

Commission d'enquête sur le déclin des populations de saumon rouge du fleuve Fraser

**Public Hearings** 

**Audience publique** 

Commissioner

L'Honorable juge /
The Honourable Justice
Bruce Cohen

Commissaire

Held at: Tenue à :

Room 801 Federal Courthouse 701 West Georgia Street Vancouver, B.C.

Wednesday, January 19, 2011

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# Errata for the Transcript of Hearings on January 19, 2011

Page	Line	Error	Correction
95	35	some data for conservations that	some data for conservation units that

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No appearance Heiltsuk Tribal Council ("HTC")

No appearance Musgamagw Tsawataineuk Tribal

Council ("MTTC")

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THE REGISTRAR: Order. The hearing is now resumed. May I remind the witness that you are still under oath.

MS. BAKER: Thank you

EXAMINATION IN CHIEF BY MS. BAKER, continuing:

- Mr. Lapointe, just to follow-up from yesterday's session, I wanted to just clarify. When you talked about -- when we were discussing overescapement and you talked about that you didn't think that the word "over-escapement" was a good terminology and you talked a little bit about benchmarks, if you had agreement on different benchmarks, that would help you to decide whether there was the right escapement, that word "benchmark" has some meaning in terms of Wild Salmon Policy and in terms of the FRSSI model, and I'm wondering, is the benchmark concept that you were talking about one of those two types of benchmarks, or is it something altogether different?
- Sorry about that. I'd forgotten -- bad choice of Α I'd forgotten how many days you actually words. spent on the Wild Salmon Policy so let me try and be a little bit more precise in that language, and I appreciate the opportunity to clarify it.

What I was trying to get at was that if it was possible, the concept, and it's a concept, okay, it's not an answer, it's not a recommendation, or anything, is that if we could define, you know, use this word and if you need me to help re-find the definition of the site, I can try, but it's going to be a bit vague. If we could define something akin to optical escapement in the context of the various benefits that Fraser sockeye or salmon in general provide, and by the various benefits, I gave you examples yesterday, but just to pick two, I guess, of the many that I -- so if we could define what the optimal escapement was with respect to something like biodiversity, and I think that, probably, John Reynolds, in one of his testimonies early on

provided the best definition, a better definition than I could have, that versus the optical escapement for something like sustainable yield, and not only the optimum, but also how deviations from the optimum would impact those two topics. So more escapement or less escapement relative to optimum in those two objectives, then I think it would go a long way towards articulating, kind of, the goalposts, if you like, about some of the debate.

And right now, I think there's a bit of ambiguity about those in some cases and it kind of muddies the waters a bit in our ability to communicate clearly about that topic. So you know, I'm not saying that it's a trivial exercise to do that in any way, and I'm not even necessarily saying it has to be measured in some sort of a number type of a thing because that's always something that can create some problems, but just a very careful even verbal description would improve our ability to communicate and along the issues of over-escapement, in my view. So that's really where I was coming from.

- Q All right. And not -- the use of the word "benchmarks" was not intended to reference either of the other benchmarks?
- A No, absolutely not.
- Q Okay. Thank you. Now, the only things I wanted to cover with you this morning are two. One, I wanted to talk a little bit about Alaska, and I wanted to talk about the impacts, if any, of the ITQ fisheries on management of Fraser River sockeye. So I think I'll start with that one, actually.
- A That's a good idea, actually, I think.
- Q We talked a little bit about ITQ fisheries when we looked at the fisheries planning model, and you described how this is a type of fishery that sets a quota for a period, rather than just opening it up for a period of time.
- A Sure.

- Q And that contrasts with the derby fishery. And I take it that the ITQ fishery was tried this year? This was the -- in 2010, that was the first year that's actually been implemented as a trial?
- A Yes, that's correct.
- 47 Q Okay. Does that -- and it hasn't -- it wasn't

implemented for all fisheries, just some?

Yeah, there were only agreements for Area B, which is seine, and Area H, which is troll. I believe that, actually, there were some pilot studies in a couple of those instances, very small scale ones prior to last year, but the first full-scale implementation -- well, full-scale, I guess, season-wide, fleet-wide was last year.

- Q Okay. Does that move, if it's to be continued to an ITQ fishery, does that impact how the Fraser River Panel can manage the fisheries, or does it impact the Salmon Commission staff work in terms of the information they gather and how they actually make decisions?
- So I spoke yesterday about the stock ID Α implication so I won't repeat that because that's already in the record, but I would talk about two, I think, other aspects. One is the manageability of the fishery, and by that, it's mostly about the And I've got to be a little bit careful about how I word this just because ITQ has a bunch of economic implications about the sharing of quotas and the ability of folks to buy them, and some folks have some sensitivities about what the implications might be for the, you know, independent operator versus the licences that are owned by companies and so forth. So I don't know that my comments are really about that part of it. That's really outside --
- Q And I'm not asking you about that. That will be in your --
- A But it's important for me to clarify because people might think if I'm proponent of that, that I somehow am a proponent of the economic parts, and I really -- that's outside my expertise. So I just wanted to be clear about that.

But on the manageability side, the important aspect of it that may not necessarily be a function of the ITQ in a literal sense is that the fishermen are able to fish in a pool towards a catch target. In other words, there is a defined catch target which, in contrast to the derby style, it's a defined fishing time with an unknown catch outcome that relates in part to the abundance of the fish, and the success of the fishermen, and how good the weather is and all those kinds of things. So by having it be a catch

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target, then, it provides two things, one, some certainty about the risk in relation to the magnitude of the catch, and it also provides some capacity to access available harvests of different In other words, if there is a magnitudes. situation where the available harvest is relatively small, in the past, with only the ability to regulate effort, it might have been impossible to structure an opening short enough to have some assurance that the catch would be within the target. I mean, you know, we have had, I think, two or three-hour Area E openings and I suppose one could contemplate a one-hour seine opening, but it's not practical.

Whereas now, because the catch is shared and pooled, if the target is 30,000 fish, you don't have to put a seine fleet of 170 boats out there to catch that 30,000 fish, you can send 10 boats out, they are fishing to a target. When they get their 30,000 and the benefits are shared through the ITQ. So that's the primary -- those two benefits, the idea that even on a big, big quota situation, you have a focussed harvest that reduces the risk, and on a small harvest, you can access that. It's kind of like a finer volume knob on the stereo. You know, if -- before, it was kind of like full on or full off, and I expect if you ask some of the industry guys about this, they would say, you know, "Why didn't we get to go fishing," it's because they didn't have enough graduations on the knob to allow them to allow them to go fishing under the derby style so, in fact, they didn't go fishing because you couldn't take the risk. You know, the policy members, the policy folks couldn't take that risk. So those are the fundamental things from a fisheries management side I'd bring up on the ITQ issue. Thanks. And then the other area I wanted to cover with you was Alaska. There's been comparisons made between the Fraser River system and Bristol Bay, in particular. Are there -- first of all, do you have any knowledge of what the fisheries regime is in Alaska, and can you draw any comparisons with the B.C. Fraser River system?

Sure. So first of all, the shortest answer to Α your question is that the information about

Bristol Bay is widely known, freely available and

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fairly well understood, in my view, okay? And part of that relates to, and this may be, you know, a bad word, but I suspect you guys have experienced this and part of your process here is that the fisheries world is pretty incestuous. You know, I mean, we all know each other. We all have come from different places and it's pretty hard to meet someone who hasn't -- that you don't know, or who doesn't know someone you know in the fisheries world. I mean, it's very much that way.

And in my own personal experience, and I have to say, Mr. Commissioner, that when I answer this, I'm afraid that it may sound too good to be true and you may question the objectivity of my previous testimony, my personal experience, my connections to Bristol Bay are kind of -- I don't know if they're unusual, probably typical, but just to give you an example of how small the world is, I originally started a Masters program at the University of Alaska in 1982, at the University of Alaska, in Fairbanks. So I actually lived in Alaska for a short period. And in the course of my fieldwork for that program, I did fieldwork with a gentleman named Doug Mecum. And excuse me if this sounds like a bunch of name dropping, I'm not trying to build up my expertise in Bristol Bay by any stretch, but just to kind of give you an idea of how small the world is. Well, I'm not going to go through Doug's career for you, but Doug retired about six or seven years ago from the Alaska Department of Fish and Game as the Director of the Alaska Department of Fish and Game. actually made a reconnection with him because he's now a member of the Northern Boundary Restoration Enhancement Fund, the Pacific Salmon Commission. I hadn't seen him for over 20 years, although we did have some interactions over the course of his career. So I mean, that's kind of a happenstance.

The reason I left Alaska, and I'm not going to go into detail on this, but I got a phone call from a guy named Ray Hilborn, and Ray wanted me to come down to UBC to do a Masters program there. Well, Ray, as I'm sure many of you know, is now on the faculty of the University of Washington and he is the main scientist involved with a lot of the Bristol Bay research on Bristol Bay sockeye.

Fast forward through a lot of tough years of

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trying to finish my degree and I end up at Simon Fraser University, working for Randall Peterman, and you have my CV that was entered into the record yesterday, and you'll see a couple of publications on there from that four-year stint, working with Randall, and some of them relate to comparisons between the productivities of Bristol Bay sockeye and Fraser River sockeye. I think the text probably says, you know, British Columbia and Alaska, because you always try to be a bit more general in your academic titles, and so forth, on these papers, but you know, my recollection of that, and please don't embarrass me and ask me about the details of those papers. I think they were published in 1990 sometime, early '90s. won't remember, but things I do remember about that is in dealing with the data sets for Bristol Bay, the one thing that really strikes me when I first saw them was I looked at the columns. were columns for the number of spawners and the number of returns, and the number of returns were split up by age class. And I looked at the Bristol Bay file and went, "Where did all these ages come from." I mean, there were ages I'd never seen before and it was like this frustration of doing that work. And I'd like to come back to the age issue later on when I talk more generally about the issue. And then -- so I worked with Randall and, you know, it just kind of -- right now, in the current, obviously, Alaska is a party to the Treaty. There are seine fishermen that fish in Bristol Bay and come down and fish in the Fraser that are part of the Fraser Panel process. They fish in both places because the Bristol Bay season is earlier. We've had members of the Fraser Panel that also were part of the Alaska Department of Fish and Game. There's this tendency on the U.S. side for -- because Alaska had a 20-year retirement deal where if you worked 20 years, you could retire with full pension. many of those folks actually finished their 20 years and got hired by the federal government and ended up connected to the Fraser panel process and, actually, Doug is one of those folks. He's now working for the federal government, kind of double dipping, as it were, but good for them. So that's my personal connection to those.

And the only reason I bring it up is not so much to, as I said, establish that I'm an expert, that's not the point, but the point is that my take is that if there was something that I was fundamentally missing, or we were fundamentally missing on the Fraser, one of those folks would probably, you know, call me up and kind of grab me by the shoulders and give my head a shake, sort of say, "Hello," you know, "Are you paying attention? You were my student," you know? And it's not like Fraser sockeye issues have not been in the public domain, right? So there's been lots -- you know, they read newspapers, they see stuff. So that's the kind of interconnectedness, an example of interconnectedness of all of us that work on salmon.

Now, I do want to speak more generally about the Bristol Bay and provide you some -- not to convince you of my knowledge, but to provide some high-level observations, I guess I would say, from what I would know. And I want to speak about three main themes. The first one relates to geography. The second one relates to fisheries management, and the third one relates to biology. So those three themes.

Now, I'll start with geography, and of the three themes, I think that the geography and the biology are the drivers, and the fisheries management's kind of a reaction, I guess, to those two things, as it should be.

On the geography side, first, does everyone know where Bristol Bay is? Like, you know, the map of Alaska, if my arm is kind of the Aleutian Islands, and the Alaska Peninsula is here, and Bristol Bay is kind of where my neck is, here. All right. So it's above the Aleutians, north of the Alaska Peninsula, right near the -- you know, almost part of the Bering Sea, basically. Above the Alaska Peninsula is the Bering Sea, below is the Gulf of Alaska. So that's where Bristol Bay is.

One of the most fundamental differences between Fraser sockeye and Bristol Bay with respect to geography is that in Bristol Bay it's multiple stocks and a number of those stocks have different points of ocean entry with respect to where those stocks migrate out to sea and where

they come back and enter into the rivers to return home to spawn.

There's about six or eight major entry points across the -- so Bristol Bay is not a river, it's a geographic location with a whole bunch of streams. The Fraser has a whole bunch of streams, but the important distinction is that the streams in Bristol Bay spill out about eight different major spots over an area of about 200 kilometres. And just for a point of reference, 200 kilometres is about the distance between Vancouver and Seattle, 220, something like that. Okay. Fraser, of course, has one main stem stream that spills out in one location. It may have the same number of individual streams that contributed to it, but it doesn't have the advantage of that, or the difference, and I think it's an advantage, but of the multiple points of ocean entry from all the streams that contribute to Bristol Bay. clearly that geography is a fact of nature. not something you can change, but it does influence the kinds of things you can do in a fisheries management sense.

A few minor things on the geography just because sometimes people think this is kind of a cause as opposed to just an effect. I think the driver in the geography is the stream entry point.

Bristol Bay is very remote. I suspect the largest city on any of these streams in Bristol Bay would probably be Dillingham, and it's a population as of the 2008 Census, of about 2,500 people. Now, I suspect it's significantly larger than that during the fishing season, but you know, it's a lot smaller than Vancouver. It's only located around one of those entry points. I'm not that familiar with how much forestry is done up there, but I would suspect that the ecosystems would be relatively pristine relative to many parts of British Columbia, certainly, the Fraser Valley and some parts of the interior. So that's an issue.

There's also the issue of warm water, okay? Bristol Bay streams have not experienced the warming trend that has occurred in the Fraser River, okay? It just hasn't gotten that far north yet and that obviously is a big player in the Fraser.

On the jurisdictional side, and I think this is a bit of a red herring, but it is simpler up There is just the State of Alaska with some interactions with the federal government, and one of the things I learned when I was in Alaska was that those -- something about those interactions, and I'll just say that I think the state kind of drives the show up there and the feds are kind of potentially viewed a little bit as a nuisance, if I could use that word, but I might get myself in trouble with my Alaskan colleagues by making that remark, but that would not be an unfair characterization, in my view, of what both I personally observed and what I understand to be the case. But some folks have tried to draw the conclusion in the Fraser that maybe if the jurisdiction was simpler, everything would be solved, and I guess I would say the jurisdiction is definitely more complex and it's a challenge, but it's really not, in my view, the issue, but it has -- there has been some folks that feel that way so I'm happy to be challenged. So that's all I have to say about the geography.

Turning to the fish management, first of all, escapement policy. I'll try not to get too technical about this, but there's two major basic strategies that one can use to determine the number of fish that you'd like to have escape. One of them is a fixed escaping policy, and the concept behind that one is that no matter what the run size is, the escapement is a number, period. It is whatever the escapement target is.

The other one is a fixed exploitation rate strategy and the concept there is that the escapement will vary as a fixed fraction of the total run, so a fixed proportion of the total run instead of a fixed number. There's lots of theoretical papers on this. I'm not going to go into great detail on it, and my knowledge is a little bit foggy, but two comments I will make relate to the fact that the fixed escapement policy has theoretically been shown to result in higher, long-term yield, but greater variation between years. Whereas the fixed exploitation rate strategy results in lower long-term yield, but more stable yields over time, two really categorical differences between those that have

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fairly well-accepted concepts.

So what happens in Bristol Bay? Well, in Bristol Bay, they have a fixed escapement policy. Basically, it's a fixed number. And I can talk about how the fisheries relates to that shortly, but in the Fraser, I think there's a -- you know, because, and you're going to get a whole day or two more than you want, probably, on FRSSI so I don't want to pre-empt that all, but from what I know about the FRSSI process, and I do implement it on a daily basis in the summer, at some ranges of run size below what's called the cut-off point, the upper cut-off point, it's a fixed escapement policy. It doesn't look like one because there's this shape drawn, but it is indeed, algebraically, mathematically, however you want to define it, a fixed escapement policy. So where they differ is at big run sizes. At big run sizes the policy of the FRSSI model says there's a cap on the exploitation rate, or on the total mortality rate. It's a 60-percent cap, I think, right now.

So what that means is that beyond some abundance level defined by the cut-off point, you're in a fixed escapement rate strategy mode, or a fixed exploitation rate, sorry, strategy mode. So it's kind of a hybrid between the two.

There's an interaction here between the geography and these strategies, in my view. other words, I believe that the reason, one reason why they may be different is the fact that the geographies are different. What would be the implications for the Fraser of a fixed escapement policy at all run sizes? It's the same implication it would be anywhere else, as the run size goes up, the exploitation rate goes up because the escapement target is fixed and what goes to catch is the balance so the catch goes up, right? The catch part of that -- it's a zero sum game here. So if the exploitation -- if the escapement is a fixed number and the catch goes up, I mean, the abundance goes up, then the catch part of that has to increase because they add to the total run, right? So that means that at high abundance levels, if you -- the literal interpretation of a fixed escapement plan would be you would increase the exploitation rates to represent whatever the balance of the catch is

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left from subtracting that catch from the total run.

If you apply that kind of a strategy in the Fraser, and I'm not going to -- as you know, I try to be careful about making value judgments, but one of the concerns that's been expressed in the Fraser consequence, or context, as a consequence of the geography is that because 99.9 percent of Fraser sockeye are currently harvested in a mixed stock way, in other words, I'm defining it very strictly. In other words, there's very few fisheries directed at single stocks, okay? that you need to be concerned about, or people have expressed concern about the fact that the exploitation rates that can be sustained by different stocks may be different. And so that's one of the reasons why I believe there is a cap on the total mortality rate for Fraser sockeye.

If you turn that over to Bristol Bay and look at Bristol Bay's geography, they have more or less some capability, and in fact, this is the last part of the fisheries management thing I'll describe to you, they take advantage of the geography. Their fisheries are located pretty close to the mouths of the six or eight streams that empty into Bristol Bay and so they have a capability, as a function of the geography, that allows them to be a little bit more stock specific in the way that they harvest these fish, and that's what they do. So the risk to Bristol Bay sockeye of having, say, a fixed escapement strategy is mitigated by the geography that allows them to be a little bit more selective in their harvest, okay?

So the only other thing I'll say on the fisheries management side, and then I'll end with the biology, is that the in-season tactics are somewhat similar to what we do in the Fraser, but somewhat different because of the geography. So they fished in terminal areas near the stream mouths and they can control the effort in each of these areas depending upon whether certain component stocks are weak and those drainages are strong. And they have these counting towers, visual towers on the streams where they get the index of abundance and they can -- and they're only about a three days' distance swim from where

the fishing takes place. So they have a very immediately in-season feedback about how they're going and how they're tracking relative to their escapement targets. So that's pretty similar to the hydroacoustic station at Mission, except there's more than one of them. The difference is that our fisheries, under the current allocation, are much more seaward, right, they're six to eight days seaward. In the case of the marine commercial fisheries, the allocations are more seaward. So the in-season tactics are similar.

I would actually suggest, because we have to be this way, and interaction I've had more recently with Ray is consistent with this, that we're probably a bit more sophisticated in our inseason assessments because we have to be because we have more seaward fisheries. We have to have more developed test fisheries. They're just starting to develop their genetics right now for their one test fishery, the Port Moller test fishery. So we've had some interaction with them. I was kind of telling them our experience, and them telling us their experience. So that's all in the fisheries mandate.

Now, the biology, if I had to pick one of these three that is the fundamental driver, it would be the biology. And it relates back to my little comment about my dilemma when I was working for Randall way back when and it's the age.

There's a very excellent paper, I don't know if you guys have it in Ringtail, written by Dan Schindler. I have it with me and I'm happy to provide it. It's published in 2002, in the nature of Schindler, you know, Hilborn and Tom Quinn. There's a whole bunch of authors, I don't remember them all. And it has to do with the portfolio effect in Bristol Bay sockeye. It's a really good overview, actually, on paper. That paper does a great job of documenting the importance of age variation to the robustness of the biology of Bristol Bay sockeye.

It says the portfolio effect, and there is an element related to stock, and I don't want to say there's not an element related to stock, but what I will say from some conversations I have had with some folks is that I think if you read the paper carefully, I think you'll see most of the

robustness is coming from age, not from stock. There's one figure in that paper, Figure 1C, and it describes the variation and abundance if you just looked at the variation of a single stock, the variation of multiple stocks, and similarly, the variation of a single age class across the stocks or the variation of all the age classes in all the stocks combined.

And what it shows, if you just look at the stock effect and account for the reduction and the variation in total return that's associated with that, it ends up giving you a CU of about 100 percent. Now, can I describe this to you in a way that you'll understand? And I'm not trying to be negative about your capacity, it's just that I realize it's a big of jargon, okay?

A CU of 100 percent would be analogous to having the median run size be one value and say the 75p value being half, and the 25p value being double, just to give you an idea of the concept of that. As soon as you incorporate the age variation and the stock variation component, it drops to 30 percent, from 100 percent to 30 percent.

Fraser sockeye, just to remind you of what I talked to you about back in October, have one primary age class in the freshwater, one prominent age class that enters freshwater. The smolts enter -- most of them enter, almost all of them enter the sea after one year in a lake, right? And one primary adult return age class age four. The offspring come back four years later. Some come back as fives. I don't want to -- it's not a 100 percent, okay, but it's mostly four.

Bristol Bay has at least two primary freshwater age classes. A fair fraction of the fish spend two -- one year in the lake and a fair fraction spend two years in the lake. They have about, well, threes, fours, fives and sixes, and occasionally the odd seven on the adult return side. That was my kind of dilemma, working for Reynolds, like, "Holy smokes, I set up this file to work this way and there's all these extra ages, what do I do?" You know, and I really remember vividly encountering that challenge. So the portfolio effect is absolutely real. I mean, you can think of it in the context of 2009. What

would a 2009 return been like if the 2009 causes are related to something in the ocean, and I don't want to prejudge that conclusion, and I know that's part of what you're going to look at a little later on, and you've got some reports to read, and so forth. And we had returns in 2009 that resulted from not just ocean entry in '07, but another year of ocean entry, and that year wasn't quite as bad. Well, then I suspect that we would have had a little bit of a buffer from that whatever it was that affected that 2007 ocean entry year, right?

Similarly, on the adult return side -- now, Bristol Bay doesn't have these temperature things that we have on the Fraser, but if something impacts one spawning group returning, say, the 2004 group, that adult age class, and they hit hot water or something, then four years from now you can pretty well predict, and I'm warning the Fraser Panel about 2012, and stuff, in this context, that you're not going to get a good return because it's all in one basket. You know, the eggs are one basket so to speak.

Bristol Bay, some of those fish are going to spawn in one year, some are going to spawn in another year so that the offspring come from a whole bunch of environmental experiences, if you like, both in the marine and in the spawning areas. It's much more buffered. And so that's my summary, I guess, of the principal issues with respect to Bristol Bay, and I think those are the ones I focus on. And I think that the key one, to me, is the age. The geography obviously feeds into the management, it's an important player, but the reason that Bristol Bay are then sort of more robust is that their resource has been granted a bit more evolutional tool so to speak than the Fraser sockeye and we can't -- we've got to live with what we've got, you know? I'd like to say that, you know, we could make Fraser sockeye more diverse in age, but that's kind of outside of anyone's power, I think.

Q Well, thank you. And there was one last local other management option I wanted to review with you and there's been some talk about increasing the fisheries in-river in recent years. If there was a move to more in-river fisheries, would that

change any management impacts from your perspective, working with the Fraser -- or, exhibit, the Pacific Salmon Commission? You like to ask me difficult questions, don't you? This is -- it's not that difficult, but it's just -- I really would prefer not to get into kind of a speculation situation in terms of the future because then you have to pull out a scenario and ask what if in any scenario you pull off. But I will answer, I just want to be careful about getting too far out in front.

I think the way I'd prefer to answer it is to just simply say that we've already had some changes in in-river fisheries that have occurred since '92. I think you've had discussions about those and so far, the Fraser Panel has coped with those. I mean, it's not like the process has grinded to a screaming halt because of the changes that have occurred, okay? So I think that we just have to acknowledge the fact that there have been some decisions made and, you know, we have -- the Fraser Panel has coped with implementing those policies and I think, you know, so far, we've done okay.

Now, I'm sure that some would take some exception about the impacts to them, okay, but I don't really want to get into the impacts to the different groups.

The only point that I raised yesterday that I would perhaps flag and just because it is in the record already and it's not about any particular group in the river is this gear temperature interaction. It doesn't matter who is fishing, like if it's a sport fishery, if it's the Area E, or the aboriginal groups, it's the potential of the gear fishery interaction that, and associated with temperature, that will simply, in my view, cause us to think about the manner in which we harvest these fish. It's not about the geography. Geography is like one of the biggest complications in this whole thing. It's just about the biology of a fish encountering -- anything that makes the fish's life more difficult in a warm environment creates a challenge. It might be, heaven forbid, a dam, or something like that, but it's what -- so that's the only thing I'd say. And in the context of your question, then, in thinking about the

future, we're just going to have to deal with that. It's going to be a challenge to think about 3 how to meld whatever the future is in that 4 context. 5 MS. BAKER: Those are my questions, thank you. 6 first --7 Excuse me? MS. BAKER: Oh, sorry. 8 Yeah, I just wondered, I don't know, Mr. 9 10 Commissioner, if this is appropriate, but there 11 are a couple of things that -- on the over-12 escapement issue that I didn't get to yesterday and I wondered if it would be okay if I would just 13 14 make a few more comments, but I'm okay with just 15 going to cross. I don't want to take any more 16 time in the testimony than has been taken already. 17

MS. BAKER: I'm fine if that is -THE COMMISSIONER: Yes, that's fine.

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Okay. There's only two and there's no graphs, I promise. The first one relates to a report that's out on this issue, and I think the title of the report is called, "Does over-escapement cause stock collapse." It's often referenced in regards to this issue. And I actually don't take any particular issues with the report, okay, I want to be clear about that, or the authors, and the authors are, you know, Carl Walters and Brian Riddell, both of whom are going to be here before And so it's not about the report per se. What it's about is the context in which that report has sometimes been referred to. Like, I think that report does a pretty good job of addressing one very specific issue, the issue of stock collapse in the context of over-escapement, okay? But it's only one and I think that in my experience in seeing references to this report, I quite often see a reference to the effect of, "There are no issues associated with overescapement," and then parentheses, you know, "(PFRCC 2004)." People have over-generalized the conclusions from that report. And I flag it because there are lots of other issues, and I'm not -- I don't want to really get into the issues, but just to bring this point home a little bit, I would offer you this example. Let's say you come home from work and one of your children comes to you and says, "Hey, mom, or dad, you know, I saw

this really cool report on the Internet and the title of the report is, "Children who don't do their homework don't fail."" And I think, you know, we'd all react to that differently, depending upon our parenting skills and so forth, but I think one way we probably would react is that we would probably say to our child that we think there's a higher goal than not failing associated with not, you know, doing our homework. I don't think many of us would say, "Don't do your homework."

So the analogy I would draw to this report, and it's not a negative on the report, but it's a negative on how it's been used, in my view, by some and it's not -- maybe they haven't read the report, I don't know. I mean, you Google search "over-escapement," you get a hit and you go, "Ah, there's a report on over-escapement." We're kind of lazy that way these days. Is that I think that no one would accept management of Fraser sockeye with only the objective to avoid stock collapse. We ask more of folks like me in terms of what our objectives are.

So that does not mean the report is irrelevant, it does not mean it's not germane, it is very germane, but it doesn't help. It's not specific enough towards whatever the objectives are for management of Fraser sockeye. It doesn't address all of those objectives and so it's just a cautionary note. I suspect it will come up in your discussions. There is other things that we want to -- and it doesn't -- and it's clear in the report, if you read the report, it specifically says, "We didn't address A, B, C, D, we addressed this one." So that's just that one on that report.

The other one relates to the statement I made yesterday about issuing some sort of a challenge to kind of help understand the context of the over-escapement debate with respect to all the benefits. We need to understand this.

Well, I want to be clear that I'm not trying to add to the list of things that DFO was being asked to do. I'm sure that in the Wild Salmon Policy testimony there's a lot of things on their list. Certainly, part of the responsibility falls with DFO. But I personally think it's a little

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46 47 bit hypocritical to be a proponent of that kind of -- those diverse views and not, you know, roll up your sleeves and grab a shovel and pitch in. know, there are things to be offered by people outside of DFO and both academically and idea-wise that we shouldn't all dump on DFO. I think that's a mistake. I think it's a hindrance of the progress. I think better outcomes would come from broader participation. And I think that -- so you know, along those lines, I guess I just finish by saying one of my best mentors, I guess, when I was a graduate student was a guy named Norman Wilimovski. And Norman was a theologist, but he taught a course in fisheries management. He had a lot of peculiar personality traits, including his classes were always with the door open and it got pretty cold in there. There was one year we had two students from Bangladesh and, boy, I felt bad for them. They had toques on, and mitts, and wool jackets, and everything. But one of the things that Norm said which I've carried with me throughout my career and it has to be at least close to 30 years ago now when I heard this first, is he said there's no limit to what you can do if you're not concerned about who gets the credit. And maybe I would turn that around a little bit and paraphrase it slightly to say something to the effect of, you know, focus on self interests limits our capacity to make progress on issues of neutral concern.

Now, I know this isn't a, you know, Fraser sockeye focus group. Clearly, it's not. But I do think part of the essence of the -- you know, if we feel like we're stuck in the mud, part of the issue is that there aren't enough of us pushing the vehicle here. And there's only -- and not only that, the folks that are pushing the vehicle are getting told to push the vehicle in about 15 different directions.

Now, that may be a little bit philosophical, it may be naive, it may be, you know, kind of --sound a bit like a sermon, I'm not sure, but it's an observation I would make from what I observe. And yet, I'm kind of on the outside, looking in to some extent. I'm not really on the outside, looking in, but I'm not really on the inside. But if you go back and read some of the remarks that

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Paul Sprout made when he came and he talked about the context of making decisions in the context of 3 environment of the Fraser, Paul is definitely someone who is making those decisions. 5 responsible for those decisions. I think of him 6 as Commissioner Sprout because he was a 7 commissioner, but obviously, he was the Regional 8 Director General. If you read that, just those few comments, and I think it was in the context of 9 10 the gravel issue, I think you'll see some very 11 common themes from someone who is on the inside of 12 that, very much involved, more involved than I am. 13 And so, you know, in the process of knowing that I 14 was going to be here and thinking about this for 15 quite some time, I've had these thoughts kind of swirling in my head, if you like, on my bike rides 16 home and so forth, and I just thought -- I doubt 17 18 that I'm telling you something that you don't 19 know, sir. I'm sure you've intuited it from the 20 proceedings thus far, but I really think that 21 that's one of those fundamental things. You know, 22 when I look at this group, you know, maybe I have 23 to squint a little bit sometimes, I see a team, 24 you know, but I don't see a group of people acting 25 like a team. I see a group of people that maybe 26 are acting like a team that's on a losing streak 27 and, you know, it's like the hockey team where, 28 you know, the centreman's telling -- you know, the 29 ringers are complaining the centreman's not 30 passing the puck and centreman's complaining that 31 the defenceman can't make a breakout pass, and the 32 goalie's saying the defencemen can't clear the 33 puck. I mean, it's like -- that's what happens to teams when they go through change and that's 34 35 what's happened to this team so the challenge, 36 then, is to get us, "us," in the royal us sense, 37 thinking about each other as team mates, kind of 38 not looking for mortar to reinforce the bricks in 39 our silo, but, in fact, try to figure out a way to 40 get rid of those silos and start working together. 41 And I don't think anyone can expect you or 42 DFO, or anyone else to -- or any coach to get you 43 to play together. It's going to have to be a 44 decision that you want to play together and you 45 want to work together, and you believe that that's

a path towards a better solution. So I'm going to

stop now. I've said enough and I'm going to

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Michael Lapointe
In chief by Ms. Baker (cont'd)
Cross-exam by Mr. MacAulay (CAN)

1 answer cross-examination questions.

- MS. BAKER: Okay. Thank you. The first counsel whose entitled to cross-examine Mr. Lapointe is counsel for the Salmon Commission.
- MR. JOHNSTON: Mr. Commissioner, I'm Brent Johnston, I appear for the Pacific Salmon Commission. I'm here in place of John Hunter this week. I have no questions for Mr. Lapointe.
- MS. BAKER: Thank you. And then the next counsel would be the Department of Fisheries and Oceans.
- MR. MacAULAY: Mr. Commissioner, for the record, Hugh MacAulay for the Government of Canada.

#### CROSS-EXAMINATION BY MR. MacAULAY:

- Q Mr. Lapointe, I just have a few questions. One of them arises from a response that you offered yesterday morning, I think it was, to Ms. Baker with respect to management adjustments. I'll come to that in a minute.
- A Okay.

- Q Just a clarification issue.
- A Sure.
- Q And then I wanted to ask you some general questions about some of the concepts that is then spoken to in some of the testimony to date, concepts like biodiversity, which you, yourself, have spoken to in part yesterday afternoon, and ecosystem-based management. I'll come back to those.
- A Okay. Sure.
- Q In response to a question from Ms. Baker yesterday morning, you indicated that the use of management adjustments is unique to the Fraser system. First, I just wanted to make sure I understood your testimony on that. Is that correct?
- A I am not aware of another salmon situation in the world where there is the removal of fish from available harvest in reaction to potential mortality in the way that it's done on the Fraser.
- Q I guess what I'm getting at is in terms of seeking some clarification, here, is this because of the enroute loss issue that you've spoken to or is this because of data being available in the Fraser system through the Mission hydroacoustic operation, et cetera, that allows for the difference between estimates calculations to be

made?

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I would say both. I would actually say both. Thank you, that's helpful. Turning then to, just again, some concepts that Mr. Commissioner has heard about from other witnesses

and with respect to biodiversity, as I say you've spoken to it yourself, how is the protection of biodiversity for Fraser sockeye reflected in the work of the Pacific Salmon Commission?

Strictly in our work, I would say it would be in the nature of the way that decisions are made. Decisions are made in a very sequential form so fisheries decisions early in the season are -there's a fair amount of precaution made in terms of getting fisheries started. And then I guess the broader context, I guess, would be in the context of the objectives that we're asked to manage to. And so to the extent that the escapement policies that were provided to us by Canada respond to biodiversity, and I'll talk about two of those, and I know you're going to spend some days on this so I suspect you'll learn more about this than I can provide you. Within the context of the escapement policy, there's two things, one of which I just mentioned, which was the maximum total mortality rate that applies at larger run sizes. That's clearly a reflection of the need to protect the differential productivities of stocks. Some maximum beyond which there could be certain stocks that would be adversely impacted.

The second way is a little bit more subtle, and I'm not sure I'm going to be able to explain it very well, but there are benchmarks within the spawning initiative model for the 19 populations and, clearly, part of the evaluation of which alternative total allowed mortality rule would be selected includes performance measures that relate to the probabilities of individual stocks. some cases, there are actually subsets of CUs. What the probability -- what is the impact of a particular option on the probability of those populations being above some benchmark where benchmark is more in the Wild Salmon Policy context that you guys heard about before. would be the sort of comments I would offer.

You spoke yesterday about alignment, I think was Q

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the word that you used, with respect to CUs and the Wild Salmon Policy. Is that an example of the work of the Pacific Salmon Commission in conjunction with DFO reflecting a protection of biodiversity?

- A Yeah, I would think so. That would be a fair characterization.
- Q Thank you. I appreciate that the mandate of the Pacific Salmon Commission is about salmon. Isn't the concept of eco-based management reflected in the work of the PSC?
- There are at the PSC level, outside the PSC staff, Α discussions ongoing about habitat and other factors. There's some habitat papers. restoration funds habitat, a range of projects that include habitat. Yeah, I think there's lots of aspects of what we do that implicitly, perhaps, but not explicitly include aspects of the ecosystem in them. It's not very well articulated, perhaps, and that's one of the things that I think we all could do a better job. And I'm not sure I'm going to be able to help you out immediately right now, but yeah, I do think it is part of it and the only other comment I'd say about ecosystem-based management is that sometimes, I think, and I'm not saying this is a good thing or a bad thing, but sometimes I think we have a tendency to think of the ecosystem from the window of an Apollo-orbiting spaceship in looking down at the earth and trying to remove ourselves from it. In other words, we kind of don't acknowledge the fact that, you know, part of the ecosystem is us and we have a big impact on Now, that's not to say that the ecosystem should be managed for us, necessarily, although perhaps there are cases where it is, it's just to say that we are part of the ecosystem and so I think that sometimes is lost sometimes when I hear discussions on this topic.
- Thank you. Another concept that this Commission has heard testimony about is a precautionary approach to fisheries management. Again, how is the precautionary approach reflected in the work of the Pacific Salmon Commission?
- A I'm not an expert on that topic. I actually have a paper with me that discusses some of the elements of the precautionary approach, and I

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couldn't recite them all for you, but again, I think in the harvest management policies that are developed, there's an element of precaution associated with those. In our approach to the sequence of fisheries that we plan in the Fraser River Panel and the way that they are triggered, or not, depending upon the in-season data flow, there's an element of precaution.

There isn't as yet, and I'm not saying there should be, any formal quantitative risk-based management use of uncertainty, in other words, uses of probabilities in any explicit way in the management context. As I said yesterday, I've been trying to build the tools within the PSC staff to provide and quantify as best we can those uncertainties, but as yet, it's not. It's more intuitive. It's more based on experience and to me, 2009 is probably a very good example of an outcome that happens as a result of an escapement policy and a very poor run. You know, I've kind of tried to wipe 2009 from my brain to some extent because it's not necessarily happy memories in terms of the resource, but what happened in 2009? We had a small run. We detected it early. was about an eight percent of the run that was harvested and that went to test fisheries that were designed to detect whether we had a problem in the first place in FSC fisheries. And 92 percent of the run was made available for escapement.

I don't remember in detail, but I don't recall -- so my experience in Fraser sockeye management the 20 years I've been involved is that when fish are really in trouble, people do the right thing. When they're really in trouble, they do. I mean, there were no nets wrapped around Burrard Street office last summer. There weren't people on the Fraser River saying -- you know, having protest fisheries. And it's easy for me to say because all I did was deliver bad news. of those folks didn't get the amount of food that they would otherwise have wanted to have. Some of those folks didn't make the payments on their debt because they didn't have any income. So it's partly a management strategy, but the most important thing is, I think, people give a darn about these fish and they act appropriately when

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Michael Lapointe
Cross-exam by Mr. MacAulay (CAN)
Cross-exam by Mr. Leadem (CONSER)

- they're asked to do so. And I think 2009 was a 100-percent excellent example, the most recent one, but there are many others I could point to in the past of that ethic that's here on this coast.

  In response to many of Ms. Baker's questions, you
  - In response to many of Ms. Baker's questions, you referred to a great deal of contact and information sharing between the Pacific Salmon Commission and Department of Fisheries and Oceans. Could you describe in general terms the working relationship between the PSC and DFO?
  - A Well, I would describe it as excellent. I would describe it as collaborative, cooperative, excellent, probably at the most the best it's been since I've been a member of the Pacific Salmon Commission. It's been that way for about six, seven, eight years now.
  - MR. MacAULAY: Thank you, those are my questions.
  - MS. BAKER: Thank you. The next counsel is Mr.
    Buchanan, who is not here. Rio Tinto, David
    Bursey. I don't think he's here. Pacific Salmon
    Farmers Association?
  - MR. HOPKINS-UTTER: Sorry, B.C. Salmon Farmers Association and we have no questions.
  - MS. BAKER: Oh, sorry, what did I say? Did I say something else? Sorry. Seafood Producers Association of B.C., Mr. Walden? No. Aquaculture Coalition, Mr. McDade? Mr. Leadem for the Conservation Coalition?
  - MR. LEADEM: For the record, Leadem, initial T., appearing as counsel for the conservation coalition.

#### CROSS-EXAMINATION BY MR. LEADEM:

Q I'd like to have a discussion with you, Mr. Lapointe, rather than a cross-examination. I'm mindful of your last words that you gave in direct about pushing the truck out of the mud and I like to be one of those that's helping to push the truck out, rather than digging it in deeper.

I want to start by looking at Exhibit 330, which is the fairly lengthy one, and I want to start by pulling up pages 379 and 380 of that particular exhibit. And if you can look at the bottom of the page, Mr. Lapointe, the context of this is that this appears to be part of a draft that was dated September 17th, 2009, and it

- appears to be the Pacific Salmon Commission's work plan for 2009/2010.
  - A That's correct.
  - Q And at the bottom of page 379, I find "Outline of Other Activities of the Fraser River Panel for the 2009/2010 Cycle."
  - A Yes.

Q And the first one is "Fraser Sockeye Forecast Performance Issues." And I find these words:

The poor performance of the Fraser sockeye return forecast in 2009 will likely trigger scientific efforts to identify the causes for the poor performance and the developments of ways to improve the forecasts.

So I want to just stop there because I think those are two important concepts. If you take it that 2009 is an instructive year because we learn from poor returns perhaps much more than we learn from good returns, then obviously one focus of trying to learn as much as we can about what caused that poor return in 2009 will discern whether there's any scientific rationale for that. Would you agree with it?

- A Yeah. What happened?
- Q Yeah.
  - A Sure.
  - Q And to that end, one would hope that there would be a lot of scientific thought and study that would go into trying to focus upon the causes for the poor return?
- A That's correct, and there has been already.
  - Q Right. And I'm mindful of the fact that Simon Fraser University sponsored several workshops on the decline, both last year in December 2009 and March 2010; is that right?
- A That's correct.
- Q And then I think your own Commission sponsored a workshop in June of 2010?
- A That's correct. And the report is available on our website and probably among your exhibits here, or among your Ringtail documents, I should say.
- Q Right. Now, the other aspect that's in that commentary is improving the forecasts.
- A Mm-hmm.
- 47 Q And that's what I want to get at. You've been

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associated with the Pacific Salmon Commission for a number of years. Are the forecasts always this wonky, or are they always so off?

Well, that's a -- my answer to that may be a

Well, that's a -- my answer to that may be a little bit longer and perhaps provide some context, and some of it actually relates to some of the comments I made yesterday, in fact. Prior to about '85, and this relates to the signing of the treaty in '85, forecasts were done by the International Pacific Salmon Fisheries Commission, which was the predecessor to the PSC.

I actually, as part of the think tank work, and I don't know if it was provided at the workshop that the PSC had, looked at the historical performance of Fraser River sockeye forecasts and what I found was a pattern that I thought was really peculiar in that it seemed like there were very few years prior -- and I won't get this date exactly right, but prior to around 1985, when there was -- where the actual return was much less than the forecast. And since I know some of the individuals who were making those forecasts, some people like Jim Woodey, for example, I kind of looked and it and went -- whereas -- just to complete the story, whereas since '85, there is a fairly pronounced tendency for the returns to be less than forecast. Okay? More than half the time, I don't know the exact percentage. And I looked at it and went, "Well, how could that be? Like, that doesn't make any scientific sense to me." So I did what I usually do, I got out the bat phone and called Dr. Woodey and talked to him about the history. And what I said to Jim, and I felt a little bad asking this, because it wasn't -- you know, "Jim, you guys didn't, like, pick a conservative number, did you, to -- for some reason in those years," and he kind of, you know, you'll get to hear him speak here next week, or so, kind of cleared his throat as he usually does, and in his very deliberate manner said, "Well, yes." You know, why is the critical thing.

The reason I think why, and it goes back to a comment I made last -- yesterday. In the IPSFC days, the fishing plan was announced at the beginning of the year and in order to alter the plan, you had to have an extraordinary meeting. So those IPSFC guys, they were pretty smart. They

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said, "Well, jeez, you know, if the run's a lot smaller, we're going to have to trigger an extraordinary meeting and that's going to create a whole bunch of problems here." So in other words, what I'm saying is that the -- even though there were statistical methods used, and you maybe could argue the ones we are using now are much more sophisticated and so forth, it wasn't a strict application of a statistical model. There was some judgment used. There would be three or four pieces of information and they'd kind of average and rationalize, and maybe tend to be a little bit on the low side because of the management context in which they were operating.

Now, fast forward to post-'85 and there are two kinds of changes. One is we have a closed and less open situation with respect to the fisheries that I had talked about yesterday. The second thing is there was a move to make sure that there was a scientific consensus about the methodology for forecast through Canada's PSARC process, Canada assumed responsibility for the forecasts. Science is allotted for its objectivity and transparency and so the ability for at least the scientists, the biologists to influence judgment in making the recommendations for forecast as was done in the IPSFC years was greatly diminished. mean, it would look like you were picking a number for some reason and think about also picking that number in the political environment that is Fraser sockeye, as well. So the idea was it was going to apply the same statistical model, every year the best model as defined by model performance criteria. So on average, that model should be, because of the way it's evaluated, be high half the time and low half the time. Statistics, right? That's what it's supposed to be. What have we observed? What has a lot of the analysis shown about what's happened, and 2009 being the most recent example? We've been in a period of declining productivity. The productivity hasn't been average, it's been declining. So if I was to describe to you why forecasts have tended to be higher in more recent years than they were before, it's those two things. The reason they're higher now is because the models fundamentally assume average productivity and there's a tendency for it

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to the statistically best model, but that best was defined by the long-term average performance. And so in the 2010 forecast, if you look at that document, you know, it wasn't a surprise to -- this is something that -- I've been reviewing forecasts now for a number of years within the Department and I don't claim to, you know, have been the, you know, messenger on this way back when, but there was a recognition that that's an assumption. The assumption of average productivity is an assumption. And that one ought to develop forecasts with different scenarios in mind and so the 2010 forecast reflects that. There's three scenarios. One is average productivity, the same way a forecast has been done for the last 15 years. Another one is declining productivity and the other one was if it's as bad as 2005, brood year, 2009 return, this is what it is. And so I think that that now there's a clear understanding of the fact about the uncertainty about productivity was not necessarily being explicitly included in the past forecasts. It was a bit difficult for some of the folks in the science community to accept that because they felt like there was a judgment being In other words, there was a -- you know, what basis do we have -- you know, this is the debate. I was in the room, right? What basis do we have to say that 2010 will be more like 2009 If we don't, then we should err on the side of it could be either one of those and that's the scientific argument against using judgment, I Well, these are all just best guesstimates, aren't

they? When we get to the -- we're talking about paper fish until such time as we actually get numbers derived from test fishing --Α Yeah, so ---- we're really talking about fish on paper? -- I think the forecasts are important for

providing context, for providing the range of scenarios used in the contingency planning. I can tell you also in the context of the Bristol Bay question that there's nothing unusually different or better about the forecast methodologies used at

Fraser sockeye than Bristol Bay. There's a very large insert grant program that was run by Reynold Peterman, you can read his papers. There's no magic bullet out there for forecasting. You're right, it's a number on paper, it provides a context. It does, you know, for some folks probably more than others set some sort of expectation. There's some element of that, but as I hope we made clear yesterday when we talked, it's that it's closed unless open. Fisheries are not opened based on forecasts, they're opened based on what the in-season data tell us.

- All right. I take some comfort from that. The next item down under the list of things that you're going to do in 2009/2010, and I'm at page 380 now of the report, is "Continue the Development of an Improved Fraser Fishery Model." Is that done every year? Do we take the data that we've learned from the year before then incorporate it into a new model? Is that how it works?
- A The specific reference to this Fraser Fishery Model is the preseason planning model that I described in some detail yesterday.

Q Okay.

- A And as I said yesterday, we -- I've just got a new hire and we want to kind of modernize that and so that's the reference that's being referred to here. It's more of a -- improving the tool than reactions to particular data flow issues.
- Q Okay. Dropping down, I want to focus on something that you spent a lot of time on yesterday in your direct examination. The Late run, Early entry, about mid-page, and I find these words:

The Fraser River Panel will receive a report on the information available regarding the 2009 upstream migration behaviour of Late run sockeye.

Have you received that report as of today's date?

It's not a document, it's an annual evaluation of what the Late run did in each year, how early did they come up and, in fact, so I guess I would have generated that report because I process those data. So it's probably a couple of slides in a presentation I would have given to the Fraser

1 Panel. The 2009 situation was kind of interesting. 3 We had a very small Late run which in most past years would have meant that we would have had most 5 of the fish come up early. That's sort of the 6 2000/2001 scenario. We actually had, for the 7 first time I can remember, a portion of this very 8 small run delay and we don't know why. 9 actually were able to catch some in the Gulf troll 10 delaying so we knew they were there and we saw a 11 bump of fish come up more -- in September, more 12 normally timed and so it may signal a change. 13 Most of the times in past years, we've seen more 14 evidence of delay in the big Late run years, like 15 the Adams years, like last year, but in 2009, we actually had a little kind of glimmer of light, if 16 17 you like and maybe some of these fish have figured 18 out that that's not the good thing to do, to come 19 up early so it was a really positive sign. 20 So you did not see the expected early entry? Q 21 Α Well, we saw most of the run come up early. 22 Q Oh, okay. 23 But what was unique was we saw a portion of the Α 24 run delay, a larger portion than we would have 25 expected. I don't remember the exact upstream 26 date, but I could certainly find it for you. 27 So there were still some early entry of that late 28 run with consequential --29 Α 30 -- pre-spawn mortality and enroute mortality? 31 Yeah, it was a glimmer of hope, but the behaviour 32 was still there and it's still a concern. 33 MR. LEADEM: I'm going to be some length with other 34 areas, Mr. Commissioner, if this is an appropriate 35 time to take a break? 36 THE COMMISSIONER: Thank you, Mr. Leadem. 37 The hearing will now recess for 15 THE REGISTRAR: 38 minutes. 39 40 (PROCEEDINGS ADJOURNED FOR MORNING RECESS) 41 (PROCEEDINGS RECONVENED) 42 43 THE REGISTRAR: The hearing is now resumed. 44 45 CROSS-EXAMINATION BY MR. LEADEM, continuing: 46 47 Before the break, Mr. Lapointe, we had been

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discussing this phenomenon of early entry at the late run sockeye into the Fraser system. Are you familiar with the work of Dr. Kristi Miller from Department of Fisheries and Oceans, who's done significant work in trying to determine what the triggering mechanism may be for this early run? In a relative sense, yes. Kristi has been funded by the Pacific Southern Endowment Fund of the

- In a relative sense, yes. Kristi has been funded by the Pacific Southern Endowment Fund of the Pacific Salmon Commission for some of her work. And I'm actually on part of her team for the current large grant that she has through Genome BC. I basically have been liaison with Kristi and with Genome BC on the Fisheries Management aspects of this problem so it helps -- so on the science side, this micro stuff, it's -- it's been overthe-top sophistication for me in terms of the detailed science. But I am loosely familiar with the work and have a lot of respect for Kristi and the progress she's been making up until this point.
- Q All right. She's been determining or trying to determine a genomic signature for the fish that are actually returning earlier to try to determine where the in gene sequence this triggering could occur; is that right?
- Yeah, I think the gist of it is there's two lines of study that she's pursuing. One relates to the signal that relates to the likelihood that the fish will survive. Okay? So same concept that you presented but more designed not so much on predicting the behaviour but asking is there something different about the fish that eventually end up making it to the spawning grounds and those that don't.

On the behaviour side, she also has been involved and I would characterize the work more -- and I don't think this is a disagreement -- it may just be a semantics-type thing -- but from my perspective, I look at Kristi's work as trying to find a genetic marker that might be able to be used to tell us in a marine sample what a fish is going to do.

- Q Okay. And are you aware of her hypothesis that the -- this genomic signature or this genomic signal is associated with elevated mortality in response to a virus?
- A Yes, I am aware of that hypothesis.

- MR. LEADEM: And I'll just throw that out, Mr.
  Commissioner, as a marker more or less because
  it's something that I would like to see us discuss
  at some stage in these proceedings. And I'll be
  in discussions with your counsel about that.
  - Q I want to now turn to page 22 of Exhibit 330. And the reason I'm going to turn to it, it's actually a focus on Cultus Lake sockeye and late run, Mr. Lapointe.
  - A Okay.

- Q And the reason I want to focus upon it, because I think it points out some of the challenges that we face when we deal with a four-cycle management group. And the Cultus Lake is just one of those that somehow gets lost in the shuffle. Let me begin by this. I understand that there are the four management groups, the Early Stuart, the Summer, Late Summer, et cetera. And that's a human construct. I mean obviously that's something that we, as humans, determined rather than the fish determined for themselves. That's obvious, right?
- A Yes, I mean, there's a loose relationship, as you know, between the arrival timings of those stocks and what groups they're in. But the choice of those four groups, and I said it yesterday and I'll repeat it again now, in the context of the treaty, was all about how the United States should or should not, to the extent practical, take its share. That's the only context that that -- comes into the treaty. The fact that it's trickled into other aspects of the management is certainly a fact. I'm not saying that's not true but I'm just saying the reason it came up in the first place was not about dictating anything related to that.
- Q But the problem with managing, when you start managing those, what are called mixed stocks, is that some conservation units get caught up in those stocks and can be overfished and -- and fished to their detriment. Is that not correct?
- A So -- yeah, so each stock, if you measured it separately, would have an optimum exploitation rate. And so it's possible that if the optimal exploitation rate of that mixed stock fishery is higher than some of the stocks that have lower sustainable ones, that they would be held at an abundance level that would theoretically be

sustainable in the sense that there are sustainable yields at all abundance levels but it could be a low enough level that when something else comes along, and early upstream migration is the one I would throw out for you in the Cultus, it puts in that greater risk of that extra stress, if you like, associated with something else, which, clearly, the Cultus case it would be early upstream migration and the predator situation within Cultus Lake that are both two significant bottle -- bottlenecks.

- Q So the conundrum, if I can put it this way, is essentially it comes down to this, is that if you are trying to preserve biodiversity by defining conservation units and defining benchmarks for conservation units and working towards achieving that biodiversity aim but you also are then encompassing that same conservation unit within a mixed stock fishery, the conundrum and the real challenge is how do you isolate that stock so that you can keep it preserved? Is that fair to say?
- A When you say "isolate", do you mean respond to the particular needs of that individual stock separately from the other stocks? Is that -- I'm just trying to understand if...
- Q When I say "isolate", I mean for the purposes of fishing because when the fish come up the river, they don't unfortunately have flags saying, "I'm a Cultus Lake sockeye."
- A Mm-hmm.

- Q There's no -- no discernible way when a fisher goes and catches that fish that they know that they're catching a Cultus Lake sockeye, as opposed to an Adams -- Adams Lake sockeye; isn't that right?
- A That's correct.
- And so the real problem, as I see it, is that if you're -- if you're going to try to preserve these conservation units that we learn so much about in the Wild Salmon Policy, the only way that you can possibly do so in the context of commercial fishing is to actually move towards a fishing that's much more deterministic and must more segregating of those conservation units. And what I have in mind is moving towards a fishery -- a terminal fishery, more or less.
- A So I will both agree and disagree with those

 remarks and I'll try to explain why. The issue of mixed stock fishing challenges folks to consider the manner in which they fish. I would not suggest -- and I think in my responses to cross-examination last time I was here -- that it necessarily prescribes a geography. And the reason I would say that is when you say the word "terminal" and maybe this is where we need to have a dialogue, so let's explore that further, certainly above the Vedder confluence of the -- Vedder to the Fraser, because the Vedder is the stream that the Cultus swim out to get into the Fraser to make it down to the mouth.

If you fish upstream of there, you would be unlikely to harvest many Cultus sockeye. There may be the odd one that may overshoot, you know, or -- but other than that -- so if terminal is the mouth of the Fraser, I would say that there's no really fundamental difference between the mixed stock nature at the bottom of the Fraser River than there is the top of Johnstone Strait. I mean they're pretty much all there. So -- so there's an opportunity that relates to geography. But you could think of alternate ways.

For example, if you knew what the sustainable exploitation rate was of Cultus sockeye, you could still harvest Cultus sockeye in a mixed stock fishery but you would have to harvest them at a much lower rate. In other words, you could dictate the rate of harvest based on the least productive stock and still have a sustainable fishery, if you wanted to have a fishery, in a mixed stock fishery but you would just lower the So the reason I'm being careful about my rate. answer here, other than your already general knowledge that I will be careful in my answers, is that I believe to think about it from a geographically-specific way throws that idea into all of the other things that are attached to geography that challenge us in our -- in our thinking of solutions. So I prefer to think of it much more generally.

If there was a manner of fishing -- and Kristi's work you brought up -- if someone told me 15 years ago that I could take a fish in Johnstone Strait, take a little piece of tissue from it, put a radio tag down its throat, identify within 12

hours of when I caught it where I think that fish would spawn and detect it three weeks later on the place that I said it would go, you know, I would have said, you know, what are you smoking and where can I get some? You know, thank you very much. I mean it would be something -- I mean -- sorry, sir, that was an inappropriate comment. It would be -- I wouldn't have guessed it could happen. So why would we preclude a possibility such as that in the future by having people focus on geography? Why would we do that?

I think we have to be open-minded to the possibilities that maybe 20 years form now it'll cost 15 cents to take a sample of a fish that you catch, you hold it in a live bin, you take a sample of that fish and you say that is a Cultus sockeye. I'm going to let that one go. Now, that may be, you know, a bit pie-in-the-sky speculation but I think that the point is that all the options to accomplish the objective that you defined of trying to protect the least productive stocks should be on the table and we shouldn't pretend to think that we know now what all those are.

- All right. And I appreciate your response because my response is dictated by trying to find a solution. And to my limited way of thinking, not being a fish person such as yourself it strikes me that if you can solve this from a geographic perspective that might be a possible solution. Your solution that you're proposing is -- is every much as -- good as a solution, which is limit the catchability or limit -- limit your take and your catch down to the level of the -- the least -- most vulnerable of the conservation units in that particular segment of the fishery.
- Yeah, each could accomplish a similar objective and each would have costs and benefits and maybe the solution is some combination of the two or something like that. But I think to think of it in any prescriptive way, I think, kind of throws it into the controversy that is Fraser sockeye with respect to the geography of where these animals are harvested.
- Q I just have a couple more questions and they relate to over-escapement. I can't resist that aspect of -- of trying to -- to prod you a little bit with respect to over-escapement. My clients

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being conservation biologists by and large don't see that there's a problem with over-escapement at all because they like to see this from the aspect of an ecosystem approach so that a fish on the spawning grounds is not necessarily a wasted fish because there are other species that can take from that fish and nutrient levels and so forth at that ecosystem approach. Do you agree with that concept, that basically, theoretically, there is no such thing as over-escapement from an ecosystem perspective?

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No, I don't. And it isn't because I believe that the fish are wasted and I think I had responded directly to this concept previously in my testimony. I believe there are impacts, and this is -- you know, don't think about salmon for a minute. Just think about general ecological knowledge. I know you have some biological training. And ask yourself whether any part of the ecological training that we have, and I can speak on my part, would suggest that we shouldn't anticipate impacts on an ecosystem at the extremes. Okay? I'm talking about really, really low, say, abundance of fish or really, really high abundance of fish. I think we do anticipate that if we put a really, really large number of predators, which is what the sockeye -- the sockeye are when they're in their lakes, the juveniles, in that ecosystem it's going to have an impact on that ecosystem.

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Quesnel sockeye is a perfect example. Quesnel sockeye impacts of that -- of this buildup of the Quesnel run have not just impacted the number of fish that came back in 2006. Kokanee population in Quesnel Lake has collapsed. The large trout population in Quesnel Lake has also been impacted. That ecosystem is fundamentally different, not better or worse, you know, than it was before. Its capacity to produce Fraser River sockeye may be different now. So what I'm trying to suggest to you not -- it's not about the benefits here, okay? It's not about the distribution of the surplus or whether there is a surplus. It's about the potential risks and consequences not just to the folks that might want to catch some of these fish but to the ecosystem at large. And to think that there is no risk, no

consequence to the ecosystem of a very large escapement, I think, is not consistent with all of our training.

And in the case of Quesnel, we have very strong empirical evidence. So whether you're a bear in the Quesnel ecosystem that wants to eat those sockeye or whether you're some other part of the ecosystem like the trees that might benefit from the nitrogen and the phosphorous that those carcasses deliver, extremes have a consequence to all parts of the ecosystem. So that's where —that's where I'm coming from.

- Okay. I understand that. My last question relates to the Wild Salmon Policy. We learned about it at length. How often is that subject to discourse at the bilateral talks or at the Fraser River Panel? Does it enter into the fray at all in discussions?
- A Not in a -- well, in an explicit way in the bilateral, so this is the thing that I experience. I don't experience the caucuses. We've had about, I would say, four or five presentations informing the Fraser River Panel about the ongoing developments of that policy, okay? So that's one area that it's entered into the -- into the bilateral realm. In the course of those discussions, I can tell you that there is some cross-border sharing of the United States' experience with endangered species and ESU's and so forth.

There is some dialogue that I think benefits the -- the -- you know, discussion in terms of the -- in terms of the explicit accounting for how the Wild Salmon Policy would be implemented. The explicit way that we, you know -- what I believe I'm implementing that is Canada's response to that is the escapement plan, okay? That's -- that's the kind of nuts and bolts of the way it enters into our process beyond what I've said. And there hasn't been any other broader sort of debate-type things related to that.

- MR. LEADEM: Thank you. Those are my questions.
- MS. BAKER: Thank you. Next would be Area D and B, but I don't think they're here. So the next would be Southern Area E Gillnetters and B.C. Fisheries Survival Coalition.
- MS. SRIVASTAVA: Mr. Commissioner, I'm Anila

Srivastava. I'll just spell that in case the reporter's trying to deal with it. Last name is S-r-i-v-a-s-t-a-v-a, first initial A., appearing for the B.C. Fisheries Survival Coalition and Southern Area E Gillnetters. Mr. Butcher is away this week and next week.

## CROSS-EXAMINATION BY MS. SRIVASTAVA:

- Mr. Lapointe, you answered a lot of my questions just in your last bit of testimony today and your cross-examination. So thankfully, I'm sure my cross-examination will be much shorter than it was going to be. I do want to take you back, though, to some really basic notions about science and management.
- A Sure.

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- Q You're trained as a zoologist?
  - A That's my Master's degree. That's correct.
  - Q As a scientist, when you are considering the usefulness of a model, would it be fair to say that a model is useful to you if it has either explanatory power or predictive power or both?
  - A It would be both.
  - Q So the best model is one that both explains and predicts?
- A That's correct.
  - Q And prediction is just another word for forecasting?
  - A That's correct.
- Q So the word used by -- by PSC and DFO is "forecasting" but essentially that is what scientists and other contexts would simply call predictive capability of that model?
- 35 A Yeah, that's correct.
  - Q Is that correct?
  - A Sure.
  - Q If a scientific model shows that it's inaccurate at predicting over a long period of time, is it possible that something is wrong with the model itself, scientifically?
  - A Yes, and Fisheries has got a long history of that kind of experience. It usually means that there's something that wasn't considered in the model that's driving the process you're trying to predict.
  - Q And one of the solutions to an inaccurate model is

tweaking? By that, I mean, not rejecting the 1 model outright and searching for an entirely new 3 paradigm but trying to add new information? 4 Α That's correct. 5 If the model has shown itself to be wildly 6 inaccurate over a long period of time, is it 7 likely that tweaking will work or does it call for 8 a wholesale change in the model? 9 Α It calls for more the latter, more of a 10 reconsideration of the assumptions to think of a 11 model that would incorporate more of the 12 assumptions. 13 In your view, are we at that point with the 14 current Pacific Salmon Fisheries model, that 15 tweaking is not what's called for but a wholesale 16 reconsideration? 17 When you say a "Pacific Salmon Commission Α 18 Fisheries model", which model exactly are you 19 referring to? 20 Well, that's a good question. 21

- Q Well, that's a good question. And so let me go on from that. You testified yesterday that the model that's currently used by Pacific -- by PSC has celebrated its 25th birthday?
- A That's correct. That's the Pre-season Planning Model.
- Q The Pre-season Planning Model?
- 27 A Yeah.

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- Q That Pre-season Planning Model has built-in probability components; is that correct? In other words, this 25, 50 and 75 P --
- A No, there's a confusion about which model we're talking about. The Pre-season Forecast Models are done by the Department of Fisheries and Oceans by the Government of Canada and those are the models that are used to predict the returns four years out from a spawning escapement four years prior. We are involved in that process in terms of providing scientific input but those are not our models. The Pre-season Planning Model just moves fish and space and time and predicts what the outcomes of potential fisheries might be given that schedule. So maybe we have a bit of a disconnect on which -- which model it is so maybe that'll help you in your further questions.
- Q That absolutely helps because certainly I think I'm -- I'm not the only one who sometimes is a little unclear on precisely who's contributing

- what. So the Pre-Season Forecast Model is the one that's developed by DFO, correct?
  - A That's correct.

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- Q Is that model one of the models that's been wildly inaccurate since 1985?
- Well, I don't' know if I would agree with the Α "wildly inaccurate" assertion but there certainly have been deviations, significant deviations and 2009 is the most recent one from what the forecast was. But I think there's a little -- not a little -- a significant misunderstanding in the public about what the model is trying to do. The model predicts a distribution. It doesn't predict a number. What gets released to the public because the public has a hard time grasping what a distribution is, is a number. So in the case of 2009, that return was certainly a very low probability event relative to the distribution of -- of returns that would have been expected based on the model. In some of these past years, while they've been below and unexpected, they've actually been within the range of probabilities, a maybe one-in-four chance in some cases that you could have had a return that small given the uncertainty of the data. So as I said in response to -- to Mr. Leadem's questions, there has been already a paradigm shift with respect to the Preseason Forecast Model, the recognition that considering alternative scenarios of productivity is now part of the forecast. It was last year and I expect it will be when I see the forecast on the 4th of February, which will be when this is reviewed for 2011.
- Q Do I have it right then is saying that in your view as a scientist the Pre-season Forecast Model was inaccurate enough that it justified a paradigm shift and that that paradigm shift is far beyond tweaking -- it's categorically beyond tweaking a model and it has now started?
- A Yeah, I mean it's not sort of in an implementation sense a hard thing to do. You know, it's not like there is a whole new set of equations and statistics and computers and all that stuff involved. It's just thinking about where the sources of uncertainty are and admitting that maybe not all of the data in the data set are relevant to the year you're trying to forecast.

Maybe it's the most recent data that's most relevant to the current situation. So that's the nature -- so I wouldn't call it tweaking for sure. But I don't know if it's throwing out all the concepts in starting from scratch, I guess is the way I would respond.

- And because we're here, you've -- you've used some great metaphors like the hockey team metaphor Mr. Leadem said he wished to discuss with you rather than cross-examine. And I'd like to continue on that way. For the -- for the purpose of Mr. Commissioner, who has to provide recommendations, we're now looking at a transitional period, a new paradigm. From your perspective as a scientist, is it best to lave that paradigm to sort itself out? Or is there some recommendation the Commissioner could make that would either help that paradigm shift to happen or would help to assess how that paradigm shift is working over the next few years?
- A So are we talking about the model now or are we talking about some other kind of paradigm? I'm just not sure --
- Q The -- the shift to a new forecasting model that you've described.
- I think that it's already happening. Perhaps some encouragement to continue would be beneficial. But to me, I think it's -- I don't see it -- us going back. It seems kind of like that's where we are. It took a little bit to get there but it has some strong support from people like Randall Peterman, who's intimately involved with forecasting. I think there's a clear understanding that we have to be admitting the possibility that, you know, take into account of what you've seen in the most recent situation in terms of what to expect in the -- in the near And so I think -- I think the decision's term. been made so I'm not sure that there is a need for something but it certainly wouldn't hurt to encourage continued, you know, development in reinforcing the -- the path, if there is an agreement that this is the correct path.
- Q Thank you. Now, this morning you testified about the pre-1985 Forecasting and Management System -- A Mm-hmm.
- Q -- IPSFC. And you had observed yourself and --

and I wish I'd had your testimony because I spent 1 a long time with a calculator last night. Oh, I should have -- I have slides --3 Α 4 That's all right. 5 -- I could have shared with you. Α 6 But I was grateful to know that I had done it 7 correctly. Your observation was that prior to 8 1985, where there was inaccurate forecasting, it 9 almost always was that the forecast was 10 conservative and had underestimated the --11 Yes, that's correct. 12 -- post-season return? 13 Α That's correct. 14 You then took the extra step and spoke to Mr. 15 Woodey and said, you know, tell me up front, was there an element of judgment and conservative 16 forecasting involved here? And he was up front 17 18 and said, yes, there was. My question to you is, 19 how bad would it be to return to a conservative 20 forecasting model and management model if it had 21 the same results as the pre-1985 results? What --22 what could be bad about that? What could be bad about that? I guess I would say 23 Α 24 that it would depend upon the objectives. 25 from our perspective -- from my perspective, I 26 guess I would say that the decision to be 27 precautionary or not, and so if using a 28 conservative forecast would be cast in the 29 precautionary, as an aspect of precautionary 30 behaviour, is a policy decision. And so the 31 person that's going to make that judgment is going 32 to have to be aware of the consequences of being 33 precautionary or not. 34 And so from the example of marine area 35 fisheries, for example, given that the current 36 allocation, all of the United States' allocation 37 clearly, about 80 percent of the commercial 38 allocation in Canada, is allocated to fisheries 39 that are a six-to-eight-day swim for a fish away 40 from the Fraser River. What I hear from my Fraser 41 Panel members in those areas is that they're

concerned about the timeliness of our in-season

assessments, in other words, the ability for us to

update the run in a positive or negative direction

relative to where those fish would ideally like to

be caught, if we're going to catch them where the

areas are licensed to fish.

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So one of the consequences, and I'm not going to say it's positive or negative of starting with a low forecast, would be in those years where the run is larger, you might not detect that in a timely way, which might result in folks who are trying to catch those fish sooner rather than later not having access to those fish. I'm not saying that's a negative thing.

On the flipside, you could say conservation is the highest priority. Being conservative might cause you to, more often and otherwise, meet those conservation objectives. But there is clearly that trade-off and that is why I would leave that decision to a policymaker because of the value judgments associated with once you make that choice, there are consequences that influence folks that have interest in these fish.

- Q But as a scientist, biologically or ecologically to the sockeye, and just leaving aside the over-escapement theory for now, because I know we'll --there will be lots of discussion about that, a forecasting and management model that tends to underestimate is not harmful; is that correct/
- A To the fish?
- Q To the fish.
- A No.

- Q Okay. You also testified this morning that -- it was actually in answer to Mr. Leadem that part of that reason for the early and conservative reporting was the regulatory structure?
- A That's correct.
- Q So they had to come up with estimates very early in the year. I believe you may have said as early as January.
- I don't remember exactly when the forecasts were released but the context is that the regulations -- and you can go back to any of the IPSFC end reports -- I'm sure you have some -- were actually, rather than no person shall fish anywhere between these dates, they were actually specifically specifying dates when the fishery was open. And that -- those schedules were released in January or February and there was no ability to change those except by extraordinary meeting.
- Q And you also just mentioned in answer to me that there has been a concern expressed about timeliness of adjustments with early in-season

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data. I'm going to go back to my question about what would be wrong with going back to the pre-1985 forecasting and management model? And I'm going to change that a little bit and say, with the addition of timeliness? Because you're no longer constrained -- the system's no longer constrained by the regulatory structure.

If you had a combination of conservative forecasting and management that tended to underestimate with timely, and I would assume with some advances in technology and science more detailed information available more frequently in the season itself is there anything wrong with that model, from your point of view, as a biologist, with respect to the fish themselves? If you had accurate enough in-season assessments, and this is a -- I'm sure a discussion that you're going to have later on -- it could probably work but it would have to be very, very accurate assessments because the nature of the decisionmaking now is somewhat different in relation to the magnitude of the total return that needs to be provided into the Fraser River for the combination of escapement management adjustment and FSA needs. So in the past, 70 to 85 to -- 75 percent of the run was -- was harvested.

Now -- or -- and it was harvested almost always in the marine areas with the exception of commercial fisheries in the lower Fraser, now, that's different. So it's both the consequences of that decision and the need for accuracy in that decision is at a much higher level now than where it would have been in the years when the IPSFC regulatory structure was in place? But if the models were accurate enough, the fish could -- could come out okay in that kind of a regime but require a very high premium on the accuracy. I'm going to suggest to you that the current pre-

- I'm going to suggest to you that the current preseason forecast model is of no use to anybody involved in the fishery trying to make decisions in the early part of the year. Would it have done -- specifically 2009, would it have done any fisher, commercial, recreational, First Nations, First Nations economic or FSC any good to rely on the 2009 pre-season forecast?
- A Maybe I'll answer it in a different way and you can come back at me if I haven't answered your

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question. The pre-season forecast had minimal impacts on the decisions that were made early on in the -- in the fishery season of 2009 but there were some impacts. And it may relate to the way that that forecast is used. So for example, we compare on those little plots that we showed yesterday, which compare the run to the smooth normal curves, how the run is tracking. those -- there were some fisheries that would have initially been on a scenario plan for earlier on that would have been opened if the in-season data showed -- based on the pre-season forecast -- if the in-season data was consistent with the forecast. So in other words, the fact that the forecast was used as a reference and the in-season data was inconsistent was used to decide that those fisheries should not occur. So I think that's probably not widely understood but that's the way those early decisions are made. In other words, if it's not tracking close to the forecast, you do something different. In this case, it was not racking close to the forecast. We did not open fisheries, okay? Clearly.

The other area that the pre-season forecasts are used, and it's not really the number but the distribution, and this is going to get into perhaps too much technical detail but I'll try to describe it as plainly as I can. We used the preseason forecast as priors in our models. And what those do is affect the bounds of the early inseason estimates. When we only have a few days of data, like three or four days of test-fishing data, there -- what we're doing when we're doing in-season assessments is we're saying, which normal curve with a run size and timing possibility best fits this four days of data that we have, right? So you can guess with only four days of data the possibilities are all over the map, right, and there could be -- because there's a bunch of lines you can draw through there that are equally consistent. By having the pre-season prior, it constrains those values. It improves -you know, so for example, there's no way that early in the -- in the -- early in the season, you could have, conceivably, a model that would say, you know, this data are equally consistent with a 30 million run and a peak two days from now. Or

they could also be consistent with a two -- you know, a 200,000 run and a peak that's earlier. The pre-season forecast rejects those. By using the pre-season forecast in the prior year says, no, there's no way you're going to get that. That's outside your forecast distribution. So there's a technical way that the forecast is used.

So in a general sense, I understand where you're -- where you're coming from. But in reality, while they're not used in a sense of triggering those really big fisheries, they are part of our assessments and they're part of the decisions early on about, do we get started. And that, do you get started part is a pretty important one because it kind of sets a tone, you know. If you start going, people start to have expectations that it's not going to stop so there is a definite use of them. And so I wouldn't -- I'm not ready to throw those forecasts away. I think there's some real value to them. But it's admittedly perhaps subtle.

- Q Quite subtle. But your explanation was -- was helpful. Commission counsel asked the earlier panel, the Monday panel, in response to some of their questions, why do the pre-season at all?

  A Mm-hmm.
- You've -- I think you've answered part of that quite well but you've used the words "subtle" and "marginal". Perhaps I'll ask you then, what would happen to your science -- what would you have to do to your science and what would happen to some of the management decisions or what would you have to do to those decisions if there was no preseason forecast?
- A We would -- we would do a forecast on our own. So I mean I think part of the issue here is related to the public perception of the inaccuracies in the forecast and how people react to it. I think that my view is that we need the pre-season forecast for the reasons I described. On a technical basis, they're fundamental to improving our assessments. The alternative that we could use is just simply judgment. So we could -- in the -- in the case of that subtly about run size assessment, we could just say, well, those aren't realistic and that's actually not that far off from what we've done, if we go back in time prior

to some of our new methods. But that's not very transparent. So I think it's better to use the forecast. But I think what we have to do is improve our communication about it. Make it clear to folks that don't understand that we don't open up large-scale fisheries based on the pre-season forecast. Make it clear that it isn't a number, it's a range, it's an uncertainty. And I think that will go a long way. I think we're, you know, our own worst enemies in this regard. We tend to give folks things that they can understand most easily and we give them a set of single numbers. And then when it comes out different, they go, why did you tell us that? You know, and in fact, the reality is, in most of these years, 2009 is actually an exceptional one so it's not really appropriate for 2009. It's within the range. It's within the one-in-four chance of -- of what's happened. It's just happened to have been directional because we had this flaw in our forecasting that didn't account for this declining productivity. So I'm not a fan, and you can tell I'm not from the way I've been talking, of throwing these out. I think we just have to do a better job of explaining. I mean, again, you probably know better than I do because you work with Area E, what the fishermen's take on this. And I don't know whether there's a general consensus among all fishermen that we don't need -- we don't want to ever see any Fraser sockeye forecasts. I'm not sure what that consensus is but from my perspective I think there is some value.

- Q Thank you. Your point about judgment -- and yesterday you used the word "intuition" as well for some of these more senior or scientists associated with PSC. And it's a fascinating point you've just made that the former system used judgment and was quite successful but there's a difficulty with transparency.
- A Mm-hmm.

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Q This is a bit tangential and you may find it a little too esoteric to answer and if so it's not necessary for you to answer. But if you culled judgment and intuition, if you were able to articulate those inputs as the precautionary principle, do you think it would be more congruent

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with the current models, approaches and policies? In other words, is there a way to reinsert judgment and intuition, which isn't, frankly -- it's not casting bones -- these are scientists. Mm-hmm.

- Q But it's scientifically-informed judgment and intuition. Is there a way --
- A So --

Α

Q

-- to re-infuse that into the current approach? Yeah, and just on the first part of your question in terms of whether you need to leverage some precautionary principle or something against that, I don't think that's really needed, although it might be helpful for some folks and not others. think there is an issue about trying to be -- a debate, I'll say, about trying to be too scientifically pure, for lack of a better word, you know, hanging onto the statistics and -- and maybe we've moved a little bit that way in the scientific community more towards doing that, you know, hanging onto the -- it's kind of a safe place sometimes for -- for scientists to sort of say, well, you know, the statistics could go this way, it could go that way.

And so it's not outside of human nature So -- but no, I think that as long as one either. (a) describes what the judgment is, and (b) describes what the rationale for that judgment is, then it is transparent. It is not like anyone is hiding anything. You're just saying, this is what I believe and this is why and -- and I think the only thing I would say is that -- and as a scientist, is that it's important to -- when you provide that judgment to provide both why you believe it's correct but also how you believe it could be wrong. And unfortunately, the nature of science, particularly on the publication side of things, is it tends to select -- and I'm not being critical of folks that write papers or anything -for folks that don't actually show all of the weaknesses because if they do then their paper will get rejected. So to say it in another way, the scientist is in the best position to expose and understand his weaknesses. And so if he's going to provide judgment, he should provide it in a way that does expose those weaknesses. In other words, it isn't about making sure that your

judgment is followed; it's making sure that your judgment is understood.

- Q I'm just going to shift gears a little bit to talk about relationships, overlaps perhaps, and the transition from PSC to DFO science. I know you joined PSC in 1992; is that correct?
- A That's correct.
- Q That shift had started in 1985. Do you know of any scientific reason why science could not have stayed at the PSC?
- A No, no scientific reason. It's still at the PSC. The duties have been split.
- 13 Q Right.

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- 14 A We do different things now than we did.
  - Q Right. Does PSC, your staff right now, currently have the capacity to do all of the science that's being done by DFO?
    - Α No, not in the broadest sense. I mean we don't have a molecular genetics lab. We don't -- I mean the breadth of DFO science goes way beyond salmon. In terms of the duties that the IPSFC did and used to do that the PSC is not currently doing, we would certainly need more personnel and we'd probably need certain specific personnel, you know, the expertise with respect to upstream programs, for example, and program delivery is no -- you know, we don't really have those folks in our shop right now. But you know, we could -- we would need -- we would need specific personnel and different skill sets than we currently have because obviously we don't have those folks because those folks actually physically and, in some cases, individuals actually now work for DFO.
    - Q And that was one of my questions. You've confirmed that -- my understanding that the transition from PSC to DFO took about 75 percent of the scientific staff?
  - A Well, I believe that number sounds correct but it was before my time. But it sounds about right.
- 40 Q And it wasn't just staff positions but physically took some of the scientists?
- 42 A Yes, absolutely.
- 43 Q Now --
- 44 A Yes.
- And obviously, that was 25 years ago so there would have been turnover and attrition anyway.
- 47 A Sure.

- Q If going forward the specific salmon-related science duties were transferred back to PSC, do I understand you correctly that you would need some increase in scientific expertise in specific areas but you would also need a return to probably original capacity or a concomitant increase in capacity?
- A Yes.
- Q Is that right?
- 10 A Yes.

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- Q As far as fisheries management tasks, if the PSC were to be tasked with fisheries management beyond the panel areas, does it have the expertise to do that?
- A No. It does in some aspects but not in others. There are -- the membership on the Fraser River Panel is -- includes the broad representation of the commercial sector for the non-panel area waters, as I think was discussed under cross-examination last time I was here and I think it might have been Ms. Gaertner that raised this question. The representation on the Aboriginal side is -- how do I say this in a way without discrediting the folks that are there? It's not sufficient because there's only two folks and they can't speak -- not only can they not speak and this is what they've told me so maybe for their -- initially for their own groups but they certainly can't speak for the groups that aren't there.

So to contrast that, for example, with the representation on the United States side on the tribal side they are empowered to make decisions the appropriate decisions that they have to make with regard to the tribal fisheries in the United States, which do occur. That -- that representation does not exist current -- in the current structure of the Fraser River Panel. And so if what you mean, and I don't know that you do, so maybe I've drawn a conclusion that's incorrect, by non-panel waters, the Fraser River Watershed and, in fact, technically that is actually part of panel waters, in terms of the definition. But if you mean that the Fraser Panel would have jurisdiction over that part, there isn't adequate representation or structure in place right now to -- to make those decisions in the current structure of the Fraser River Panel.

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- Q No, that's exactly what I'm asking and you've -you've said that actually on the U.S. side, there
  is that capacity. That suggests to me that if
  there was a recommendation for a single authority
  over Fraser River sockeye that it would be
  possible for the Canadian side to -- to gain that
  capacity and expertise, if needed. If it -- if it
  was assigned to the PSC, it would be doable and
  you already have a model in the United States
  side. Is that fair to say?
- A Theoretically, I think it's a fair statement.

  Pragmatically, it would be a long haul.
- Q Long haul in terms of years, do you think, or decades?
  - Well, I guess if someone can ask me when -- or answer for me when the treaties will be settled, I would probably be able to provide a context for that comment. And I don't mean that to be flippant but the reason that the United States can do this is that their allocations between, first, international, between U.S. and Canada, but second between Aboriginal groups and non-Aboriginal groups have long since been solved. So starting in 1976, I think, or '79. Perhaps one of you will know the date better than me, the Boldt decision. So they've had, you know, 30 years of kind of evolution, if you like, for the process to mature to the point that it's gotten right now. would respectfully suggest that Canada, not for better or for worse, is probably about, you know, I don't know, you tell me, 20 years from that point? I hope it's less but there's some process that's under negotiation. In the case of the U.S., as you know, if you don't know, a judge made a decision so one day people woke up and 50 percent of the catch was allocated to the tribal groups, the so-called Treaty Indians, as they're referred to in the United States, and 50 percent to non-Indians.

In Canada, clearly, there's a different approach. It's a negotiation. There's bilateral negotiations. We have how many treaties now, one or two, so far in the Fraser? So the dynamic of that is quite different in Canada and I think -- so again, theoretically, I agree with you but pragmatically to ask the Fraser Panel to be involved with that during this transition phase, I

think -- I don't know -- it would be pretty
challenging for them, I think.

- Q I'm going to switch gears over to management adjustment. It's a phrase that I -- I know I'm not the only counsel really struggling to understand. When you arrived at the Pacific Salmon Commission in 1992, was management adjustment already part of the forecasting on the PSC side?
- A So management adjustments aren't part of the preseason forecast in any way; they're part of the in-season adjustments that we make to escapement targets, okay? So there are some pre-season adjustments that are made but they're not part of the abundance forecast just so that we're not speaking at cross purposes here. The history of the management adjustments is documented, I think, pretty well in the PPR. But I believe it was 1995 when the historical average difference was started to be implemented in some of the pre-season planning. So in 1992, I don't believe we had yet had any management adjustments in play.
- Q I have had a look at that PPR.
- MS. SRIVASTAVA: And Mr. Lunn, it may be helpful to pull up that PPR, to page 49. Sorry, page 51. Just a couple pages ahead. And paragraph 131.
- Q And this may be what you're referring to, Mr. Lapointe, the management adjustment models currently used are a response to recommendations from public reviews in '92 and '94. So -- so that means arising from the Pierce Larkin reports.
- A Yeah, and the John Fraser one. Yeah, exactly.
- But you had also said in your earlier testimony in the fall that the responsibility for management adjustments got added into the treaty in 1999, which --
- A Yeah, so --

- Q Sorry, go ahead.
- A -- there -- the treaty -- the initial treaty signed in 1985 with respect to the Fraser, chapter 4, specified a sharing arrangement that ended in 1992, I believe. I'll have to -- then during the period from 1993 to 1999, there were sort of ongoing negotiations and a series of some years. If you look in the Fraser Panel report, you'll see there was a one-year agreement. Some years there will be -- in the achievement of objections, it

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will be one sentence -- achievement of objectives, sorry, and it'll say there was no agreement in that year and, therefore, we can't evaluate objectives. So it wasn't until 1999 that there was a renewed chapter 4 as part of a treaty. that's maybe the disconnect between the years. And so in the language of the 1999 treaty, I believe the -- the management adjustment -- I don't even know if the word management adjustment is actually in there. Something like extra factors to account for natural causes and stock assessment factors that may be added to the escapement as -- I think it might even -- as agreed to by the parties. I don't remember the exact language but you're right, it's '99 that the -- that the term appears. But the reason for the lag is that we didn't have it -- we operated for four or five years without actually a treaty in the sense of, you know, a renewed agreement was one -- sorry, it was one year or no deals for a period of time there.

- Q And I'm still trying to drill down to how that labour in coming up with the management adjustment figures in pre-season forecasting is divided between PSC and DFO.
- MS. SRIVASTAVA: And if we can move ahead, Mr. Lunn, to page 108 of the PPR, Table 2, which -- well, if we just look at pre-season, which is on this page.
- Q I may not be seeing very well but I don't see that anyone is responsible for coming up with either the -- the data to come up with the M.A. or determining the M.A. in the pre-season.
- A So I think it might be under Item 3, where it says:

Pre-season assessment and forecast of inriver environmental migration conditions.

So there's the DFO responsibility. So the division of responsibility in a general sense is that DFO does the environmental side, so the river condition side. PSC uses that environmental data in models, which we developed with DFO. I mean there are a few publications on these out now. But we implement the models and take the forecasts of the conditions and come up with the management adjustment number, if you like. So Item 3 refers

to the DFO side and Item 4 refers to the PSC 1 responsibility. On the -- on the first two 3 columns I'm referring to here. 4 Yes, I do see that. Item 3 for DFO. So the --5 the actual data, the inputs for the management 6 adjustment figure would be under that --7 Right. 8 -- section for DFO's responsibility? Q 9 Α Right. 10 And then PSC formulates the recommendations. 11 is the actual number for pre-season --12 That's correct. Α 13 -- to the FRP? Q 14 Α That's correct. 15 Q Okay. And you -- I think you answered the 16 question this morning. You said Fraser River 17 sockeye management is the only fishery that you 18 know of that actually includes this management 19 adjustment; is that correct? It's the only one that I am aware of. Now, I have 20 Α 21 to admit that I'm not enveloped in the literature 22 to the extent I was when I was a graduate student 23 so there could be something there. But I'm not 24 aware of another -- another system -- another 25 management system that has this kind of a 26 mechanism. 27 And yesterday, you used the phrase, "mission 28 impossible" a couple of times when talking about 29 management adjustment. Is management adjustment, 30 the term, a euphemism for margin of error? 31 No, I wouldn't characterize it that way, although Α 32 that's part of what the management adjustment is, 33 as I testified yesterday. All the components 34 associated with deviations are part of management 35 errors but there's also a significant en route 36 loss component that I was referring to. But the 37 term "mission impossible" did not refer to the M.A. per se. It referred specifically to the case 38 39 where the spawning escapement target is the entire

run and there could be an en route loss or some

will arrive based on the fact that some will be

primarily en route loss. So the reason I used

means that there's almost no way to get that

source of the management adjustment to come, which

target because there's going to be fewer fish that

lost from the combination of all of those factors,

that was just to say that sometimes even if there

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are no fisheries, it can be hard to achieve these spawning escapement targets because there may be some evaluation of these things in the future. And if there is, there has to be a context to that evaluation in terms of why because presumably one reason to ask whether there's a deviation is to determine what you could do differently to decrease the deviation.

- MS. SRIVASTAVA: To go back, Mr. Lunn, perhaps we could go back to page 49 of this same report. And at paragraph 123 and 124, there's a general description of management adjustments.
- Q And it appears to me that historically, the management adjustment used to be called the environmental adjustment; is that right?
- A Yeah, I believe that's true.
- Q And that when it was called the environmental adjustment, the two things it took into account were high flow and high water flow events and high water temperatures; is that right?
- A Yeah, and it still includes those but there may have been a change in the way we worded it -- worded the term to explicitly recognize the fact that there were aspects of the management adjustment that were related to assessment errors. So I think that's the reason that the words changed. It just -- some of it's related to environment, some significant part of it but clearly there's an assessment error component, which I talked about yesterday.
- Q That's right. Basically, bias at the various points of measurement.
- A That's right, that's right.
- Q And I believe you also testified, and it's probably covered off in his PPR, that there are other components that have, over time, been added to the management assessment. Am I right -- and let me know if I've missed anything -- that those components include parasites and disease, fishing-induced mortality above Mission, possible non-reported catch and unexpectedly early entries, especially in the late run. Is there anything else?
- A So -- so the way I would characterize those phrases would be sources or causal mechanisms that could cause the estimates to -- admission and upstream to be different from each other. They're

not necessarily explicitly incorporated in the modelling sense. They're just recognized as causal factors potentially.

- As a layperson, when I look at all of those sources, they fall into some categories. One is statistical bias or assessment bias.
- Mm-hmm. Α

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- The second category is environmental mechanisms. I would include parasites, disease and the water flow and water temperature issues. And the third set of causal mechanisms would be fishing-induced mortality. Do you think of all of those -- of all of those causal mechanisms as equally going into the mix or are you -- when you think of management assessment do you, yourself, break down those components into those that are more influential than others? Can you rank them, in other words?
- Α So I agree with the kind of categorical characterization in the sense that they all are contributing causal mechanisms and I agree with the parasite thing and so forth as potential contributors. We've had quite a bit of debate, and this relates to some of the framework issues that we're discussing right now about trying to partition out those things. Like it's a very good insight on your part and it's one that has come up repeatedly in the -- in the Fraser Technical Committee about, gee, wouldn't we like to be able to partition these things? So a couple good reasons to partition them. One of them, primarily is that if we could partition the stock assessment errors, for example, from the others and we could improve our stock -- you know, decrease our errors, then we could reduce the management adjustment by just improving what we do. Right? So a very logical kind of approach. difficulty becomes in having the independent estimates of the components that you mentioned, the stock assessment errors, the fishing-induced mortality, the -- help me out here. The other one was environmental factors.
- All of the environmental factors, yeah. Q
- Having the data to help us intelligently Yeah. partition those out is the challenge, okay? So it's not that we don't recognize the value of partitioning it, it's -- it's that we haven't been able to figure out a way in the -- in the data

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that we use and just so it's obvious to everyone —
the data that we have in most years is an
estimate at one spot and an estimate at another
spot. And so it's hard — you know, we do have
some years where we have tagging and stuff and can
draw an inference but we don't have much
independent information of the things we're using
to estimate the difference to help us partition
it. And so that's the technical challenge that's
been kind of thrown out way, which we have not yet
solved.

- And can that technical challenge, from your perspective, be answered by an increase in resources whether that's just an increase in resources from the outside or a reallocation of resources perhaps from the pre-season forecasting efforts into gathering this data? Or is the science just not there on how to gather it?
- Theoretically, I think it could be addressed but Α it would require some fairly large-scale work inriver expanded relative to some of the work that's ongoing. And critical in that work is kind of the understanding and sort of -- I don't know if buyin is the right word -- just so some concerns I would have, okay? So one solution might be suggested and perhaps we'll talk about this next week when Brian's here is, let's do a bunch of tagging, for example, like the radio tagging that's been done in the past. And that is the method that could be used. But the scientific consideration has to be to make sure, like any piece of science, that what you're doing is not kind of confounding your interpretation of the results. And so the big concern that has been expressed by some, not all, about radio tagging is whether or not there is an incremental effect of the radio tag. So is it providing an independent assessment or is it providing something else? there's lots of sort of scientific uncertainties that need to be carefully considered. So what I'm saying is I think theoretically it can be done but I'm not sure that if I -- if you ask me to give me -- give you an experimental design and gave me three months that I could come up with a design that everyone would agree, given some of these tough scientific issues, tagging being one of them, would be -- provide a defensible set of

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answers for you. So you know, it's sort of a yes and no to that question, I think.

MS. SRIVASTAVA: Thank you. Mr. Commissioner, I note that it's coming up to 12:30. I'm not at a very natural breakpoint but I do have guite a bit more just in this section so perhaps we can start again after 2:00?

THE COMMISSIONER: Thank you.

THE REGISTRAR: The hearing is now adjourned until 2:00 p.m.

> (PROCEEDINGS ADJOURNED FOR NOON RECESS) (PROCEEDINGS RECONVENED)

THE REGISTRAR: The hearing is now resumed. MS. SRIVASTAVA: Mr. Commissioner, Anila Srivastava for the B.C. Fisheries Survival Coalition, Southern Area E Gillnetters Association.

## CROSS-EXAMINATION BY MS. SRIVASTAVA, continuing:

Mr. Lapointe, we stopped rather in the middle of management adjustments, so I'm just going to back up a little and make sure that we have everything covered that I wanted to so far.

We had been talking about the various causal mechanisms that are all aggregated into the management adjustment.

- Yeah, I recall.
- Q. And you had mentioned that there is a current debate about doing some partitioning and you talked about the difficulty in having independent assessments. We did talk briefly about whether it was a matter of resources to put into data gathering or whether it's the actual science of how to gather data that's needed.

So my next question, then, is have you put your mind, or has either of the organizations, PSC or DFO, to your knowledge, put their mind to having a look at each causal mechanism and saying, "This is a causal mechanism we can analyze, even if we can't analyze the others"?

I think that most components could be addressed with some form of analysis. There certainly are perhaps some differences in terms of, you know, roles and responsibilities of who might do some of those analyses relative to who conducts the

various programs, but in terms of any that are just sort of impossible to address technically, I wouldn't say that there are any that are impossible. So I think they all, you know, could be subject to some work that would shed some light on them.

- On the whole, do you think it would be a good idea to do that?
- A Yes, although we'll probably get into this discussion next week. It would depend upon the objectives of that exercise. I wouldn't -- and the reason I thought about this next week is I know Brian Riddell is going to be here and one of the programs that he championed was this "Count on Salmon" program which is actually designed to address some of these issues.

The caution I would provide is that there is some work to be done on the science, but the science is only part of the issue that relates to this problem. In other words, the science is being done in a fairly significant political environment, as I'm sure you're aware, which puts a very high onus on the science because it's being conducted in an environment of some controversy. So I guess the take-home message I would say is that I would not suggest that the science, by itself, will solve the political controversy that surrounds some of this issue. Some of that politics is outside the science.

So there's only so much science can bring to bear on this. It can bring us understanding, and that's great. But there still may be -- there will always be some uncertainties, as we all know, about science and so it has limited capacity to solve some of these issues surrounding this particular question.

And you're quite acute, Mr. Lapointe, at clarifying for us when something is a science issue and when it's more of a policy issue, and perhaps I should have clarified for you. I'm asking you, as a scientist does it not stick in your craw, to use a cliché, to have a figure going into the forecast, that then dictates a policy, that can end up being as much as 50 percent of the initial estimate?

So we looked briefly at management adjustment figures. Sometimes it's as low as, say, 13

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1 percent, but sometimes it is as high as 50. 2 Α Mm-hmm. 3 As a scientist, would you not prefer to have a 4 better parsing out of those causal mechanisms? 5

Yes, I would. I would prefer, as a scientist, to Α

have a better parsing out if I could.

And as a scientist who then has to make recommendations that are turned into policy decisions, would it not be more transparent to have those causal mechanisms that are all going into a hopper and may result in a 50 percent management adjustment figure, would it not be more transparent to have those separated out where they can be?

- Again, transparency would be somewhat in the eyes Α of the people viewing that, but I would agree that it would be more transparent if we could be clear about partitioning those different mechanisms.
- I'm going to go back again to this question of can we parse out the causal mechanisms not necessarily by science as I asked you before, but if it's not possible right now, whether because of science or resources, to study each mechanism, can you assess the mechanisms in terms of what is within human control and what is not within human control?
- In terms of the list of the four mechanisms, so biases admission, biases in upstream, en route loss and catch estimation bias, three of the four are clearly within human control. The fourth one is within human control to the extent -- the fourth one being en route loss, let's be clear. The en route loss is the one that I'm suggesting might have a bit of a nuance associated with it.

The en route loss could be mitigated to the extent that there's any component of that en route loss that is related to, say, an interaction between fishing gear and the fish in a warm water environment, so selective fishing methods, methods that would have -- be used to lower that.

So all four of them have an element that is some ability to control, but there's more elements in the en route loss category that are out of our control, like how warm the Fraser might happen to be or whether there's diseases, parasites and so forth.

Q Right. And that's consistent, I think, with a lay person's understanding that the snow pack, snow

melt, high-water flow, high temperatures are currently beyond control, but things like use of fishing gear is. It's a human interaction with the fish and therefore it can be controlled by humans; is that fair to say?

A Yes, that's fair to say.

- And you spoke yesterday about something that I'd like you to talk a little bit more about. I believe what you said and correct me if I'm wrong yesterday was you're not concerned with the intensity of in-river fishing itself. You are concerned about gear fish interactions, especially in warm water years, warm water temperature years. Is that correct?
- A I think the -- I'm not sure if I said the first part of your preface. I think what I was trying to say is that it's not the catch part of that intensity that's of concern. If that's measureable and there's a mortality and it's quantified, then it's part of the equation and so it's accounted for in the calculation.

It's the induced part, the second part that you mentioned that I think is something that we need to keep a watch on in terms of climate change, and any interaction in the river, like I said, that makes it harder for the fish is going to be exacerbated under warm water conditions.

- Q And can you illustrate for me -- I don't fish. I grew up in Ontario. What kinds of interactions with gear cause mortality short of just catching a fish which causes mortality?
- A So examples would be something that would be referred to as "net dropout". So there are cases where a fish will become entangled, say, in a net, a gillnet or a set net and will escape and perhaps be injured. Another good example is that we have a fairly significant sport fishery in the Fraser River that's set on a bag limit.

There are cases where there may be as many fish released that are actually kept in that sport fishery, and those fish that are released will have been subject to some sort of length of fight on the end of the rod and released, and they've been — they all have been hooked. Some will break off and so forth. So those are kind of the direct gear interactions.

The indirect ones come from observations

going back to the mid-'90s at the Qualark program. The reason they'd been able to be made is that the way we do acoustics observations has changed a bit over the years, and our shore-based systems -- so these are systems that look out from the shore out into the river -- can detect things like how far away from shore the fish are, whereas before, at least at Mission, we were always looking down from a boat. So you know where the boat was, but -- it's very obvious from those data going back to Qualark when it first was in existence in the mid-'90s, 1996, '98 -- '97, '98. Now, both at Qualark, at Mission -- 'cause now at Mission we also have an outward-looking system, and we're going to go into this next week, Mr. Commissioner, so I won't spend a lot of time on it.

It's very obvious that when there are fisheries - and not pointing at any particular fisheries - but when there are in-river fisheries, the fish tend to move offshore. Again, the flows offshore are more intense, so all else being equal, if the fish is swimming up the middle of the river, he's going to do a bit more work than if he's swimming up the banks. Both of those, both indirect and direct gear situations, you know, are occurring, and again, may require more -- may increase in concern just from the fact that the river appears to be getting warmer. The Fraser River appears to be getting warmer.

- And I think you said yesterday that that difficulty for the fish can also increase because of the geography, so that once they get to the Fraser Canyon, environmental effects such as high flow and high -- water temperature are magnified because of the geography.
- Yeah, I'm not sure if I spoke directly to that, but I think in the example I provided about the Early Stuart and the high flow, certainly there are well-defined points of difficult passage, Hell's Gate being the most renowned one. Bridge River Rapids is another one, Siwash Rock. They vary depending upon the water level. So some parts of the river are more difficult in high water, some are more difficult in low water, but certainly, yes, the geography of the Fraser creates difficulties for these fish.
- Q Are you aware whether that geography has been

analyzed in terms of percentage of fish mortality? My question is do we know if fish mortality from the open ocean, once they enter the mouth of the Fraser all the way to their spawning grounds, is linear? So there's just a certain percent of fish that keep dropping out. And I'm talking about aside from human interaction, catch. Or does mortality increase exponentially so that as they get north of -- past Mission and up into the Canyon, mortality increases at a much greater rate than it did below?

A I actually don't know the answer to that. I think there could be some information in some of the radio-tagging studies where there would be particular spots where there would be a higher proportion than normal of radio tags not proceeding beyond that spot. The one point that comes to mind is an area above Bridge River Rapids where I believe there's been sort of a disproportionate number of radio tags in the five, six, seven years that this radio-tagging program has been done, have not been observed in receiver stations upstream of that point.

relationship, you know, is it linear or exponential, I don't know the answer to that. If you had an answer to that question, would that help you to recommend policies to reduce additional mortality in the form of gear/fish interactions? For example, if you knew that a particular area of the river had a high environmental mortality, high geographical mortality, you could say specifically this area should not have as much or as intense in-river fishery?

But in terms of the shape of that mortality

- A It would be important to take into consideration the geographic elements in trying to determine whatever the response would be to mitigating that impact so, yes, it would be important to take into account that geography. It's going to be probably a greater issue at some locations than others. There's some spots where fish are moving. So, sure, knowing that would be helpful.
- Q I do just have a couple of questions about difference between estimates and a couple of questions about test fisheries. I won't be too

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One of my questions about DBE's, that I understand from what you said yesterday, that in some years you add the DBE back into the total fish catch at the end of the year and sometimes you don't; is that right?

A That's correct.

Q How do you draw that distinction?

Maybe I should have gone through the last couple of slides of my visuals there, but it's a framework that we're under discussion right now. The concept is that if we believe that we can rule out, for example, a lower river estimation bias, say, at Mission -- so, in other words, if Mission is over-estimating and we have evidence that it's over-estimating, then clearly those fish are in error in the estimate, and those shouldn't be added to the total run, okay?

But if we think that the Mission estimate is firm and we think that the upstream estimates are firm and the catch estimates are good, then clearly there's a number there that represents some real loss.

Now, the reality is, as my testimony explained yesterday, is that we don't know how perfect each of those are, so we're forced to make a judgment that's kind of like an on/off switch, you know? Either you put it all in, or you don't include it at all. That's the imperfection which is part of the motivation for me to attach a little bit more discipline to this decision process 'cause maybe it's not an on/off switch and some of your questioning is along the lines of, well, is there a way to say how much of that is due to something that's really a loss. The partitioning issue that you mentioned is part of our discussion, as you said.

That makes me ask, then, whether there isn't a risk of tautological reasoning in your -- in what you do with the DBE's at the end of this season, and that is that, if I understand correctly, there should be some relationship -- or one at least should scrutinize the relationship between your pre-season and particularly the in-season management adjustment figures and the post-season DBE's, because the DBE tells you what actually happened. The management adjustment is what you

1 thought was happening during the season. Is that -- I know that's sort of shorthand, but is that 3 fair to say? 4

- Α Yeah, that's a fair characterization.
- That management adjustment includes in it a notion that there is some assessment bias.
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- But then if you, at the end of that year, say, well, all it was, was assessment bias so we're not going to correct for that, is there not a risk that you're not doing as much as you could to self-correct the management adjustment figures which --
- I think I --
- -- are a real bear, frankly, for you guys to work with?
- Well, I think I understand where you're coming Α from. Maybe there's a little bit of a misunderstanding, but there probably is an element that perhaps we can discuss further.

So because we use the same words, DBE, for the part of it that's related to what gets added to the total run, which sometimes gets added and sometimes does not - so that's one use of this DBE - there's some confusion about whether or not, then, we assume that the management adjustment dataset has no difference between estimates in those years. We don't. Whatever the difference between estimates is, at the end of the year, for all of the years, whether it's positive, negative or zero, becomes part of the dataset. So the dataset is best -- in terms of DBE's is best exemplified by the summer run plot that I showed you with all of the years on it. Those DBE's, or whatever they were, sometimes there was more fish upstream. Sometimes there was less than what we That dataset doesn't change. predicted. affected at all by this other process that's this on/off switch that I describe that determines whether you add something to the total run or not.

Now, if we were able to partition the different sources, we could do a more refined job and perhaps better direct changes to the things that we can control to reduce the magnitude of those differences.

The other area, and I don't know if this is where it's going -- maybe I'll stop there and see

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whether that helps you answer your question, and if you need clarification, I'll try to fill it in.

 No, that is very helpful. Have you found, over the years, that reviewing that year's management adjustments in light of the DBE's has helped to increase the accuracy of the management adjustment figures?

A We have not changed the dataset, so there are circumstances — the one that would come to mind would be the one in 2005 which related to the species composition issue that was discussed when I was here, I think, in November, where we clearly identified, probably as a result of the discrepancy in that year, that we had an issue with our species composition at Mission, and we have taken steps to address and improve the species composition estimates at Mission in

The DBE dataset, whether that -- you alter that dataset is a logical question about whether you think this is going to happen again in the future. So if we address that species composition issue and we think we've got it solved, then we might use the corrected Mission estimates in that DBE dataset because we think we've solved that part of the DBE. If we don't think we've solved that, then we have to live with the possibility it would occur again, then we would keep the dataset unmodified.

So there have been a very few instances of that. The 2006 data point is actually not in the model because we actually saw far more fish upstream than we did, and we have a hydroacoustics — we think we have an issue with hydroacoustics that we've not yet been able to solve with respect to 2006. So I can't — you know, I can't be sure what will happen there.

I'm wondering about unintended consequences of the management adjustment. If I understand it correctly, when you do the analysis of the DBE years, and you were confident that a majority of them are clearly related to extremes of flow or temperature -- am I right so far?

A Yeah, I think so.

response to that.

When you forecasted those years, part of the preseason forecast, and possibly the in-season management adjustment, was responsive to the

1 environmental information that you were getting. 2

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- So you might increase the management adjustment because that high-water flow or high temperature was even more than expected. Is that fair?
- Yeah, so it starts with a base case historic Α average, and then there are points and times starting with a long-range forecast based on snow pack, and then 10-day forecasts as the season proceeds that modify the management adjustment just as you suggested.
- Does that not mean, though, that if a higher management adjustment number means that more fish will arrive, plunk, at Mission, then they'll go into the Canyon more fish than usual, perhaps? Won't those sets of high flow or high temperature be exacerbated simply by the presence of more fish?
- Α So...
  - I'm asking if that could be an unintended consequence of using that method of adjusting the management adjustment?
  - So I think the question you're driving at is, is Α the Fraser sockeye freeway got a limited number of lanes, and does the traffic jam get more intense when there's more cars on the freeway. Is that kind of what you're trying to ask?
  - You should be standing where I'm standing. Q
  - Α I think that that's a topic that's not well understood. My intuition would suggest that as and similar to the comments I made to Mr. Leadem that at some level of extreme, one would expect the freeway to get a bit crowded. And so I think that's a good insight that, you know, perhaps there's an issue here about exacerbation related to clogging the migration paths, particularly in the context of the fact that there are some spots that are difficult, and so you get these line-ups. So the length of the line-up may be related to how many people are trying to get through the line. So it's a very good insight on your part.
  - Thanks. And lastly, just a couple of questions about test fisheries. Are you satisfied with the current state of the test fisheries, where they are, how many there are?
  - Α We do the best we can with the tools we have, and

I guess, you know, this is again one of these value judgments, adequacy judgments which puts me in a little bit of a difficult spot. So the way I can answer this is that adequacy is clearly in the eyes of the beholder, so I have to share with you information that's provided to me by people who are telling me what they feel about the test fisheries, not necessarily whether I personally think they're adequate or not.

What I hear from some Fraser River Panel members - and these would be particularly folks that tend to have interest in the marine areas - that we tend to wait for the peak of the run to hit Mission before we can make a run size upgrade, and as I was showing in my little migration diagram yesterday, it takes about six days for the fish to make it from some of these marine areas where, on paper and in an ideal world, you know, 80 percent of the commercial allocation in Canada would ideally be caught if there's enough fish for a commercial surplus.

What that means, and I think it's a fair criticism, a fair observation by those folks, is that if the run that goes up -- okay, so that's an "if". If the run is going up, by the time we identify that there might be a bigger surplus, those fish have already passed the areas where, in an ideal world, they should have been caught based on the allocation.

So there probably are inadequacies related — in perception related to the accuracy of the estimates, but I'd say the primary one that I hear about is the timeliness one, the one that says — in other words, in an ideal world, I think some folks would like to have us have our test fisheries be about, you know, a week farther in advance and be really accurate so that by the time they got to the first fishing areas, the decisions about fishing could be made. Hence that's the primary point I would make about it from my perspective. Again, it comes from observations that Fraser Panel members have shared with us over the last several years.

Q And that does explain, I think, part of my next question, which was does the -- do you recommend to the Fraser River Panel that the fishery open earlier than the peak part of the run? If not,

why not? It sounds to me like what you're saying is that you cannot make that recommendation if you 3 don't have the information until close to the peak; is that correct? 5 Because the staff power to make 6 recommendations was taken away before we ever --7 before I ever became the Chief Biologist. 8 don't make the recommendations anymore; the 9 countries do. So it might very well be that 10 Canada doesn't come forward with a recommendation 11 for those fisheries for the reason that you 12 suggest, but we don't have any recommendation authority anymore. It changed in 2002, so I've 13 14 never actually had an opportunity to ever consider 15 making a recommendation about fisheries. 16 In your tenure between 1992 and 2002, was that 17 recommendation made by your staff? 18 Α It was made by our staff, yeah, and I don't know 19 if I can recall a circumstance about whether or 20 not there was a reluctance to make a My suspicion would be --21 recommendation. 22 recollection would be that it probably may have 23 been made but may not have been accepted at that 24 time under that decision process. There was a 25 requirement of bilateral agreement of the parties. 26 Again, back then, I was doing stock ID and I 27 wasn't quite -- I was pretty in tune with trying 28 to figure what was going on with the stock ID, so 29 my recollection isn't great, I'm sorry. 30 Oh, fair enough. As far as timeliness goes, Q 31 historically were you aware of it being helpful to 32 have a fairly active Johnstone Strait seine 33 fishery to include in the test fishing data --34 Yeah, it was --Α 35 Q -- just because of the timing and location? 36 Yes, more than that. Timing and location, sure. 37 The timing and location of the commercial fishery isn't actually that much different than the timing 38 39 and location of the test fisheries as you probably 40

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The timeliness came from the fraction of the fish that are being sampled. So the Johnstone Strait seine fleet, when it was operating on a weekly basis, two things about that. One is because it was operating every week, there was a good chance that one of those fisheries would occur within about three-and-a-half days of the

peak of the run, right, 'cause it's a seven-day week. The second thing -- so there was always a fishery close to the peak of the run, and that's an important -- so it's consistent relative to the timing profile that I showed you yesterday.

The second reason why they were valuable is that they caught a very significant of the (sic) fraction of the run that was there. So something like 60 to 80 percent of the fish available in the six days that -- six days of migration that that Johnstone Strait fishery used to operate in, was part of the catch. So it should be pretty intuitive, I hope, that if you've got 60 percent of the fish in a catch that represents somewhere near the peak of the run, you're going to see a very good relationship between the size of that catch and the total run size.

Current test fisheries catch on the order of maybe half a percent of one day's migration that's passing. Not six days; one day. So great difference in the certainty part of the -- uncertainty, I should say, with the commercial fishery data providing a much more certain, a much better prediction than the test fishing data, just from a standard sampling type of an idea, concept. And between those two figures, because one-half of one percent of one day's catch is quite extremely

A Mm-hmm.

low --

- Q -- you might be able to have a number that's still statistically very helpful, increases your certainty, that's short of 60 to 80 percent of six days worth of catch.
- A Yes, and that has been a topic of considerable discussion over the last 10 or 15 years. We've tried a number of different ways to try to finesse this. It's a very significant challenge.

I know there's going to be a test fishing group, and I don't know how much you want me to discuss it. I would be prepared to spend time on it if you need it, but I don't want to -- you know, I know we got time here, so let me know how much you want because I might give you more than you need.

Q I think I do want to give some other counsel a turn here today, but it may be -- 'cause I know you are back for a different topic next week, and

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it may be that we slot it in then. But I appreciate the offer that there's a lot more information there.

Just to confirm my understanding, then, of the practice, let's say, of fishing prior to the peak of the run, biologically is it true that the effect of that is the fish that are earlier in pre-peak are more likely to die anyway prespawning? Is that generally true?

Well, let me try to clarify a little bit. Late runs, for sure. Late runs, definitely very strong dramatic pattern of early entry fish being, you know, more susceptible to dying for all the

reasons that we discussed previously. Now, when you're talking about an early fish in the marine area, and you're talking about late runs, some of those early fish may actually delay, some of them may not, so that's an uncertainty about what those fish are going to do.

It is also true in a general sense that the earliest arriving fish on the spawning grounds tend to show the highest pre-spawn mortality, so there isn't, to my knowledge, a dataset that says the earliest arriving populations of Early Stuart, Early Summer, and Summer run are less likely to reach the spawning grounds necessarily, although there may be some tagging date on this, but there is definitely a well-understood phenomenon. When you go and sample fish on the spawning grounds, the earliest sampled females tend -- you tend to find more females early on which have their eggs intact and have died and have not spawned.

I would suggest, though, that the dramatic difference between the early and the middle and the late migrants that's seen in the Late run is not seeing -- it's not as dramatic of effect in those other stocks, but there is that tendency. Is it fair to say, then, that it's at least a viable proposition that fishing earlier in the run may cause less risk to the run overall with the caveat that that's going to vary between runs? It would be a little bit -- a little bit splitting hairs for the earlier groups. I would say in

hairs for the earlier groups. I would say in general if one could distribute the harvest evenly throughout the run, that would probably be more desirable than taking particular components because there may be biodiversity across the run

timing that would be desirable to maintain. So if you take it all from one spot, you may be negatively affecting sort of the -- you know, the variation that's available in the fish. I mean run timing is a veritable trait, and so there's some variation that could be associated with run timing that might be desirable to protect. My last question isn't really about timing of

- My last question isn't really about timing of fisheries, it's more the relationship between DFO and PSC as far as actual management. If the Panel determines that they want to open a commercial fishery for five days starting on a Monday, let's say, and then Canada, through DFO, recommends opening a First Nations fishery, the same area, the same week, starting on a Thursday, how is that dealt with? How does the knowledge get to you and is there some consultation or coordination or what happens?
- Α So, again, I think it's kind of -- the process has changed. There is no Panel discussion about when a fishery would take place, bilateral discussion in either Canada or the United States anymore that's separate from the proposals that come out of each of the two countries. In other words, what happens now is we go to a meeting, we provide the biological information. The two sides split into national caucuses, they come back and tell us what they'd like to do. So there isn't any kind of competition or -- where staff or something say, "We think you should do this," and the parties say they want to do something different. That all gets decided in the caucus and I never see -- if there is a debate about when the commercial fishery should occur in Canada and when another fishery should, I never see that. That happens in the caucus and I'm not party to those discussions at all.

There was a time, prior to 2002, when we would make recommendations for fisheries in panel waters, and there could be -- we would never be informed about the nature of the decision or the debate, but one of the countries might come back to us and say, "Well, that's a nice proposal but we'd like you to modify that." But we don't know why they wanted to modify it, we just know that the proposal we made was not acceptable to them and they came back and said, "Not acceptable. How

about doing this?"

 So I'm not part of that dynamic that you're trying to ask me about, so I can't answer the question.

- Q But -- and prior to 2002 I think you answered this in response to an earlier question even though theoretically the recommendation lay with PSC, in practice it wasn't happening, or you just weren't privy to it because you were doing different work at the time.
- It's clear there was some interaction between what we -- how to say this? I'm certain that the recommendations that came from staff were not made in a complete vacuum. They were definitely designed, from an unbiased PSC staff perspective, to provide the best advice we could for how the panel could achieve its objectives. But there were cases where there was dialogue, either bilaterally or outside the bilateral where there might be someone saying, "Well, you know, could you schedule it a little bit differently and would that affect things?" And so we were open to that. It wasn't like it was kind of a blind interaction. It was an open interaction, but sometimes we weren't involved with all those nuances with why the countries would want to change things. wouldn't know.
- MS. SRIVASTAVA: Thank you. Those are all my questions.
- MS. BAKER: Before my friend sits down, I just want to make sure that her decision on the test fishing questions is informed by what's actually going to happen next week. Mr. Lapointe is coming back to talk about hydroacoustics with Mr. -- with Dr. Riddell. We are having a test fishing panel, but Mr. Lapointe will not be on that panel. So I'm not advising you what you should do one way or the other, I just want to make sure you know who's going to be here for those topics. So there will be somebody from the PSC on test fishing, just not Mr. Lapointe.

All right. The next participant is the West Coast Trollers Area G, and United Fishermen and Allied Workers' Union, which is Mr. Watson.

MR. WATSON: Mr. Commissioner, it's Chris Watson for the West Coast Vancouver Island Area G Trollers and the UFAWU.

## CROSS-EXAMINATION BY MR. WATSON:

- Q I just -- I have reduced my set of questions to just two. They deal generally with escapement. I understand that this is going to be a special topic later in early February, but I also understand that Mr. Lapointe will not be here for that part. So I would just like your perspective on these two things.
- A Sure.

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Ms. Baker asked -- well, one of her questions was whether the Salmon Commission had been approached regarding the right number of spawners, vis-à-vis productivity and, in part, the answer was that the PSC has been involved in workshops on the issue of escapement. So, in that context, I'm wondering what, Mr. Lapointe, you have to say on the content of what happened in those workshops.

Firstly, under the rebuilding -- the socalled rebuilding strategy, as it's called, which began in about 1987, I understand - correct me if I'm wrong - that harvesting went down, the escapement targets went up, but the bottom line of it all is that the returns that were expected or hoped for didn't come. I've seen in the literature on a couple of occasions that there were "shortcomings" of the rebuilding strategy. I'm not going to ask that it be pulled up, but for the record, the Exhibit 330, the Record of Management Strategies, page 2, and the PPR on Harvest Management at paragraph 74 refer to shortcomings in the rebuilding strategy but I didn't see any explanation. I may have missed it, but I didn't see any explanation of what those shortcomings were.

My first question, Mr. Lapointe, is what, if anything, can you say about shortcomings from -- or in the rebuilding strategy to escapement? I'm just thinking for a few minutes. Just give me a couple of minutes.

The rebuilding strategy discussions evolved over a period of time, and I know a little bit about the history but I was definitely not involved with those. There are a number of actually refereed publications on this, and there are a number of internal reports, some of which I may have seen and some of which I haven't. So I'm

a little bit uninformed in my ability to respond to you about -- on this question, and I honestly don't know what the words "shortcomings" are referring to in any of these documents.

The extent of my recent history - and it is primarily recent involvement - with this in any direct way is in the participation in the FRSSI, Fraser River Sockeye Spawning Initiative workshops. The primary role that we would have fulfilled in that would be kind of facilitating the information, you know, being able to help -- explain and understand in an informal sense with panel members and others some of the complexity that is associated with that process.

So I'm not aware of any substantive discussions where we have been approached in any substantive way in my tenure as Chief Biologist, asking us for substantive opinion about policy issues related to escapement. I am aware that those discussions did occur prior to my tenure, and I suspect that Dr. Woodey, if he's part of that panel, and Dr. Walters, who was one of the co-authors of some of those reports, would be in a better position to help you on this.

I believe, in terms of the current policy, that the discussion paper that was -- is written by Mr. Staley, which I believe was contracted out for the Cohen Commission, is an excellent, excellent description of that model, I think. It captures all the primary elements. I emailed Mike after I finished it to let him know that I really thought he'd done a good job.

That said, I think the biggest challenge with that whole process is the complexity of it. It relates to the fact that it's hard to understand and it's hard to explain, which varies the accessibility of it to people who are affected by those decisions.

The one element that I do know about in relation to that, that is a policy choice that's not an outcome of the statistical modelling, is the cap. The 60 percent cap is actually a decision that was made that that would be a cap on — if the models were let to run on their own and define optimal, however it's defined in the model — and I'm not going to try to explain it to you 'cause I don't know if I could and that's part of

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Michael Lapointe
Cross-exam by Mr. Watson (TWCTUFA)

the issue -- the cap would be higher than the arbitrary cap that's been set. Now, whether that's a good thing or a bad thing is clearly a policy debate that I would not care to wade into. I think we've already discussed, prior to today, you know, why some sort of a cap -- the logic behind some sort of a cap in terms of the mixed stock impacts.

So that's about as far as I can go because my knowledge is really not -- I haven't been approached, quite frankly, by anyone saying, "Oh, is this a good thing or a bad thing?" I've just been kind of on the fringes of this big process that FRSSI is that you're going to spend more time on, I think, in the next week or so.

Okay. And I do understand that Dr. Woodey is attending the harvest management component specifically on escapement.

You may have answered my second question in the context of your comment about recent participation in FRSSI workshops, but we heard Mr. Grout - I think it was on Monday - say that there were initial shortcomings with the Fraser River Sockeye Spawning Initiative, and I didn't hear him explain what those were.

So my second question is in your participation in the FRSSI workshops, what, if anything, have you heard about the shortcomings in the current model, and what -- and if you can offer anything, what can you say about solutions? Okay. So there have been a number of specific -- I don't know if complaint is the word -- but concerns expressed about some aspects of the FRSSI model processes. I can think of a couple right off the top of my head.

One relates to how the model asks the question, given a number of different alternative harvest rules, what are the implications of those alternatives for performance measures? And the performance measures would include things like the probability of a stock falling below a benchmark, they would include the probability of meeting some minimum catch, and so forth, to allow people to understand what it means if you choose one or the other. So that's the idea of the performance measures, to provide some context for choosing one alternative over another.

In the calculation of the performance measure related to catch, the assumption was made that each of the management groups could be harvested separately. So clearly we know that they can't be harvested separately and so there was some concern expressed by the industry folks about whether or not that catch metric was being accurately estimated in the FRSSI modelling.

There has been a bit of a solution kind of finessed for that which I did actually help -- not implement, but I made a suggestion to some of the folks that are really doing this work about possibilities for them, because, you know, if I could help, I would try to help, to just -- to try to recognize that there's going to be overlaps and subtract some of the harvest that wouldn't be accessible because of a constraint on a weak stock associated with the harvest, let's say, of a strong stock like Summer run.

So I was involved with kind of -- and so what I'd say is that now it's much better than it was, but it's still a model. You know, models are not reality and we shouldn't pretend that they are. There's going to be -- it's a tool, okay?

I'll try and think of the second one that was in my brain. Oh, the second one is coming from another set of folks and it relates to the assumption about the productivity parameters that are used in the FRSSI model and it relates to the idea that if the FRSSI model was assuming longterm average productivity along a very similar theme to what we talked about with the forecasting. What does that mean if the productivity is going down or up? Is it capturing that variation accurately? This assumption is sometimes referred to in our jargon as the "stationarity assumption" and there is a number of folks that have expressed a very significant concern about why would you assume the stationarity, this kind of consistent long-term average productivity in the context of the FRSSI model, and I think actually Mike's report, Mike Staley's report, actually might touch on this, and so there's probably some information in there.

The FRSSI model has the flexibility to consider different alternative assumptions about the future, so even though the base situation

might be constant productivity, it does have the capacity to ask what the implications would be of a proceeding with a policy in the long run if productivity is going up or down. So, to me, it's a flexible enough tool to do that.

The question becomes what's the best assumption about the future and that's where -- you know, so one has to just consider alternative futures and understand what the implications are. And the model has the capacity to do that, so those are two that I'm aware of that have been under discussion in the workshops I've participated in, in the last four or five years, and my view is that both of those have been addressed to a certain extent in the ongoing evolution of that model.

- Was there discussion in these FRSSI workshops about abandoning the notion of higher escapements in light of the declining trend in returns?
- A I have not heard those discussions in my participation. I think the last time I participated in one of those workshops is probably going to be at least three years ago. So if it's happened since then, it's possible that that's the case. But in the times that I've participated, I did not hear that concern expressed.
- And in what time period did you participate in those workshops? The last one was three years ago.
- A Well, FRSSI has gone -- started when? The history of this is going to be -- this is the fifth year, I think, of that. So, you know, probably I was involved in 2003 or '04, and then had a couple of years in a row of workshops. I don't actually even recall last year if there even was a bilateral -- or a workshop in which I was able to attend. So it's been at least two years since I participated in the last one.

The only other comment I'll add on this is -- and you'll find out more -- is that there was a notion that there'd be a four-year pilot, or a five-year pilot, and then they were going through one set of cycles of the Fraser sockeye runs, and then a review. I'm not sure where that's at. This is the year actually scheduled for the review, and I don't know whether that's happening or not.

MR. WATSON: All right. Thank you, Mr. Lapointe.
MS. BAKER: Thank you. Next it is the B.C. Wildlife
Federation and B.C. Federation of Drift Fishers.
MR. LOWES: Thank you. J.K. Lowes for the B.C.
Wildlife Federation and the B.C. Federation of
Drift Fishers.

## CROSS-EXAMINATION BY MR. LOWES:

Q I'm sure you're getting tired, Mr. Lapointe, and I will actually like to take advantage of your teaching skills and revisit what generally we could call the subject of over-escapement or that debate, that issue. What I'd like to do is get back to the fundamental questions that arise within that complex of issues, so that when we have other witnesses that may or may not take a position -- or may take a position on that set of issues, we'll understand what the issues are, understand -- and in asking my questions, I understand that you prefer not to take a position on the value judgment issues. So I won't ask you to, except for one.

What I'd like to do is perhaps use, as my entry point, a phrase that you used actually when you talked about changing the paradigm with respect to the model. You talked about productivity variation and implications, and I have sort of written that down as "productivity: variations and implications." That's kind of how I'd like to take you through it.

Without being long-winded, maybe I could describe my methodology and I'm going to take you through it in a -- or have you take me through it in a kind of layered number of questions.

First of all, I'd like you to give us your view of the basic issue, that is, the basic principle that's being looked at with respect to, let's say, a particular stock in a particular year. Then I'd like you to add in the factor of time, and I'm thinking there of delayed density dependence.

- A Okay.
- Q And then I'd like you to factor in the fact that we're not talking about one stock, we're talking about multiple stocks and the principle may vary among the stocks -- or not the principle, but the

application of the principle.

Then fourthly, perhaps, and the most complicating factor, that those various stocks are mixed in a fishery, and that's where the management implications come in.

So perhaps I can start off by getting to the basic question, and perhaps I can ask it this way: Is the proposition that's being tested, the proposition that at some point on the curve that describes the productivity as a function of escapement, is the proposition that at some point there's a law of diminishing returns, that the productivity goes down as the number of spawners goes up.

So if one defines productivity as the number Yes. of adult returns from a given parental number of spawners, there's actually a continuous decline in productivity start - if you believe in stock recruitment theory as defined by Dr. Ricker across the whole range. So every Fraser sockeye stock, if you try to fit a line between returns per spawner and spawner, it has a negative slope which shows a continuous decline across the range of spawners. There's many mechanisms that have been described to identify why that happens, but the notion of it kind of flattening out would be in a different context. Where we're talking about the number of recruits, not the productivity, and how that changes with the number of spawners and that relates directly right back to the slides that I provided in October where I plotted the number of juveniles in relation to the number of spawners, kind of parsing out the life history part of it, if you like.

Most of the mechanisms that would be consistent with that mathematical kind of calculation, if you like, relate to things like habitat limitation, so, you know, the lakes are a certain size. And when I came and spoke in October, I talked about the fact that it looks like the place where these juvenile productions versus spawners flattens out as related to the size of the lakes, you know, clearly related to the size of the lake. And that's not my work. It's the work of, you know, DFO lake group scientists.

So, yes, that initial notion is consistent

with the accepted theory that would apply to individual stocks in this case.

- Q So -- and that example that you gave about the lakes' capacity, is that an example of what's called "density dependant factors" or "density" --
- A Yeah, that would be -- that would be density dependence for sure. There's only a limited amount of space and food and so forth for the juveniles to rear.
- Q Okay. So what -- if you add the factor of time, is -- what is delayed density dependant factors or issues? My understanding is that what that means is that the impact of the density of the -- let's call it the generation. One doesn't show up until generation two or three, in terms of the cycles.
- A Well, it would be -- actually, you're almost right. That's good, you're close.
- Q Good.

A So that means that everyone else is similar. So there's clearly an immediate effect of density on the subsequent generation, so within a generation, the abundance of juveniles in the lake affects their productivity and their size. If they're competing for food, there's going to be an effect on how big they're going to be, right? Okay, so that's immediate. So it's not -- doesn't appear until the next generation, and it appears in that generation right away.

The delayed part means maybe there's another affect that carries over into the next generation. In other words, it's not just the immediate one, it's the one -- so an example would be, and I keep coming to this one, and maybe I can be accused of cherry-picking, 'cause it's always the one I come to so feel free to criticize me if you feel that way.

- Q Heaven forbid.
- A Part of the problem with that 2002 Quesnel situation that I keep bringing up was not just that the escapement in that its generation was large, it's that the escapement in the prior generation was also large. So even coming into -- when those juveniles got into the lake -- this is the concept here. I'm not going to be able to give you all the details on the data support -- Q That's what I want. I want the concept.
- That's what I want. I want the concept.

  A Okay, so it's the concept. Maybe those juveniles

already came into an environment in that lake that already was food deficient. And then, over and above, they had to compete with their brethren for the food that was left. That's kind of the essence of an example of a mechanism in this delayed density dependence.

So it wasn't just the fact that there were a

So it wasn't just the fact that there were a lot of them in that year. It's the fact that when they got there, the habitat was already limited by whatever happened before them by their previous —by the previous generation.

- Okay. And to further complicate the complexity of issues, then, is it true that the function -- first of all, the function between escapement and production, and secondly, the implications for density dependant -- or density dependant implications, whether current or delayed, is not the same for every stock. It varies among stocks.
- Absolutely. In the kind of -- and this can get you into the debates about things called "cyclic dominance" and I'm not going to open that because it would take us the rest of the afternoon. But what I will say is that clearly some stocks are much more highly cyclical and therefore your pattern of abundance than others (sic).

The Adams River sockeye, which had the large run last year, you're talking about, on big years, spawning escapements in the 4, 5, 6, 7, 8 million in the maximum range. You know, 2, 3 would be more normal historically, to, in the smallest years, less than 10,000, that kind of -- but there are other stocks where it's much more even.

So to the extent that one would believe -and this is hypothesis, this is not a tested
hypothesis -- that the reason why they're
different is because, in their cyclical pattern,
in the difference between the extremes in their
abundance, the reason that they're different, if
that's because of differences in this delayed
dependence, that's one potential hypothesis that
would explain that, the variation among stocks
that you suggested.

Q Yes. And then to further complicate the complicated situation, of course those various stocks, for the most part, travel together in timing groups and so the management challenge is to account for the escapement and production

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ratio, over time and over variation in accordance with -- variation over stocks in a mixed-stock fishery. Is that kind of the challenge? Yeah. So think about that challenge in two ways,

and I'm going to throw another layer of complexity

at you because I think it's easier to -- think of it in terms of any single objective first, okay? So let's think of it in single objective that is the one that, you know, maybe is most familiar to some folks, but not necessarily the best one in the general sense, MSY, okay? So they're all going to have different MSY's. They're on different productivities and you're trying to figure out a way to balance those things.

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> The extra layer, though, is that what if you think about other objectives? And this is kind of what I was getting to in similar remarks this morning about this idea of there are -- there's an MSY for a whole bunch of different objectives and they're competing with each other. So that's just another -- not that I really want to make it more complicated, but I suggest that is an important layer that's part of the debate here.

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Well, we've discussed the model on the assumption that we agreed on the objective, or the optimum ratio of production. And, of course, the escapement is a function of exploitation, among other things, isn't it?

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What ends up on the spawning grounds --Α

Q Yes.

-- you know, it comes back to my notion earlier Α that, you know, we're part of the ecosystem, so it's within our control or not to influence that.

34 35 36 But what I'm getting at -- yes, so that's what I'm getting at. If you want to change the escapement for whatever reason, the way you do that is by changing the exploitation rate.

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Α That's one way. It would vary naturally as well, but if you want to deliberately manipulate it, then --

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Well, from a fish manager's point of view.

Q Yeah, for sure. Α

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Okay. So the discussion that we've had about the complexity of the issue holds true on the assumption that we're ad idem on the objective.

46 Α Yeah, I think that clearly it's in the context of 47

what the objective is for sure.

- 1 Q And maximum sustainable yield was the objective for a long time, was it?
  - A Yeah, I think it would be fair to say that that's what the focus was of the IPFSC over most of its history.
  - Q And maximum sustainable yield was designed, or it was the exploitation -- let's put it this way: It was the system that was designed to produce a one-to-one spawning-to-recruit ratio? To make sure that the same number of spawners got back in four years to produce the same number of spawner -- of recruits that their parents did; is that...?
  - A Kind of.
  - Q Yeah.

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- A So the idea would be that if there is a surplus beyond the parental generation so this is where your kind of one-to-one comes from that that surplus could be harvested and the number of spawners would be sufficient to replace itself in terms of the next generation.
- 21 Q Yeah.
  - A That's the concept that I think you're talking about.
  - Yeah. And so someone -- someone talking about the problem or the issue in that paradigm would define over-escapement as -- in terms of foregone catch, because virtually all of the overage over the escapement requirement was harvested; is that...?
  - A That would be a fair characterization.
  - Q Right. And when you're talking about different objectives, you're talking about perhaps a different -- in that case, the optimum sustainable yield would be the maximum sustainable yield in that historical situation; is that fair enough?
  - A Yeah, so that would be --
- 36 Q Right.
  - A -- defined on where the difference between the return and the replacement is the maximum.
- 39 Q Right. And the trade-off, in that context, the 40 trade-off between -- in changing the exploitation 41 rate and thus the escapement would be primarily 42 between economic values and conservation values; 43 is that right?
- 44 A Okav. So --
- Q Do you want to maximize the harvest or --
- 46 A It depends --
- 47 Q -- do you want to maximize the --

A I think I agree sort of in a general sense, but what I would say is that part of the debate about the appropriate model, or where the optimum should be with respect to different objectives, relates to different interpretations of the word "conservation", I think.

Q Okay.

- A So when I was trained in wildlife management school and we were talking about the word "conservation", the way I learned that concept was in the words of -- I think it was Gifford Pinchot. It was a "wise use" type of a definition. That definition is significantly different if -- that the definition -- well, I've actually argued that it's not that different but it was interpreted differently than the definition that John Reynolds provided to you guys when he was here that involves the phrase related to the, you know, insurance of -- I think the word biodiversity -- I really won't be able to repeat it, but it's not wise use.
- Q Well, perhaps I --
- A When I learned wise use, just to carry on with this, it didn't imply extractive use, in fact, it didn't imply use at all. In the way I learned it, it was like sometimes the best use was to actually put all the fish on the spawning grounds.

But in the interest of being really articulate about the definition, the definition has changed. So when you say conservation now, I think the conservation context for that paradigm was different than the conservation context that I'm hearing being used now. And so that's the only caveat. I'm sorry to split hairs, but I think it's important to acknowledge that there's a different view of what -- the conservation sustainable use trade-off is framed differently now.

- Q All right. To get back to the basic model that you and I were discussing, and in that basic model let's assume for a moment there was an optimum -- there was an optimum target --
- A Mm-hmm.
- Q -- for escapement that related to production.
- 45 A Sure.
- 46 Q All right? And we'll -- and is it so that if you make that assumption, then we could call -- you

and I could call, in the course of our discussion,
we could call -- the escapement that was over that
optimum, we could call that over-escapement.
Yes, that would be a fair contextual

- A Yes, that would be a fair contextual interpretation of the stuff --
- Q Right.

- A -- they've outlined.
  - Q That's what over-escapement means. It means escapement at a number above the number that you need to meet your production goal.
- A That's what it has meant in the context that you've described.
  - Yeah. Now -- and I wanted to bring you back to a phrase that you used, and I think you started to explain it, but I would like you to flesh it out a bit. When you said there's an ambiguity about the optimum, so perhaps you could expand on that. Do I take it from that there is at least not agreement, and possibly controversy, over what that optimum escapement figure conceptually ought to be?
  - A Yes, I think that's kind of what I had in mind, and because I think that the context which is kind of what I was speaking to just a few seconds ago has changed, it's changed.
  - Q Yes.
  - A It's different views. And so I think that's exactly what I mean. There's a -- it's fairly logical and I hope fairly easy, and this discussion is a very good example of that, to say if we knew what the objective is, all of us would agree what's above it and what's below it. That was the example that I provided. You know, if the objective is five, ten is bigger and three is lower.
  - Q Yes.
  - A The discussion is about how is that -- is that objective the only objective, and how would other objectives change the perception of over and under?
  - Q Absolutely. And what I wanted to get from you today, is there any, well, first of all, scientific consensus to the answer to that question? And then the broader question is outside the scientific community, is there any consensus in what you might call the British Columbia people "who are interested in the salmon

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1 community" about that question? 2 Α My --I took it from your -- your term "ambiguity" that 3 4 the answer to that question is no. That there 5 6 That is my impression, that there is a Α 7 considerable uncertainty about that aspect of it. 8 Q 9 Α And disagreements and sometimes heated debates and 10 all kinds of things related to that. 11 And that's kind of what I was trying to 12 convey to the Commissioner where I think the work 13 needs to be done. 14 Q Yeah. 15 Is attacking that ambiguity. Α 16 And that perhaps another word for those 17 objectives, or another terminology that we've 18 heard in these hearings would be the trade-offs. 19 What are the trade-offs and what's the objective 20 of trading off? Is that a fair --21 Yeah, I didn't use that -- I didn't use that word Α 22 specifically. 23 No, I know you didn't. Q Because it becomes, you know -- for whatever 24 25 reason in this environment that we operate in, you 26 know, you can't use the word "compromise" or 27 "trade-off" without having someone use the word 28 "sell out" and all these other things, and you end 29 up in this quagmire of debate. So I specifically 30 avoid the use of that word, but I understand what 31 you're saying. 32 Q You understand what I'm saying. But what I wanted 33 -- I guess what I wanted to say is that you gave 34 an example of what you might call an ecological 35 trade-off, and the point that I simply wanted to 36 make is the trade-off is not simply social or 37 economic on the one hand and biological or 38 ecological on the other. There are some trade-39 offs that may have to be made within the 40 biological or ecological field itself. 41 Yeah, I mean, as I said to Mr. Leadem in my Α 42 remarks, I think -- and I'm not intending this to 43 be critical of particular perspectives, okay. 44 think it's naïve to think that we can manipulate a 45 very important part of the ecosystem and not have

an impact on the ecosystem, okay? It affects the

ecosystem. We're doing things to it whether --

not fishing does something to it. Fishing clearly does something to it. All of those things impact the ecosystem. So you can't -- you can't separate it as much as we might like to, to get clarity There's interactions here, and as a biologist, I just feel like it's obvious to me. You're going to have effects here.

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(PROCEEDINGS ADJOURNED FOR AFTERNOON RECESS) (PROCEEDINGS RECONVENED)

THE REGISTRAR: Order. The hearing is now resumed.

Yeah. And you used, in a little different context, the example of the fine-tuning knob on the stereo set. In the context that we're talking about, about the function between escapement and production and the variability amongst stocks and the fact of mixed stocks and the fact that you have implications over time, that, to me, argues for fine-tuning on the exploitation rate and the

escapement goals. Yeah, I don't know --Α

Do you think I'm fair there? It argues against a blunt instrument.

Is that --

I think what it -- I don't know about the fine-Α tuning analogy. I guess before we know what the heck we're going to do with the stereo dial, if you like, we kind of have to know what all the channels are that are available that might impact this discussion. There's a kind of a framing -there's a framing issue related to this question that sort of lays out the lay of the land in terms of all these different benefits.

So once those are well defined, then it -then you can start talking about what you want to do with the knob, if you like.

- MR. LOWES: All right. I note the time, My Lord, but maybe I could ask one more question.
- Is that -- before the break. Is that framing issue part of the debate or is --
- It is the debate as far as I'm concerned.
- MR. LOWES: All right. Thank you. If we might break now, then?

THE REGISTRAR: The hearing will now recess for ten minutes.

# CROSS-EXAMINATION BY MR. LOWES, continuing:

- Mr. Lapointe, when we broke off we were talking about a debate over issues, both factual, I suppose, and in terms of values over the whole notion of escapement, escapement targets, and the costs and benefits, I suppose, of different escapement levels.
- A Sure.

- Q And, consequently, different exploitation rates.

  Do I take it from what you said about the paper by

  Dr. Walters in another -- that that paper did not

  purport to be the final word on the issues that

  we've been talking about for the last half hour,

  that it answered a single question, i.e. Does

  over-escapement cause stock collapse?
- A That's exactly the point that I was trying to make.
- Q And it shouldn't be taken as addressing, let alone answering, the more subtle and complicated questions: What are the implications of escapement for production?
- A Yes, exactly.
- I want to, while we're on the -- just on the question of vocabulary and meaning of terms, my understanding of the term "overfished" is a term that means fished to a point below the optimum production level; is that a fair way of putting it?
- A That's the way that I understand the term as well, so it doesn't necessarily mean anything about the fate of the stock in terms of whether or not it would be on a downward trajectory or anything; it's in relation to, in the context I've heard it used, the abundance of the stock relative to where it would be relative to the optimum.
- Q Right. So a stock, for example -- a stock can be, using the term accurately, a stock can be sustainably overfished?
- A Each of the abundance levels in a theoretical sense would be sustainable if the only impact on the stock was fishing, where there becomes a little bit of a subtlety as if there's other things that are going on that are outside of fishing that's clearly a stock at a high level or low level would have different vulnerabilities, too.

- 1 Q Yes. But it can be overfished and stable at the same time?
  - A Yes, that's true.

- Just one question on data collection. My friend for the Area E Fishers was asking you the difference between the old Johnstone Strait seine fishery and the current regime in terms of data collection, and I think you essentially said that the big fishery in the Johnstone Strait, in the old days, was, from a data collection point of view, better than what you've got now; is that --
- A From a data collection point of view, that's correct.
- Q Yeah. And that's because it took a big bite?
- A That's exactly right.
- You, in the course of your -- I think your answer to Mr. Leadem, you stated that when the fish are in trouble, people generally do the right thing, and you used the word "ethic". Would you agree that the British Columbia Commission -- the fishing community and the people interested in the British Columbia fishery, that you've run into in your career, by and large have a conservation ethic?
- A Yes.
- Q So would you disagree, or would you agree, with a characterization of fisheries management in the 20th century, up until the 1990s, as a catastrophic 19th century management culture?
- A Not with reference to the management of Fraser River sockeye I certainly would not.
- Q Thank you. You would not agree?
- A I would not agree that that's a fair characterization of the management of Fraser River sockeye.
- MR. LOWES: Thank you. Those are my questions.
- MS. BAKER: Mr. Commissioner, I think the only two counsel who are here and who have expressed an interest in cross-examining Mr. Lapointe, are counsel for the First Nations Coalition, and counsel for Sto:lo Tribal Council and Cheam Indian Band, and I believe the First Nations Coalition is next up.
- MS. GAERTNER: Mr. Commissioner, Brenda Gaertner, and with me, Leah Pence, for the First Nations Coalition. I want to, again -- I left this room before the holiday season wishing all of you a

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good holiday season. I want to wish you all an abundant and sustainable New Year. And I say that somewhat tongue-in-cheek but, you know, over the years I've thought as sustainability as a practice, not a philosophy, and I think that if we can't work together in a sustainable way, we're unlikely to be able to encourage the sustainability of the salmon. So I actually do apply that principle as best I can in my work, and so it sounds a bit tongue-in-cheek, but I truly mean it.

And I also wanted to start with some opening comments, perhaps to the, not in defence, I don't think, but in response to the comment that Mr. Lapointe made about the team that's here and his observations of the team, and I wanted to give him some encouragement, like I want to encourage everybody that works hard in the salmon fisheries, that these are daunting tasks. They're very difficult tasks. You throw it out into a new process like this, after many, many years of working very hard at the dialogue process in which it's very difficult to dialogue these issues, and then you ask the same types of groups to come into a courtroom and do the work that you've been charged to do and assist you in that, Mr. Commissioner, and it is a daunting task. daunting complexities associated with that, and I want to express my gratitude for the seriousness in which you've taken your work to come here and do the education you have, but I also want you to encourage, in your observations here and in the work that you're doing here, that this team is forming - I believe it is forming - and we're looking for ways to be helpful to the Commissioner's work and helpful to the - in the way that we ask our questions and dialogue with those that come, and we all do, I'm sure, look forward to having this commission help in the daunting task of trying to ensure the sustainability of the salmon.

One of the things that I asked us to consider, when we started this, Mr. Commissioner, was this notion of the four-fold way and being able to show up, and listen and be careful in how we listen, but also not to be attached to outcome, and I still am having a hard time with that,

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because I keep wanting to get to the outcome, because it is such a daunting task.

But one of the things I reflected on over the holiday seasons and one of the ways that I wanted to start with Mr. Lapointe is that as a person who doesn't typically litigate, I was given that rule of thumb, never ask a question that I don't know the answer to, and I actually find that rule of thumb to be slightly dangerous in this setting, because I don't think it's an inquiry, then, I don't think I'm inquiring. And so I'm going to try, today and tomorrow to begin a slightly new approach, which is on certain occasions I am definitely going to ask questions I don't know the answer to and hope that it will be useful and continue to hope that it will be useful, because I think there are places where we don't have the answers to the questions, and I definitely have a lot of them when it comes to the complexities that we're dealing with here.

Then, one final observation, that I also started some of my opening comments with you, Mr. Commissioner, is that if we thought of the migratory route of the salmon and if we think of our work during this period of time together as somewhat akin to that, I reflected that we might want to start out at Bristol Bay or further, and that we've been doing a lot of strategic things and we're moving closer and closer, and definitely as we take on the issues of harvest management complexities in the system, we're getting into more details, we're getting deeper into the details. So I think we're about in panel waters. I think we've done the migratory route at least to the panel waters, and we've begun to pick up some of the complexities that happen there, and we've got another long, long swim ahead of us yet. And, as you mentioned, they're almost a marathon a day, so we'll continue to keep ourselves in training.

So thank you for that. But I do want to say that I -- in my approach in the questions I'm going to ask you, I want to do two things: I want to stay with the more general, as Ms. Baker has asked us to do at this front end of the discussions around harvest management. I'm going to ask some strategic questions in nature of Mr. Lapointe around the work of the PSC, and then I

want to pursue some areas that we've identified on behalf of our clients as relative to your work, as the Commissioner, again, in the area of recommendations, particularly two-fold; one, as it relates to respecting conservation; and the other, more particularly in the area of encouraging broad cooperation amongst the stakeholders.

I think those are two important parts of the work that you're being asked to do, and I'm going to ask Mr. Lapointe to consider those in response to the questions that I do have.

# CROSS-EXAMINATION BY MS. GAERTNER:

- Q So starting, first with some general questions, Mr. Lapointe, through the work that I've done with the Fraser River sockeye salmon, or fisheries in general, I notice quite differently the distinctions between technical teams and decision-makers.
- A Yes, absolutely.
- And the responsibilities that technical teams have to inform decision-makers to ensure that they have the necessary information that they may need to think broader than their perspectives sometimes, and think further forward, but also as a technical team to take steps, active steps that you can take to ensure that you're thinking ahead, also, and ensuring that your data, your information, all of those things is helping and facilitating the nature of the decisions that are going to be made; would you agree with me on that distinction?

A Absolutely.

And that in your work as chief biologist for the Pacific Salmon Commission, one of your most strongest responsibilities is to work with the technical team that advises both the commissioners and the panel members; is that correct?

A That's correct.

- And included in that would be responsibilities in raising matters both at the technical team and in the development of data and with the decision-makers around various interest concerns, data possibilities, data challenges, all those types of things around Fraser River sockeye salmon; is that correct?
- A Yeah, we have a list that we add to, and lots of

other folks put things on our list as well.
And it's also kind of fair to say that you're

going to -- when you're looking at that and you're doing your work, you're looking at both short, medium and long-term issues around the

sustainability of Fraser River sockeye?

A Yeah, short, medium, and long-term tasks that, you know, eventually, at the end of the day probably feed into sustainability, but they aren't always immediately, explicitly addressing that particular broad topic.

- And then I was just curious, because this where your work is very active, and I haven't had an opportunity to observe the Fraser Panel as many times as I've heard about you, is I'm curious how you see your job on matters of controversy between the two parties. Is it your job not to raise the controversy? Is it your job to identify the controversy and provide the information? How do you perceive your work at the PSC at the technical level on those matters?
- A The first responsibility is, of course, to not weigh in on the controversy, so that's very clear, that I don't take sides with respect to the controversy. That's a very clear kind of frame of reference that we have within the context of the PSC, so that's a very clear -- I don't know that we go out of our way to kind of raise controversial issues, but if we have an issue, even if we understand that it's controversial, it doesn't deter us from raising it; it just may affect the way in which it's raised, the way in which it's conveyed.

So there's a clear need for sensitivity, as you've observed today, in the words that we use, so that it's informing, I guess I would say, that is the most primary responsibility; informing the controversy; informing the information, the technical information about if there is a controversy, to help it be understood.

- Q So you don't necessarily have to wait till one or other side of the two parties raises that matter? It's if you're aware of it or that it's potentially there, you can raise it in either the work of the technical committee and/or at -- with the Fraser Panel?
- A Sure, if there's an issue that's of concern we

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certainly can raise it.

- Q Okay. So I'm going to just take you to a question Commissioner Cohen asked you yesterday around the relationship between the aggregates, the stocks and the conservation units. And I appreciate how the treaty has been framed and the way that the aggregates are used at that level and for those purposes --
- Α Mm-hmm.
- -- but I want to go one step further with you, which is, as I understand it, the work around conservation units and the work that Canada has done around conservation units and the Wild Salmon Policy has been, with one of the primary goals, at least, being the conserving or the encouraging it might be an even better word - or rebuilding a biodiversity within the stocks for the purposes of long-term sustainability, and that would seem to be of interest to the work that the PSC staff does when considering and reviewing and developing data and information; would you agree with me on that?
  - Certainly of interest, and the role I would describe is one of trying to be able to facilitate the information flow. So to the extent that there are things that we could do or change that will allow us to do a better job in accounting for a conservation unit level of aggregation in terms of whether it's stock ID or modelling or so forth, we are trying to be engaged in that dialogue.

So how close could we come in changing things that we do to allow a better accounting of -- in relation to conservation units? Even things like developing the forecast datasets; is there any way we could go back and modify some of these things and maybe get some data for conservations that aren't currently part of those 19 forecasted stocks.

So that's kind of the area on the policy side of that area, it's more facilitating the policies that Canada, largely, in the case of this Wild Salmon Policy, is driving rather than being actively involved in those discussions, if you understand the distinction? We're not really involved in the -- we were not involved, actively, in the writing of the Wild Salmon Policy, all that kind of thing. So it's facilitating, "Oh, well, gee, surprise, surprise, if there's 30

conservation units, somebody may ask me if we can 1 quantify those. I better be prepared to 3 understand if I can or I can't and explain that to 4 someone." That's the nature of our involvement. 5 All right. So there's two things that follow from 6 that, in my mind. One is that, and this is --7 bear with me if it's strategic --8 Okay. Α 9 -- in nature, but clearly having only one stock 10 within an aggregate is not going to assist, in the 11 long term, for either Canada or the U.S. in their 12 hopes. That's a very risky situation; is that 13 correct? 14 It would make the mixed stock issue -- it would 15 exacerbate the mixed stock issue. Yes. It would also make us -- potentially our 16 17 stocks much more vulnerable to disease and/or 18 events in the future that we can't predict, could 19 take out that entire stock, as distinct from 20 having stocks within that aggregate that could 21 handle that type of disease or that type of the 22 environmental situation? 23 I'm not sure exactly where you're going with that Α 24 question. So if the concept is that if you 25 managed to -- one big aggregate instead of four, 26 would there be a greater likelihood that 27 individual stocks within that --28 Well, if you only had four stocks, not if you Q 29 managed. If you continued to manage without 30 considering the break-up of that stock, and you 31 ended up with only one dominant stock --32 Α Oh, I -- okay. 33 Q -- only one stock. 34 Α So I've misunderstood your question. 35 Q Yeah, that's --36 Α Okay. 37 Q Yeah. 38 Α So is a one-stock population less resilient than a 39 multi-stock population? Yes. 40 Right. And so one of the goals of the Q 41 conservation units is to increase the portfolio 42 over time or to try to rebuild or develop that portfolio, and that is of value and interest to 43 44 the Pacific Salmon Commission Technical Team when 45 giving advice to the Fraser Panel and/or the

commissioners; would you agree with me on that?

Yes, in the sense, but not necessarily independent

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1 of the value to the process at large. 2 Q No, I'm not saying either/or, please, I'm just --3 Α Yeah. 4 Q -- I'm looking for places for improvement. 5 Α Sure. 6 I'm not taking --Q 7 Α Sure. 8 Q -- away the hard work that we've done so far. And 9 so if that's a given, I'd be curious in -- I began 10 to see more of that in -- even in your 11 presentation yesterday, and I don't think we need 12 to take you to it, I think you'll recall it, but 13 there were a number of examples in which the 14 aggregates were being broken down and there were 15 unique --16 Mm-hmm. Α 17 -- components of them. Q 18 Α Mm-hmm. 19 We saw places where Birkenheads and Cultus were 20 being -- those used to be simply part of an 21 aggregate, and we're seeing more of that. 22 Similarly, Chilko and Stellaco and the Harrison 23 are providing unique circumstances that are of 24 value, and we're seeing that. 25 So what ways could you suggest would be 26 helpful in assisting getting -- increasing 27 reliable and useful data to your decision-makers 28 around conservation units? What ways could we 29 begin to do the work? What ways that have you 30 observed? We've got some around 19 stocks. As 31 you know, that's not all of them. 32 Mm-hmm. Α 33 What are ways that you suggest could be more 34 useful for making better decisions? 35 Α So maybe in my approach to answering that I would 36 talk about where I see the limitations in the current situations and how some of those could be 37 So with respect to the eight or nine 38 overcome. 39 stocks that we try to model, in the planning 40 model, where we're trying to project the impacts 41 of potential fishing plans on those eight or nine 42 aggregates, the concept that comes to mind is the

> So even though we don't have detailed knowledge of the timing of all of the, in some cases, even the abundance of all of the conservation units, maybe we could gather

concept of sort of index stock.

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information that would allow us to use some of the 1 stocks we do have information on as surrogates for 3 the stocks that we don't. Do some experiments, tagging some of these stocks, perhaps, or 5 gathering information that would say, "Okay, we 6 don't have a dataset for something like 7 Nahatlatch, but we think, from the experiments 8 we've done, it has a timing more similar to one 9 that we do, so some aggregate we do. 10 So it's developing the toolkit that -- it's 11 going to be difficult to provide the kind of a 12 silver bullet or Holy Grail, if you will, of this, because you can't -- we don't have the genetic 13 14 capability right now to distinguish all these, 15 right, so we have to look for imaginative ways. 16 Index stocks is one, an example I would provide, 17 that could be used to provide information 18 indirectly about a CU that might be of concern. 19 So I probably could think of some others, but 20 that's the one that comes to mind immediately. 21 And I'm wondering - this is one of those questions that I don't know the answer to - I'm wondering, 22 23 would you agree, from your perspective, that when 24 you get to those places where you're doing the 25 comparisons between the data that you had and the 26 data that you don't have, the traditional 27 ecological knowledge could be useful in checking 28 out those assumptions and checking out whether or 29 not they would be accurate or appropriate? 30 Yes, although I have to admit my ignorance about Α 31 traditional ecological knowledge. I just haven't 32 been exposed to it enough, personally, to 33 understand all that it could offer. 34 However, maybe I'll help on that front. You would 35 agree with me that if you could, when the 36 scientist is doing a comparison about Nechako and 37 another and they're wondering whether these --38 this comparison of return and into what area and 39 all of those kinds of things you would have to 40 make assumptions around, if you had well-developed

Q -- that many of the biologists are bringing,

-- around those stocks to help you make the

decisions as distinct from and in addition to the

local knowledge --

Mm-hmm.

Sure.

education --

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that's going to be a stronger outcome? Α Yeah, and I could give one example, and this is maybe of one area where it has helped in a very general sense, and that is this whole issue of cyclical patterns and abundance. I mean, my understanding, if you talk to elders - and this is second-hand, so I want to make sure I say that that there were well-identified periods of feast and famine associated with the history of Aboriginal people that were probably related to the abundance -- fluctuations of abundance of salmon in the watershed. So there's some ability to say, "Well, this doesn't just happen since we started to keep track. Something was going on before the traditional records that we might use." So there's an example. Well, that's a clue. That's a clue about something that might be causing this that would be helpful.

Thank you. The next two questions are actually -- I think you answered them earlier today, but I didn't fully understand your answers, so I have to go back on it. I'm sorry, Mr. Commissioner, but I think this is useful.

One of the things that I noticed, again, in your overview yesterday, is that for things like the Early Stuart and then the in-season assessments in 2009, particularly, when we get to places where we have known strong concerns around the strength of the run, there seems to be two very immediate responses that you do in your assessments. One is the role of moving from the 50 percentile to the 75 percentile. I saw that that happened fairly quickly in 2009 across all of the runs, not just Early Stuarts.

A Mm-hmm.

- Q You'll agree with me on that?
- A Yeah, if we have a strong signal of a negative, then we know that there is -- can be, particularly when it's a very strong signal, like consistency across stocks, even though we hadn't observed those stocks as much as the later timed stocks, we would say, "Look, this does not look good. Let's just start from a lower base right away."
- Q All right. Okay. And then the other one is the minimum fixed escapement regardless of run size. That's an approach that's been -- you went into 2009 with the Early Stuarts and it has been used

1 in other circumstances --2 Α It is part of the FRSSI framework that you'll 3 learn about later. 4 Exactly. So I just wanted to make sure I 5 understood your response to this question, which 6 was my question had been, "What would be the 7 implications of using both of those approaches on 8 a regular basis within the Fraser River sockeye?" and as I understood, if we regularly applied the 9 10 minimum fixed escapement, we wouldn't take 11 advantage of additional returns for spawning 12 purposes on the bigger runs; they would actually 13 likely be fished out? 14 Α I'm not sure I'm -- sorry, I --15 SO if we had a mixed stock -- or if we had a fixed -- it may be the end of the day, but I'll try it 16 17 again. 18 Α That's okay. No, I think I can understand. 19 just have to try one more time. 20 We'll try it again. If we had a minimum fixed 21 escapement on all runs --22 Yes? Α 23 -- and we had an abundant run --Q 24 Α Right. 25 Q -- much more abundant than expected, then when you 26 got to the minimum fixed escapement, that 27 abundance would likely all be fished out, rather 28 than some of it returning to the spawning grounds? 29 That -- that -- well, so that interpretation Α 30 applies -- if we literally apply the fixed 31 escapement strategy, which is currently done, as I 32 understand it, in Bristol Bay, that would be one 33 potential implication, that you wouldn't stop, you would keep going until you got all the surplus 34 35 above that escapement target. 36 And so that's why we have the hybrid and FRSSI, 37 and we'll hear more about that? 38 That's the rationale -- one of the rationales that Α 39 would support that for sure. 40 So I think I've got that right. What I don't Q 41 understand is, what's the implications of using a 42 75 percentile on a regular basis, as distinct from 43 the 50, going into the season? 44 So the context of my comments somewhat relates to 45 the bilateral nature of my responsibility in that

within Canada, but in particularly in the United

States, there -- there are two sides to this

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question, okay? There is the side related to the, you know, it's three objectives within the treaty: spawning escapement; international allocation; and domestic allocation. There's a clear priority, okay, understood.

From a spawning escapement objective, I don't see any potential really negative implications as long as you're not concerned about a larger than desirable escapement target, and what I mean by this that on the other side of it, if the knob, if you like, that controls how many fish arrive on the spawning grounds is the fishery, and by starting off with a low number, like the 75p, and finding out sometime later that the run is significantly larger at a time when those fish have already passed where most of the fish would be caught, then your ability to turn that knob, if you like, has been restricted. There's going to be some fish that will escape that will limit your ability to achieve the target, right, because --I'm following you, yes.

-- they've passed the fishery. So it's, in part, the trade-off between those allocation goals and the spawning escapement, but it's also, in part, related to how you interpret the spawning escapement targets.

So if the spawning escapement target is like as long as you get the number, that's the goal, then going to the 75p is not a significant issue, because you'll probably get at least the number most of the time. If you're concerned about directional errors in both directions, the way the current management strategy is, so it's predicated on that, then the risk is that by the time you know the run is later, in those years when it might be larger than the 75p, your flexibility for trying to get to the target has been reduced, because part of the harvest constituency has not got access to those fish. That's the only -- it is that trade-off. This is why I was reluctant to -- because there's a policy decision here that's not a biological decision; it's a policy tradeoff.

So you would agree with me, then, from a biological perspective, if the harvesting capacity was more distributed so that you could still harvest those abundance at a later time in the

run, that concern would be addressed? Α That's exactly right. That's where I was coming from. MS. GAERTNER:

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question of clarification, and then it would be a good opportunity to take the break, if I may, Mr. Commissioner. This is this whole issue -- I want to turn, now,

to another trend that I saw, again, yesterday in your diagrams, and I have been learning about over the last few years, and I just need you to see -it's a rather humble observation, I think, but I think it's accurate, is that we have these four main aggregates that you -- we all -- we've seen -- many of us have seen biologists create your curves that shows at the expected returns. Α Mm-hmm.

Thank you. I just have one more

They seem to be piling on top of each other a little bit more than they did 10 years ago or 20 years ago. Like the trend, generally, between that which we call the Early Summers, the Summers and the Lates, is getting closer together and our fishing season for those "aggregates" could sometimes be considered the same fishing season. There's one high -- high areas. And so would you agree with me on that, that

the trend that we're beginning to see in the runs is that the timing of those runs is getting closer and closer together between the aggregates? It seems to be. I haven't actually done the statistics, but I think that perception that you've provided is consistent with what I would say I'm observing as well. It seems like there's more overlap amongst the groups, and that creates a bigger challenge.

I would say, though, that I think we're far more focused on the overlap than we used to be, so it's almost like, you know, is it because we're -is it real or is it because our -- you know, we're listening to the TV more often and there's more news stories on? So I think there's some work to be done. But I -- because we're so much more focused on it because we're so much more concerned about the impacts of these different groups, and so there's an element of the perception that may be related to the fact that we're paying much closer attention, but I do agree that the

perception of them becoming more overlapped is something that seems to be consistent with what I've observed over the last few years.

Again, another question that I don't know the

answer to. Is there any suggestion or research that's being done that that may be in response to global changes and climatic changes that the salmon are actually taking evolutionary steps, as they likely will, to respond to that and try to ensure --

I haven't seen anything about that. Run timing is a -- is a heritable trait, and by that I mean that when you have -- early time parents tend to produce, more often than not, early-timed offspring. So there is a -- certainly an opportunity in documented literature, historically, about fishing effects affecting the potential timing of stocks.

Now, I'd have to think a little bit more logically, and maybe I'll need to sleep on this in order to answer it, about whether or not fishing patterns, over time, could be generating the patterns we're seeing. I suspect -- my intuition would say it's probably not going in the right direction, but I'd have to think about it.

Well, I'll just -- I'll feed this thought to you, and this is just a story, which is that I've heard from many elders over the years that there is quite a relationship between how we fish salmon and how they change over time, so that is something that might be worth of observation.

One more comment on this issue around the timing of the runs is, if I'm right in my thinking, and that trend is beginning to show, where we have them coming together, we've got these aggregates that are now being divided into stocks, which are now being divided into conservation units, which are all coming together in a shorter period of time. Is it fair to say and we're going to talk about this a little bit more tomorrow - that distinguishing the peaks is getting a little harder?

A It would depend upon the relative abundance of the stocks. So if you have a very big stop being overlapped with a very small stock and that overlap is getting greater, definitely --

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And we do have that in the --

- -- definitely the mechanism --1 Α 2 Q -- Fraser River sockeye. 3 Α -- would be there. 4 Q Yes? 5 Α And the only thing I'll say on this before I 6 forget, because tomorrow I'll probably forget, is 7 that another factor in our perceptions about the 8 overlap of these stocks relates to the 9 technologies used to distinguish them. 10 Yes. Q 11 The genetics has allowed us to distinguish the 12 breadth of these runs much more accurately than 13 the scale patterns that were used prior to the 14 2000. So it could very well be that perhaps some 15 of these stocks were as overlapped as they are now 16 in the past, but our ability to detect it, because 17 we were not using the genetics, has been enhanced. 18 MS. GAERTNER: Right. This would be a convenient time 19 to stop in my questions, if it were -- thank you. MS. BAKER: Mr. Commissioner, before we leave for the 20 21 day, I wonder if we might just talk for a few 22 minutes about timing. 23 THE COMMISSIONER: Yes. 24 MS. BAKER: Tomorrow, we'll be back, obviously, with 25 Mr. Lapointe. On Friday, there's been -- there's 26 a funeral that many counsel wanted to go to at two o'clock, so we could -- and I -- I, at one point, 27 28 earlier this week, thought we might be able to 29 start our part two hearings on Tuesday, but we're 30 running into some difficulties in getting 31 witnesses readjusted, and in looking at timing 32 estimates today I've applied a timing management 33 adjustment to my initial estimates, so I think 34 maybe we better leave Tuesday on our original 35 schedule and not try and adjust those panels. 36 So that leaves us with Friday. Do you want to stop at the noon break on Friday and allow 37 people to go to that funeral, or should we push 38 39 through or --40 THE COMMISSIONER: Yes. I'm not sure if you have time 41 estimates, Ms. Baker, for, I believe it is, Mr. 42 Rosenberger and Mr. Grout, and so that would help
  - MS. BAKER: Yes, I think we would probably finish our questions with them by noon, maybe a little bit before. And then I think my estimates from counsel, right now, are about a day and a half, so

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probably two days in reality. THE COMMISSIONER: Which means...?

MS. BAKER: Which means if we stopped -- if we went till, say 1:00 -- if we went through the lunch hour on Friday, maybe till 1:30, we could probably get an hour, an hour and a half, perhaps, of cross-examination done, and that would leave us with two full days next week to complete that.

THE COMMISSIONER: Well, I know there are a number of counsel that were -- I don't know if you all are aware that the Honourable H.A.D, Oliver passed away earlier this week, and there is a service, a memorial on Friday at 2:00 p.m. at a church, I believe, on Cordova Street, the 300-block Cordova Street, which isn't terribly far from this location, I believe.

My suggestion would be that you consult with your colleagues here, but we could try and adjust Friday so that we could sit a bit later over the lunch break and perhaps take our break around 1:30 or so, so that those who would like to attend the service could do so. I would like to attend, but I would certainly have to give priority to our demands here, of course.

So if we can do that, that's certainly an option. So you could discuss that with your learned colleagues, and if there's a way of dealing with it in that framework, we could certainly do that.

MS. BAKER: Okay. And the other thing I have been asked to -- just really for my friends, if there's interest in having a counsel meeting Tuesday next week, in the morning, before we start court, if you could just indicate to me whether you're interested in that and we'll set it up.

And I think that's all the timing questions.

THE COMMISSIONER: Yes, that would be great, thank you,
Ms. Baker. And thanks to all of you, and we'll
resume again, tomorrow morning, at ten o'clock.
And thank you, Mr. Lapointe, for your patience and
for making yourself available through this ordeal.

A You're welcome. I hope it wasn't too hard on you.

THE REGISTRAR: The hearing is now adjourned until ten

o'clock tomorrow morning.

(PROCEEDINGS ADJOURNED AT 4:09 P.M. TO THURSDAY, JANUARY 20, 2011, AT 10:00 A.M.)

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

# Irene Lim

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

## Karen Acaster

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

#### Diane Rochfort

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

Karen Hefferland