall the number. REGISTRAR: I'm not sure which one WALLACE: Exhibit 109, I am advised. REGISTRAR: Exhibit 109. WALLACE: Thank you. The next on the list is number 27. REGISTRAR: Will be 136.
28 29 30 31 32	EXHIBIT 136: Regional Management Committee Meeting of September 20, 2005, Record of Decisions
33 MR. 34	WALLACE: The next, marking them together, are documents 30 and 31. REGISTRAR: That will be 137; "A" will be 30, and document 31 will be "B".
38 39 40	EXHIBIT 137A: Update re WSP Team Meeting to Review First Nations Dialogue Session
41 42 43	EXHIBIT 137B: Wild Pacific Salmon Policy Forum 3 Summary Report, held December 8, 2005
44 MR.	WALLACE: Thank you. Thirty-two. REGISTRAR: That will be 138.

1 2 3		EXHIBIT 138: "Managing Pacific Salmon for Ecosystem Values: Ecosystem Indicators and the Wild Salmon Policy", March 2006
4 5 6 7		WALLACE: Thirty-four. REGISTRAR: One-thirty-nine.
7 8 9 10 11		EXHIBIT 139: "Advisory: Implementing the Habitat and Ecosystem Components of DFO's Wild Salmon Policy", October 2006
12 13 14		WALLACE: Thirty-seven. REGISTRAR: One-forty.
15 16 17		EXHIBIT 140: Letter to Mr. Paul LeBlond from Loyola Hearn
18 19 20		WALLACE: Thirty-eight. REGISTRAR: One-forty-one.
		EXHIBIT 141: Letter to Mr. Bill Wareham from Loyola Hearn
21 22 23 24 25 26 27 28		WALLACE: Forty-one. REGISTRAR: One-forty-two.
20 27 28 29 30		EXHIBIT 142: "Refining habitat indicators for Strategy 2 of the Wild Salmon Policy: Practical assessment of indicators", July 20, 2007
32 33 34		WALLACE: Forty-two. REGISTRAR: One-forty-three.
35 36 37 38		EXHIBIT 143: CSAS Research Document 2007/070 "Conservation Units for Pacific Salmon under the Wild Salmon Policy"
39 40 41		WALLACE: Forty-four. REGISTRAR: One-forty-four. How did we do that?
42 43 44 45		EXHIBIT 144: February 25, 2008, WSP Habitat Indicators Costing Meeting Draft Meeting Minutes
46 47	MR.	WALLACE: The next one seems to be mis-numbered on the list. The next one on the list is also number

1 2 3	is also on the list as 44, but the second 44 is actually 45.  THE REGISTRAR: Okay. Then 44 will be 145.
4 5 6 7 8 9	EXHIBIT 145: CSAS Proceedings Series 2008/013, Proceedings of the Pacific Scientific Advice Review Committee (PSARC) Salmon Subcommittee meeting, June 13-14 2007
10 11 12	MR. WALLACE: This symmetry won't last. Forty-six. THE REGISTRAR: One-forty-six.
13 14	EXHIBIT 146: 2008-09 WSP Team Meeting Minutes, August 5, 2008
15 16 17	MR. WALLACE: Forty-seven. THE REGISTRAR: One-forty-seven.
18 19 20	EXHIBIT 147: Single CU/Watershed Cost Analysis table, August 6, 2008
21 22 23	MR. WALLACE: Forty-eight. THE REGISTRAR: One-forty-eight.
24 25 26 27 28	EXHIBIT 148: Operations Committee, WSP Strategy 2, Assessment of Habitat Status, Our Progress and Plan, Sept. 23/08
29 30	MR. WALLACE: Forty-nine. THE REGISTRAR: One-forty-nine.
31 32 33	EXHIBIT 149: Operations Committee Meeting of Sept. 23, 2008, Draft Record of Decisions
34 35 36	MR. WALLACE: Fifty. THE REGISTRAR: One-fifty.
37 38 39 40 41	EXHIBIT 150: Regional Management Committee Decision Paper Titled "Wild Salmon Policy (WSP) Web Mapping Application", October 8, 2008
42 43 44 45 46 47	MR. WALLACE: Fifty-one. THE REGISTRAR: One-fifty-one.

1 2 3 4		EXHIBIT 151: Regional Management Committee Meeting, October 14, 2008, Record of Decisions
5 6 7		WALLACE: Fifty-two. REGISTRAR: One-fifty-two.
8 9 LO L1		EXHIBIT 152: Framework for Characterizing Conservation Units of Pacific Salmon (Onchorhynchus Spp.) for implementing the Wild Salmon Policy, January 2009
L2 L3 L4 L5		WALLACE: Fifty-three. REGISTRAR: One-fifty-three.
- 5 - 6 - 7 - 8 - 9		EXHIBIT 153: CSAS Research Document 2009/068 "Indicators of Status and Benchmarks for Conservation Units in Canada's Wild Salmon Policy"
21 22		WALLACE: Fifty-four. REGISTRAR: One-fifty-four.
23 24 25 26 27 28		EXHIBIT 154: CSAS Research Document 2009/069 "Evaluation of Benchmarks for Conservation Units in Canada's Wild Salmon Policy: Technical Documentation"
29 30 31	MR.	WALLACE: And you don't think we know how to organize a hearing. The next documents are numbers 61 and 65.
32 33 34	THE	REGISTRAR: That will be 155A and "B"; 61 is "A", 65 is "B".
35 36 37		EXHIBIT 155A: "Considering ATK in the Implementation of WSP", September 14, 2009
3 8 3 9 1 0		EXHIBIT 155B: Cover note from Wellsley Hamilton dated October 26, 2009
11 12		WALLACE: Thank you. Sixty-three. REGISTRAR: One-fifty-six.
13 14 15 16 17		EXHIBIT 156: "Framework for Implementation of the Wild Salmon Policy: Initial Lists of Conservation Units for British Columbia", October 2009

1 2 3	WALLACE: Sixty-four. REGISTRAR: One-fifty-seven.
4 5 6	EXHIBIT 157: Operations Committee Meeting October 8, 2009, Draft Record of Decisions
7 8 9	WALLACE: Sixty-six. REGISTRAR: One-fifty-eight.
10 11 12 13 14 15	EXHIBIT 158: CSAS Proceedings Series 2009/038 Results of a Peer Review Workshop on the Draft Report "Canada's Policy for Conservation of Wild Pacific Salmon: Proposed Stream, Lake, and Estuarine Habitat Indicators", 15-16 January 2009
17 18 19	WALLACE: Sixty-seven. REGISTRAR: One-fifty-nine.
20 21 22 23 24 25	EXHIBIT 159: Action Plan to Address Conditions for Marine Stewardship Certification of British Columbia Sockeye Fisheries (Fraser River, Barkley Sound, Nass River and Skeena River), December 21, 2009
26 27 28	WALLACE: Sixty-eight. REGISTRAR: One-sixty.
20 29 30 31 32 33 34	EXHIBIT 160: CSAS Proceedings Series 2009/046 Workshop on methods for assessing status and identifying benchmarks for Conservation Units of the Wild Salmon Policy, January 5-6 2009
35 36	WALLACE: Seventy. REGISTRAR: One-sixty-one.
37 38 39 40	EXHIBIT 161: "A Review of Metrics of Distribution with Application to Conservation Units under Canada's Wild Salmon Policy, 2010
41 42 43	WALLACE: Seventy-two. REGISTRAR: One-sixty-two.
44 45 46 47	EXHIBIT 162: Record of Meeting dated April 15, 2010, WSP Implementation Team

	WALLACE: Seventy-three. E REGISTRAR: One-sixty-three.
4 5 6	EXHIBIT 163: Record of Meeting dated May 20, 2010 Regional WSP Implementation Team
	. WALLACE: Document 74 and something else. Let's mark 74 as
9 TH 10 MF 11 TH 12 MF 13 TH	E REGISTRAR: One-sixty-four "A". WALLACE: And we'll come back to the other. E REGISTRAR: And the other document will be 164B. WALLACE: Whatever the number is. E REGISTRAR: Whatever that will be.
14 15 16 17	EXHIBIT 164A: Media Lines, Wild Salmon Policy Review, June 3, 2010
17 18 19 20	EXHIBIT 164B: Cover note from Lisa Wilson, June 4, 2010
21 Al 22	right. So documents 74 and 75 will become 164A and "B", and document 76 is the next one. Yes.
23 TF 24 25	E REGISTRAR: Okay. So that will be 76 will be 165.
26 27 28	EXHIBIT 165: Media Lines, Wild Salmon Policy Review, June 8, 2010
29 MF	WALLACE: Thank you. And 78. E REGISTRAR: One-sixty-six.
32 33 34 35 36	EXHIBIT 166: Summary Notes, Workshop on Identifying Benchmarks and Assessing status of Conservation Units under Strategy 1 of the Wild Salmon Policy, June 17-18, 2010
37 MF	WALLACE: Seventy-nine. E REGISTRAR: One-sixty-seven.
40 41 42	EXHIBIT 167: Media Lines, Wild Salmon Policy Review, June 22, 2010
43 MF	. WALLACE: Eighty-two. E REGISTRAR: One-sixty-eight.

1 EXHIBIT 168: Wild Salmon Policy 2 Implementation Team Terms of Reference, 3 Draft, September 3, 2010 4 5 Those are the documents from the Ringtail MR. WALLACE: 6 disclosure. Others that have been previously 7 circulated, described as follows: A copy of all 8 briefings given to the Pacific Salmon Commission 9 or its Panels regarding Canada's Wild Salmon 10 Policy. Could that be marked as the next exhibit, 11 please. 12 THE REGISTRAR: That will be 169. 13 14 EXHIBIT 169: A copy of all briefings given 15 to the Pacific Salmon Commission or its 16 Panels regarding Canada's Wild Salmon Policy 17 18 MR. WALLACE: Thank you. Next, the presentation to the 19 Regional Management Committee entitled "WSP 20 Implementation Strategy, August 9, 2005". 21 THE REGISTRAR: That will be 170. MR. WALLACE: That's the colour version. 22 23 EXHIBIT 170: Presentation to Regional 24 25 Management Committee entitled "WSP 26 Implementation Strategy, August 9, 2005" 27 colour version 28 29 MR. WALLACE: The next one was a document that I put to Dr. Riddell this morning, which is a table 30 31 containing the dates on which the Wild Salmon 32 Policy-related scientific papers were reviewed by 33 PSARC, and approved and posted. 34 THE REGISTRAR: That will be 171. 35 36 EXHIBIT 171: Table of dates on which Wild 37 Salmon Policy-related scientific papers were 38 reviewed by PSARC, approved and posted 39 40 MR. WALLACE: Then we have two lists, two documents 41 from Canada's list, the first being number 63 on 42 Canada's list, a draft Fall 2006 Consultation 43 Staff List, Version B of September 25, 2006. 44 THE REGISTRAR: Will be Exhibit 172. 45 MR. WALLACE: Sorry, Version 8. THE REGISTRAR: That will be Exhibit 172. 46

1 2		EXHIBIT 172: Draft Fall 2006 Consultation Staff List, Version 8, September 25, 2006
3 4 5 6 7		WALLACE: Thank you. Also from Canada's list, number 78, the October 1, 2010 e-mail from Tom Robbins, attaching revised Media Lines. REGISTRAR: One hundred and seventy-three.
8	IUU	REGISTRAR: One nundred and sevency-three.
9 10 11 12 13 14		EXHIBIT 173: October 1, 2010 e-mail from Tom Robbins attaching revised Media Lines
	MR.	WALLACE: And finally we have two additional additional documents from the Ringtail disclosure, being a November 3rd, 2005 e-mail from Judy Gwynn to DFO Officials with three attachments.
16	THE	REGISTRAR: That will be 174.
17 18 19 20		EXHIBIT 174: November 3, 2005 e-mail from Judy Gwynn to DFO Officials with three attachments
21 22 23 24 25		WALLACE: Thank you. And finally Ringtail document Stalberg et al "Canada's Policy for Conservation of Wild Pacific Salmon: Stream, Lake and Estuarine Habitat Indicators".
26 27	THE	REGISTRAR: That will be 175.
28 29 30 31 32		EXHIBIT 175: Stalberg et al "Canada's Policy for Conservation of Wild Pacific Salmon: Stream, Lake and Estuarine Habitat Indicators"
33 34 35 36		WALLACE: Next I would like to mark the c.v.s of the members of the panel. The first I would mark is that of Heather Stalberg. REGISTRAR: One-seventy-six.
37 38 39 40		EXHIBIT 176: Curriculum vitae of Heather Stalberg
41	MR.	WALLACE: Dr. J.R. Irvine.
42		REGISTRAR: One-seventy-seven.
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44		EXHIBIT 177: Curriculum vitae of Dr. J.R.
45		Irvine
46 47	MR	WALLACE: The curriculum vitae of Carrie Holt.
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1 THE REGISTRAR: One-seventy-eight. 3 EXHIBIT 178: Curriculum vitae of Carrie Holt 4 5 MR. WALLACE: And the curriculum vitae of Kim Hyatt. THE REGISTRAR: One-seventy-nine. 6 7 8 EXHIBIT 179: Curriculum vitae of Kim Hyatt 9 10 MR. WALLACE: And finally we have a curriculum vitae 11 for Mark Saunders. THE REGISTRAR: One-eighty. 12 13 14 EXHIBIT 180: Curriculum vitae of Mark 15 Saunders 16

MR. WALLACE: If I might now take you, take the panellists to their -- the summaries of evidence that we have provided them, and which they returned to us and have been provided. Let me start with Ms. Stalberg.

### EXAMINATION IN CHIEF BY MR. WALLACE:

Ms. Stalberg, you have reviewed the summary of evidence that we provided to you?

MS. STALBERG: I have, yes.

- Now, in the course of the next day or so I will be asking questions about that evidence and asking you to elaborate on it and to comment on things in which you don't give explicit evidence in the summary. But subject to the oral evidence that you'll give here, do you adopt that summary as being correct?
- MS. STALBERG: Mr. Commissioner, I'd like to make a number of corrections or clarifications in the document before I can adopt it. Thank you.

MR. WALLACE: Yes.

MR. TIMBERG: Mr. Commissioner, just for the benefit of the witness, you can actually swing that microphone, it will -- there you go.

MR. WALLACE:

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- Yes, Ms. Stalberg. Do you wish to comment on your summary of evidence?
- MS. STALBERG: Yes, please. If it's easier to follow, we could start at the very beginning and move through the document.

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20 21 22 23 24 25	
26 27 28 29 30 31	
32 33 34 35 36 37 38	
39 40 41 42 43	

46 47 Q Yes, please.
MS. STALBERG: The section is "The role of the WSP Habitat Working Group and WSP Habitat Coordinator". In the first bullet it notes that:

Ms. Stalberg will explain her contribution to the implementation of Strategy 2 of the Wild Salmon Policy from November 2005 to January 2009.

And I was on the implementation team from September 2005.

If we move down to the third bullet, again it's a similar change where it says that:

...as well as being a member of the WSP Implementation Team from November 2005 to January 2009.

"November" should be changed to "September", please. And as well in that same bullet, it notes:

She will say that the OHEB Regional Director Rebecca Reid had planned to sunset this position in March 2009.

"This position" being the Habitat Working Group Coordinator position.

If we can move to the second page of the document under "Action Step 2.1", the third bullet it notes:

She will say that she contributed to the development of the template for habitat status reports, in consultation with members of the Wild Salmon Policy Implementation team and stock assessment staff.

And including "and the Habitat Working Group" as well, please.

And the fifth bullet down it notes:

With reference to Slide 21 of her September 23rd, 2008 presentation, she will say that, as of --

 - and it notes "March 2009", however, my position did end in January 2009 -

-- the only Fraser River sockeye conservation units that she believed to have partial habitat status reports were Trembleur Lake sockeye and Cultus Lake sockeye.

And I note here that the -- there was not a habitat status report generated for Cultus Lake sockeye.

In the seventh bullet down, it notes:

She will bring any Cultus Lake sockeye partial habitat status report to the hearing.

There was a Cultus Lake sockeye overview report, and I have brought that to the hearing. If you would like the hardcopy, I have it here.

In the next bullet it notes:

With reference to the habitat status reports for the Harrison River Watershed CU's (CAN185605), which include three separate Fraser River sockeye CU's, she was not aware of these as of March 2009.

Again, I left the position in January 2009.

And I'd like to provide some context for the status reports and overview reports, and perhaps we can provide more detail during the session. There were originally 11 habitat status reports requested. We gained seven from this early pilot, and then two additional unrequested habitat status reports were generated and there were five overview reports.

In "Action Step 2.2" it notes:

Ms. Stalberg will describe DFO's work under Action Step 2.2 of Strategy 2.

I can describe DFO's Habitat Working Group work under Action Step 2.2 as Strategy 2, as the coordinator from July '06 to January '09, and as an Implementation Team member from September '05 to January '09. But there are likely others in the Department well more positioned to describe

events preceding and post my tenure.

On page 4 of the document and the fifth bullet down, it notes:

In discussing a monitoring framework, she may refer to her briefing note entitled "WSP Strategy 2 Monitoring Framework"...which captures the deliberations of the WSP Implementation Team and Carol Cross.

And "Implementation Team" should be replaced with "Habitat Working Group".

Under "Action Step 2.4", the ninth bullet, it says:

She will say that annual funding support of the web-mapping application development had been committed to by all branches.

And "annual" should be struck such that it reads:

She will say that funding support of the webmapping application development had been committed to by all branches.

Please.

In the next bullet it notes:

She will say that the Operations Committee received a request for long-term funding from multiple sectors for technical support of the web-mapping application at its...

And "September 23rd, 2008" needs to be replaced with "October 14th, 2008".

And on the last page, page 6, the third bullet:

She will say that Strategy 2 implementation got ahead of Strategy 3 implementation and that this is referred to in September 23rd, 2008 Ops Committee Deck, slide 17. Before Ms. Stalberg departed in January 2009, Ms. Reid told her that a rationale for Ms. Reid's decision to slow down Strategy 2 implementation was to let Strategy 3 catch up.

And I would correct that, in that the conversation with Ms. Reid happened prior to the Implementation Team meeting.

With that those are all of my changes to the

With that, those are all of my changes to the document. I would note that this is a response specific to answers or questions posed to me during the interview and there is more context and information that will hopefully explain a more fuller story about Strategy 2.

- Q Thank you. It will have been, I trust by the time we're done, it will have been covered. In the last correction, with respect to the timing of your conversation with Ms. Reid, do you recall when that conversation took place?
- MS. STALBERG: No. And it probably wasn't a single conversation as I had meetings with Ms. Reid on a regular basis.
- Thank you. Mr. Saunders, your summary of evidence included both evidence with respect to the Development Panel and this panel, and I don't think I differentiated between the two when I asked you previously. So just to confirm, that am I correct that you adopt the subject of the issue of further elaboration here, that as your evidence?
- MR. SAUNDERS: Yes.
- Q Thank you. And, Dr. Irvine, the same, I think, also that I didn't differentiate between the two pieces of your summary of evidence. Do you, with the caveats, adopt that as your evidence?
- DR. IRVINE: Yes, that's fine.
- Q Thank you. Ms. Holt, you've had an opportunity to review your summary of evidence?
- DR. HOLT: Yes.
- Q And can you accept that as your evidence? Sorry, Dr. Holt.
- DR. HOLT: I'd like to make several corrections and clarifications.
- Q Thank you.
- DR. HOLT: In the fourth bullet on the first page under "Conservation Unit benchmarks generally under the Wild Salmon Policy", in the second sentence I am -- it's a point about the differences between benchmarks and reference points, and the use of reference points in harvest control rules. The second sentence says:

 She will say that while harvest control rules may be common in other marine fisheries...

 I'd just like to say, change that, that they're not -- I would argue that they're not common but do occur in other marine fisheries.

 The last bullet in that section states that:

She will be asked about the requirement in Strategy 1, Step 1.2 of the Wild Salmon Policy that DFO prepare and publish operational guidelines regarding the level within the "red zone" where a CU is at risk of extirpation. ... She has not been involved in preparing any such operational guidelines.

I'd like to explain here how Dr. Blair Holtby has been working on a synoptic assessment framework that uses conservation thresholds that are within that Red Zone, which delineate a level that can be considered high risk of extirpation, that are in line with COSEWIC thresholds. So his, Dr. Blair Holtby's work, would be in line with that requirement in the Wild Salmon Policy. So I'm not involved with it, but it is happening within DFO. Thank you.

DR. HOLT: On page 3 on the section "Efforts to implement Action Step 1.2 by determining benchmarks for specific CU's". The last point says:

 She does not believe the reason benchmark estimation has been stalled is a technical issue, as other areas like the West Coast of Vancouver Island, led by Diana Dobson, have had no difficulty implementing provisional benchmarks.

Q Sorry, Dr. Holt, can you direct me to where you... DR. HOLT: The last -- oh, it might -- different version. It's the -- it's in the section "Efforts to Implement Action Step 1.2".

Q Yes.

DR. HOLT: That's -- that is -- it's the first bullet on page 4.

Q Thank you. "She does not believe the reason"?

DR. HOLT: Exactly. I would say that there are

technical difficulties that have stalled the 1 implementation, and I've described some of them on 3 the next page. Those haven't stalled the West Coast of Vancouver Island and Diana Dobson's work, 5 but there are several technical difficulties. One 6 of the technical difficulties that is not 7 mentioned here is assigning data to conservation 8 units where data such as spawner data or 9 recruitment data has typically been assigned to 10 larger stock aggregates. So delimiting CU-11 specific data is one of the technical challenges 12 that has delayed or postponed the implementation, 13 and that's not listed here. 14 Thank you. 15 DR. HOLT: And that was the final change. 16 Thank you very much, and subject to that, you 17 accept that as your evidence? 18 DR. HOLT: Yes, I do. 19 Dr. Hyatt, the same question to you. You have had 20 a chance to review the summary of anticipated 21 evidence? 22 DR. HYATT: I have. 23 Can you accept that as your evidence? 24 DR. HYATT: Subject to several corrections as with 25 previous respondents. 26 Under the heading "WSP Implementation issues 27 generally", this is the tenth bullet down that 28 begins: 29 30 He will say that Strategies 1 and 2 must 31 precede... 32 33 This needs to be more precisely worded, that: 34

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Have you managed to find that?
UNIDENTIFIED SPEAKER: Can you repeat that?
DR. HYATT: It's the -- under "WSP implementation issues generally", right, page 2, the tenth bullet down it currently reads:

habitat and associated indicators in

Strategies 1 and 2 must precede...

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He will say that Strategies 1 and 2 must precede...

He will say that definitions of CU's, salmon

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bullets down:

But to make it more precise, it isn't all elements of Strategies 1 and 2, but rather: 3 4 He will say that definitions of CU's, salmon 5 habitat and associated indicators in 6 Strategies 1 and 2 must precede... 7 8 MR. WALLACE: 9 Thank you. 10 DR. HYATT: The next bullet, there is just a one-word 11 change. It currently reads: 12 13 He will also say that as WSP implementation 14 progresses, an ever-increasing level of 15 integration is required among the strategies 16 due to their... 17 18 "Independence" is what it currently reads. 19 needs to say "interdependence" to avoid conflict 20 with the statement made previously above. 21 that was just a transcription error. Now, under "Implementation of Strategy 3 to 22 23 date", the next page, and it's -- it gets 24 complicated to track these. It's the fourteenth 25 bullet down, which starts with: 26 27 He will say that over the course of 2007 and 28 into 2008... 29 30 Have you -- have you found that? 31 Yes, I have, thank you, Dr. Hyatt. DR. HYATT: Thank you. Well into that paragraph: 32 33 34 He will say that they did not consult with 35 DFO sectors... 36 37 There's a one-word modifier: 38 39 ...they did not systematically consult... 40 41 That's important, because we're -- we continuously 42 consult. We're quite linked to other personnel in 43 the Department much of the time, so there's a 44 distinction between --45 I understand. 46 DR. HYATT: Thank you. Bullet 17, so three more

He will say that Barkley Sound is currently the only WSP pilot in which Strategies 1 - 3 - -

- and this is just a modifier -

-- may all be quickly advanced to inform Strategy 4.

Q Thank you.

DR. HYATT: The next major heading, "The independent five year review required under Strategy 6, Action Step 6.2", just the first bullet. "Initiated" should really say "re-initiated" because this is a cyclical discussion.

The next major heading, "Challenges to and progress on WSP implementation, particularly for Strategy 3", the third bullet down there's a paragraph that begins:

He will say that, in his experience, National Headquarters (NHQ) is committed to the principle of ecosystem approaches to fisheries, but not necessarily to doing this through the WSP.

## Next sentence:

In his experience, including from attending DFO science workshops in Ottawa, NHQ officials understand ecosystem-based approaches to fisheries...

And here's where the language changes a little:

...focused on marine ecosystems through an international lens, but not on marine and freshwater ecosystems as required by the WSP.

It's simply for clarification of the essence of the difference between WSP requirements for ecosystem-based management and those normally worked on by headquarters. So I think that language will help us discuss this.

Q Dr. Hyatt, on a slightly different point on this paragraph while you're there, you attribute this to your experience with National Headquarters and

with officials there. Can you be more specific, as in...

- DR. HYATT: As to who those officials...
- Q Who they are, and...

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- DR. HYATT: The major interactions that I've had there were with the group under Dr. Jake Rice who were looking at ecosystem approaches to fisheries management in general at kind of a national level. This is a national initiative, to respond to various international calls for ecosystem approaches to fisheries, to the management of all fisheries. So this included the management of ground fish stocks and marine invertebrates, as well as elements of the establishment of marine protected areas. So that's been a major thrust of the -- of the national approach to this. And Wild Salmon Policy, as a specific policy that has a requirement for ecosystem-based management, shares some things in common with this international requirement, but also because of the nature of anadromous salmon has some, you know, particular characteristics that have to be well understood if you're going to achieve effective implementation under Strategy 3.
  - And the group you referred to are you suggesting doesn't have that focus?
  - DR. HYATT: They have not been involved directly in Wild Salmon Policy development or its implementation, unless Mark or someone else, we could comment on this later. But to my knowledge, they have not been involved directly with the implementation of Wild Salmon Policy.
  - Thank you. Please proceed.
  - DR. HYATT: We're almost done. The eleventh bullet down, which is actually the fifth point of what it requires to implement Strategy 3, and under WSP, after it says:

...he believes that DFO will need to initiate some new science programs to examine how marine and freshwater ecosystems...

Previously it said "controls to salmon production". It really should say "ecosystem linkages to salmon production variations". There is a fine distinction, which I'm sure we'll get into.

Q Thank you.

DR. HYATT: And that's -- that's the last correction that's required. Subject to those changes, I can accept this testimony.

- MR. WALLACE: Thank you. Mr. Commissioner, with this panel I will now cover this Implementation area, and there are three topics, just to lay it out generally. First of all, I'd like to address -ask the panel to address, rather, the implementation planning generally in 2005, and then go -- proceed to an overview of the implementation of the three strategies, which will The third be primarily directed to Mr. Saunders. point I'll then go in more detail to Strategy 1, and put questions principally to Dr. Irvine and Dr. Holt. Then to Strategy 2, which my questions primarily will be directed to Ms. Stalberg, and fifthly go to Strategy 3, addressing the implementation of Strategy 3 principally to Dr. Hyatt and Dr. Irvine. Then I have some questions about Strategy 6 and some questions as to the implications with respect to the linkages, I guess, between the Wild Salmon Policy and the MSC certification, talk a little about interaction with the Province of British Columbia, and finally deal with challenges to Wild Salmon Policy implementation.
- Q So if I can start with you, Mr. Saunders. The -this question we went to before, and just to
  clarify. As I understand your evidence, there has
  been no document referred to or called an
  "Implementation Plan" and you have identified, I
  think, a couple of ways how -- what the
  implementation plan is, and you've identified, I
  think, and your counsel has marked as exhibits a
  number of workplans, and then you gave some
  evidence about the strategies themselves in that
  context, and I'd like to ask you some questions
  about that.

The implementation plan, and as we heard in the previous panel, was quite explicitly put into the Wild Salmon Policy, and page 35 in particular — is it your evidence, then that the workplans that are produced annually and as I understand it, and perhaps more frequently, are intended to be that plan, or in your view does this meet the requirement for a plan, which is, I take it, a

long-term goal in the Wild Salmon Policy itself?
MR. SAUNDERS: Yes, Mr. Commissioner. I think as I stated in the previous panel, I think it may be some semantics about what an implementation plan really entails. And my point then and still is, is that the document itself, with its six strategies, the Wild Salmon Policy provides the basic direction of each of those strategies for an implementation plan, how to move forward on implementing the principles, goals and objectives of the policy.

And as we moved into the implementation phase, there were a number of documents, and I don't recall the one that we spoke to yesterday, but it did include some of the larger performance measurement issues around the implementation, and included a first diagram of the timeline, and started to under each of the strategies and each of the action steps that are included in the Policy, started to lay out with the \$1.1 million in resources that we discussed in the previous panel, as well, how we would utilize those resources to start conducting or achieving the activities that were required under each of the action steps.

And that in subsequent years, that timeline, I used a tool called a Gantt diagram, and was continually updated and brought back to our Steering Committee, or as it's now called, our Operations Committee, to update senior management on the status. So that was the general process for development of, if you want to call it implementation plan or workplan.

- Wasn't it intended that the implementation plan would be a consultative document that you would develop with the assistance of and consultation with stakeholders?
- MR. SAUNDERS: Yes. And certainly we have an internal requirement as part of the budgeting exercise for that \$1.1 million. We had to move a workplan through internal process. But the basis those documents formed the basis for subsequent multi First Nations and multi—interest forums that mirrored the ones that we Mr. Commissioner, that we identified that were used in the development of the policy, that same collection of interests and First Nations came together to work

on the implementation. And so, yes, that they did form, they had multiple uses, internal and consultative.

Q If I could take you to Exhibit 123, please. Sorry, I have the wrong number there. It's document 129 -- Exhibit 129.

Now, were you involved in the development of this communication?

- MR. SAUNDERS: I don't recognize being involved in the development of it.
- Q You -- it was copied to you at the time?
- MR. SAUNDERS: Yes.

 Q And do you agree that that was a commitment of the Region -- I'm looking at the first, the large paragraph in the middle of the page:

The Region has made the point that consultation will continue on implementation of various parts of the Policy. At the April 29&30 sessions the Regional Director General committed to an open, consultative process for developing the implementation plan, finalizing Conservation Units, developing a strategic planning process...

Do you recall that commitment?

MR. SAUNDERS: Yes.

- Q And is it your evidence, then, that the process, which has been followed with the workplans, meets that commitment?
- MR. SAUNDERS: As I said in the -- my time as a coordinator, I can answer absolutely. And my present experience in the last year, my understanding is that is the case. But there's a gap in my engagement in the WSP from March 2007 to February 2009.
- Q Yes. And how does the public get involved in this process?
- MR. SAUNDERS: Well, material -- there's a number of ways that the public can be engaged in it, but certainly material, we made a commitment to making sure that material that came -- documents that came out have been made available on websites. I think the majority -- certainly the sessions were open. There's never been -- you'll hear today that there are a number of ways that we move forward on methods and other aspects of

implementation, and we certainly -- those are open to the public, including our peer review process. The forums were well advertised, certainly within the realm of all of the interests that had previously been involved in the development of the Wild Salmon Policy.

- Q Are the workplans available to the public?
  MR. SAUNDERS: I can't recall specifically whether our workplans are available. I would have to take a look at some of the documents that we had related to the -- the actual -- to the implementation forms, whether or not we presented the full workplan there, I can't recall.
- Perhaps you could go to Exhibit 123 now. And this document, which was put to you yesterday by Ms. Gaertner, there's a commitment on page 2. Did any of those next steps occur:

Engage First Nations in implementation through a process that builds on the success of the WSP development consultative process.

- MR. SAUNDERS: Yes, Mr. Commissioner, as I noted, indeed we followed the -- the same process that we discussed in the previous panel quite extensively in terms of multi-interest and First Nations dialogue sessions that were built back-to-back with First Nations, and then -- and then the multi-interests. And as we talk more about subsequent implementation and the development of the CU's, there were more targeted processes that went, rather than bringing people to -- specifically to a central place for a forum, we went -- we went into areas within the -- within the region to discuss the specifics with people of items like the definition of the conservation units.
  - Q There's a specific commitment here to convening a First Nations forum in September. Did that occur?
- MR. SAUNDERS: I can't recall. There would have been a First Nations forum, I can't recall if it occurred in the September or a number of months later.
- Q Was there ever a completion, going on page 2, the commitment to complete:

...a detailed implementation plan through consultation with First Nations and other

salmon interests.

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Did that occur?

- MR. SAUNDERS: I don't have a clear recollection of ever landing on a finalized document that would have had the plan. It was more of a continuous engagement and notification of the sort of work that was ongoing, and then -- and results, and then another round of what we would be doing in subsequent years. So I don't recall a specific long-term, you know, like a -- if you're asking for like a five-year plan, I don't recall anything like that.
  - If you look at -- you know, looking at page 35 of the Policy, and some of the commitments made right after it came into force, you get, I think, a strong sense of a firm commitment with firm timelines, with particular kinds of consultation. And I'm suggesting that what you're identifying now as having been the implementation plan is really more ad hoc, more internal, and doesn't have the sort of rigorous commitment that was suggested in the early documentation. Is that fair?
- MR. SAUNDERS: I'm not sure I would say that's entirely fair. I don't -- I would rather than choosing a word like "ad hoc" I would say it's adaptive. think part of what we recognize is right from the outset it's very difficult to pin down and put into a timeline exactly how long it's going to take to conduct, and in particular this panel that we've got assembled today, the technical people that were involved in those -- in some very complicated technical issues, that in themselves it's very difficult to predict how much time that will take. And then looking at any kind of a consultative process around that, and I expect we'll get into details when you push down into the -- into the conservation units.

But I think we realized very quickly that it was very difficult to pin this down in terms of the -- (a) the sort of timeline, and (b) the resources that were going to be required. So in my experience, in the first several -- year and a half of implementation, that the -- the consultation both outside the Department and inside the Department was at a very high standard.

And I don't believe that the -- I can't speak to specifics, but the commitment has not -- not gone away.

I think if you're looking for evidence of subsequent large meetings, that is probably a less — as we got into the details of things, the need to bring the larger group together had — it was not something that we recognized as — as being necessarily appropriate. They would be more targeted related to the very technical and specific pieces we were working on. So there were still a lot of activities and consultation going on, but you won't — they're not in the same — exact same manner that you would have seen them in that first year and a half of implementation engagement.

Q In your summary of evidence, Mr. Saunders, you
indicated that -- or you said:

...that in the summer and fall of 2005, he considered the need to create an implementation plan and provided briefing materials to the Regional Management Committee referencing an implementation plan. However, in the fall of 2005, DFO shifted away from this effort. He does not recall any specific decision to not pursue an implementation plan. He will say that, from the fall of 2005 onwards, DFO documented its implementation commitments through internal annual work plans instead.

Now, in -- let me ask you about the meeting of the Regional Management Committee on August the 9th, 2005. And there's a reference to the record of decision and presentation deck, and you'll say that you vaguely remember it. But the -- and this is Exhibit 134. At that time there was support for the production of an implementation plan that was recommended at the meeting; is that correct?

MR. SAUNDERS: Mr. Commissioner, I don't recall -- I'd have to look at that record of decision again. It would say whether or not at the end of that record of decision.

So -- well, that was approved, but I think maybe -- maybe we did have it on the screen. If you can move -- please move back up. Okay.

Yeah. maybe you can go -- can you go back up to the top again, please. So it looks like an action coming out of

So it looks like an action coming out of that, if I'm reading that correctly, was to come back in September on that, the timing of the consultations was considered too early.

Can you scroll down a little bit more, please.

Yeah. Well, I'm struggling with exactly what the direction coming out of it. I think I'm taking that -- oh, okay. There we are:

The overall proposal was accepted.

So what we brought forward had overall agreement but they were requesting that it move forward to RMC with the -- with the budget. So this would have been our Steering Committee, but the actual decision-making committee would have been RMC, that they wanted it to go forward with more detailed work plans.

- Q Well, this is the RMC, isn't it?
- MR. SAUNDERS: Is it? Okay. Well, then, they wanted it to come back to RMC.
- Q That the proposal was accepted --
- MR. SAUNDERS: Yes.
  - Q -- and this proposal included budgets and implementation --
  - MR. SAUNDERS: Yes.
  - Q -- in quite a formal way.
- 31 MR. SAUNDERS: Yes.
  - Q And as I say, and your evidence is that you're not sure how the Department drifted away from that commitment?
  - MR. SAUNDERS: No, I don't think the Department has drifted away from that commitment. I mean, there are annual workplans that go through the same process.
  - Q Going back to your summary of evidence, was there ever a WSP -- in looking at the recommendation which was accepted, going through, first of all the "Implementation Structure", was there ever a WSP Implementation Steering Committee established? Oh, I'm sorry, the document -- I'm back still on the reasons for decision, Exhibit -- what's the number?

THE REGISTRAR: One-thirty-four.

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- MR. SAUNDERS: Sorry, can you point that to me again exactly the recommendation we're talking about.
  - Q Last bullet, "Implementation Structure" near the top of the page.
  - MR. SAUNDERS: Yes. Okay. So the -- a Strategic Initiatives Steering Committee, is that the action that you're talking about there?
  - Implementation, yes, under "Implementation" -- I'm looking at the recommendation, which sets out a number of "Start Up Actions", and then over on the page 2, I'm looking, focusing at the moment on the "Implementation Structure". Was there ever a Wild Salmon Policy Implementation Steering Committee established?
  - MR. SAUNDERS: Yes, there was.
  - Q So and that is the Strategic Initiatives Steering Committee chaired by the RDG? Is that what occurred?
  - MR. SAUNDERS: Yes. That -- that's true, yes.
  - Q And is that --
  - MR. SAUNDERS: I would say that now in the -- in the current naming of it, there is a more -- a more highly formalized committee that's called the Operations Committee, is what it's referred to now. But it's the same -- the same structure that you see with Regional Directors and it's chaired by the RDG.
  - Q But it's not specific to the Wild Salmon Policy?
    MR. SAUNDERS: That's correct. So the idea being that
    there were a large number of steering committees
    being formed, as there were almost one for each
    initiative it seemed, that was in -- and a lot of
    them were -- were complementary. So the idea was
    to form a single steering committee that would
    deal with policies and other issues around
    operations. So Wild Salmon Policy became one of
    those change initiatives that were included in the
    Operations Committee function.
  - Q And were the project teams established as set out in that structure?
  - MR. SAUNDERS: Certainly there were projects and leads, but I -- if specifically a project team, I would say that no. Maybe that's not fair. It's a no and a yes. If you were looking for -- there are certainly leads, investigators that were assigned to particular projects. But they would not have -- would have been -- wouldn't have been a

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formalized team related to a particular project. There's an interesting comment on page 2 as well, where it described, identify, it says, their "Action":

RMC supports this proposal, think about how to market this phased approach.

I'm sorry, I'm putting it on.

...think about how to market this phased approach. September date is premature.

Can you first of all explain the issues of marketing the phased approach?

- MR. SAUNDERS: Yes, Mr. Commissioner. It was -- I don't think -- well, marketing's a difficult word, but I would have chosen sort of communication strategy. We, having worked through how we were going to proceed, it was then we needed to begin discussions with both internal and external, so how, what kind of a plan would we have to -- to taking the -- communicating this going forward.
- Q And the -- the phased approach that was contemplated there was a five-year implementation period?
- MR. SAUNDERS: I don't recall that we specified "phased". But it recognized, and I think we spoke to this in the earlier session, that -- the strategies that they're laid out, you are -- you can't just simply say that the Wild Salmon Policy starts today. Certainly you could say that with respect to the intent of the Policy as it informed fisheries management decisions, but there was -that roughly following the sequence of the numbers of the strategies, you need to -- it needed to be phased, you needed to start work on Strategy 1. Until we had the conservation units, it made it very difficult to -- to conduct any of the subsequent strategies, in particular to move to a planning strategy. So I think the phasing was about trying to understand what needed to be done first and how, you know, over time how we would proceed
- Q But wasn't the -- wasn't the concern about marketing the phased approach the fact that people were concerned that it would take too long and

that five years would be too long a period?

MR. SAUNDERS: I think it's just -- I think it was more about trying to communicate that it wouldn't happen all at once, that we -- I think there was an expectation out there that it would be instantaneous, and the phased approach was recognition of the fact that it couldn't happen immediately.

And there's also, and I think we spoke about it in the earlier -- the earlier session, Mr. Commissioner, where we didn't -- you know, there was some discussion that we had around the number of conservation units, and so what would it -- you know, if the -- was the number of conservation units going to be 50, or was it going to be 500. And that the complexity and resulting work would -- would then be informed by making progress on that initial, further more detailed clarification. And so that's the issue, I think, behind the phasing.

- I understand why internally you saw that this was a big job. The question here is the commitment that this will be done in a short period of time, and I'm identifying the words in this action plan, or this "Action" item, that suggests that it was going to be difficult to market the phased approach, and yet the Department's saying we don't think we can do this any more quickly, for the reasons you -- you mention. But as I understand it, and according to the Policy itself, that phased approach was a five-year period, correct?
- MR. SAUNDERS: I'm not clear that it's five years, but certainly that was -- that was the expectation that we would take. I think a lot of us knew it was somewhere between five and ten years in terms of depending, and it's a difficult concept to land on and what full implementation would be. But I think that was -- we felt that in five years there should be substantial progress and you'd be able to report out and do an independent review at that time.
- Q But it was a concern of the -- in the document itself. There's a concern expressed that there was a commitment to the public, and I'm -- my question really relates to how this was, you know, the commitment to the public seems to have been lost in the need to -- the internal problems of --

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MR. WALLACE: Okay.

of how long this is going to take. And the idea of what the concerns of the public were lost in that. But it was identified in August of 2005 --3 4 2006 as being an important consideration, no? 5 MR. SAUNDERS: I'm struggling with where -- where 6 you're going with it. 7 Well, all right. The action item speaks of 8 selling the phased approach. And in your summary 9 you suggested that that was a five-year 10 initiative, the phased approach was to take five 11 years. And you had a realization which is, I 12 think, reflected in these -- in this action plan 13 that suggests -- or this action -- sorry, in this 14 decision document that demonstrates that the 15 Department saw this as a job that maybe was larger 16 than they had in mind. But the commitment was 17 there to the public to do this in a phased 18 approach -- sorry, to do this, and the concern was 19 that it would be difficult to sell to the public 20 why this was taking so long. And that's really my 21 -- my question is, what happened to the commitment 22 to get this done and meet the public expectation. 23 MR. SAUNDERS: No, I think, I mean, if you look at the 24 timing, I mean, this was immediately after --25 almost immediately after the release of the 26 Policy. So if you're suggesting by -- that we had 27 to market it, that we were being disingenuous in 28 -- or we were trying to hide something, I don't --29 that's not true at all. We -- I mean, it was very 30 near after what we -- there was still uncertainty 31 about what it was going to take, so recognized 32 that we had to proceed step at a time was the 33 rationale behind that. But not to say that we 34 didn't need a communication strategy, because 35 there probably -- we certainly heard that there 36 was an expectation that this, there would be something instantaneous. And I don't think there 37 38 was anybody that was expecting -- maybe instantaneous is wrong, but -- a wrong word, but 39 40 there was certainly no suggestion that it wasn't a 41 large undertaking. 42 DR. IRVINE: Are we on? Yeah, I'd just like to comment 43 on that. Mr. Commissioner, I just -- I think it's 44 important to realize that --45 MR. WALLACE: Does your mike --46 DR. IRVINE: Am I on?

DR. IRVINE: And Mr. Commissioner -- I think I'm on now, okay?

MR. WALLACE: Yes, you are.

DR. IRVINE: As Mark Saunders, as Mark has indicated -- maybe I should do that. As Mr. Saunders has indicated, you know, this -- you're really

maybe I should do that. As Mr. Saunders has indicated, you know, this -- you're really focusing on -- on two lines and one, you know, summary meeting report that was held not long after the Policy was -- was passed. But it's important to recognize that there was a whole series of consultations and meetings that occurred following this. And so in particularly in the fall of 2006 and into January of 2007, I think we visited approximately 12 different communities within B.C. and that with large groups of stakeholders and individual First Nations. And so, you know, this was -- it was a significant period, a significant amount of interaction with various groups following -- following this meeting.

So that the proposed September multistakeholder meeting did not take place, but we had plenty of subsequent conversations with First Nations and others regarding the implementation. So I just wanted to make that point clear.

Q Mr. Saunders, if I could take you to Exhibit 170. MR. LUNN: Sorry, Mr. Wallace, Mr. Commissioner, I'm just not quite there in catching up with the

just not quite there in catching up with the exhibits as filed.

- MR. WALLACE: With the flood of exhibits that was filed this morning. If it helps, this is the colour deck that was provided at the August 2005 meeting.
- MR. LUNN: I'm just trying to locate the source.
- MR. WALLACE: I think it was -- well, it's a non-Ringtail document -- non-Ringtail document.
- MR. LUNN: (Indiscernible off microphone).
- 37 MR. WALLACE: Thank you.
  - Q If you go to page 4 of that document, this was -- you presented this deck at the meeting we've just been discussing?
  - MR. SAUNDERS: I don't recall if I specifically presented it, but I likely did.
- 43 Q And you're identified as being present at that
  44 meeting for that purpose, I think. Under the
  45 "Implementation A Phased Approach" you see
  46 recognition that the full implementation will take
  47 five years, in the third bullet of the whole --

1 2 3 4 5 6 7 8 9 0 11 2 13 14 15 6 7 11 2 2 2 2 2 2 2 2 2 2 3 3 3 3 3 3 3 3		can we see the whole page, please.  So in the top box, third bullet, recognized that full implementation will take five years.  SAUNDERS: I see that there, yes.  Yes.
	MR.	TIMBERG: Mr. Commissioner, the if I could ask that the Commission counsel actually read the text of the document.  WALLACE:
		At the time of its announcement Minister Regan stated that implementation will begin immediately.
		DFO operations will need to immediately consider the intent of the WSP goal, objectives and principles.
		It is recognized that full implementation will take time, in the order of 5 years.
		WSP will be implemented within available resources, however the \$1.1M provided for FY 05/06 will jump start the implementation and focus on startup activities.
	Q	And then the next slide at the bottom of page 4, Mr. Saunders, you set out a chronology there, showing a "Scoping" phase, the first bullet of which is:
		Completion of detailed Implementation Plan including:
		Establish Committee/Team/Advisory structure
37 38		Assign resources
39 40 41 42 43 44 45 46		Determine timelines
		Consultation
		Preliminary identification of CU's and their status
47		Retween June and December 2005 Correct? That

It happened --

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was your view at the time? 1 2 MR. SAUNDERS: Yes, it was. 3 And that the -- if you then go to page 9 -- just 4 if we can go back, please, to page 4. So the 5 "Scoping" phase, would it go to December of '05, 6 the "Interim" phase was to carry on between 2006 7 and -- January of '06 and March of '07, and that 8 would -- that phase would -- in the second bullet, 9 involve the: 10 11 Completion of Start-up Action Steps with 12 functioning Interim planning process. 13 14 Correct? 15 MR. SAUNDERS: That's correct. And all of these interim -- or if you then go to 16 17 the next page, page 5, there are the -- all of 18 these start-up processes identified in the -- in 19 the phased approach slide are indicated as in red, 20 and this is part of the -- obviously a significant 21 part of what you were trying to do, correct? 22 MR. SAUNDERS: That's correct. And then the final step, going back to page 4, 23 24 would be the completing the Action Step process in 25 2010. Correct? 26 MR. SAUNDERS: That's correct. 27 Now, if we can go to page 9, there are a number of 28 quite specific items in the "Timeline". That 29 timeline actually starts on the previous page, but 30 let me focus on the second slide on timelines. 31 September 2005: 32 33 Convene a First Nations and Multi-interest 34 Forms to review policy and implementation 35 plan. 36 37 That was the next item? The first item on that 38 slide, correct? 39 MR. SAUNDERS: Yes. 40 That was a commitment and that didn't happen; is 41 that correct? 42 MR. SAUNDERS: The September meeting? 43 Yes. 44 MR. SAUNDERS: It did happen, but not in September.

MR. SAUNDERS: I think that was the feedback from RMC.

Yes. It happened several months later?

MR. SAUNDERS: Yes. 1 It was December, I think. 2 3 MR. SAUNDERS: Yes. 4 Then the third box on the -- that slide identifies 5 the completion of the Implementation Plan for RMC 6 approval on October 31st, correct? 7 MR. SAUNDERS: Yes. 8 Did that occur? MR. SAUNDERS: I don't recall. I mean, the plan, again 9 10 this gets into what is the implementation plan. 11 The workplan would have been approved. I'm just 12 not sure what the date is on that. 13 In the next box on page 9, the internal challenges 14 are identified. The first being developing 15 linkages between: 16 17 ...watershed, marine and fisheries planning 18 and operational programs. 19 20 Affect change through redirection of existing 21 resources and expanding partnerships. 22 23 Build and support an open, interest-based 24 consultative processes. 25 26

And providing:

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...leadership to affect culture change.

Those are clearly challenges. Was there ever a champion identified to lead this cultural change? MR. SAUNDERS: Well, I don't know that we ever used that language of identifying a specific champion, but certainly the RDG and -- and through our Operations Committee, each of the -- each of the Regional Directors for the various sectors were, you know, recognized as having responsibility for the components that their sector was implementing. So but I would say as we went forward internally and externally the RDG was visible in the brief -in the briefings about processes going forward on implementation.

So the leadership was left to the RDG, there was no other senior leadership involved in -- in the implementation, with -- or with a direct and specific responsibility for the Wild Salmon Policy.

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MR. SAUNDERS:

- MR. SAUNDERS: Well you've used the word "champion", so 1 the RDG would have provided leadership. 3 responsibility, the Director of Policy, I was at 4 the time the Coordinator for the Wild Salmon 5 Policy and reported to the Regional Director of 6 Policy, who held overall responsibility. 7 MR. WALLACE: Yes. Mr. Commissioner, I'm about to go 8 to another slide. If this is convenient? 9 THE COMMISSIONER: Will it take longer than five 10 minutes? 11 MR. WALLACE: Probably not. Exhibit 135. This is a presentation to the 12 13 September 20th Regional Management Committee 14 meeting. Do you recall that event? 15 MR. SAUNDERS: Yes. 16 And if I could take you to page 5. You made this 17 presentation, as well, Mr. Saunders; is that 18 correct? MR. SAUNDERS: I would have, yes. 19 20 And if you go to page 5 you'll again see the "Phased Approach" box. It seems to have slipped a 21 22 bit in the timing, but the elements are the same, 23 are they? 24 MR. SAUNDERS: I haven't done an analysis of whether 25 the one deck is completely the same or changed 26 from the previous one, but I would have expected 27 it to change, based on discussions with the 28 Implementation Team, et cetera. 29 Could we have the previous exhibit, as well? 30 we put them both up? So the box on page 4 of 31 Exhibit 170. Okay. 32 So looking at these, we see that "Scoping" 33 has in the course of a month or so, month, maybe 34 six weeks, has gone from June 2005 to March --35 sorry, from June 2005 to December 2005, to June to 36 March 2006. 37 The next one seems to be -- the other two 38 seem to be intact. So there's still a commitment 39 but a slight slipping; is that correct? 40 MR. SAUNDERS: Yes. I would say, well, it would be a 41 result of looking at what was required in terms of 42 consultation, it would have...
  - Q But there's no ultimate slippage. It still has us completing the project by 2010.

And that reflected the concerns that were

expressed in the August RMC meeting.

Yes.

MR. SAUNDERS: Yes, two months into it we are still 1 holding to that. 3 THE COMMISSIONER: Mr. Wallace, this might now be an appropriate time to break. 5 MR. WALLACE: Thank you. Maybe I can get the original 6 document numbers coordinated with the new exhibit 7 numbers. Thank you. 8 THE REGISTRAR: The hearing is now adjourned until 2:00 9 p.m. 10 11 (PROCEEDINGS ADJOURNED FOR NOON RECESS) 12 (PROCEEDINGS RECONVENED) 13 14 THE REGISTRAR: The hearing is now resumed. 15 MR. WALLACE: Thank you. Good afternoon, Mr. 16 Commissioner. For the record, Brian Wallace, Commission counsel. Two preliminary matters. 17 18 There are two flash drives circulating around the 19 room that contain all of the exhibits that have 20 been filed today, and Mr. Lunn tells me that that 21 service is -- that service can be provided on a 22 regular basis as we have more exhibits so people 23 will be able to load them directly onto their 24 computers and return the flash drives to Mr. Lunn. 25 The second point is that this morning, I 26 neglected to mark as exhibits the summaries of 27 evidence that have been adopted by each of these 28 witnesses so I'd ask, please, Mr. Registrar, that 29 Ms. Stalberg's Summary of Evidence be marked as 30 the next exhibit. 31 THE REGISTRAR: 181. 32 33 EXHIBIT 181: Stalberg Summary of Evidence 34 35 MR. WALLACE: And Ms. Holt's Summary of Evidence as the 36 next. 37 THE REGISTRAR: It will be 182. 38 39 EXHIBIT 182: Holt Summary of Evidence 40 41 MR. WALLACE: Dr. Hyatt's as the next. 42 THE REGISTRAR: 183. 43 44 EXHIBIT 183: Hyatt Summary of Evidence 45

MR. WALLACE: And Dr. Irvin and Mr. Saunders' evidence

has already been entered. May I ask, Mr. Lunn,

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for Exhibit 109, please, and in particular, to page 20.

Well, have a look at the -- show the cover to the witness, please, to Mr. Saunders.

## EXAMINATION IN CHIEF BY MR. WALLACE, continuing:

- Q This was a presentation from September 20th, 2005. Do you recall that, Mr. Saunders?
- MR. SAUNDERS: Yes, I do.
- Q Could we go to page 20 of that document, please? And can you just tell us briefly what this table shows us.
- MR. SAUNDERS: Yes, Mr. Commissioner. Can we scroll up a little bit, just so I can see the title on the table?
- Q Perhaps at the bottom of the previous page.
- MR. SAUNDERS: Maybe if we can go up a little further. I'm still -- okay, so the -- this would have been a work plan that would have rolled up the resources that would have been expected, and then the subsequent pages, so if you can go on to 19, and if I recall correctly, this would go in order of the strategies and the various activities that we were undertaking. So the questions -- you know, what is the task that needs to be done, what are the expected deliverables that would come out of it, some of the outcomes, higher-level outcomes that we were working towards that would have been documented higher -- further up in the -- a logic model which describes the general outcomes that we were headed towards, and then associated FD's, or, sorry, full-time equivalents, so a person's salary dollars, operating and maintenance, then the budget for that. So that would -- O&M would have been the breakdown of the total. So you see in that first line, travel is \$45,000, and a facilitator is 8K. And so this -- what -- this first line item would be a meeting, the first meeting that we were talking about. Well, this one's saying May 2005, but --
- Q I just wanted it in general, this is basically a presentation to the Regional Management Committee by you as coordinator for the WSP as to, essentially, the next steps on a budget and -- MR. SAUNDERS: Yes.
- Q Thank you. If I could go to the next page, 20, I

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made?

just want to focus on a description in the 1 "Deliverables" column, and you will agree, I 3 assume, Mr. Saunders, that this was your description, where you described, under the heading, "Development of the WSP Implementation 5 6 Plan," a deliverable being a meeting of the 7 implementation team "... to review Workplan and 8 discuss Implementation Plan." And in there, you were differentiating between the Implementation 9 10 Plan, which we were discussing this morning, and 11 the work plans which you have also mentioned? 12 Okay. And I --MR. SAUNDERS: You made that --13 14 MR. SAUNDERS: I'm trying --15 At the time, you made the --16 MR. SAUNDERS: Yeah, I'm not sure. So we've got an 17 implementation -- I'm not sure of the distinction 18 you're trying to make. So a meeting --19 Well, you described two different things here --20 MR. SAUNDERS: Yeah. 21 -- that you were going to review, a work plan, to 22 review Work Plan and discuss an implementation 23 plan. In your --24 MR. SAUNDERS: Yeah, I --25 Put it this way, in your mind --26 MR. SAUNDERS: I can't recall what -- at the time, what 27 I -- the distinction between the two that I was 28 making there. 29 Okay. Thank you. 30 MR. WALLACE: May I have Exhibit 169, please? 31 I don't think we need to go into this to detail, 32 but, Mr. Saunders, I just bring this up to refresh 33 your memory. This document is a response to a 34 request that we made with respect to briefings 35 given to the Pacific Salmon Commission, or panels 36 regarding the Wild Salmon Policy and in -- it 37 confirms, at Roman numeral -- small Roman numeral 38 ii, that you attended a presentation of the Fraser River Panel, is this in January of 2009? 39 40 MR. SAUNDERS: I don't recall that. Oh, I'm sorry, January -- sorry, January 2006, the 41 42 January 2006 Minutes. 43 MR. SAUNDERS: So it must be a typo there so yes, I 44 recall making -- I don't remember the exact date, 45 but I did make a presentation to the Panel.

And at page 6, is that the presentation that you

- MR. SAUNDERS: Can you scroll down, please? I don't recognize this presentation. That's not to say I didn't.
  - Q Okay. If you go to page 4.
  - MR. WALLACE: Show that, the start of the charts, please.
  - The cover page on this presentation dates it at January 11th, 2006, and the document identifies you as having made this presentation to the Fraser River Panel.
  - MR. SAUNDERS: Well, the deck that we're looking at, attachment 6, or further down? I mean, that says, "Fraser River Spawning Initiative," so that's not the Wild Salmon Policy.
  - Q And if you scroll to the next page, you'll see Part 2 of that links to other processes.
  - MR. TIMBERG: Mr. Commissioner, I'm wondering if Commission counsel could clarify for the record whether it does identify Mark Saunders, or not?
- MR. WALLACE: The document 109 identifies the request for a copy of all briefings given to the PSC and panels regarding Canada's Wild Salmon Policy, which the first page, which is a request that we made to the Pacific Salmon Commission, and it identifies briefings given to the panels. And if you see Roman numeral ii, the Fraser River Panel, it includes excerpts from the Minutes of the Panel, and including Mr. Saunders' presentation to the Panel on the WSP.
- MR. SAUNDERS: So it could --
- MR. TIMBERG: I apologize. So just for clarity, this is a document from the Pacific Salmon Commission?
- MR. WALLACE: This is a document received from the Pacific Salmon Commission.
- MR. TIMBERG: Okay. Thank you.
- MR. WALLACE: Thank you.
  - Q The point is a simple one, Mr. Saunders.
- 38 MR. SAUNDERS: Yes?
  - Q If you look at page -- the following page to -- sorry, go to -- my pages aren't numbered, it's --
  - MR. WALLACE: If you scroll down, please, Mr. Lunn, into the tables, another page, the next one.
  - Q The middle panel on the right-hand side looks familiar? Does that --
  - MR. SAUNDERS: Yeah, okay. I think I saw you scroll by, up there, where it described how the presentations were done, where Mr. Riddell gave

the top part of the presentation, and then Mr.
Saunders -- I gave the -- it must have been the slides, and that. That would make sense.
All right. And the point is simply that the

- Q All right. And the point is simply that the Implementation Plan that you discussed -- that we've discussed earlier, you also presented outside the Department, to the Pacific Salmon Commission and Fraser River Panel, as well?
- MR. SAUNDERS: Yes.

- Q Just quickly, if I can ask you, Mr. Saunders, to just -- we're going to go to each of the strategies in a moment, but I'd just like to ask in an overview question. Now, is it fair to say that none of Strategies 1, 2, or 3 have been fully implemented at this point?
- MR. SAUNDERS: I would -- yeah, I think that's a fair statement, although I would suggest that it's really difficult to determine when we would be at a full implementation. I mean, we can talk a bit about that, but I -- but yes, that's a fair characterization.
- Q Let me -- and just going to Action Step 1.1, can you tell me how many Fraser River sockeye CU's there are?
- MR. SAUNDERS: Off the top of my head, I believe it's in the order of, I think, 36, something -- you say of -- did you say sockeye, or --
- Q Yes.
- MR. SAUNDERS: I think it's in --
- Q Fraser River sockeye.
- MR. SAUNDERS: I think it's in the order of 36, but the number has changed, there are several iterations of the numbers of CU's. And, again, they're split between lake type and river type and I'm -- I can't -- I honestly can't remember the exact breakdown.
- Q All right. So your recollection is about 36, but there have been some changes?
- MR. SAUNDERS: Yes.
  - Q Perhaps others can help on this. Is there a
    definitive number, Dr. Hyatt?
- DR. HYATT: Well, we've just been through a CSAS review of the paper that identifies 36 CU's that were the subject of status and trend -- or that were potentially the subject of status and trend evaluations, but of those, and Dr. Holt can correct me if I get the wrong number, but of

those, there were 18 that had substantive information to allow a status and trend assessment.

- Q We'll come specifically to the CSAS review very guickly.
- DR. HYATT: However, the number of CU's at any given point can be in flux as suggestions are made to either split or, alternatively, amalgamate based on new knowledge that is presented to the Department. So you know, at this point in time, one would identify that 36 would be the common number that would come up for the Fraser.
- Thank you. Mr. Saunders, under Step 1.2, the identification of benchmarks for the CU's, you'll agree that in 2009, Dr. Holt published the DFO's methodology to identify benchmarks?
- MR. SAUNDERS: Yes.
- O And in --

- MR. SAUNDERS: Perhaps Dr. Holt can clarify that -- you know, that's one step in a process of fully identifying a benchmark.
- Indeed, and we'll get to Dr. Holt. You said in your summary that one contentious issue which came out of the peer review of Dr. Holt's paper is aggregate assessment of CU status. Can you explain to whom was this contentious?
- MR. SAUNDERS: Mr. Commissioner, we've talked in the earlier presentation, or the earlier panel, Dr. Riddell and Dr. Irvine presented the benchmark, the red, amber, green zones in the Wild Salmon Policy and we really just spoke about -- in describing what those were, we said, "Well, that will be the status of a particular conservation unit."

Dr. Riddell also pointed out that there are a number of indicators, both abundance-based indicators and distributional indicators that are really required to understand the health or the status of a particular conservation unit. So the contentious part is whether or not -- and so Dr. Holt, you'll -- through this process, will learn about the number of indicators and approach to determining them, but if you have a number of indicators that are in there, each one can be categorized into -- can have a benchmark and can be categorized into a zone. So imagine for each conservation unit, you may have a -- more than a

handful of indicators. Some are red, some are amber, and some are green.

Is there some methodology -- is there some either logical or analytical approach that could be taken to condensing those into a single estimate reporting of status and that's contentious within the scientific community, as well as within, I think, the broader policy community, or fish management as to whether or not that should be done or can be done.

- So if I understand you, the aggregation you're speaking of is aggregating various -- benchmarks for various criteria within a single CU?
- MR. SAUNDERS: You used the word "aggregating." I don't think they're additive. They're -- it's about saying given the status of all of these indicators, can you condense -- is there a summary position that would say that this status -- that would describe where this is.
- Q Right, but it's a summary of a number of indicators within a particular CU --
- MR. SAUNDERS: Yes.
- Q -- as opposed to a summary of the benchmarks of several CU's?
- MR. SAUNDERS: That's correct.
- Thank you. Now, would you agree that so far, there has been no benchmark established for any CU on Step 1.2?
- MR. SAUNDERS: Have we finalized a benchmark that is in place right now? I would say no, although certainly we have -- progress has been made, as you've already presented, on the development of a -- the methodology around these -- around developing these benchmarks, and that's the subject of Dr. Holt's work and others. And in -- again, a complex issue around -- every CU is unique in terms of the amount of data, information that are available to do that, and Dr. Holt can speak to that. But we've been -- there's a lot of work going on in a number of areas.

Our Wild Salmon Policy pilot area in Port Alberni, Somass area, is working on benchmarks there. We have work in the Fraser under the Fraser River Sockeye Spawning Initiative and other processes. And the paper that's just in front of our peer review process, CSAP, right now, the -- a large paper that I believe is entered into

evidence is in the process of -- it went through a first round of peer review, it was very positively received, and that's to apply these benchmarks, develop the benchmarks and report on status of Fraser River sockeye. And so that is in the revision -- it was accepted. If I'm not mistaken, it was accepted subject to some revision, and Dr. Holt can speak to that paper, as well. Yes.

Q Yes.
MR. SAUNDERS: We also have a large initiative in the Skeena that is partly funded by Moore Foundation and others, and they are working in that area, as well, on the application of benchmarks. So we're well along on -- in that process.

All right.

- MR. SAUNDERS: But have we absolutely delivered and said, "Here are the benchmarks for a CU," no.
- Q Are there provisional benchmarks for all 36 Fraser River sockeye CU's?
- MR. SAUNDERS: I can't speak to the exact number. I've been -- it's been some time since I've been involved in the -- well, maybe I should backtrack. Are you asking in terms of in a management sense, or are you talking about in the -- in -- in the process, Dr. Hyatt was just explaining, in the paper that's before our peer review process right now, all of them, although there's acknowledgement in there that some are data poor, that we can't actually determine, do work to determine a benchmark.
- Q All right. So they haven't all been -- we don't have benchmarks for all 36 Fraser River CU's, right?
- MR. SAUNDERS: I can't -- I don't believe so, but perhaps Dr. Hyatt can --
- DR. HYATT: Yes, I can speak to that. We've just finished a review, an initial review of a paper by Sue Grant, who is the senior author of which Dr. Holt was also one of the co-authors, on the development of state and rate benchmarks for Fraser River sockeye, and this is upper and lower benchmarks.

As I mentioned before, 18 of the sockeye CU's were examined and provisional benchmarks, both upper and lower, were provided in that paper as examples of the methodology.

The Salmon Subcommittee of CSAS, there were

three reviewers of that paper, I was one of the reviewers, and the paper was viewed as a really major step forward in terms of the methodology and it was provisionally accepted subject to satisfactory revisions. Directions have been provided to the authors for those revisions.

- Thank you, Dr. Hyatt, and we'll come back to -- I think the points being that it was provisional and the number we'll come to in the -- when we get to the paper, itself.
- DR. HYATT: Yeah. So a definitive answer, though, to your question of whether benchmarks have been provided for all 36 Fraser River sockeye CU's, the answer would be no because a number of them are data deficient.
- Q Thank you. Dr. Holt, did you want to add anything to that?
- DR. HOLT: Is that document an exhibit here?
- Q Yes, it is.

- DR. HOLT: Because we can clarify the numbers because  $\mbox{\sc I}$  --
- Q We are actually going to go to the document -- DR. HOLT: Okay.
- Q -- in due course --
- DR. HOLT: We'll -- okay, we can get the correct numbers, then.
- Q -- because there is more nuance, I think, around the number we're talking about so we'll come back to that. Dr. Hyatt, you raised the point that this was a state and rate issue. Dr. -- or Mr. Saunders, I notice in your Summary of Evidence, you talk about the benchmark methodology finalized in January of 2009. Since then, the DFO staff, including area staff, have sought to have greater emphasis on the abundance metric alone. Has there been a retreat, then, from the distribution metric that was one of the elements that Dr. Riddell spoke about and which the -- seemed to have been the starting place?
- MR. SAUNDERS: I don't think there's been a retreat, but I would ask my colleagues, Carrie -- Dr. Holt, to -- or Dr. Hyatt. I mean, there are issues around whether or not we have information on the distributional aspects, and that was a later sort of piece of work of development. So how that's been actually applied in that paper, I would defer it to them to sort of address that.

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1 Okay. Well, then we'll come to that. 2 MR. SAUNDERS: Yeah. 3 DR. HOLT: Can I add to that? 4 By all means. 5 DR. HOLT: So not only is the data missing for a lot of 6 the distributional metrics, but also the 7 ecological foundations, our understanding of how 8 the distribution affects the sustainability of a CU, that's also missing, which has made it 9 10 difficult to identify metrics and benchmarks. 11 Thank you. Mr. Saunders, under Action Step 1.3, 12 you say in your summary that you agree that DFO 13 does not yet have any CU monitoring plans, 14 however, you will say the DFO has stock assessment 15 programs to monitor data for, approximately, 19 of 16 the 36 Fraser River sockeye CU's. You will say 17 that DFO generally only has data for the most 18 abundant CU's. Am I correct that the first WSP 19 Step 1.3 assessment was done just this year? 20 MR. SAUNDERS: Can you give me that question again, 21 please? 22 This was the -- that this year was when the first 23 Step 1.3 assessment was done under the Wild Salmon 24 Policy; is that correct? 25 MR. SAUNDERS: Well, I'd have to look again at the 26 wording under 1.3, but assessment is an ongoing 27 process for -- I think, during the -- Mr. Commissioner, during the first panel, we spent 28 29 quite a bit of time talking about stock concept 30 and how conservation units had sort of provided 31 some clarity around what that stock unit is, but 32 stock assessment activities around what are now 33 sort of categorized as CU's has been going on for a very long time. So we do have a lot of 34 35 information, but it's a question of making sure 36 that it's -- that in some cases some units that we 37 were assessing were combinations of what ended up 38 to be combinations of conservation units. 39 there's been some splitting.

And as Dr. Riddell, I think, testified earlier, there are -- and I think it's clarified in the paper that we were referring to, that Dr. Holt's a co-author on, and Sue Grant is the main author, that, in there, they break down the various CU's, some of which there are -- you know, there's just very limited evidence that fish ever existed in certain places, and that's based on, as

- you'll -- as you may or my not appreciate, the extensive consultation that was done around these CU's. So becoming aware of fish in certain areas quite some time ago, all of those things need to be considered in the total number and what available information there are.
- But in your Summary of Evidence, you say that the DFO has -- does not yet have any CU monitoring plans, and then you're now describing what is in place, but it's not a plan to monitor CU's, correct?
- MR. SAUNDERS: Right. I guess it's -- that's correct. So you're talking about a physical plan that has a list of CU's and the plan -- and the proposed monitoring that we have for every CU, we don't have that in hand, but I was taking it to mean, you know, DFO doesn't have any plans to monitor a CU. We're monitoring the majority of them as of right now. What we're talking about is a particular -- a document that would be a plan about how we're going to proceed. And in the policy, that's, I think, generally referred to as a stock assessment framework, and I can't remember where that -- where in the Wild Salmon Policy that is.
- You really can't assess it until you have finalized benchmarks, can you?
- MR. SAUNDERS: The penultimate step would be providing a reporting out of status against that particular benchmark, but you can still have a plan to collect information and do the actual on-the-ground what would the actual operations be to collect the appropriate data that would then inform the calculation of the benchmarks.
- Q But you can't assess it until you have a benchmark to assess it against?
- MR. SAUNDERS: You can't carry it to that final stage.
  Dr. Irvine's --
- DR. IRVINE: Are we on? Can you turn me on? Yeah.
  No, I'd just like to maybe make a comment on that.
  As Mr. Saunders is saying, we have been assessing a lot of these CU's for decades. The benchmarks are important to categorize status. So if you want to categorize status, you need some sort of benchmark, and we've used different types of benchmarks. For instance, you could categorize the status of a population of salmon in 2010,

the 1930s.

some time.

well along?

MR. SAUNDERS: Okay.

Nine.

in fact -- sorry, 2.2.

for two years, or so?

DR. HOLT:

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compared to 2008. So those are another kind of benchmark. Or you could compare the status of salmon in the 1990s with the 1950s. So we very much have been doing stock assessment work and assessing status for many of these CU's for multiple years. You know, for example, Cultus Lake is a

conservation unit so there's been a long history

the identification of these two specific

But not against the benchmarks?

haven't gone too far, I guess.

was published in 2008, was it, or --

of stock assessment in Cultus Lake, going back to

benchmarks and which delineate three status zones.

So that's what's different, but we certainly have

status, you know, for many of the CU's for quite

been doing stock assessment and categorizing

DR. IRVINE: No, these benchmarks, I think the paper

DR. IRVINE: 2009. So what is it now, 2010, so no, we

MR. SAUNDERS: Can you please repeat the question?

The Step 2.3, selecting the indicators and

MR. SAUNDERS: I'd have to refresh myself on exactly

Mr. Saunders, under Strategy Action Step 2.3, do

developing benchmarks for habitat assessment is

developing benchmarks for habitat assessment is,

I apologize. 2.2, that is completed and has been

what fullness and completeness, sort of, in 2.2

Yeah. In your Summary of Evidence, you say that

OHEB, Oceans and Habitat -- what's the acronym --

is, but yes, we have made a lot of progress in terms of selecting indicators and benchmarks for

you agree that the -- selecting the indicators and

So what's new in the Wild Salmon Policy are

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Branch. Thank you. That it's a struggle to get national support for Strategy 2. Can you explain 46 that? 47

MR. SAUNDERS:

Enhancement?

strategy under action Step 2.2.

Oceans Habitat Enhancement Branch.

MR. SAUNDERS: Probably, the -- I'm not the best person

to truly understand the nature of that. That's probably a question best left for the RDG, but my general understanding is that it has been a struggle to get recognition of the national -- a linkage between the national regulatory -- habitat regulatory program direction and whether or not the Wild Salmon Policy is sort of a recognized component and compatible with that overall program, but I'm not the -- that's just an understanding that I have. I'm not the best person to confirm that.

- Thank you. In the -- with respect to Action Step 2.4, establishing linkages to develop an integrated data system for watershed management, do you recall discussions between DFO and the Province on how to better integrate data holdings?
- MR. SAUNDERS: I don't recall that, but perhaps my colleagues may.
- Q Okay. You're not familiar with this issue, but I see Ms. Stalberg's nodding her head so perhaps she'd like to address this?
- MS. STALBERG: We had discussions with the Province on a number of fronts, Mr. Commissioner, regarding linking provincial efforts on monitoring and Wild Salmon Policy efforts on monitoring and programs to deliver. So there was individual programs that we looked at. Whether they were the -- through Forestry, that the Province was undertaking, and some of their monitoring programs, and then also broader initiatives where they were reporting out on monitoring information or data, such as Hectares B.C., that Kim Hyatt was also involved in through Strategy 3. And then there was also a group within OHEB that worked with the Province on data sharing, many different types of programs and repositories for data that we were trying to synchronize efforts and improve efficiencies between.
- Q Thank you. Moving on to Strategy 3, Mr. Saunders, with respect to Action Step 3.1, this is to identify indicators, to monitor status of freshwater ecosystems. Do you agree that that step has not occurred?
- MR. SAUNDERS: Well, has the step been completed, I would agree that no, it hasn't, but there has been progress towards that, a substantial amount of consultation and we're at the stage where we have

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            a -- you know, it distilled down into a
            presentation. And as Dr. Riddell spoke, to, you
            know, his -- he would have -- you know, there was
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            -- we're working towards ultimately more of a
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            discussion paper, but we do have the sort of
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            underpinnings of how we would approach that.
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            Is there a date by which this is to be done?
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       MR. SAUNDERS: I don't have a date.
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            And you'll agree that under the Wild Salmon
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            Policy, that was to be done by June of 2007?
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       MR. SAUNDERS: Yes.
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            Do you have a sense, or is it your -- who's
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            working on this?
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       MR. SAUNDERS: Dr. Hyatt perhaps can speak to it.
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            Okay. We'll come to the specifics, then we'll see
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            if he -- see if Dr. Hyatt has a date.
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                 Dr. Irvine, you -- with respect to Action
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            Step 1.1, you say in your summary that Dr.
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            Holtby's methodology, and Dr. Holtby produced the
            methodology that was -- PSARC reviewed last year;
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            is that correct?
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       DR. IRVINE: No, I think Dr. Holtby's paper was
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            published in 2007, I think.
            Sorry, I -- I think, actually, that sounds
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            correct.
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       DR. IRVINE: Yeah, good.
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            We'll -- we will know the answer to that in a
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            moment.
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                    It might have been 2008, but it's on the
       DR. IRVINE:
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            record.
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            And you say about those, that they are -- I guess
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            I went to it before I was -- posted in 2008, yes.
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            And you said that Dr. Holtby's original CU
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            definitions were largely uncontroversial and
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            accepted? Do you -- is that your view?
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       DR. IRVINE:
                    The ones that were presented as a result
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            of that peer-reviewed assessment --
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            Yes.
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       DR. IRVINE: -- that's correct.
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            And is that still the case, that those are
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            accepted?
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       DR. IRVINE: Yes, I would say so. I'm sure there's
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            always disagreements. There's, approximately, 400
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            -- there's a large number of CU's. Is it 400?
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            Yeah, about 400 so I'm sure there's some
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            disagreement.
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       Q
            Okay. Yeah.
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MR. WALLACE: Mr. Lunn, can you pull up the Grant and 1 2 Holtby (sic) draft paper, all 194 pages of it? 3 It's in the non-ringtail documents. 4 So this is the paper of Dr. Holt and Sue Grant. 5 DR. IRVINE: I think --6 It's --7 DR. HYATT: Excuse me, could counsel just clarify 8 whether that's Holtby and Ciruna? 9 Sorry, no, I'm referring now to the Grant and Holt 10 paper --11 DR. HYATT: Oh, the Grant et al paper. I apologize. 12 13 DR. HYATT: Thank you. 14 Yes. This is a document which you -- I think we 15 both addressed shortly earlier. This is a -- now, let me just put this to you, Dr. Holt, this is a 16 17 paper that you co-authored and this is the paper 18 that was being discussed that has recently been 19 peer reviewed by CSAP; is that correct? 20 DR. HOLT: That's correct. 21 And this is entitled, "Fraser Sockeye Wild Salmon 22 Policy Evaluation of the Stock Status: 23 and Rate." 24 MR. WALLACE: I'd ask that be marked as the next 25 exhibit. 26 THE REGISTRAR: 184. 27 28 EXHIBIT 184: Document entitled, "Fraser 29 Sockeye Wild Salmon Policy Evaluation of the 30 Stock Status: The State and Rate" 31 32 MR. WALLACE: 33 Now, if I could just take you, Dr. Holt, to the 34 abstract of the paper, and on the question of the 35 methodology for -- or the determination of the 36 CU's, as we've just been discussing, the paper 37 says that the current paper, I'm reading the second full sentence of -- the second sentence of 38 39 the abstract. 40 MR. LUNN: Do you have a page number? 41 MR. WALLACE: It's, yeah, page Roman numeral viii. 42 And in the second sentence, it says: 43 44

The current paper updates WSP Strategy 1, Action Step 1.1 (the identification of Conservation Units ... Action Step 1.2 (identification of benchmarks) and Action

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Step 1.3 (CU status assessment) for Fraser River Sockeye Salmon ...

Stock status is evaluated for 26 out of the existing 36 Fraser Sockeye CU's; the remaining 10 CU's are tentative given data are currently insufficient to confirm the

 Is that intended to convey that the initial methodology has identified 10 CU's that may not be valid?

DR. HOLT: No, my understanding is that there are 26 CU's for which we have sufficient data and we can perform these assessments. I just want to clarify, in that first sentence, Action Step 1.1, 1.2 and 1.3 that says "CU status assessment," we did assess status, but 1.3 also talks about the monitoring program and this doesn't address that. So we didn't address all of Step 1.3.

And I won't try and pronounce the Latin:

validity of these CU's.

Q Thank you.

DR. HOLT: I just wanted to clarify that. Q Thank you.

DR. HOLT: So 26, we had sufficient information, 10 we did not have sufficient information, and there was an additional five that were considered — that were put forth as perhaps didn't have data that were — that supported them being a CU, being CU's. One of those was a CU that was a mistake in the database and so there was consensus among the group that that was, in fact, not a CU. Another one where there was agreement it wasn't a CU because of a misunderstanding of where a dam was in a lake, and another three that we're still — there's still debate around.

My understanding is that those 10 are still considered CU's, but the data around them are very poor, or it may just be a visual inspection, one or two years where it's not confirmed that there are long-term persistent populations so I think that's why she worded it that way, but I -- my understanding is those aren't on a list to be cut from a -- or they aren't candidates to be cut from our conservation unit list.

Q Okay. But the -- it still is an issue as to

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whether or not a CU, as defined in Dr. Holtby's --1 found by Dr. Holtby's methodology that a CU that 3 was originally identified may not survive the cut? DR. HOLT: Exactly. So there are some -- still some 5 decisions about how appropriate that initial list 6 is. There are -- there's been several iterations 7 of Blair -- Dr. Holtby's list as new information 8 becomes available and errors in the database 9 become known so that we can revise that list. 10 Some of the information came up in this paper and 11 so we put our efforts into revising the list so it 12 better reflects this -- our scientific 13 information, and that was put forward to this 14 review process at the meeting. 15 Thank you. In the -- again, going back to the abstract, Dr. Holt, this -- let me address the 16 question I raised a little earlier. 17 This report 18 is entitled, "State and Rate," and was the 19 original title not, "State, Rate and 20 Distribution"? 21 DR. HOLT: It may have been. We discuss in the paper 22 why we didn't include metrics of distribution. 23 Can you discuss that? We've had a little on it, 24 perhaps you might just explain, first of all, why 25 you left it out and --26 DR. HOLT: Mm-hmm. 27 -- how you're going to deal with it? 28 We felt that the data wasn't available to us DR. HOLT: 29 to assess metrics of distribution. The monitoring 30 -- the historic monitoring hasn't been extensive 31 and consistent enough to be able to assess if 32 populations have been, for example, contracting or 33 expanding, changing their distribution within a 34 There is the -- because monitoring programs 35 have changed over time, we don't know whether an 36 apparent change in distribution is because of just

distribution of the fish.

So without the appropriate data, we didn't think it was warranted to do an assessment on distribution.

a change in monitoring, or a change in the actual

Q What are the plans to assess distribution?

DR. HOLT: We are currently investigating the properties of different metrics of distribution using simulation models where it -- a simulation model will -- can simulate a Fisheries system under various scenarios and then evaluate which of

the metrics has the best properties in terms of being able to detect contractions, given all the uncertainties in the data, uncertainties in the biological system and the uncertainties from our assessment protocols. So we're in the midst of doing that simulation work.

- You'll agree that the Wild Salmon Policy calls upon there being a distribution metric, as well, Dr. Holt?
- DR. HOLT: Yes.

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- Q Dr. Holt, is there a timetable for considering this and dealing with the simulation models and trying to assess distribution?
- DR. HOLT: I'm not aware of any timetable, myself, but others may.
- O Dr. Irvine?
- DR. IRVINE: No. No, I'm not, but I'd just like to point out that the Wild Salmon Policy was developed for five species of Pacific salmon so you've got sockeye, Chinook, Coho, chum and pink salmon. The sockeye, which is the subject of this investigation, are the one species that have the most-restricted distributions so that this distribution parameter -- it's important for sockeye, but really, when we developed the policy, we were thinking much more about the other species. So if you think of a pink salmon, or a chum salmon, or a Chinook, or a Coho that are distributed over multiple watersheds and huge areas of coastline sometimes, I mean, that's where one would more frequently use this distribution parameter. So you don't want to have all your eggs in one basket, or all your fish in one small area.

The sockeye, the conservation units, are lake based so you may have multiple spawning locations within a lake, and some cases you don't so it's -- you know, the different types of data that one uses will depend on -- data availability, as Dr. Holt is pointing out, but also it varies depending on the species and the location of the fish in the province.

- So you would say that it's less significant for sockeye, is that the point?
- DR. IRVINE: I think it depends on the conservation unit. I mean, if -- I mean, within conservation unit, variability is important, but with sockeye,

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validity?

a lot of the data will tend to be when fish are entering or exiting the lake, where you will 3 frequently have sub-groups from that conservation unit passing through an area at the same time. 5 They're perhaps going to different locations. 6 that the data that you collect may not be 7 sufficient to allow you to look at the 8 distributional aspects. Thank you. If I may, Dr. Holt, just to come back 9 Q 10 for a moment to the 10 CU's, was it part of your 11 mandate in -- during this investigation to review 12 the validity of CU's, or was this just a matter of 13 coming to a conclusion --14 DR. HOLT: Yes, it was. 15 It was? And is that from the initial request for Science information? 16 17 DR. HOLT: I --18 Let me take you to the appendix. 19 MR. WALLACE: Appendix 6, page 166, Mr. Lunn. And just 20 so the Commissioner understands, these papers are 21 produced as a result of a request for scientific 22 advice from, in this case, Fisheries and 23 Aquaculture Management. And actually, it's from 24 Fish and Science. 25 Directorate branch or group initiating the 26 request, category of request, Fisheries and 27 Aquaculture Management and Science, correct? 28 DR. HOLT: Correct. And in the description of the request, under 29 30 heading 1, in the box: 31 32 Develop Wild Salmon Policy ... lower 33 benchmarks for up to 36 Fraser Sockeye WSP Conservation Units ... where data 34 35 availability permits; 36 37 And then: 38 39 Several of these 36 CU's have been flagged by 40 Fisheries and Oceans Canada ... Stock 41 Assessment as being opportunistic spawning 42 sites only rather than CU's. 43 44 Is that an invitation from Stock Assessment that 45 perhaps the -- there was a question about the

DR. HOLT: Well, this came up at the meeting, that

there was disagreement amongst the group. If there wasn't good quality data on the CU's, are they, in fact, full CU's? It wasn't resolved. My understanding was that they were to be kept as CU's despite the fact that we don't know that there was persistent populations in there.

Q Okay.

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- DR. HOLT: But there is, you know, disagreement in the group of how that should be handled.
- Q Can you tell us more about this discussion? Who was involved in the discussion and was there a suggestion that they should simply be dropped?
- DR. HOLT: Sue Grant was certainly in the discussion, as was Blair Holtby. Blair's opinion was to include, to maintain the CU's within the -- in the list. Sue argued that for those CU's for which we only have very opportunistic observations for those in certain locations, where we have no evidence of persistent populations, that perhaps they shouldn't be included. I don't have a -- maybe Kim has more to add.
- DR. HYATT: I can add to this.
- Q Yes, please.
- DR. HYATT: The discussions in a Salmon Subcommittee review air a number of different perspectives and what those discussions are aimed towards is coming up with a recommendation or some kind of end point that is satisfactory to the group. And the end point in this case, after discussion, was that there were -- there was a group of sockeye that clearly constituted CU's and had sufficient information to reinforce that label. There were some added information sources that identified the erroneous inclusion of a couple of CU's where none had actually existed, and this was a misinterpretation of data or just data that -- as Dr. Holt has pointed out, fish never occurred above this point because there was always an impassable barrier. So those instances, those identities were removed as CU's, and then there was a third group that was regarded as nominal CU's for which the information at hand was neither sufficient to confirm their identity as CU's, and this would mean information like genetic information that would confirm their uniqueness, persistence, sufficient surveys over time, to confirm that persistence. So neither sufficient

- information to confirm their identity as CU's, nor sufficient information to reject them out of hand as CU's. So they stay in that tentative bin, looking for additional clarification through supplementary surveys, through a search for additional information, you know, a more thorough search.
- Isn't it likely that the same information that would -- or data that would lead you to conclude that these CU's were perhaps not valid for the reasons you mention might also be CU's that are in tough shape and very much in the red zone? If they are CU's, they're not doing well?
- DR. HYATT: It's -- well, yes and no. I mean, the issue that you point out is one of certainty.

  Clearly --
- Q I'm sorry, I don't understand.
- DR. HYATT: Well, it's a question of have we blanketed the landscape with sufficient survey effort to know all of the locations that salmon currently occupy or have ever occupied and whether if you see salmon in a particular location at one point in time, in the absence of a long series of observations, whether that's a CU or a -- or simply a stray from some other location. And it takes a process of organized assessment over both space and time to gather sufficient information to determine which of those categories it really lies in.
- Dr. Holt, do you have a view on this? Is it likely a -- getting away from certainty, is it likely, though, that where a CU has been identified and now you look at it and not sure, it may well be because it's not doing well?
- DR. HOLT: I would not say it was likely because it wasn't doing well. We don't have the information to be able to further assess whether it is a conservation unit, or not, whether it is a persistent population, or not.
- Q Thank you.
- MR. WALLACE: Mr. Commissioner, is this a convenient point to break?
- THE COMMISSIONER: Very well. We're going to recess for 15 minutes.

(PROCEEDINGS ADJOURNED FOR AFTERNOON RECESS) (PROCEEDINGS RECONVENED)

MR. WALLACE: Thank you, Mr. Commissioner. Brian Wallace.

# EXAMINATION IN CHIEF BY MR. WALLACE, continuing:

- Q Dr. Holt, just to finish up on the 10 CU's that weren't assessed and where validity of which are under question, you indicated that the reason for this is the lack of data on those CU's. Would it be fair to suggest that the data that DFO has been collecting over the years has been largely based on abundance and that it's the lack of abundance data that is the reason why these CU's have insufficient data?
- DR. HOLT: I'm not clear. There's a lack of abundance data on those CU's, like consistent abundance data.
- Q And the other side of that equation is the CU's on which you have abundance data are the ones that are important because of their size and where there's -- they're important to the commercial fishery and not others?
- DR. HOLT: True.
- Q So there is a relationship, then, to the size and to the ability to assess them?
- DR. HOLT: Yeah, I'm not -- I don't know what the numbers are of those 10 CU's, so I can't talk to that specifically and how that compares to the abundances of the 26 that we could see -- that we could evaluate. You know, we could go through the document page -- like into the details of the document to find out if there -- if there are small CU's that we did evaluate that are --
- Q Yes.
- DR. HOLT: -- comparable in size to those that we could not evaluate. I'm not sure whether there is some overlap there or not.
- Q Okay.
- DR. HOLT: I don't know.
- Q Thanks. Can you tell us, briefly, where we might find these 10 CU's identified in the paper, or are they spread throughout, or...?
- DR. HOLT: There's a -- the bulk of the paper goes -- is about the 36 CU's. I think the 26 that are evaluated for abundance and trends and abundance are listed, first, and then the 10 without sufficient data are listed with a description of

what we know about them. 1 2 And can we identify where they are, 3 geographically, from going through the report? 4 DR. HOLT: I'm not sure if there's a figure, like a map 5 in there; do you recall? 6 DR. HYATT: There is a map in the paper. I'm not -- I 7 don't recall whether the location of each CU is 8 identified on that map, but certainly the 9 geographic coordinates of the stream mouths where 10 those CU's would be located would be known to the 11 department. I don't recall whether that detail 12 actually exists in the paper. 13 Okay. Thank you. All right, we may come back to 14 this. If you could just see if perhaps you can 15 provide the location or tell us how to find it? 16 DR. HOLT: Do you want the map and/or the list of 10 17 CU's location right now? 18 I'd like to know where we can find it, and if you 19 can tell us where they are? 20 DR. HOLT: Both those -- both those pieces of 21 information? 22 Yes, please. 23 MR. BUTCHER: Page 86. 24 DR. HOLT: Yes, page 86 is the section that starts: 25 26 Stock Status: Ten Tentative Conservation 27 Units (Additional Research Required) 28 29 And then, on page 88, is the five 30 conservation units that were removed from the CU 31 list just in this paper, by Sue Grant, the senior 32 author, but through this peer review process we 33 did not conclude that those five CU's should be 34 excluded, and so that's still -- she wrote this in 35 a draft, or this was a draft and --36 Yes. 37 DR. HOLT: -- so this is not the case, that those five 38 CU's will all be excluded. 39 So we have - I'm confused - the 36 CU's, 40 initially? 41 DR. HOLT: Right. 42 Ten are in question, because there's insufficient 43 data, which means it's down to 26. Of those 26, 44 seven are in the red zone; 13 are in the yellow 45 zone; five in green; and one unassessed, which 46 adds up to 26. 47 DR. HOLT: So there are 26 that we assessed. There was

1 10 --2 The -- yes? 3 DR. HOLT: And then 10 that were poor quality data, and 4 then an additional five --5 So that the --6 DR. HOLT: -- that were -- that Sue Grant did not 7 include in that initial list, because she 8 considered them to be excluded. But then, when we 9 got together as a group to review this document, 10 there was criticism over that, saying about 11 whether all five of those should be excluded or 12 not. 13 Okay. So the number of 36 that has been used, 14 excludes the five --15 DR. HOLT: Excludes --16 -- which may be added to that --17 DR. HOLT: Yeah. 18 -- which could bring it up --19 DR. HOLT: Right. 20 -- to a maximum of 41? 21 DR. HOLT: Except two of those, of the five, are -- one 22 of them was a mistake in the database, so we -- it was -- there was consensus among the group that 23 24 that was not to be included. And a second one is 25 where they had thought fish existed above a 26 barrier, which was impossible. So two of those 27 are clear, and then the other three were a little 28 bit less clear. 29 Thank you. 30 DR. HYATT: Just to add comment to this, and as a 31 comment that's appropriate for the Commissioner, 32 keep in mind that this is a paper that's still in 33 review; it has not been accepted nor finalized; it 34 is subject to an additional round of scrutiny by 35 the subcommittee before it's accepted, and so 36 you're talking about -- you're having a discussion 37 about discussions that are still entrained. Thank you, Dr. Hyatt, I was going to go there, but 38 39 just to understand it's still influx. Where we 40 are, though, in the current state of knowledge 41 from this document, I take it we are at 39 CU's 42 with 13 uncertain; is that it? Ten and three? 43 DR. HOLT: That would be fair. 44 Yeah. But -- yeah. Of those 13, 10 we have more 45 information for that she included as CU's in this 46 list, we just have poor quality information, but

three additional ones which she -- Sue Grant

1 considered not CU's, but as a group we weren't -2 that wasn't supported.

- Q I think that's clear. Let me ask -- Mr. Saunders? MR. SAUNDERS: You used the word "uncertain". I think we're now down to three that are uncertain, if you're going to use that word.
- Q Okay.

- MR. SAUNDERS: And 10 that are unassessed.
- Q Thank you.
- MR. SAUNDERS: Yeah.
  - Q And of the 26 that are assessed, we have only five in the green zone, and we have 20 in yellow or red. Does this concern you, this result, Dr. Holt? Dr. Holt?
  - DR. HOLT: These were not final assessments. Through discussions with our -- with the group within the review process, we decided that the data -- the results that we presented here were insufficient to give assessments, because -- because of biases in the assessment process, and so we weren't comfortable, as a group, presenting these overall assessments with that -- the green/amber/red zone status.
  - Q So in the paper as drafted, those assessments were made, but in the review process there was not sufficient certainty to confirm that, or to accept that?
  - DR. HOLT: Right. The subcommittee recommended that we do further analysis to make the analyses more complete, unless there -- unless biased. And so that's part of what our review -- or revisions right now.
  - Q And this is the point that Dr. Hyatt was referring to? Mr. Saunders?
  - MR. SAUNDERS: I was just going to ask that the document that we were looking at -- that you were reading from would be up on the screen to help us understand the context of --
  - Q I think it is, but --
  - MR. SAUNDERS: For the -- is that where we're reading from right now? That would be the abstract.
  - Q We're at page 88 of the document. What did you want to see?
  - MR. SAUNDERS: Sorry, I was just having trouble figuring out where we were, what you were --
- 46 Q I wasn't actually referring to the --
- 47 MR. SAUNDERS: Oh.

Q -- point of the document; I was asking a more general question.

- MR. WALLACE: Could we go to page 16 of the Wild Salmon Policy, Exhibit 85, please -- or, sorry, Exhibit 8, please.
- Q Dr. Irvine, you say, in your summary of evidence, with reference to page 16 of the Wild Salmon Policy, or, sorry, I'd like to ask you about the issue of consultations with First Nations, which is referred to at page 16 of the Wild Salmon Policy for the purpose of defining CU's.

Can you tell me whether you're aware of whether DFO scientists have engaged in such consultations, whether they have any guidelines for those consultations, and whether any of them have taken place?

DR. IRVINE: Well, yes, during the fall -- so the policy was finished in 2005. During the fall of 2006, extending into January of 2007, there were, I believe, meetings in 12 communities within British Columbia, and at each of these locations we met, or at least in most cases, we met with First Nations and with stakeholders, and we presented a preliminary -- we presented a description of the methodology of CU identification as we knew it at the time, and we provided provisional lists of conservation units, and so we invited comments on both the methodology and the actual units. So this is part of a broader consultative process so that it wasn't strictly on Strategy 1. We went through Strategies 1, 2, 3 and 4.

The process is quite interesting, because at each of these meetings what we would do is we would put up maps of the province on the table or on the walls and we had one big map for each of the five species, and then we had locations of the proposed conservation units for each of the five species up on the walls. And so during the course of the meeting, we would ask for input as to the methodology, so we had a hand -- a typed version of the methodology that we distributed, as well as a PowerPoint presentation. But a lot of people were maybe uncomfortable presenting their feedback in a public meeting, and so what we asked people to do is if they had comments on a proposed conservation unit, to just write it on a sticky

note. And so at each of those locations we basically compiled all these little sticky notes, so people would say, you know, "This doesn't appear to be correct, because of," or they would provide, you know, perhaps some information on the distribution of the fish or the timing of the fish, which would help us to further delineate the conservation units. So that took place during 2006 into early 2007.

And then there was a consultant's report that was written up on that, as well as, I believe, a DFO report.

- Q And were these -- did these consultations have any impact on the identification of the CU's?
- DR. IRVINE: Well, most of the comments were -- did not have any direct bearing on the conservation units, but in some cases they certainly did. I mean, I remember the one -- the one rather embarrassing example, where we had a number of the streams in Haida Gwaii flowing the wrong way, and it was a mapping error. And so we were told about this many times, you know, these bureaucrats from Vancouver coming up and they don't even know the way the streams flow. So we did get input. I think we would have caught that error, eventually. But we received a lot of, you know, very detailed input that was provided.

In this particular instance, I mean, I attended some of these meetings, Dr. Hyatt attended some, Mark Saunders attended some, and I had a biologist working for me at the time, Ray Lauzier, and he actually attended most of them. So part of his responsibility was to assemble all these sticky notes, if you like, as well as other comments that were provided at the meetings, and then meet with Dr. Holtby, and so they went through them one at a time and made whatever changes were appropriate.

- Dr. Irvine --
- MR. SAUNDERS: Can I add to that?
- Q Yes, please.
- MR. SAUNDERS: I mean, I think it's fair to say that where it was warranted there were changes made, both from First Nations and other sources, and I think, you know, the description as you're gathering is a very complex analysis to determine the conservation units, Mr. Commissioner. But I

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think the outcome was an amazingly elegant piece of science that, I mean, as a biologist I -- when I -- when we were developing the policy, I sort of wondered what it would look like, what our definition of -- or our scientific method to determine a conservation unit, and in my mind I fully expected it to be a genetic dendogram of infinite complexity that would leave just about everybody saying, you know, "You guys got an answer. I don't know how you got there," but, in fact, as Dr. Riddell described in the last panel, it became very tightly defined by the ecology, so this ecotypic definition where watersheds -groups of watersheds that were definable and the characteristics of them that were driving the biodiversity led to this group of -- an understanding of a group of fish. And that has resonated with the biologists that aren't geneticists. It's backed up by genetic analysis that shows that these -- where we don't have genetics everywhere, but where we do have it, it's shown that the process is complete. And this has resonated with First Nations, it's resonated with people on the ground, with fishers, people that understand local areas that conservation units -the diversity are driven by the local conditions and they get it.

And I think the -- one of the strongest recollections I have is in Merritt, where we described the process of it and the linkage of the uniqueness of these fish and the relationship to the land and, in fact, Dr. Riddell, I think, at the time spoke, or one of our scientists spoke to the fact that this linked up with these boundaries were very similar to the First Nations linguistic boundaries, and the chief at the time said, "Well, it took you guys 100 years, but you got it right, finally."

So I think these CU's with people that aren't technically astute resonate with both a science -- a scientist level and at a practical knowledgeable understanding of on the ground. And one of the pieces there is you don't have to have genetic data. If there are unique fish that people can come to us and say, "There are fish that are here that are at a different time and look completely different," that's enough to warrant some

investigation by Blair and our staff.

And that ability to have discourse at that very practical level of understanding these fish is, I think, an outstanding sort of characteristic of this CU process.

MR. WALLACE: Thank you. I wonder if I can just take -- ask you, Mr. Lunn, to go to page 18 of the Exhibit 8. There's a reference in the left-hand column, just before the paragraph that starts at the bottom of the page, talking about looking at levels of abundance that cannot sustain further mortalities and describing the red zone. And at the end of that paragraph it says:

The Department will prepare and publish operational guidelines on the estimation of this level. The management response to this level will be determined on a case by case basis, in consultation with First Nations, and others affected by this determination

And I -- in your -- Dr. Irvine, in your summary of evidence, and also, I think, in yours, Mr. Saunders, I believe you note that you're not aware that such operational guidelines have ever been produced. And I just am looking for clarification, Dr. Holt, because I think you were -- equated, today, Dr. Holtby's synoptic framework assessment with those guidelines, and I'm wondering if I misunderstood.

- DR. HOLT: My understanding is that Blair, Dr. Holtby's, synoptic survey uses thresholds that are within the red zone that are aligned with COSEWIC criteria that identify when a stock has a -- has a high probability of extirpation. So I have equated that with that phrase here, but we haven't had a discussion, department-wide or within our group, that that is -- that those are parallel projects.
- Q Okay. Dr. Holt, going to Action Step 1.2, can you explain your contribution to the implementation of that, and in particular, your role in designing the methodology to identify indicators in the benchmarks?
- DR. HOLT: So Action Step 1.2 is to develop criteria to assess CU's and identify benchmarks to represent biological status. So we first identified four

classes of indicators to represent the diverse ways that anthropogenic and natural factors can influence salmon populations. Those were abundances to the four classes of indicators that we looked at where one based on abundances, another based on distribution, another based on trends in abundance over time, and a fourth on fishing mortality relative to productivity.

We chose abundances and distribution because they are explicitly described in the text of the Wild Salmon Policy here. We included trends in abundance over time, because that is often used in COSEWIC and the -- COSEWIC is mentioned here in this text of Action Step 1.2, that the lower benchmark should be established at a level to allow for a buffer against COSEWIC listings, and trends and abundance are used by COSEWIC to identify when those listings are.

We also included this fourth class of indicators, fishing mortality relative to productivity. And this is different than the first three in that it's not an intrinsic property of the populations, but is a threat. We included it for those CU's for which we don't have information on abundances, per se, but we do have information on fishing mortality. We might be able to identify when a fishing mortality is too extreme that will not allow for sustainability of that population.

So these four classes of indicators are described in the document that Blair, Al Cass, Brian Riddell and I authored in 2009, the CSAS paper. We then identified specific indicators in those four classes of indicators, specific metrics, and then within each of those metrics we identified benchmarks. So lower and upper benchmarks.

It sounds like you've gone through the definition of those lower and upper benchmarks. That lower benchmark was to be established at a level to allow for a substantial buffer between that and a COSEWIC listing.

We developed candidate benchmarks for those metrics for two of the classes of indicators; abundances and fishing mortality. We then evaluated those candidate benchmarks using a simulation model -- a simulation modelling

approach, which is described in the document Holt 2009, another CSAS paper.

I'm trying to get the exhibit numbers of these --

- Q I'm trying to get the exhibit numbers of these -- DR. HOLT: Okay.
- Q -- just for the record. So these are Exhibits 153 and 154. We don't need to bring them up; just so the record reflects that.
- DR. HOLT: And so that document describes how we evaluated the candidate benchmarks accounting for various uncertainties in the fishery -- or the biological and management systems.

Do you want me to go into detail on that?

Q No. No, I think that's just -- general is sufficient, just your involvement in that in a way that we might understand.

In your summary of evidence, Dr. Holt, you speak of the difference between the lower reference point and the use of benchmarks, and you — I'm wondering, and you make the point that lower — that reference points tend to be operational triggers as opposed to benchmark, which — sorry. You have it as being more prescriptive than a benchmark, which is not intended to direct specific management action. Is it your view that use of reference points, lower reference points, is what's required by the precautionary approach?

- DR. HOLT: So I agree that the Wild Salmon Policy requires that benchmarks --
- Q Yes.

- DR. HOLT: -- are delimited. DFO's precautionary -- or decision-making framework that incorporates the precautionary approach talks about reference points. Perhaps we can look at that document. Is that evidence?
- MR. WALLACE: We'll need to -- okay, this is ringtail CAN007416. It's on Canada's list, I think.
- MR. LUNN: Do we have a tang number, by chance, in Canada's list?
- MR. WALLACE: I don't have that handy.
- DR. HOLT: The fishery decision-making framework --
- MR. WALLACE: Yes, the fishery decision-making framework.
- DR. HOLT: -- incorporation the precautionary approach.
  And if we look at page 2 oh, maybe it's further
  down there's the first figure shows an example
  of the reference points, where a lower reference

point is a level of a stock status that is undesirable, on the X-axis here is stock status, and on the Y-axis is removal rate or harvest rate. So this document describes how a low stock status, the removal rate is essentially zero. And then, there's a direct relationship between stock status and that removal rate.

That is not the case for Strategy 1.2 of the Wild Salmon Policy that identifies benchmarks. Those are not directly linked to a removal rate as they are in this document here. For example, in the red zone, that is to trigger immediate consideration into possible management actions to reduce probabilities of extinction, but doesn't specify a specific removal rate. Same in the amber or green zones. So those are the -- that's the difference.

- In your view, then, do you think that the Wild Salmon Policy use of benchmarks meets the precautionary principle which has been established here and is used internationally?
- DR. HOLT: I agree that it does incorporate the precautionary approach and that precaution that we shouldn't use uncertainty in the -- in data or in our scientific knowledge to delay action. The Wild Salmon Policy accounts for that by allowing for a substantial buffer between the lower benchmark and a level that would be, for example, considered at risk by COSEWIC, so it allows for that uncertainty -- we won't get down to that level, that COSEWIC level, because we've allowed for that buffer. So it's precautionary in that sense.

- So that describes the conservatism of the line, but doesn't dictate any management behaviour for something in the red zone, regardless, right?
- DR. HOLT: It describes what the management approach should be, but not specific removal rates.
- Q May I direct you to Exhibit 149.
- MR. WALLACE: Before we do that, the document that we just brought up, CAN007416, the Fishery Decision-Making Framework Incorporating the Precautionary Principle should be marked, please, as the next exhibit.

THE REGISTRAR: 185.

1 2 3

EXHIBIT 185: Fishery Decision-Making Framework Incorporating the Precautionary Principle

#### MR. WALLACE:

Q Dr. Holt, this document is a record of decisions from an operations committee meeting in September of 2008, and there were two presentations indicated on the first page, and the first I believe you were involved in the process of all benchmarks for Strategy 1?

DR. HOLT: Yes.

 Q And then the next steps says:

\*The lower benchmarks should be derived from biological considerations, primarily the assessment of biological status should be differentiated from subsequent management actions taken in response to that status. It is important to characterize our work on benchmarks within the context of national level priorities.

Can you tell me what that means? Really just the last phrase.

DR. HOLT: Just the last phrase? That the Wild Salmon Policy is one of a number of policies that Fisheries and Oceans Canada is pursuing and that this -- our work on Strategy 1 needs to be -- needs to consider the context for the other policies that are also being pursued.

For example?

DR. HOLT: So one being the document we just looked at, the decision-making framework incorporating the precautionary approach, and another being SARA or COSEWIC, the committee mandated to perform assessments by SARA, because as we discussed, that lower benchmark is to allow for a buffer between a COSEWIC listing -- or itself and COSEWIC listing.

Q While I have this exhibit out, if I may ask a question of you Ms. Stalberg, because you appear to have been there that day as well and dealing with the Strategy 2 assessment of habitat, and in the next steps under that record of decision it says it was advised to take a strategic approach

to Strategy 2 and to collaborate with our partners to advance our interests.

Can you explain that to me, please?

- MS. STALBERG: Partially. Is it possible to bring up the September 23rd ops deck that I gave?
- Q I'm sure it's possible. If it can be done efficiently, I don't know. Exhibit 148.
- MS. STALBERG: Yes, that's it, thanks. Can you scroll down through a number of these slides and I'll let you know which one? Okay, and if we can back up to Transition Strategy, please? Try slide 17; 18. Thank you. Okay, so I'll be referring to slides 18 and 19 in my response to your question. So can we go -- or split the screen as well, back to that question?
- MR. LUNN: Oh, to the other document?
- MS. STALBERG: Yes, please. That's right.
- MR. WALLACE: We have the technology.
- MS. STALBERG: Thank you so much. So at the September 23rd, '08, operations committee, that was a session, Mr. Commissioner, where Strategy 2 we were able to present the progress that we had made to date and then the plans for continued implementation. And the plans for continued implementation were this Transition Strategy. So it was at this session that Rebecca Reid advised the group that the habitat working group coordinator would be Sunset in March '08, and it was basically how to proceed in advance of that.

And so for the Transition Strategy there were internal works that needed to be continued, and external works as an internal to the department and external to the department. So there was a focus, internally, on trying to operationalize the efforts that the team had generated to date, and so that's the OHEB integration piece, reviewing all of our related work plan elements and prioritizing them and implementing them. So there was sessions coming up to provide guidance to OHEB on what to implement of the Wild Salmon Policy.

And then there was still work with the implementation team on developing a monitoring framework for these habitat indicators, for ecosystem indicators linking in with Strategy 1 as well.

So there was internal work, and then if we move to slide 19, please, external work included

continuing sessions to review proposed monitoring framework and the associated implementation plan, that internal one, that we would need to do consultations with, with OHEB, once they were determined.

So I can't recall if I had started the -yes, so in March '08 I had started to meet with
external parties of Pacific Fisheries Resource
Conservation Council, the Upper Fraser Alliance,
Watershed Watch, on ideas for how to start
implement -- developing a framework for
implementing the habitat indicators. And we had
also started to have these conversations in a
large forum back in March '08, where all the
strategies met with First Nations and multistakeholders in a session to review project
progress and then get feedback on that and future
directions.

And these meetings with groups were to try to continue to foster these longer-term partnerships. So the strategy - and I may have the dates wrong on meeting with these different groups - but the strategic approach to Strategy 2 that is mentioned, then, in the September 23rd, was, "Yes, well, given the," -- it's my recollection that it was, "Yes, well, given a limited tenure of the habitat working group coordinator, what do you need to focus on in advance of this position leading?"

- So this is all in the context of the fact that your position was part of the Sunset and there would no longer be a habitat working group coordinator --
- MS. STALBERG: That's correct.
- Q -- for the WSP? Yeah.
- MR. WALLACE: Mr. Commissioner --
- THE COMMISSIONER: We were to adjourn at 4:00 today, Mr. Wallace.
- MR. WALLACE: Yes, I apologize.
- THE COMMISSIONER: Thank you.
  - MR. WALLACE: We were in midstream. I apologize.
  - THE REGISTRAR: The hearing is now adjourned until ten o'clock tomorrow morning.

(PROCEEDINGS ADJOURNED TO DECEMBER 3, 2010, AT 10:00 A.M.)

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

## Susan Osborne

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

#### Pat Neumann

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

### Irene Lim

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

## Karen Hefferland