

Commission d'enquête sur le déclin des populations de saumon rouge du fleuve Fraser

## **Public Hearings**

## **Audience publique**

Commissioner

L'Honorable juge /
The Honourable Justice
Bruce Cohen

Commissaire

Held at: Tenue à :

Room 801 Federal Courthouse 701 West Georgia Street Vancouver, B.C.

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Cour fédérale 701, rue West Georgia Vancouver (C.-B.)

Salle 801

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No appearance Musgamagw Tsawataineuk Tribal

Council ("MTTC")

No appearance Heiltsuk Tribal Council ("HTC")

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Vancouver, B.C. /Vancouver (C.-B.)
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The hearing is now resumed. THE REGISTRAR: Thank you, Mr. Commissioner. Just one MS. BAKER: thing outstanding from yesterday. A number of the witnesses referred to a paper by Gilhousen in their evidence, and that document wasn't on our list of proposed exhibits. However, it had been obviously provided to everybody earlier. I just think for the record we should have a copy of that report given that it was referred to numerous I don't know if it's able to come up on times. the screen yet, but I can hand you a hard copy of It's dated "1992 Examination of Fraser River it. Sockeye Escapements from Commercial Harvest Data, 1892-1944." I'll just pass it up.

KEN WILSON, recalled.

JIM WOODEY, recalled.

BRIAN RIDDELL, recalled.

CARL WALTERS, recalled.

- MS. BAKER: While that's going up to you, I'll just identify it. Dr. Woodey, you have had a look at that document that's just been handed up to the Commissioner?
- DR. WOODEY: Yes, I have, Mr. Commissioner.
- MS. BAKER: And is that the report that was referred to in evidence yesterday?
- DR. WOODEY: Yes, it is.
- MS. BAKER: I'll just ask you to clarify one thing again for the record. The report is dated in 1992, but it appears to be styled under the Fisheries Commission. Why is that? 'Cause it was in 1992, it would have been the Pacific Salmon Commission.
- DR. WOODEY: There was an agreement that several reports would be completed during the PSC time as a carryover of information from the IPSFC. So that's the essential reason for it. So I think there were three reports in total of which this is

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one.

 MS. BAKER: All right. Thank you. And, Mr. Wilson, you are somebody who had referred to this report, and is this the report that you were referring to? MR. WILSON: Yes.

MS. BAKER: Okay, thank you. Could I have that marked, please, as an exhibit in the hearings and I believe Ms. Gaertner would like to speak to this as well.

THE REGISTRAR: That will be Exhibit number 418.

EXHIBIT 418: 1992 Examination of Fraser River Sockeye Escapements from Commercial Harvest Data, 1892-1944

MS. GAERTNER: Good morning, Mr. Commissioner. This is a "yes" and "no" response. I'm very happy to have this exhibit marked as an exhibit, but I just want to raise with you an observation I have about the process and the documents. Again, it's not a complaint or a criticism in any way, but there's a lot of new information that arrives on the day of the witnesses. In this part of the hearing, we've been getting outlines of these expert reports, not details, not reports, anything.

So yesterday I heard from these four gentlemen, who are very esteemed in their field and very expert at what they say. I spent a lot of time thinking about their evidence last night, just from what I'd heard in the day, and then today I have a report that is clearly an older report - I'm not suggesting it's new information - clearly a report that was in ringtail and wasn't on the most recent list of documents that counsel had intended to rely upon.

I don't mind it going in, I think it's very useful. But I'm not in any way able to ask questions about it today. I don't think I necessarily, if I have any questions, need to ask this panel so I hope they won't be worried that I'll be asking that they hold on, but we'll see. You can appreciate that my clients will need to instruct me on this document, and I haven't yet had an opportunity to be instructed.

MS. BAKER: Thank you, Mr. Commissioner. The next examiner is for Canada, Mr. Taylor.

MR. TAYLOR: Mr. Leadem advised me that he has

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concluded his questions, Mr. Commissioner.
Mitchell Taylor, for the record, and with me is
Hugh MacAulay. For the benefit of the panellist,
we act for the participant Government of Canada in
this commission.

#### CROSS-EXAMINATION BY MR. TAYLOR:

Q I've listened with interest to your evidence, panel members, and found it very interesting. If I might tally, there's over 100 years, well over 100 years worth of fish science expertise and knowledge on the panel. It's quite inspiring to listen to you.

In dealing with over-escapement, there seems to be three questions as I hear the panel members and read some of the material. The first is what is over-escapement as a biological concept, and Ms. Baker has asked each of you about that and you've given evidence and definitions speaking to that point.

Then secondly, as a question, there is the matter of what is over-escapement as a number in concrete terms? I'll come back to that. Dr. Riddell and Dr. Woodey spoke to that in particular. Or, in other words, in terms of a number, what is an escapement number that's too high a number.

Then the third matter that seems to arise is what are the consequences, if any, of overescapement? So I want to focus for a moment or so on the number, what is too high a level of escapement? As I mentioned, I heard in evidence Dr. Woodey and Dr. Riddell speak to that yesterday and put what's too high as being two times MSY, or Maximum Sustained Yield.

Is there - and I put this to the panel members - is there a place that we can turn to in order to see what is commonly accepted as being the MSY for the 19 or so Fraser sockeye stocks, because in order to find out what's two times MSY, one has to know what MSY is, of course. Or is it a case where MSY is quite variable depending on who you talk to, what model you use to come up with numbers, what year or time period you're speaking of or some other factor or some combination of factors. So I put that to the

 panel, any one of you who wants to speak first.

DR. RIDDELL: Maybe I can start, Mr. Commissioner. To start with, there is not an unlimited number of values that people could use. The Fraser sockeye, in particular, the common use is the set of data that people refer to as the Pacific Salmon Commission production database. In many of the papers you've looked at, particularly from forecasting and in the benchmark paper that Sue Grant and others wrote in the fall. In there, you'll talk about the 19 production stocks, and these are the stocks that have the best sets of data through time.

I think probably the best source of the current estimates of the MSY values are likely from that database and should be essentially the same numbers in the Grant et al paper in November 2010. I think it was November, the last PSARC.

- Q And I believe the --
- DR. RIDDELL: And also the FRSSI should be using the very same values.
- Q All right. Thank you. That's helpful. Does any other panel member want to add anything to that? That's a clear answer as I hear it. Dr. Woodey?
- DR. WOODEY: Mr. Commissioner, just to be clear, every year we gain another datapoint because we have an escapement and a return, and when those incremental datapoints are put into the dataset, there's going to be some changes to the parameter estimates of stock/recruit relationship so that MSY point is going to shift a little bit each year, and when you have a very small return, as in 2009, it would tend to create a shift one direction, and then the next year you have a massive return, it's going to shift the datapoint the other direction.

Until we have 1000 datapoints -- and I don't think anybody's going to be here. Right now we have perhaps 55 datapoints, 57 perhaps. I don't know. But that's the maximum that we have because that's the length of time that data are available.

- Q All right. Thank you. Is that, Dr. Riddell, what Dr. Woodey just said, what you were alluding to when you said "a range"?
- DR. RIDDELL: No, not quite. Jim, of course, is right, that every year you add a piece of information to the 55. But, really, the other aspect is how you

use that data. If we were to look at production by cycles, then of course in every population you have four cycle years. We tend not to do that.
We tend to look at the productivity through all the cycles, but now we can look at the interaction between the lines within one common recruitment function.

So if you've got good data, unless you have a

So if you've got good data, unless you have a very abnormal point, it's not been my experience that these values change by very much due to one datapoint.

Q All right.

- DR. RIDDELL: It may be true after a 2010 value is put in though. But, right now, we're still dealing with about 2005 would be the last complete brood year that would be included in that dataset.
- Q Dr. Walters?
- DR. WALTERS: I believe that on ringtail you have a paper by Martell, myself and Ray Hilborn. That has a figure in it that reviews how the stock recruitment parameter estimates changed over time, starting about 1960 and running up to the late '80s -- no, to the late '90s. Two comments:

One of them, there has been a fairly distinct change in using the last decade's data, the 2000s data. The thing we call the Ricker B parameter has increased. That is, there is stronger apparent density dependence, but we're not sure if that's due to actual density effects, or to something confounding with environmental factors.

The other thing is that if you look at the Wild Salmon Policy paper, you'll see two quite distinct estimates from any of the stocks of the optimum spawning stock, one from the Ricker model. And then, for most stocks, a much lower optimum for the Larkin model. In other words, we have strongly divergent predictions about the best spawning stock for harvest and production from the two models.

- Q Okay. Thank you. Does the panel accept that high escapement, or a high escapement number is not necessarily the same as over-escapement, as that term is being used in a biological sense. Does anyone want to take that first?
- MR. WILSON: Yeah, I'd agree that large escapements are not necessarily over-escapements.
- Q And it seems to me this ties to the evidence that

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Dr. Riddell and Dr. Woodey spoke of yesterday about two times MSY. You can still have a high numbers that's not over-escapement because it's less than that two times figure that you spoke of.

- DR. WALTERS: Excuse me, can I interject here? I don't know what you're talking about, two times MSY.
- Q Well, yesterday --

- DR. WALTERS: The spawning stock that produces MSY is typically at about one-third to one-half of the spawning stock that would occur on average naturally if there were no harvesting. So somebody said two times MSY, I don't know what they would mean by that.
- Well, Dr. Woodey, in evidence yesterday, said that from a management point of view, over-escapement is the level of actual escapement that reaches spawning grounds. That's, in my context, more than double the MSY point. So it would be a larger than what we call the p-max or the maximum escapement level that produces maximum returns on average.

Then Dr. Riddell, following on that evidence, said, now, Jim has just made a very important point in all of this, I think, is that many times escapement that subsequently occur in a year will be on a particular point that might be called MSY, but it's only the very large escapements that should be probably at least twice the target escapement that I think people would readily become concerned about the so-called overescapement --

- DR. WALTERS: Oh, I see, okay, yeah, yeah. Become concerned about in terms of possibly resulting in a big decrease in escapement, yes, that would be right.
- Q Yes. For reference --
- DR. WALTERS: (Indiscernible audio cutting out) base in recruitment, rather.
- Q Okay. For reference, Mr. Commissioner, that's at pages 24 and 25 of yesterday's transcript.

Really, my question in this part of my questioning comes down to: Can a high escapement number be a large number, but nonetheless be neutral as to impact on productivity, or have a minimal impact. I think that's what I was hearing in evidence yesterday. Am I right in that?

DR. WALTERS: No.

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DR. RIDDELL: No. Then maybe I can start -DR. WALTERS: We (indiscernible - overlapping speakers)
escapements higher than the one that produces MSY
to result in substantial decreases in
productivity, not necessarily substantial
decreases in recruitment, but certainly decreases
in productivity.

Q Right. And you're speaking now of the over two times MSY, are you?

DR. WALTERS: No. I'm talking as soon as any spawning stock larger than the one -- well, even up to and beyond the one that produces maximum average yield involves declining productivity as the spawning stock increases.

Maybe I could explain a point here about this idea of MSY spawning stock. What we do in analyzing the data, is we fit a curve relating the recruitment to the spawning stocks that produced it, called stock recruitment curve. It's a line that tries to locate the average recruitment associated with each spawning stock.

What we then do is move up in spawning stock size incrementally. In economics, we say we look on the margin as spawning stock increases. And as we increase spawning stock, a spawning stock size looking at management options for it, the productivity drops. So we're getting a positive effect on recruitment from having more spawners, but a negative effect from declining productivity of those spawners. So we look for that point in the spawning stock of diminishing returns where adding additional spawners produces less additional recruits than that added number of spawners would require to replace itself.

- Q All right. Thank you. Dr. Walters, has page 19 of yours and Dr. Riddell's 204 report come up on your screen now?
- DR. WALTERS: Not on mine, but I know which one you mean. It's Figure 1-A, the Ricker stock recruitment curve.
- Yeah, that --
- DR. WALTERS: Is that the one you're referring to?
- Q That's correct. And what you are just saying in evidence is in reference to that, is it?
- DR. WALTERS: Or the same thing with the Beverton-Holt curve below it, but yes, what we do is we basically move along the axis called salmon

spawning, the "x" axis, the horizontal bottom line increasing the size of the spawning stock, and then looking up to the curve above it, the domeshaped curve, to predict the average recruitment. At the point marked "C" in spawning stock in that example, about .3 million fish, at that point, in order to stay at that point, we have to allow the point B, .3 million spawners, to spawn, and still — so we'll have that .3 million spawners the next cycle.

Then we can take on average the difference between that "B" and "A". That's the yield. If you look marginally, if you just move that blue line to the right, you'll see that when you move beyond the point "C", you're getting higher recruitment until you pass the dome, but you're having to add more spawners to get that recruitment, then you're getting back in the way of a difference or a sustainable yield.

- Q So you're speaking of diminishing returns at this point.
- DR. WALTERS: Absolutely, yeah. So the Alaska definition of over-escapement is when the spawning stock is above level "C", i.e. when it has passed the point of diminishing returns with respect to producing yield on a sustainable basis.

If I could add a comment here, we hear this business about higher spawning stocks producing other benefits, ecosystem benefits and so on. Precisely this same marginal analysis should be used to look at the addition of those other benefits, and that has not been done. So, for example, at point "C", it may well be that most ecosystem benefits, like feeding the bears and the eagles and so on are perfectly well satisfied, and that moving past point "C" will not add anything to those benefits.

That's also true in terms of benefits associated with ecosystem fertilization. I think anyone who's ever raised a garden or looked at a forest or anything else knows that there's such a thing as too much of a good thing, too much fertilizer. So I find that when people make arguments about those additional spawners having additional benefits beyond yield benefits, my immediate question to them is show me the marginal values.

 Q Okay. Dr. Riddell, you had something you wanted to add, I think.

DR. RIDDELL: Well, I just want to caution that this stylized diagram obviously is to make the sort of description that Carl was able to provide you verbally. When you actually apply this to real data -- and this is another document that I read through the submissions -- you get a much less well-defined optimal spawning value. For example, I think Carl had presented a current analysis of Chilko Lake, and on that, you would find that their MSY is not a very well-defined peak in that there's a very broad dome, not a well-defined dome.

So this leads into another comment made about the Alaskan sockeye paper looking at overescapement. In there, something that's interesting to consider is that they acknowledge the uncertainty of a particular point, but they use a range around that point as their escapement goal. They define over-spawning as any spawning level beyond the range. But the paper does also support the concept of you need about twice the MSY value to see the contrast and to detect overspawning. They actually use that as how they define which populations they do the analysis on.

So, I mean, I think people, just for more convenience, in terms of how big the difference has to be and sort of fixed a -- if it's twice that, we should see some effect. I don't think it has any strong basis like Carl's implying in any sort of technical analysis.

But even the plot you're talking about there, which is one that came from sort of a normal salmon set of data it's just — it's been smooth and it's been indexed, so it's all relative to one. So what you get, then, is something that's approaching the intersection point that we talked about yesterday where the recruitment function intersects the replacement line, which is that straight line at about a 45-degree angle here. Anything below that implies that there are fewer recruits than spawners. So clearly that population is in a sort of over-spawning sense 'cause it's got to decline, even in the absence of fishing.

So biologically, you would expect that

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population to decline. So the idea that you have large, but not excessive into over-spawning is really a very subjective sort of question. I think that's why we're having trouble answering it.

You can definitely have escapements beyond a point estimate MSY that is likely to still give you very good returns until you get quite a bit out on the margin where you're really starting to see density dependence become much more of a factor in the recruitment function.

- Q Okay. Is there a difference in the seriousness of over-escapement once you get to the high levels that you've been speaking of as between small stocks versus large stocks, or are they both -- do they both get affected in the same proportional way? Dr. Woodey?
- DR. WOODEY: The basic philosophy in regard to overescapement would hold that is -- but at a much proportional level (sic). In other words, if your MSY in a small stock was 10,000, an overescapement of roughly double that, 20,000, would be deemed over-escapement for that stock, whereas a stock with an MSY of a million, the two million would be considered the over-escapement; in other words, doubling regardless.

But as long as I'm speaking, I might as well throw in what -- reiterate what Carl's talking about in regard to cyclic dominant stocks and the application of the Larkin model which is distinctly different. It has some of the same components as Ricker, but because of that delayed density dependence, the issue becomes one of how do you estimate what the MSY is for a cyclic dominant stock?

I was mentioning yesterday that we, that is, the IPSFC and the PSC in some situations, have looked at just the dominant line of cyclic dominant stocks to estimate what the dominant line MSY is and the subdominant line is going to be different, and the offlines different yet. So it's another issue there.

- Q Other panel members agree with Dr. Woodey, do you, that the impact of over-escapement on small versus large stocks is proportionately the same in all cases, or roughly he same?
- DR. RIDDELL: Well, again --

- DR. WALTERS: We can draw these stock recruit plots with -- if I drew you one for the Kvichak stock in Alaska, the "x" axis would go out to 25,000,000 fish. If I drew the same curve for Cultus stock, it would go out to just over 100,000. There's a couple of little stocks for which it would go up to just a few thousand. We have no reason to believe that the fundamental structure depends on whether it's a small or a large stock. The density dependence pattern that causes this curve to bend over has to be there in any viable natural population.
- Q Okay. Dr. Riddell, you wanted to add something? DR. RIDDELL: Well, I just wanted to come back to what we talked about for a bit yesterday, in that you have to really assess why a population is small. If it's been small because of an environmental event in the past, or historical over-fishing that's driven it down there a long time ago possibly, and the lake still has significant production capacity, then you may find that as you put more fish on the ground so you won't see overspawning, you'll see growth. So you could see recovery in that case.

If, on the other hand, as we said yesterday, it might be small because it's actually got a fairly unproductive lake. We have literally maybe hundreds of lakes like that in the small central coast islands of British Columbia. But, in the Fraser, I'm not too aware of too many of those, in which case, you could significantly compound the problem if you put a wad of fish on the grounds and you have no productive capacity in the lake.

So you really have to look at the particular system and its environment.

Q Okay. If we turn this around and leave for a moment over-escapement and talk about under-escapement which comes from too much harvest, is it correct that under-escapement will have a disproportionately more serious impact on a small stock than a large stock because you might be taking away a similar percentage, but you're starting from a smaller number so you're driving it down to a greater harm or greater degree than with a large stock. Dr. Woodey?

DR. WOODEY: I was kind of actually pointing to Brian

to answer that because Brian is a geneticist, and you start getting into these genetic issues, Mr. Commissioner. The small stocks, for example, one stock in the Thompson called Fennell Creek has an MSY of something like 5000 fish because the stream is small and the lake-rearing area is small. We have seen that stock build up over time, but it still is -- some of the escapements are so small, that is, on some years getting down in the 100 or 200 fish range, that you start getting concerns about genetic effects, that is, reduced gene pool and possible consequences of that.

But, for the most part, the answer to your question is under-escapement on small stocks has basically the same consequences as under-escapement on large stocks; that is, yield goes down. So you want to stay up close to your MSY so that your harvestable surpluses each year are there to be able to harvest.

Q All right. Dr. Walters?

The point I want to add to this is, there DR. WALTERS: is a term we use in fishery science called sustainably over-fished. It's entirely possible for a stock to be held down near the origin of the stock recruitment curve by a harvest rate close to the maximum that the stock can withstand, because relative productivity is highest down at low stock sizes that really low stock can withstand that high harvest rate on an indefinite basis over time. So we can have stock sustained at very low levels, sustainably over -- some of the off-cycle line are large stocks, are down around a couple, three, four thousand fish and were historically fished at very high rates, around 90 percent, and they persisted over time.

So when we say that a stock is overharvested, we do not mean that it is driven
towards extinction. We mean simply that it will
be driven down to an average size over time, lower
than that size that produces the maximum average
yield. Cultus, over much of its history, has been
like that. It has been sustainably over-fished.
Now, in that regard, though, some of what you're
speaking of there is premised on there being an
ideal world, isn't it? We don't really have
certainty as to what is the level that is the one
you're speaking of.

DR. WALTERS: Oh, we have lots of stocks, though, where we have observed empirically in the Fraser that they remained at relatively stable but relatively low levels. In the recent period of reduced harvest, they've responded fairly dramatically, indicating that they were, for a very long period of time, sustainably over-fished, but still relatively stable.

Q Dr. Riddell?

- DR. RIDDELL: Yeah, I mean, I think what Carl is pointing out, we should keep in mind that where we talk about this production database of being 19 stocks that we use for a lot of our assessments, that there are currently, I think the number is 38 conservation units in the Fraser. So we have about half of the populations that we currently use in our assessment, and many of the others are small. They continue to exist probably for exactly the same reason that Carl is describing.
- DR. WALTERS: I went to a body count on DFO's complete database for spawning escapement from 1938 forward, and in that database, we can get time series patterns for about 105 stocks which is ten years or more of data. Of those, something like 38 have been stable since between 1950 and 1990 under high harvest rates, and 56 were increasing, actually over that 1950 to 1995 period, and only 11 of them were decreasing. So we had a large number of stable stocks and, for sure, at least some of those were at far below the abundances sustainable by their habitat.

Q Dr. Riddell?

DR. RIDDELL: Well, just to complete, what I was going to come back to there is — the discussion you're having now is exactly why I wanted to clarify this notion of "weak" yesterday. Because now we're talking about small populations that are not weak in productivity. They only exist because the habitat still exists. They have been fished down below what their full capacity is, and because they're at the lower of their production range, they're quite productive. They have a high rate of production, so they are sustaining that current harvest rate.

It doesn't mean that that's where we want to keep them. It does mean that if you could restore some of those, you're going to get pretty good

return. But some of these returns are small exactly because of what Jim said. Some of these populations are indeed small. So you can look at — this is the debate that Carl was introducing yesterday about the value of recovering all the biodiversity. If you want to sustain these populations through time, there's absolutely no question in sockeye that if you lose a population, you have lost that genetic lineage. We know this from a number of practical applications or trying to restore fish in systems by transplanting other sockeye, and they do not take. So you even lose the production if you lose the line.

But this is a case where you do have the opportunity to restore. if you have a fairly modest harvest rate, these populations will probably continue to build through time.

Now, we're kind of a long way from your first question in the sense, can you -- is the risk at the very low end high -- you have to get down to pretty small population before you really put the stock at risk because of population dynamics in genetics. The animals do have a finite number of eggs, so they can only recover so quickly. But you'd have to drive them down very low.

They become much more at risk as a very small population because of random events, or when we had higher fishing pressures, just due to the accident of fishing occurring in a limited period of time when a certain stock was going by. But these are all "if" type things. I mean, small is at the higher risk, but small is not unproductive. All right. Now, some of you have already spoken to aspects of this next question that I have, particularly as regards the evidence on the 2004 paper. But my question of the panel now is does the panel agree that there is no historic evidence of catastrophic recruitment failure coming about as a result of extremely high escapement. I think that's in large measure what the 2004 paper is speaking to. But am I right in what I say, that no historic evidence of catastrophic recruitment failure from high escapement levels?

DR. WALTERS: No. As we indicated yesterday, there are data more recent than we had that do hint at that possibility for a couple of the stocks, Quesnel, most spectacularly, and Chilko.

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1 Q You say "hint at", but we haven't seen it, have we?
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 $\mbox{MR. WALTERS:} \mbox{ No, we see radical drop in recruitment.}$ 

Q Okay.

- MR. WALTERS: Following a period of high spawning stock.
- Q But have you seen it to the level of it being catastrophic to the stock?
- DR. WALTERS: Well, I'd say in the Quesnel case, a drop from in the millions down to in the hundred thousand or so is pretty catastrophic, yes.
- Q The 2004 paper, as I read it, says that there's no evidence that over-escapement will cause a stock collapse. Are you changing your view on that?
- DR. WALTERS: Yes. As we explained yesterday, for two reasons: newer information and the failure in that 2008 paper to have looked at both the Gilhousen work, showing strong cycles back historically, and also the newer data.
- Okay. Now --
- DR. WALTERS: (Indiscernible audio cuts out).
- Now, Dr. Riddell, I know has something he wants to say, and I saw Dr. Woodey. I'll leave it to you two to sort out who goes first.
- DR. RIDDELL: Well, I can finish. I think I said yesterday that at that time I would still support what we wrote. So we're kind of mixing two elements here. If your question was is the paper still sound, well, then I agree with Carl, that we have seen an even greater range in escapements now. We have done more analyses, so I think people now would have a different conclusion to be drawn.

Would I personally say that we're still seeing a high risk of catastrophic loss? I don't think so. But Carl is more familiar with the interline interactions than I am at this point, and so it's --

- DR. WALTERS: No, it's not a high risk, but we have seen it.
- DR. RIDDELL: We have seen it. There isn't any question that there's a time trend in poor marine survival as well in Chilko Lake that we have to be careful that we're not confounding density dependent freshwater effects with marine effects. But there isn't any question that there's a trend in the production from Chilko Lake that we need to

be concerned about. And 2010, again, is going to change our outlook on that one.

So, now, in terms of we didn't do the analysis, I think probably a more fair way to express that at this point, if we go back to 2003 when we're doing the work, people are always looking at recruitment analyses in these. Every year, DFO, and in particular Al Cass, at that time, was going through the recruitment analyses looking at how to improve forecasts, or looking at what changes in production were going on. FRSSI was developing at that time, so there was extensive examination of recruitment functions.

I think really it's in the very much more recent years where the Larkin model was starting to show a better fit. So as I said yesterday, I think it's a matter that we've evolved in the more recent years in terms of looking at things more critically with the Larkin model. At the time, I think we would have drawn the same conclusions, but things have changed.

Q I haven't forgotten, I'll come to you Dr. Woody. In the 2004 paper at page 16, Exhibit 417, which I think is going to come up on the screen, yes, thank you. It says there at the top:

> Our ability to test for effects of overescapement remains limited, but the examples compiled in the technical paper do not indicate any evidence of stock collapse after large spawning escapements.

Now, I'll start with you, Dr. Riddell, and then Dr. Walters and the move to Dr. Woodey.

Are you in a position now to say - firstly, that's what I understand to be your core conclusion on no evidence of stock collapse after large spawning as put in this paper - are you in a position to now say what your conclusion today would be with the new information that each of you have seen? I'm not asking you to rewrite your paper as such, or redraft it in today's terms, but are you able to come to a conclusion in a sentence or so as you have in that paper?

DR. RIDDELL: And I think Carl answered that. The examples where we have seen concern building about stock collapse would be the -- is it 2002 brood

 you're referring to in Quesnel?

DR. WALTERS: Yeah, I think, or -- which one? Jim can answer.

DR. RIDDELL: So what's happened since then? Well, this year, we've had a very good recovery. The other part was Chilko. As I say, Chilko has got a very serious trend in marine survival and production. That is a concern. But there are a number of others. There are 17 other populations that we need to be including as well, and they don't show as much of a change.

So I don't think there's any question that we've seen more evidence that there are interline interactions and because of that, then you would have more concern about stock collapse. But I don't think the evidence strongly supports that stock collapse is a major concern at this point.

MR. WILSON: Mr. Commissioner, I feel I need to jump in here. This issue is quite an important one. We're being asked to entertain the idea that escapements, large escapements on the Fraser bring with them a risk of catastrophic collapse. I noted on my computer yesterday the escapements for the 2010 return are now in. Just over 13 million fish spawned in the Fraser River. It's the highest since we've been keeping adequate records.

I suppose if large escapements are a danger to us, then we have accepted some risk by putting these fish on the spawning grounds. But again, I go back to try and put this in some kind of broader, longer-term perspective, Mr. Commissioner, I'll go back to the Gilhousen data. I recognize that all the runs cycled on the same cycle line in that time prior to the Hell's Gate slide, and I recognize that there are some uncertainties in these data.

Nevertheless, the data that we have in front of us show that every four years, the Fraser River saw returns between 20 and 40 million on the dominant cycle. It's not too much of a stretch, in my view, to imagine periods of time when exploitation of these fish was limited. But the majority of these fish arrived on the spawning grounds unfished.

I find it very difficult to believe, with my experience with the aboriginal fishery in the Fraser, that a run of 40 million would be heavily

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harvested by First Nations. They simply would be unlikely to have the capacity to use that many fish.

So it seems reasonable to me that prior to Hell's Gate, we not only saw all the stocks cycling together, but we also saw, every four years, escapements that must reasonably have been on the order of 15, 20, 25, 30 million, 40 million would not be unreasonable. This doesn't require us to speculate about how large the runs might have been historically.

There's strong cyclic dominance in this pattern. There's no suggestion of stock collapse at all. To me, the idea that what was an entirely natural, normal and common state of affairs in the Fraser prior to the Hell's Gate slide is somehow detrimental to the biology of Fraser sockeye defies logic.

Again, I apologize, Dr. Woodey. I remember that you wanted to say something. If you'll just bear with me for a few more minutes.

It might help the Commissioner, Mr. Wilson, if you were to -- firstly, let me be clear. I'm not inviting anyone to suggest that high escapement gives risk. I'm simply asking the panel to say what they think, and that's what you're doing. But, with that, it might be helpful to the Commissioner if you were to speak, Mr. Wilson, to the work that Dr. Riddell and Dr. Walters have spoke of where since 2004, they've seen some new information. Have you seen or are you familiar with that new information that they're speaking of?

- MR. WILSON: I am unaware of what actually underpins the argument that these cycle line interactions are potentially associated with catastrophic stock failure.
- Q All right.
- MR. WILSON: But I think part of the uncertainty --
- DR. WALTERS: You are completely aware of it, Ken. You just spoke of it.
  - MR. WILSON: Well, fair enough.
  - DR. WALTERS: (Indiscernible audio cuts out) fact that three out four years, things are low. That's the counterside --
- 46 MR. WILSON: Sure.
- 47 DR. WALTERS: -- of saying that one out of every four

1 is high. MR. WILSON: I accept that --3 Three out of four that are low that DR. WALTERS: represent the delayed density dependent or cyclic 5 dominance effect. 6 MR. WILSON: Well, fair enough. Now, I am --7 DR. WALTERS: Those are the --8 MR. WILSON: -- not arguing that we should manage 9 Fraser sockeye to allow these sorts of large 10 escapements on a routine basis. I am not 11 suggesting that human yield is not an important 12 component of our deliberations here in any way. 13 I am simply suggesting that the data that we 14 have in hand show that the Fraser cycled with what 15 Dr. Walters called violent cyclicity in the time 16 period prior to Hell's Gate. Perhaps what we're 17 seeing now is a return to that pattern of 18 production. But it's pretty clear to me that very 19 large escapements, much larger than we saw this 20 year, which is the largest we've seen since Hell's 21 Gate, were absolutely routine in the Fraser and 22 were part of the normal biology of these fish. 23 just think we need to get on with the business of 24 talking about how we divide the baby, the Fraser 25 River sockeye returns, into catch and escapement, 26 and what line of argument we want to make to do 27 that work. 28 The idea that these escapements, even on the 29 order of the escapement seen this year in 2010 are 30 somehow associated with catastrophic stock loss I 31 say is illogical, based on our historical data. 32 There may be increases in cyclicity that affect 33 the way we manage our fisheries and work to the 34 detriment of our harvests. That's not my point. 35 My point is that very large escapements were once 36 completely normal in the Fraser. We need to leave 37 the idea that there's a bogeyman here that's 38 associated with high escapements that make it a bad thing, and get on with the business of trying 39 40 to decide how many Fraser sockeye to kill. 41 Dr. Woodey, I should let you have a few words now. 42 You've been wanting to get in. I think you 43 initially wanted to get in on whether, still 44 today, the idea that there's no evidence of over-45 escapement leading to stock collapse, but I'll 46 turn it over to you now. 47 DR. WOODEY: Thank you. Mr. Commissioner, the reason

that there was very little evidence of overescapement in the years up until 2000 or so was that the annual runs were managed for harvest, and so the dataset that we have to analyze our situations that we had relatively few individual year situations where individual stocks had escapements that were over about double the escapement; that is, it was intentionally managed to take the yield in the fisheries.

Since that time, because of the Late run sockeye issues that I harp on, we've ended up with seeing large escapements, much larger escapements than some of these more recent years, so escapements are exceeding the escapement level during that 60-year period of fisheries management where all of the stocks were behaving normally.

In a sense, we're conducting big experiment by seeing these large escapements, and as we are seeing these large escapements fail to produce, we're learning more as to what the optimal escapements are. But, at the same time, we're seeing these failures starting to show up, because it's been only in the last eight or ten years that we've experienced these very large escapements.

The 2010 escapements total - I'm not 100 percent sure that it is the largest - but I accept what Ken says because I think he's right. The 2010 escapement for the watershed is the largest since -- in recorded history.

But I'll turn to what Ken was talking about as far as escapement levels and productivity. In the late 1880s and early 1990s until the Hell's Gate slide in 1913, those large runs of up to 40 million were harvested heavily. We had up to 5000 gillnet vessels fishing in the Fraser River and lower Strait of Georgia. We had a large number of traps in the U.S. which are set nets that are corralling the fish and so on. The harvest rates were high, so escapement levels at 40 million total run may not have exceeded, say, 10 million. I was looking in Gilhousen's. Somewhere in there he's got the numbers, the estimates.

Escapement levels were not high in those early 1900s either. But what had happened is that if you look back at the information -- and I doubt that it's in the files here, and Carl can answer that -- Sandy Argue and Mike Shephard's report on

that earlier historical data indicate that you had the same dominant year cycle going through, but the off years were larger, and the dominant years lower. Those would have been at lower harvest rates, so I don't know, but I suspect that the escapement levels in those time periods were not excessive.

- MR. WILSON: I agree with Dr. Woodey's point. I was referring to the data from 1893 to 1913 that are provided in Gilhousen as perhaps representative of a pattern of production that went back prior to the onset of commercial fishing. Salmon have entered the Fraser for thousands of years, and I'm simply making the argument that prior to the onset of commercial fishing, if the pattern that we've observed prior to Hell's Gate were to have held, that very large escapements would have been absolutely routine and expected on the Fraser River, and the 20 to 40 million fish is probably not an outrageous estimate of the spawning escapement on the Fraser River prior to the onset of commercial harvest on the dominant year. That's all I was trying to point out.
- Q All right. Just to see if the panel is -- oh, I'm sorry. Dr. Walters.
- DR. WALTERS: Yeah, it just occurred to me in this last little discussion that there's something that those of us who work on the system know about and others wouldn't. That is that we speak about the Hell's Gate disaster as something really bad when the stocks were severely knocked down, but in a way, it was a lucky thing because it broke up the synchrony in the cycles so that as the stocks recovered after 1913 through the 20th century, instead of having only one good year out of four for returns, we, coming up into the '90s, had two good years out of four, for fishing.

One fear is that if stocks become synchronized again, we'll be back to just one out of four good years, which is not a good situation economically or socially for people who depend on the fish.

So in the sense that our high escapements may trigger that resynchronization or trigger the periods of low stocks regularly in between the dominant runs, I don't think we want to see that kind of over-escapement.

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Cross-exam by Mr. Rosenbloom (GILLFSC)

- Q All right. I think I'm hearing all of the panel members say that the work on the effects or consequences of over-escapement remains a work in progress. Am I right, Dr. Woodey? Is that a fair summary of what I think I heard you and others saying?
- DR. WOODEY: Yes, Mr. Commissioner. We're now experiencing these over-escapements, and because of that, I think our view of the world will change over the next ten years. But I personally don't expect that the MSY levels that we're currently seeing in the datasets we have to analyze, I don't see those as changing. The optimal escapement I don't see changing.

We do have generally now datapoints beyond them as why they've shown decreased productivity principally because of juvenile survival and growth in the lakes that are causing the survival rates in the ocean to go down in those individual years.

But we are in he period of having a large experiment being conducted as a result of the current policy, which is to limit the harvest rates on Late run sockeye and thus allow large escapements of Summer runs.

- MR. TAYLOR: All right, thank you. Those are my questions.
- MS. BAKER: Thank you, Mr. Commissioner. The next participant is represented by Don Rosenbloom for Area D, Salmon Gillnet, and Area B, Harvest Committee.
- MR. ROSENBLOOM: Thank you very much. My name is Don Rosenbloom and I appear on behalf of Area B Seiner and Area D Gillnet. It's not often that the Government of Canada counsel pre-empts me on questions that I intend to raise in cross-examination, but that has been very useful in allowing me to proceed with my questions.

#### CROSS-EXAMINATION BY MR. ROSENBLOOM:

Q If I can just follow up on the very issue of the 2004 paper, and we have heard yesterday and today from you, Dr. Riddell, and from you, Dr. Walters, of your new thinking, which is totally understandable subsequent to the publication of the 2004 paper.

What arises from the evidence that both of you have given at this Commission in respect to what I'll call your rethinking of some of the issues relating to the threat collapse from overescapement, my question is this: Appreciating what is your current thinking in respect to that critical question, as focused in that paper, what advice would you be giving to the managers at DFO if respect to harvest management in the context of your new thinking?

DR. WALTERS: Brian? Shall I start?

MR. RIDDELL: Do you want to start?

DR. WALTERS: Yeah, okay. I strongly recommend that DFO consider a return to the relevantly high harvest rates that produced good returns and increasing biodiversity and increasing spawning abundance through the '50s through '80s. And that they consider attempting to deliberately reestablish the cyclic dominance patterns for stocks like Quesnel and Late Stuart.

Now, there's a caveat on that, that yesterday Jim Woodey mentioned. He talked of the most serious problem in the Fraser today is prespawning mortality of Late run fish. I don't think that's correct at all. There is widespread decline in productivity of Fraser River sockeye, particularly in the upper part of the Basin that may be partly due to delayed density dependence effects, but there also appear to be some severe environmental effects. Those environmental effects go beyond just pre-spawning mortality and warm temperature. Something's going wrong up in that part of the system.

So the caveat would be that we should only return to the higher harvest rates if those survival declines reverse themselves.

Q Dr. Riddell?

DR. RIDDELL: Well, I don't think I would be as emphatic about increasing the harvest rates back to historic levels. The reality is that we have multiple management objectives now. But to the context that you could increase harvest opportunities, there's no question that I think the new data is indicating that there is a significant loss of productivity and production at those higher levels of spawning. I think you'll see that in the Quesnel, in Chilko and in the

Adams. So those are your main producers.

The real task for the managers is how you can increase the harvest on those major stocks and meet the other objectives. Really, that was part of the intention of developing the FRSSI sort of modelling. Can you get back to historic levels? I doubt that, to be honest. But would I recommend that you increase harvest where possible to meet the other objectives? Yes, I see no technical reason why you wouldn't try to come back to more of what we consider to be the sort of MSY paradigm.

I think that you have to recognize the wide uncertainty of some of the MSY estimates. I actually quite like the notion in Alaska of defining an MSY range. I think that recognizes that there's a range of acceptable values.

t I don't think I could recommend going back to historical levels. Could we increase the harvest in some of the large stocks? I think that is consistent with what we're seeing in the productivity of the stocks now with some increased spawning, but within the constraints of the other objectives.

Q Thank you. We hear from Dr. Woodey and from others, but from Dr. Woodey this morning that our recent direction in terms of increasing escapement into the spawning grounds is "a big experiment". My question to any of you and all of you is this: Obviously more research has to be done. We have the 2004 paper. There is then the revisiting or the rethinking of the 2004 material by the two of you who are authors of the paper. Obviously more work has to be done.

I assume that you would be recommending to the Commission that part of the recommendations of this inquiry be that sufficient money be put into further analysis to satisfy the current thinking as both of you, as authors, have been speaking about for the last day or two.

DR. WALTERS: I think you have to be careful there. We certainly would recommend lots of research on the mechanisms that cause delay density dependence and so on. But in the matter of determining whether or not we can reverse negative impacts of cyclic dominance, or whether those impacts are in fact due to environmental factors rather than cyclic

dominance, you're not talking about investment in research. You're talking about what's called an adaptive management experiment.

The possibility of a deliberate change in harvest management policy aimed at causing informative variation about productivity in the spawning stock sizes — and in fact, that was what when on from 1990 forward was essentially an adaptive management experiment to test to see if off-cycle lines could rebuild. That doesn't require extra research money. It requires a commitment in management to treat the management and the setting of escapement goals as a set of experimental treatments.

Thank you. Dr. Riddell?

DR. RIDDELL: Well, I don't think there's any question that we need to do more targeted research. I think we've done a lot of the adaptive management. It has been as structured as the sort of adaptive management design would actually like, so we could probably improve on that. But I think that we've let the escapements build, and now I suppose what Carl is referring to is that we need to fish to see if the population dynamics as we now understand it, respond as we predict.

I think you still have to acknowledge that you'll need more resources directed to conduct the necessary research. We're spending a lot of time talking about density dependence within fresh water and then linking it to marine. I am not confident that we understand the marine survival enough to sort out these two effects. So, yes, you need to do the work in fresh water. Unfortunately, we also have to spend more effort in the marine survival, particularly, I think, in the early marine survival in the Strait of Georgia.

Georgia.

Q I'll be coming back -- it's my last question to this panel about funding issues and budget of DFO and I will be directly questions about the research side of it, so I'll leave that for now.

Much has been said about this Alaska paper which is actually a paper that we have brought before the Commission and wish to have filed as an exhibit, and then I have questions to ask of a few of you regarding the significance of the Alaska paper. I don't believe it has, as yet, been

1 filed. MR. ROSENBLOOM: That paper is the biological and 3 fisheries related aspects of over-escapement in 4 Alaska sockeye salmon. I would ask that that 5 paper be filed as an exhibit. I understand 6 Commission counsel had it in hard copy to be 7 filed. 8 THE REGISTRAR: It's been passed to the Commissioner. 9 MR. ROSENBLOOM: Oh, good. Thank you very much. And 10 it has already been marked as an exhibit? 11 THE REGISTRAR: Not yet. 12 MR. ROSENBLOOM: No. In which case, may I suggest that it get marked as an exhibit? Thank you. 13 14 THE REGISTRAR: Exhibit number 419. 15 MR. ROSENBLOOM: Thank you. 16 17 EXHIBIT 419: Biological and Fishery-Related 18 Aspects of Overescapement in Alaskan Sockeye 19 Salmon dated December 2007 20 21 MR. ROSENBLOOM: 22 Could I direct these questions in particular to 23 Dr. Riddell and Dr. Walters, and not to slight the 24 other two panel members, if they do have comments 25 they wish to make about it. My question is this: 26 What is the significance of this paper from your 27 perspective as scientists? Much has been said 28 generally about it. Where has it taken us? Where 29 are we today because of this paper that we weren't 30 otherwise? Dr. Walters, do you wish to proceed 31 first? 32 DR. WALTERS: You mean the Alaskan over-escapement 33 paper? 34 That is correct. DR. WALTERS: No, it's just -- there's nothing added in 35 36 to anything. It's just a reiteration of standard 37 definition of Type 1 over-escapement as Brian and 38 I would have called it. Escapement surplus to 39 that escapement level that will produce the

Q Thank you.

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46 47 DR. WALTERS: As Brian mentioned, there's a couple of little goodies in there about operating with a range, a target range rather than a single statement value, but there's nothing new in that.

All right. And, Dr. Riddell?

maximum average yield.

DR. RIDDELL: I would agree. I think if it adds

anything, it adds a couple of clear examples of the sort of density dependent -- delay density dependent element. Other than that, it's very much an assessment like many other organizations would conduct.

- Thank you very much. Dr. Walters, we were speaking of SR modelling and we had before us from your 2004 paper, a model. I have been in the audience of a lecture that you gave to academics and to students on SR modelling. Do you have anything to add in terms of educating the Commission about SR modelling, meaning spawner-to-recruit modelling, or are you satisfied, as you conclude your evidence today, that you have said your piece.
- DR. WALTERS: Well, no, I would add two points. One of them is to reiterate that that curve that we draw is not used just for point forecasts. It can be, but it isn't a good point forecaster. There's wide scatter around the curve, meaning there's wide variation in recruitment not explained by the size of the spawning stock. The curve is only there to help us identify the spawning stock level that, on average, produces the highest yields, and beyond which there's diminishing returns to adding additional spawners in terms of potential yield.

I would add another thing is that in recent years, for a lot of stocks of fish, we have seen apparent persistent changes in the parameter values of the curve. That is, the curve is actually shifting more or less slowly and progressively, and apparently irreversibly in time. In some cases, towards higher productivity, and in other cases towards declining productivity. In other cases, a simple shift from one position to another position. We have to be alert to those changes and to adjust the spawning stock goals to those changes.

We don't treat the spawning stock number even if we could estimate it precisely from historical data, as carved in stone for the future.

- Q I don't know if anyone -- the other panel members wish any comment. If not, I will proceed with my next question.
- The panel has -- excuse me, yes, Dr. Riddell. DR. RIDDELL: I'd just add I agree completely with what Carl said. I would point out that there was an

analysis done by Dr. John Schnute, and I think Al Cass and Dr. Beamish. What they did is they actually looked at different time periods of the SR modelling in the Fraser sockeye and they did theirs on the basis of changes in marine productivity in the North Pacific. They see the very same thing that Carl's referring to.

So if we talked about the long time series in the Fraser sockeye, I think the important thing with Carl's is that we tend to run these analyses through the entire time series and think that that's the more robust way to look at all the data, but you could be missing important changes in the productivity of the stocks, or change in the capacity prime area (sic) that Carl's referring to. So I think just to emphasize that some people are looking at periods within the data, but we probably need to be more vigilant in examining that effect.

Q Thank you.

- DR. RIDDELL: We can do these models with a year dependent parameter to look at change through time, but if it's shifting back and forth, that may not be informative.
- Q We have discussed over the last two days the issues of what I'll call ecosystem benefits from over-escapement and evidence has been given, but this will be our last opportunity to have you as panellists speaking to the matter.

Dr. Walters, you have spoken about it, and today you were speaking about the marginal returns to the fertilization or ecosystem in terms of benefits reaching a certain point. Before I invite the other panel members to this debate, because it is obviously a critical question for the Commission, do you have anything further that you want to add in respect to the argument that over-escapement is beneficial to the ecosystem?

DR. WALTERS: Let me just reiterate that if we're going to be honest and scientific about this, we need to look at benefits to the ecosystem on the margin as spawning stocks increase, not make some absurd assumption that they're always going up when there's more spawners, which we've been, I believe, invited to do.

A good example of that idea of there not necessarily being a marginal value, if you go to

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Rivers Inlet today where the stocks are severely depressed, the bears have left. The grizzly bears and the black bears have left Rivers Inlet. So you say, my goodness, Rivers Inlet is not supporting bears anymore and eagles. But if the spawning stocks were to recover to just even half of what we calculated from the long-term data to be the optimum, there would be an abundance of spawning fish and the bears and eagles would come back.

Beyond that two or hundred thousand spawners, there wouldn't be any additional benefit to those components of the ecosystem of having extra spawners. A bear standing there on a spawning creek where there's 100,000 fish for him to eat isn't any happier than a bear standing there where there's 10,000 for him to eat. That's what I mean by we need to look at these benefits much more carefully than has been done.

- Q Before we're likely to take our break, do the other panellists have any contribution to make in respect to this question? Dr. Woodey?
- DR. WOODEY: Yes, Mr. Commissioner. The thing that has come out in at least one case in the Quesnel system speaks to this over-escapement issue and marine-derived nutrients. In the recent years, the 2001 and 2002, there were approximately 3.5 million fish that escaped in 2001, three million roughly in 2002, and the marine-derived nutrients under the theory that you're fertilizing the environment and thus getting better growth, the growth actually went down. We don't know why necessarily that occurred, particularly in the 2001 dominant line spawning population offspring.

But in the 2002, what's called a colonial algae became the dominant phytoplankton species in the lake for a period of time sucking up a lot of nutrients, but because they're colonial, they're large and unavailable to the zooplankton as food, and therefore the zooplankton presumably didn't increase in proportion to the nutrient input.

Because I did my doctoral studies on Lake Washington, that was the issue there, was cultural eutrophication in Lake Washington. When you have a situation where you have too much nutrient, you actually tie up those nutrients and species of phytoplankton or algae that are unavailable to the

zooplankton that fish feed on. So there is some known mechanisms here and why -- from a fertilization point of view, not necessarily going to realize the benefit in terms of juvenile sockeye.

At 2002, juvenile sockeye were the smallest on record by quite a large amount in terms of percentage decrease in size. We're talking 20, 25 percent decrease over the next small of juveniles and was contributing to this decline in the Quesnel stock.

- Q Any other comments before I invite the Commissioner to adjourn for a break?
- MR. WILSON: I think Dr. Woodey raises a very good point, and so does Carl. In my earlier comments, I was not implying that all of the benefits of large escapements directly translated into future increases in the productivity or carrying capacity for raising salmon. I was simply suggesting that in a watershed like the Fraser, 100 million pounds of salmon arriving in a particular year is going to be a very significant event, and it will change the productivity of the Fraser River, likely in a very positive way.

Whether that's good or bad for salmon, I can't say. It may even be related to the mechanism that drives cyclic dominance. I'm simply suggesting that those very large influxes of nutrients were, in general, important to the productivity of the watershed and there is evidence to suggest that for some lakes that are nutrient limited, it can have a positive influence on even salmon growth.

But I totally agree with Carl that we need to have a thorough scientific review of the available evidence. It should certainly be something that's considered in the setting of escapement goals for the Fraser River.

- Dr. Riddell?
- DR. RIDDELL: Well, I can assure you that when you start talking about ecological values, this is one of the toughest discussions in the Wild Salmon Policy as we went through before.

There are very, very strongly felt sentiments about cultural values and aesthetic values and not just economic values. But I do agree with Carl, and the reason that we included the -- we, I'm

 sorry, when I was with DFO again -- why we included Strategy 3 in the Wild Salmon Policy is that I think that it can be completely consistent with the objectives in that policy because if you accept these management goals, the upper benchmark targets for management, if you were to sustain those, you would have a pretty health ecosystem in our assessment.

You can do the assessments and then you'd be looking at these marginal values, but I think if we did have a system where you could achieve these MSY type levels or in that range, which we equate to the upper benchmark in the Wild Salmon Policy, that I think it would, for the vast majority of people, meet their expectation of these ecological values.

- MR. ROSENBLOOM: Thank you. If there is nothing more from the panel in respect to that question, I will invite the break. Thank you.
- DR. WALTERS: I'm sorry, Don, could I just make one real quick point?
- I thought that might come. Go ahead.
- DR. WALTERS: You can have your break and think about this. I want to ask all of you who, in their right mind, would use our most valuable salmon as fertilizer deliberately?
- MR. ROSENBLOOM: I thank you very much, Dr. Walters. THE REGISTRAR: The hearing will now recess for 15 minutes.

(PROCEEDINGS ADJOURNED FOR MORNING RECESS) (PROCEEDINGS RECONVENED)

(PROCEEDINGS RECONVENED)

MR. ROSENBLOOM: Thank you very much.

CROSS-EXAMINATION BY MR. ROSENBLOOM, continuing:

- Q To muddy the waters even further, Dr. Walters, am I correct in understanding that Dr. Larkin did assert that the MSY should not be the objective for harvest management, or do I have that wrong?
- DR. WALTERS: Larkin was my mentor at UBC when I started there and I helped him a bit writing a paper called "An Epitaph for the Maximum Sustained Yield" where he warned fisheries scientists about

three things. One of them is he warned about slavish adherence to MSY, as a management goal and, more particularly, a slavish belief in the models used to estimate MSY where those models have a substantial risk of overestimating MSY and leading to over-harvest. He also warned about the erosion in stock structure or biodiversity that can occur in a complex system like the Fraser when MSY goes for what harvest rates are set at the MSY rates for the larger and more productive stocks.

And then thirdly, he warned that there are other goals in management besides just yield. There are, in particular, economic goals. For example, if we wanted to maximize the profits from our fisheries, we would fish at lower than MSY rates. The marginal economic gain from taking more fish is exceeded by the costs of taking them at harvest rates below the MSY harvest rates. Larkin did not offer, however, clear recommendations about how to deal with the stock structure and biodiversity issue. He basically sidestepped that. He said we should take care to try not to cause irreversible extinction of small stocks that could become important in the future but didn't offer specific portfolio management recommendations about how to achieve a balance between yield and diversity.

- Q Well, speaking to that very issue, Dr. Walters, I cross-examined Dr. Holt in these proceedings and Mr. Lunn will put the transcript before us on December the 7th of last year, and I'm referring to page 55. If you don't have it on your screen, I'll be reading this passage of my question and her response. And I want to elicit from you your response to Dr. Holt's answer to me.
- DR. WALTERS: I have that material. What page, please? Q It is page 55 of the transcript of that date. DR. WALTERS: Gotcha.
- Q And I start my question at line 23. And if you have that in front of you, it reads:
  - Q Now, my first question to you relates to the whole substance of the Wild Salmon Policy and, in particular, the assertion that maintenance of high biodiversity also use, in other words, above their lower benchmarks, is necessary to maintain a fully sustainable

1 fishery for the Fraser Sockeye. And I assume you general subscribe to that approach, do 3 you not? DR. HOLT: Yes. 5 That being the case, my question to you is 6 this. Isn't that fishery largely dependent 7 on a relatively small number of large stocks? 8 Let me start with that question. Do you 9 agree? 10 DR. HOLT: That is true for the current period. 11 As Dr. Irvine mentioned a few minutes ago, it 12 is possible that the stock ratios may change 13 over time so the ones that are dominant now 14 may be small in the future but other ones 15 that are small now may become dominant in the 16 future. 17 Right. 18 DR. HOLT: So maintaining that diversity is 19 important for the long run. 20 So you speak of -- I'm sorry, yes. 21 speak of Dr. Irvine's comments a few minutes 22 ago about Bristol Bay, do you not? 23 DR. HOLT: Yes, that was one example that he gave. 24 25 And then it goes on from there. I have a couple 26 of questions arising out of that testimony. 27 Firstly, do you agree with Dr. Holt? 28 DR. WALTERS: On which point, that maintaining all 29 stocks is necessary for the future? 30 Precisely. 31 DR. WALTERS: No, absolutely not. That's equivalent to 32 your stockbroker telling you that you have to keep 33 every stock you ever owned in your stock 34 portfolio. Right. And you made mention of that yesterday. 35 36 If you have nothing more on that particular matter, about Bristol Bay, can you or Dr. 37 38 Riddell --39 MR. TAYLOR: May I just point out in fairness to the 40 witness; I think Mr. Rosenbloom said "maintaining 41 all stocks". I see the evidence to say 42 "maintaining diversity". 43 MR. ROSENBLOOM: Maintaining diversity. I'm sorry. 44 Did I misread the...? 45 MR. TAYLOR: Well, I'm looking at line 43 on page 46 whatever this page is. 47 MR. ROSENBLOOM: Line 43, "Dr. Holt, 'So maintaining

that diversity is important for the long run."

And I misread that? I'm sorry.

MR. TAYLOR: I thought you said "all stocks".

MR. ROSENBLOOM:

Oh, no. Having heard that exchange, that doesn't change your testimony, does it, Dr. Walters?

change your testimony, does it, Dr. Walters?

DR. WALTERS: No, not at all. There is also an assertion in that testimony about small stocks potentially becoming the large stocks and, in particular, a reference to Cultus Lake being much, much larger, potentially much, much larger than it is today. I don't believe that kind of argument is correct. Most of the stocks that are small today, with a few exceptions like the Harrison River, are small because they live in very limited habitats. They do not have the potential to become very large and to replace our big dominant stocks. You can't grow that many fish in those small lakes.

The Bristol Bay reference is to a paper by Ray Hilborn where he pointed out that, in the Bristol Bay system, that has eight major stocks that contribute to it, the dominant pattern of those stocks has shifted. That's not small stocks becoming important. That is shifting patterns of contribution among large stocks. Another study in Bristol Bay by Daniel Schindler pointed out that when you have a large number of stocks contributing to production, you obtain a portfolio of stabilization effect on yields so one's down, the other's up. The situation that Daniel was referring to is one where there's a very large number of small spawning stocks going up and down, not a few large dominants and many small ones that can't become large.

The closest we have to the situation that Schindler referred to in the Fraser is in the Early Stuart Complex where there is a large number of small streams that contribute to the production and some of them haven't done well and others have and so on. This whole argument speaks to Dr. Larkin's point. We have not resolved the issue of how to select a portfolio for the long-term. And it is not just a matter of saving every stock. Thank you. Again, borrowing Dr. Woodey's comments about this experiment that we're experiencing of

Thank you. Again, borrowing Dr. Woodey's comments about this experiment that we're experiencing of late in terms of harvest management since 1995 to

the present, Dr. Riddell, can you tell me, has there been a retrospective estimate of the yield So I'm speaking of harvest loss over this period of what I'll call the experiment. DR. RIDDELL: I don't believe there's been any retrospective in assessment of loss, no. And you would agree with me, depending on whether you apply the Ricker or Larkin model, that the figures could be very, very significant in terms of financial loss to the harvesters? DR. RIDDELL: Well, I'm sure that you will show a significant number of fish lost to the harvest opportunity. My concern in doing that retrospective is similar to my concern with Carl's response. I don't know. Were you going to come back to the panel with respect --I'm sorry. I'm happy to. So let's go back to Dr. Walters' comments and then allow you to carry on about this retrospective estimate of loss. DR. RIDDELL: Mr. Commissioner, I think the answer is very, very similar to both from my perspective in a sense. I have no question or concern with 

Carl's comment about "many of the small stocks will be small". Their productive capacity is quite limited. But we already noted today that we have these 19 stocks that we are focused on in the production assessment of which there were actually 38 conservation units. We're really only looking at production from about half. They are the majority of the production. I think they make up 80 to 90 percent in most years but not on the off-cycle years.

And so if you were even concerned about potential fishing opportunities, there's certainly

And so if you were even concerned about potential fishing opportunities, there's certainly a concern about delivery of fish to First Nation communities in the off-cycle years. These small stocks have an aggregate value that can be quite important to local communities. And so I have no problem agreeing that Fraser Sockeye salmon in this portfolio issue is not a very equal comparison with Bristol Bay. They just don't have the same sort of capacity to compensate for the magnitude of loss that occurred in Bristol Bay. But I do think that in doing the retrospective and in considering the value of the biodiversity we're referring to in the Fraser, you must look at the full set of management objectives again, including

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the local values of the smaller lakes to the First Nation communities and to the local ecosystems.

So from a strictly economic perspective, I don't have any concern really with what Carl's saying, that the small stocks in the Fraser simply don't have the productive capacity to compensate like they did in Bristol Bay. But I don't think that that takes away the value of maintaining the diversity just like Dr. Larkin referred to a long I think that paper was '74? time ago. Well, he has another one, "Play It Again Sam," in '74, which is another sort of paper that everybody should read if you're in salmon biology. just a matter of saying that these small populations are acknowledged to have significant values that are not just economic and this was very, very strongly expressed by many people advising on the Wild Salmon Policy.

But the real art of this, and I think the concern that we need to get to in resolving this issue with biodiversity versus opportunities for harvest is Carl's analysis recently suggesting some of the small populations are getting increasingly unproductive. That is a critical issue we'd have to investigate because that could be a significant limitation on how we can conserve these populations.

- Q All right. Before we go back to you, Dr. Riddell, on the issue of estimates of yield lost from making these decisions from this grant experiment, Dr. Walters, do you have anything to respond to Dr. Riddell in respect to the issue of small stock?
- DR. WALTERS: No, no --
- Q Thank you.
- DR. WALTERS: -- certainly DFO recognizes values of the small stocks associated with First Nations use and so on and --
- Q Thank you.
- DR. WALTERS: -- that's a public policy issue beyond the scope of my competence as a biologist.
- Q Thank you. Dr. Riddell, back to you on the question of stock value or, as I put it, yield lost to harvest, you don't believe that work has been done, correct, to the best of your knowledge?
- DR. RIDDELL: I'm not aware that it has been done, no.
- Q And does it not strike you that it's critical in

the application of the Wild Salmon Policy that socioeconomic analysis is done on a constant basis 3 before decisions are made or would be made under 4 the Wild Salmon Policy? 5 DR. RIDDELL: Well, the policy --6 Isn't that part of the policy? 7 DR. RIDDELL: I was just going to say the policy 8 recognizes the need to do that. Outside of the 9 policy, though, I mean we're referring to the 10 rebuilding objective as an experiment. And it is 11 unfortunate that the experiment hasn't been fully 12 assessed in that perspective. And as Carl's 13 talking about the adaptive management approach and 14 where we go in the future, it's probably a good 15 time to do that before you start designing another 16 approach for the next ten years. But to my 17 knowledge, that retrospective has not been 18 conducted. 19 Thank you. Dr. Walters, do you have any comment 20 to make on this very question of retrospective 21 estimate of yield lost to my clients and other 22 harvesters from this so-called experiment? 2.3 DR. WALTERS: Yes. As the Scientific Advisory 24 Committee was being disbanded for the Cohen 25 Commission, I contacted Dave Levy and recommended 26 very strongly that such a retrospective analysis 27 be carried out as part of the Commission's work. 28 I recommended it be an add-on to Randall 29 Peterman's work or contract with Steve Martell. 30 Martell and I had done a similar analysis on 31 earlier data from the Fraser. I also contacted 32 Jeff Grout from DFO and recommended that they do 33 that. And I sent a spreadsheet with the beginnings of a retrospective analysis to Al Cass 34 35 with the request that DFO's FRSSI team use the big 36 FRSSI model to carry out such an analysis. There's been no response to the request to the DFO 37 38 people and I don't know what the Commission 39 decided to do about it. I believe there is a 40 study that is going to attempt something like 41 that.

I carried out a retrospective spreadsheet

analysis for the 1995 to 2009 period and looking

model, that retrospective analysis showed that the

forward for about eight years. And using the

Larkin-type models are correct, has been about

total loss in value from harvesting, if the

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\$200 million not including the loss from 2010, 1 which would be another probably \$40 million. 3 it appears to me that the economic losses were very substantial. 5 When you referred to the Commission, for example, 6 in reference to phoning or contacting Dr. Levy, 7 you're, of course, referring to this Commission as 8 opposed to the Pacific Salmon Commission? 9 DR. WALTERS: That's right. 10 Thank you. 11 DR. WALTERS: Right, right, right. 12 Sorry. Did you have something to say, Dr. 13 Walters? 14 DR. WALTERS: Yeah. Now, the way this retrospective 15 analysis is done is we build a multi-stock. 16 used a ten-stock population model using the Ricker 17 and Larkin equations. And we provide that model 18 with the actual historical recruitment anomalies 19 that occurred over the years. We provide it with 20 the pre-spawning mortality patterns that occurred 21 so that if we give that model the historical 22 harvest rates by timing group, it gives us back exactly the observed historical catches. And then 23 24 what we do is to vary the harvest rates away from 25 those that actually occurred and then ask, "What 26 if we had harvested higher rates or lower rates?" 27 I explored two options. One of them was a 28 steady 60 percent harvest rate through the 1995 to 29 2009 period; in other words, followed basically 30 the TAM rule that ignore pre-spawning mortality. 31 And a second scenario with a 70 percent harvest 32 rate. And the estimate of \$200 million of fish 33 lost comes from that higher harvest rate of 70 34 percent, which is about what the Larkin model 35 indicates overall is the best for the Fraser. 36 did not make adjustments, as one should, for the 37 very low returns in 2007, 8 and 9, which would have reduced the losses a little bit, if I did. 38 39 just supposedly just ignored all of the 40 complications that occurred and just --41 Excuse me, Dr. Leadem wishes to interject. For the record, Leadem, initial G., for 42 MR. LEADEM: 43 the Conservation Coalition. Dr. Walters is

obviously referring to some work that he's done

some oral testimony about it. But I think it

that's not before the Commission. And he's given

would be helpful if we were to see the work of Dr.

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Walters at some stage and be able to offer some commentary on it and perhaps have him come back to 3 answer some questions about this. It certainly 4 takes me a little bit by surprise. 5 I'm in the hands of the Commission. MR. ROSENBLOOM: 6 DR. WALTERS: It's a pity that it does take you by 7 surprise. It's a pity that there has not been a 8 follow-up on the recommendations to do this and to 9 get it before the Commission, particularly by DFO 10 staff using their more complete datasets and so 11 The spreadsheets that I used, or at least an 12 early version of them, I believe you actually do 13 have. But they were listed among the exhibits for 14 this panel. There are a couple of Excel 15 spreadsheets that were early versions of the 16 The versions that I sent to Jeff calculations. 17 Grout I think are listed amongst your exhibits. 18 But you would have to be an expert in population 19 dynamics and spreadsheet techniques in order to 20 make any sense of those. They are not documented. 21 DR. RIDDELL: Don, could I comment? 22 DR. WALTERS: A quick analysis I did to test the 23 feasibility of doing it and so that I could 24 recommend clearly that it be done. 25 Thank you. Dr. Riddell wishes to speak. 26 DR. RIDDELL: Just a simple comment pretty much to the 27 comment that Tim just made in the sense that, as 28 Carl's inferring, this will be a very technical 29 assessment. The standard procedure would be to 30 have this go through a technical review, a 31 scientific review, so that when it comes before 32 the Commission you have confidence in the 33 analysis, in its supports, or we all agree on what 34 is presented. There are obviously a number of 35 critical assumptions Carl's would have to make in 36 terms of meeting these multiple objectives. So I 37 mean I think there's a couple of steps here. had heard of this work in the background but I've 38 39 not had any opportunity to review this sort of 40 material. Yes, it would have been nice to have 41 the Commission have it as a piece of work for the 42 future. But I think realistically right now, this 43 is going to be highly technical, as Carl has just 44 referred to, and it would be probably of greater 45 service to the Commission if you had people review 46 this with Carl and maybe submit a report to you

later on this. It's not something that's easily

- discussed without seeing the documentation.

  Well, we leave that with the Commission. It's obviously out of our hands as counsel but you have heard Dr. Walters' plea for this work to be done by the Commission and that he'd previously made such a request. Unless there are further comments in that regard, I come to my last area, which, quite frankly --
- DR. WALTERS: Excuse me, Don. Before you go on, I do have one additional comment.
- Q Right.
- DR. WALTERS: I don't particularly see this as something the Commission should be doing. I see it as a fundamental responsibility of the FRSSI team and of DFO to be looking retrospectively at their management performance to be asking how they could inform that performance. And I'm frankly a bit shocked that it was not part of the FRSSI process. That kind of careful retrospective analysis wasn't part of the FRSSI process in general.
- Thank you very much, Dr. Walters. I now come to the last area of my examination, which, frankly, I consider probably the most important in terms of long-term sustainability of the resource. And it relates to the budget issues of DFO. And Dr. Riddell, you have spoken about this during previous appearances before this Commission. Discussion was had briefly yesterday by the panel about research that was necessary. In fact, I believe, Dr. Riddell, if I got your words down correctly -- I don't have it from the transcript -- you spoke about the information system going in the wrong direction right now. You did use the term "wrong direction".

I wish to put before you a series of questions and answers that I had with the deputy minister, Ms. Dansereau, before these very proceedings where I raised the whole question of DFO budgeting and raised the question of the 5 percent reduction in the upcoming budget, as she's being ordered by Treasury Board to reduce by that 5 percent.

Dr. Riddell, to put this in context, you did testify a few days ago, and please correct me if I misstate your evidence, that that 5 percent reduction, as you understand it, really is very,

very significant and much more than 5 percent because DFO does not apply the 5 percent to the salary portion of DFO's operations, which is around 70 percent of their total budget; the 5 percent gets hit from the operating expenses of the remaining 30 percent. Is that your testimony of previous day?

- DR. RIDDELL: Very similar to it. Frequently when you get a budget reduction, the 5 percent I know and I should qualify, of course, that I am not in the department in the last two years when these 5 percents have been applied, but the statement that I've heard about the 5 percent is that it's across total budget. That then includes, as I described, your capital, your salaries and wages, your operating funds. The only salary dollars that could be redacted would be salary dollars that are vacant positions. And typically, we don't leave those salary dollars vacant. They would be used elsewhere. But when I left the stock assessment department, as an example, we had pretty well 75 percent of our budget in salaries and so you can do the math very simply. So a 5 percent across total multiplies substantially up by about, well, three full minimum. So that you then have to apply that across your operating budgets.
- Q And have you not testified that it really represents maybe a 15 to 25 percent reduction?
- DR. RIDDELL: It can, depending on how it's actually applied to different programs.
- Q All right. The 5 percent hits the department. How it's transferred to the actual regions could differ. It's possible some areas could have none, some could have ten. That's at the discretion of the Department of Fisheries in Ottawa. When it hits the region, there's another decision process involved of how it's actually assigned to particular programs.
- Thank you. Now, we've heard testimony throughout these many months of a clarion plea for research to be done in various areas that up till now have not been considered or carried out by DFO. I want to put Ms. Dansereau's evidence before you. I want your response. And quite frankly, Ms. Dansereau is coming back at the concluding days of this inquiry and I intend to probably put your responses back to her. She said, and I'm

referring to transcript of November the 2nd of last year. I'm referring to page 63. And Dr. 3 Walters, you do not need this to be before you, I don't believe. At page 63, line 12, where we were 5 speaking of this 5 percent reduction as directed 6 from Treasury Board. Line 12: 7 8 Well, the fact is, there's going to be a 5 9 percent cut is obviously consequential to all 10 stakeholders in respect to this industry, 11 isn't it? 12 MS. DANSEREAU: I would say, well, to -- it could 13 It depends on -- we hope we've done a 14 significantly good enough job to make sure 15 that we -- that there is not that much pain 16 felt. 17 Well, this is an awfully general question to Q 18 you, Ms. Dansereau, but would you agree with 19 me, or let me ask you this, are all science 20 programs, departments, projects, stock 21 assessment, stream enumerations, et cetera, 22 adequately funded up till now, in your opinion, during the time of your tenure? 23 24 MS. DANSEREAU: I would say yes. But it -- you 25 know, I'm sure if we spoke to others, had a 26 greater, more directly-connected to each of 27 the activities, they would probably prefer to 28 have more money. 29 And you'd probably agree with me that within 30 your department there would be controversy 31 and there would be those that didn't agree 32 with you on that question? 33 MS. DANSEREAU: Always. 34 Maybe start with you, Dr. Riddell. This appears to be the thinking of the senior people within DFO 35 36 in the context of facing down a 5 percent 37 reduction in the upcoming year and obviously 38 having experienced previous reductions, as you 39 spoke about them. What is you response to the 40 mindset of the senior people within DFO that they 41 believe that the budget, as currently before them 42 and currently about to be cut, is adequate to meet 43 the very critical matters that have previously 44 testified to in terms of research? 45 DR. RIDDELL: Well, thank you for that loaded question.

Well, I don't think there's any question that I

disagree. I am not surprised at all at her reply

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because, of course, these people are under significant pressure for national priorities and I'm sure there's a very substantial debate in Ottawa where the money goes to the various But I don't think there's any departments. question that you would get a very common response on the west coast with respect to salmon stock assessment, I have said publicly here, I believe, that it's definitely at a marginal responsible level that sort of what we would define as a core stock assessment responsibility is barely being met now. I suppose the irony of this is that I've also told you that there's always a direction to ensure that Fraser Sockeye assessment is met. We've heard that there's a couple of exceptions to that. So the funds that come here --

- Q Excuse me. At great expense to other stock.

  DR. RIDDELL: Thank you. I was just about to point out that --
- Q Thank you.

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- DR. RIDDELL: -- what happens is the money, on a limited budget, goes to the Fraser Sockeye first and there are other salmon species in the Fraser that are not sufficiently funded. And then outside the Fraser in years where money is tight definitely takes a major reduction in order to meet the requirements of the Fraser Sockeye. as I point out again, we are not even doing assessments of Fraser pink salmon. So to say that we're meeting a minimum core is simply not accurate. But in all honesty, how would you know what sort of advice is getting up to that level? She may well believe that's true because that's what she's told. I think that it would be a matter of record, of simply looking at the history of the stock assessment programs, that they are not being funded adequately.
  - Q Well, you speak of competing interests of all departments at Treasury Board level. You would agree with me the responsibility of the senior managers of DFO is to fight out their cause at Treasury Board to ensure that they get their appropriate portion of the national budget?
  - DR. RIDDELL: I can say in all honesty that the people that I have personally known in Ottawa that are responsible for that try very hard. But you get down to national priorities, fishing on the east

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Cross-exam by Mr. Rosenbloom

 coast gets a certain priority, fishing on the west coast may not be as much. Arctic is, of course, now getting a significant priority. So these sort of challenges change over time. And we have significant funding challenges within the department because we now have Coast Guard and ships. And anyone reading the paper knows that there's a significant investment going into ships in the near future.

- Well, when you say from the people that you knew at very senior level, that they tried very hard, would you not agree with me that this deputy minister's testimony before this inquiry, giving this testimony under oath, before a Royal Commission, isn't trying very hard to fight the good fight at Treasury Board to get them necessary money?
- DR. RIDDELL: Well, I don't think I can comment on that. I mean I think you can draw your own conclusion from her comment. That's not a reflection of necessarily how hard she's trying but if she's been given a budget and she believes that you've allocated within that budget, these budgets are very large at a departmental level. There is discretion about where you send money within that department. So there are many levels of decision involved before it gets down to the Pacific salmon on the west coast.
- Before I stand down, I wonder if any of the other panellists have any response to the testimony that the deputy minister gave in these proceedings. Dr. Walters?
- DR. WALTERS: No.
- Q I'm sorry, you don't. Do either of the other two panellists?
- DR. WOODEY: No.
- MR. ROSENBLOOM: Hearing nothing, I thank you very much, gentlemen, for answering my questions.
- MS. BAKER: Thank you, Mr. Commissioner. Mr. Eidsvik is next for the Southern Area E Gillnetters and B.C. Fisheries Survival Coalition.
- MR. EIDSVIK: Thank you, Mr. Commissioner. Philip Eidsvik on the record for the Area E Gillnetters Association and the B.C. Fisheries Survival Coalition.

## CROSS-EXAMINATION BY MR. EIDSVIK:

- Q I want to start off with a couple of general questions. And it kind of goes to the key of science for me. I know doctors have kind of a "do no harm" principle and we've talked a lot about the great experiment. And I'm trying to understand the scientific process because I'm a fisherman; I'm not that smart about this stuff and it's a bit intimidating to be here with the gods of fishery science on the Fraser. With respect to the experiment that we did on the Fraser River, Dr. Woodey, perhaps you can answer, Fraser River is one of the biggest sockeye systems in the world; is that correct?
- DR. WOODEY: Mr. Commissioner, the Fraser Sockeye, as a composite stock grouping would only be second to the Bristol Bay stock grouping.
- Q And at the time the experiment was done, you were probably aware that there were tens of thousands of fishermen, large processing plants, Aboriginal groups fishing it for food, a smaller recreational fishery but still a recreation fishery, so the stakes on how the experiment turned out were fairly high. Is that fair to say?
- DR. WOODEY: Mr. Commissioner, the term "experiment" perhaps was not the best. It's an inadvertent result of a policy that was initiated by the Department of Fisheries and Oceans in order to provide for conservation of Late-Run Sockeye, which were coming upstream at a much earlier time and consequently dying en route. And in order to address that issue, the Department of Fisheries and Oceans reduced the harvest rate, depending upon the year. And those harvest rates on Late-Run Sockeye only, that's where they were applied, were, in some years, as low as 13 to 15 percent; in other years, 30 percent or higher. But considerably lower than historical harvest rates. What was difficult about that application was that the majority of the catch was allowed to be taken in the outside marine area fisheries and at times by the timely regulation to open the Fraser River for fishing at a later date arrived that harvest of late-run fish had been taken and so any fish that then entered the Fraser was allowed to migrate upstream. Both late-run fish, early-

migrating late-run fish and the co-migrating summer-run fish. And it's the summer-run fish that became the experiment, under my terminology, that is, the escapement levels of particularly Quesnel Sockeye in 2001 and 2002 were very large and, thus, the "experiment" has shown that the over-escapement, that I term over-escapement as, has resulted in disastrous results for the Quesnel Sockeye run.

- That helps. I was going to get into that a bit later but we're here now. And you call this problem, I think you called it, the "elephant in the room"?
- DR. WOODEY: Well, the Late-Run Sockeye, yes, is the elephant in the room because not only are we seeing a reduction of the productivity of Quesnel Sockeye, as a result of the management decisions on the basis of Late-Run Sockeye, but also we're seeing, of course, decreased abundance of several of the late-run stocks, which are subject to this pre-spawning mortality: Cultus, Weaver and some of the other stocks. Fortunately, the large late Shuswap stocks, Adams River and lower Shuswap River and associated stocks have been migrating at a later time. A lower fraction of their run has come up during the summer and subsequently have not had excessively high mortalities. So their strength has been maintained, their population sizes and, in fact, in 2010, the late Shuswap stocks have, I believe, come up close to the record level of abundance.
- Q Now, did you give advice to DFO on how to deal with this problem back when it was being discussed when we were first aware of this early entry issue?
- DR. WOODEY: I gave advice to the Fraser River Panel in 2001 in the planning phase. We had been given the expected, forecasted returns. And then based on the experience of the timing of Late-Run Sockeye in 2000, my recall isn't precise but I believe we essentially assumed that their migration would be early in 2001 again. And in the modelling, and this is a simulation modelling of fisheries that the Pacific Salmon Commission uses to assist the Fraser River Panel in managing the sockeye resource that those simulation models indicated that a large fraction of the summer-run fish would

escape to the spawning grounds. That was the dominant year of the Quesnel runs and, therefore, very large excess escapement was forecast to occur. And other summer runs as well.

So our approach, that is, Pacific Salmon Commission staff approach, was, because we knew from experience that the late-run fish in the river, migrating in the river in August had very low expectation of survival that fishing should occur in the Fraser River to harvest primarily the surplus summer-run fish that were being allowed to go upstream or would be allowed to go upstream, if the fishery was closed. And there wasn't a targeting of the late-runs, to catch those fish; it was to allow the summer-runs to escape at more MSY levels, as opposed to the expected surpluses that would be anticipated.

- What did you expect the mortality of those early entry fish would be? Are we talking 10 percent you expected to die? Fifty percent? Ninety percent? Can you help us on that?
- DR. WOODEY: The expectation, I don't recall specifically, but the experience that we had in 2000 was that very roughly 95 percent of the laterun fish in that year migrated upstream in August and we made a calculation that the en-route mortality based on the numbers of fish that were estimated to have passed the Mission hydroacoustic site and the numbers that reached the spawning grounds in particularly Weaver Creek that year, less the pre-spawning mortality of the fish that did reach the spawning grounds, the mortality prior to spawning was about 95 percent. words, only 5 percent of those fish did survive. And my recommendation was that we could probably fish in the Fraser River until about August 25th without having a major impact on the numbers of late-run fish that would survive to spawn. And that would have allowed fisheries to capture primarily the summer-run fish, which were excess to escapement requirements. That recommendation was not adopted.

Some of the things that would have been difficult to work with were that Canada had gear allocation requirements where each gear type, seines and Area B seines, Area D gillnets, G and H Troll and so on, outside marine area fisheries,

would not get a share of this catch of surplus fish in the Fraser River unless there was some mechanism developed to attain it, primarily to take those surplus fish. I'm not the one to decide or determine how Canada allocated its catch but the harvest of some of those excess fish would have been very desirable, from my point of view, and would have, if it had been adopted, perhaps mediated the decline in the Quesnel stock and if it had been adopted and used as policy subsequently.

- So I think if I understand you correctly then, the early entry late-run fish were coming in over a period of about 30 days and the fish at the early part of that were more likely to die than the fish at the later part of the run. Have I got that correct?
- DR. WOODEY: Yes, that's correct. I mentioned yesterday that the Fraser Sockeye, on average, most stocks don't reside in freshwater for more than about six, seven weeks and, in fact, late-run stocks, on average, reside in freshwater more in the order of three to four weeks. And in the year 2000, Weaver sockeye migrated upstream seven weeks early. So those that were coming in during August, in my estimation, were those that principally were mortality. The question of mortality versus timing was addressed by radiotagging on the Adams River sockeye in year 2000, 2003 and 2006. And the results of those tagging records and subsequent tracking resulted in essentially showing that a very high proportion of sockeye that migrated into the Fraser River before about August 20th, on average, died en route.

What was missing in the radio-tagging was the assessment of pre-spawning mortalities once they got to the spawning grounds. DFO, through Timber Whitehouse, stock assessment biologist for the Fraser River, tagging at Ashcroft with disk tags, showed that, in fact, the earliest component of the run had survival of down in the 1 percent range. So there was a good deal of information that gave evidence that the late-run fish that migrate into the river during August, at least to the 20th or 25 of August, have relatively low success of survival in spawning.

Q So if I understand --

THE COMMISSIONER: Mr. Eidsvik, I'm sorry. I note the 1 time. 3 MR. EIDSVIK: 12:30. THE COMMISSIONER: So perhaps we will take the break. 5 Thank you, Commissioner. MR. EIDSVIK: 6 THE REGISTRAR: The hearing is now adjourned until two 7 o'clock. 8 9 (PROCEEDINGS ADJOURNED FOR NOON RECESS) 10 (PROCEEDINGS RECONVENED) 11 12 Order. The hearing is now resumed. THE REGISTRAR: 13 MR. EIDSVIK: Good afternoon, Commissioner. 14 Eidsvik still on for the Area Gillnetters and the 15 B.C. Fisheries Survival Coalition. 16 17 CROSS-EXAMINATION BY MR. EIDSVIK, continuing: 18 19 Before the break, Mr. Woodey, we were talking 20 about the early entry problem, what you call the 21 elephant in the room, and we got to the point 22 where we were discussing the events in 2001, where 23 there was awareness of a problem and you had 24 proposed a solution, and we were talking about the 25 results. And if I understand correctly, we put a 26 lot of fish up the river in 2001 from the Summer 27 run and from the Late run, an excess on Summer, 28 and 90 or 95, or even higher percent of fish that 29 would have died from the early entry Late run 30 which we could have harvested, and this had 31 deleterious impacts on the following cycles. 32 I'm a little bit interested, now, on just how 33 the advice on that were, because there must have 34 been a debate inside the commission on what to do, 35 and you've said your solution, and you said you 36 advised the Fraser Panel. 37 What was the Fraser Panel's reaction to your 38 advice, do you remember?

DR. WOODEY: Mr. Commissioner, the details were in the Fraser River Panel annual report to the PSC, the commission for 1991 -- 2001, excuse me, and the issue was not resolved on the Fraser River Panel level because the U.S. side and the Canadian side could not agree on the strategy that Canada was proposing, and they bumped it up to the commissioners, to the Salmon Commission members themselves, and there was negotiation there, and

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the Canadian side made an adjustment on the proportion percentage of harvest permitted on Late run stocks, but that was some adjustments - I can't remember the numbers - from 15 percent harvest to 19 percent harvest, and that was accepted by the Pacific Salmon Commission and implemented, then, by the Fraser River Panel. That's helpful. On the Fraser River Panel, of course, the chair of the panel is a DFO staff person in recent years; is that correct? DR. WOODEY: I'm sorry, I didn't hear? 

- Q I'm sorry. The chair of the Fraser River Panel, is that usually a DFO official?
- DR. WOODEY: The chair of the panel rotates between the Canadian side and the U.S. side, and traditionally, when the chair is on the Canadian side it would be a DFO member, a DFO staff member, and the person that was the chair of the panel at that point, I can't -- I have a report I could check, but it wasn't -- it's not in my memory bank.
- That's fine. The position that industry took in 2001, do you remember that? When I say "the industry" I refer to the public commercial fishery representatives on the panel. Do you remember their position?
- DR. WOODEY: No. I was never given anything but a basic rejection of my proposal.
- O Okav.

- DR. WOODEY: And at that time the caucus, the Canadian caucus then developed their policy and presented it to the U.S. side.
- Q We'll deal with that in, perhaps, one of the many hearings coming up. I have a couple of questions that are a bit off topic. An earlier witness before the commission said that two sockeye stocks had gone instinct I think Terry Glavin was a witness and he referred to the Alouette and Coquitlam Rivers, but we never did get an explanation of why those rivers went extinct, the Alouette and Coquitlam. Do you know why they went extinct?
- DR. WOODEY: The Alouette and I believe the Coquitlam both went extinct because dams were build in the -- well, certainly the Alouette, I believe, was the mid 20s, 1920s, and that dam was high enough that it was not fitted with a fish ladder, and at

that time the powers that be, the provincial fisheries manager at that time, or responsible person, agreed that due to the power production demands, that the sockeye run would not be protected.

And the Coquitlam, I think, was primarily a water source dam, reservoir for water source. The details are not --

- Q Thank you. I had one more question on escapement. Now, in the mid '60s the Fraser River sockeye were at very low levels, and obviously there was a fishing industry that was quite active, with lots of vessels, and probably in the commission - and you can correct me if I'm wrong - you always had, "Okay, how do we balance our desire to increase the run with our desire to maintain fisheries." Did you have an escapement policy that accomplished those goals? I mean, obviously the runs were rebuilt from the '60s/'70s/'80s, there was a viable fishing industry. Did you have an escapement policy during that period? I don't really understand what happened then.
- DR. WOODEY: I was not aboard the commission staff until '71, and therefore I can't necessarily answer the question relative to the '60s. But in the '70s the Pacific Salmon -- the International Pacific Salmon Fisheries Commission definitely had a policy of ensuring that the conservation of the stocks was foremost, but at the same time, they recognized the economic and social values that were posed through the commercial fishery. And I say "commercial fishery" because their responsibility was strictly with the commercial fishery, and Canada's responsibility was for the First Nations Aboriginal fisheries.
- Q Thank you. I have one more question. If we could turn to Exhibit 75, and it's the book by John Roos, called, Restoring Fraser River Sockeye, and we're at page 303. And if we go to page 303, the very last sentence in that paragraph, and I'll read it:

The Commission's ability to get the job done was primarily related to the simplicity of its mandate and the efficient manner in which it was permitted to implement the decision-making process.

Do you agree with that statement, Dr. Woodey?

DR. WOODEY: Yes. The power delegated by the countries to the International Pacific Salmon Fisheries Commission were, if you will, nearly absolute; in other words, both countries delegated all responsibility to the commissioners, three from each country and, therefore, when the commission made a decision about an issue, it became implemented on both sides as part of the responsibility of the countries to undertake for the implementation of regulations. But the fact that it was a very short line of command then led to, let's say, a more efficient operation.

Some of those people that were instrumental were Senator Bill Reid, a senator from New Westminster. He was a senator of the Canadians, you know, federal senate. And on the U.S. side people that were either from Washington, D.C. or had direct contact with people in Washington, D.C. that then made the lines of decision-making very quick, very short.

So say when money was needed to build the Hell's Gate fishways in the early '40s, even during the war period it was deemed sufficiently important that both countries funded that request of the commissioners in 1942/'43, and that was the -- you would expect that that would have been very difficult, but it was done because it was deemed to be a very high priority issue, to rebuild the Fraser River stock.

- Now, so in those days, if you were faced with a decision like you were faced with in 2001, it would have been easier to make a decision because there wasn't so many people involved in the room, I guess is what you're saying, in essence? It's easier when there's a direct line of communication?
- DR. WOODEY: It was a different world back then, but I can't honestly relate, because I wasn't there in the '40s, and I would say certainly there were, from the IPSFC perspective, only one -- only two clients; the fish and the fishermen, the commercial fishermen. And they had an advisory committee, members from the fishing -- commercial fishery, sport fishery advisory group at that time.
- Q Now, at page 33 of this book there's a quotation

and a discussion by a De Witt Gilbert, and it's about there was a strong debate in those days whether the Hell's Gate ladders needed to be built. And in his book he says:

Here was the Great Fallacy into which men fell so readily:

That the block at Hell's Gate had been

removed by the subsequent excavation;

2. that pre-1913 conditions had been restored;

3. that such conditions were wholly satisfactory for the migration of sockeye;

- 4 that all salmon which escaped the commercial fishery spawned effectively
- 5 that overfishing was the sole cause of the continued low level of sockeye abundance;
- 6. that the situation could be corrected by controlling men and their fishing.

So even back in, I guess this is from the pre-1940s, there's a debate about whether over-fishing is solely responsible, but is it fair to say that the success of the Hell's Gate ladders proved that Dr. Gilbert is right, when he was saying we needed to solve the Hell's Gate ladder issue?

Maybe can I rephrase that, Dr. Woodey, if that's a problem? That was a bit of a ramble question. Or do you have an answer you want to give?

DR. WOODEY: Mr. Commissioner, the people in the -after 1913, did an extraordinary amount of
engineering work to try to restore Hell's Gate to
a pre-slide condition. When I say "pre-slide"
that means that there was a great amount of rock
that came into the river that then constricted the
flow of the river, made it difficult for fish to
get through, and they removed a lot of that loose
rock, which was dumped into the river by the
construction of the CN track running through the
canyon.

And it remained, after it had been so-called "cleaned up", the people locally said, "Well, we've done our job," and therefore it didn't need

to be done, and so it was part of a controversy, and when the engineering people went in, from the IPSFC, went in and looked at the velocities that were at the Hell's Gate at various water levels, determined — they actually built a whole model of Hell's Gate at the University of Washington Engineering Hydrology Department, in the engineering department, and looked at the velocities at different water levels and so on, and the result of that was a determination that there were, in fact, blockage conditions at certain water levels and certain locations, and where rock was protruding into the river and causing difficulty with fish passage.

That convinced the engineers that needed the fishways. Fishways were built beginning — the first one being completed in 1945. And other fishways that were what we call "higher level fishways", operational at higher water levels, into the early '60s. They proved very successful in passing fish with little delay and, in fact, after the extinction of the IPSFC at the end of 1985, the Department of Fisheries and Oceans did construct additional facilities. So there was recognition, certainly, that those fishways were required and were certainly justified.

- So there was two debates at that point. If we had focused on simply controlling the alleged over-fishing by the marine fishing fleet and not built the Hell's Gate ladders, would we have had the success in rebuilding the runs that we had enjoyed prior to, say, 1990?
- DR. WOODEY: I'm probably not competent to answer that I do know that, for example, in 1941, question. with the original configuration of the natural, if you will, restored system, that there was a certain range of water levels in the canyon that was impassable to fish. And normally the river dropped through that range fairly quickly and wouldn't delay fish for very long, but in 1941, that water came down into that range and stayed in that range for six weeks. And it was during the upstream migration of the Chilko sockeye and Quesnel sockeye. And we don't know the numbers of Quesnel sockeye in total, there wasn't any racial ID work, but only 1,000 fish were estimated to have reached the spawning grounds that year, and

nearly extinguishing the stock. And from that 1 1,000 fish in 1941, with virtually no fish in any 3 other line years, the Quesnel run was rebuilt to 4 where it produced over 10 million fish in 1993. 5 I think that's a sufficient answer. When the 6 IPSFC turned over the fishery to the Pacific 7 Salmon Commission compared to when they got it, is 8 it fair to say that was a pretty remarkable 9 achievement that anybody involved could be proud 10 of, in terms of rebuilding Fraser River sockeye? 11 DR. WOODEY: I think certainly anybody that worked with 12 the IPSFC felt that they had done a good job in 13 fulfilling the mandate that the IPSFC had been 14 given by the countries. 15 Thank you, Mr. Woodey. I have a few questions for 16 Dr. Walters. Are you still there, Dr. Walters? 17 Thank you. It's the question that I want to 18 clarify from this morning that Mr. Rosenbloom 19 raised, and I'll see if I can put it fairly 20 After 100 years of fishing at an 80 simply. 21 percent exploitation rate, according to what you 22 saw, only three sockeye stocks were declined by 23 about 1990; do I have that correct? DR. WALTERS: I just looked at the more recent data 24 25 period, from 1950 to 1995, where there were high 26 exploitation rates over that period. But I looked at two different datasets; one of them involved 28 27 28 stocks, a database that DFO, Paul Ryall, put 29 together in the PSC database, and there were three 30 declining stocks out of the 28, I guess it was, or 31 Then I looked at a much larger database with 32 106 time trends in it, and a lot of them are 33 spawning areas that we wouldn't necessarily 34 consider to be distinct stocks. And in that 35 database I found 11 stocks declining over that 36 period. 37 In both cases, whether aggregate or 38 39

disaggregated data, it's about 10 percent of the stocks were declining, and a much higher percentage increasing or stable or increasing, with some really remarkable rebuilding or recolonization/rebuilding particularly in the Early Summer runs of the Shuswap region.

Thank you, Dr. Walters. I want to go to the issue of Cultus Lake, because it comes up again and

Thank you, Dr. Walters. I want to go to the issue of Cultus Lake, because it comes up again and again. And we know that Cultus Lake sockeye have been in trouble, and they're a unique fish in that

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they actually spawn in the lake and stay in the lake; do I have that correct?

DR. WALTERS: That's right. They're shoal spawners.

- DR. WALTERS: That's right. They're shoal spawners. Well, yeah, I don't think the actual spawning locations are well understood, but yes, they're pretty weird.
- Now, were you aware that in the 1950s copper sulphate was dumped into the lake to try and reduce swimmer's itch?
- DR. WALTERS: No, I wasn't.
- Q You're laughing. Does that mean you know what copper sulphate is?
- DR. WALTERS: The stock was relatively healthy. It was relatively stable in abundance, so it didn't show cyclic patterns. It wasn't until the '70s that it started the decline and moved into a cyclic pattern. At least according to the escapement records of the Salmon Commission.
- Q Fairly heavy population pressure on Cultus Lake; is that fair to say?
- DR. WALTERS: Yeah.

- Q Recreational development, such as boating, cabins?
- DR. WALTERS: Yeah.
- Q Docks, a boat-launching site right next to one of the preferred beaches for the sockeye?
- DR. WALTERS: Yeah, yeah, and a whole bunch of other things impacting them, like a conservation hatchery that's supposed to save them that's a scary possibility that it's hastening their demise. Lots of things wrong with Cultus, yes.
- Now, DFO, there was a successful predator removal program there at one point, as well as a milfoil program, but I gather that that program just ran for a few years and then stopped for a long time. Can you tell me about that a little bit? Are you familiar with it?
- DR. WALTERS: I don't know about the milfoil program. As far as I understand, the predator control program, I believe it's still continuing. It did appear to increase survival rates in the lake, perhaps fairly substantially. But with the overall declines going on in the stock and so on, it's really hard to separate out the effects, the positive effects of that control program.
- Q Those are my questions on Cultus, and I expect that we'll get back to that as we move through the process.

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The last bit of questions I have to you is on the exhibit that was entered this morning, the Biological and Fishery-Related Aspects of Overescapement in Alaskan Sockeye Salmon. Are you familiar with the report, Dr. Walters?

DR. WALTERS: Yes.

 I'm not going to go through it in detail, but I thought at page 15, on the second paragraph, there's a sentence, and I just need to know if you would kind of agree with what you might see.

Twenty-two of 29 stocks exhibited a decrease in average yield when overescapement occurred. Averaged across all 29 stocks, yields decreased 48% when overescapement occurred relative to when the current escapement goal was met. On average, variability in yields increased 278% as overescapement occurred.

Is that the type of issue that we were talking about in the concern this morning about over-escapement?

DR. WALTERS: In part, yes. There's certainly an obvious increase in variability of returns when spawning stocks are high. By their definition of over-escapement, there had to be a decrease in yields, right? So I guess you'd call it a circular argument.

Q Yeah.

 DR. WALTERS: Their definition is oriented and built around a presumption that the fundamental goal of management, in relation to your earlier discussion, is for the fisheries, and that definition is a fisheries management definition of over-escapement.

Q That's very helpful.

DR. WALTERS: It basically says, "Don't waste fish."

Q As you go down the page a little bit, I was recalling the discussion about the impact of nutrients in the system, and down the page a little it says:

Reduced sockeye salmon production was associated with a decline in macrozooplankton density from 3,590 per cubic metre...when escapements were within the current

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escapement goal range to 140 per cubic metre...

So a major decline in nutrients in the systematical metres are not seen to 140 per cubic metre...

So a major decline in nutrients in the system. Is that a surprise?

DR. WALTERS: No. The Alaskans have done a lot of really good research work on this whole business of marine-derived nutrients in the role of productivity, and they have a lot more case examples than we do of systems where the MDN effects seem to be large. In fact, the very first research that I know of, by Ole Mathisen on the Kvichak stock in Bristol Bay, he insisted, and the data certainly seemed to continue supporting that marine-derived nutrients were critical to the health of that largest sockeye stock in the world.

But one of the reasons we -- we have to be really careful about that in B.C. because we have at least a couple of our bigger stocks that don't have those benefits, because they're spawning at outlets, Chilko and Adams. And as I mentioned yesterday, at least some of the MDN effects are already represented when we do the stock recruitment analysis in the sense that the recruitments we observed have been impacted by those MDN effects.

One of the things we expect to see, if the marine-derived nutrient effects are really large an expect that recruitment rates ought to increase disproportionately as spawning stock goes up from very low levels. We see very little indication of that kind of acceleration and productivity at lower stock sizes in the Fraser stock.

That was along way of saying, "Yeah, it's neat stuff."

Near the end of the paper they just have a conclusion, they say:

Overescapement, in general, is not sustainable, as it causes returns and yields to decrease in the next generation, which also result in lower escapements.

The authors of the paper, Robert Clark, Mark Willette, Steve Fleischman, and Doug Eggers, are they credible scientists?

DR. WALTERS: Yes, they are. Doug Eggers, in

particular, has been a real leader in the development of salmon biology and salmon research and population dynamics over the years. He started out, like Jim Woodey, working on Lake Washington and developed a lot of our fundamental understanding about how fish interact with the plankton communities in the lakes and the really neat adaptations that predator and prey have to one another. I don't know the other people. I've met them, but I can certainly say that Doug Eggers is a major leader.

MR. EIDSVIK: Thank you. I think those are my questions, Mr. Commissioner. If I could only ask one thing, and it's if we could have Dr. Woodey and Dr. Walters back at some point? Dr. Woodey's the only scientist, so far, to appear before this commission that has had almost a perfect record of Fraser River sockeye management. In our little circles we call him the Steve Nash of Fraser River sockeye, and I think he can offer an awful lot on management decision-making processes, much more than the subject he was confined to, today.

Thank you, Commissioner, and thank you, the witnesses.

DR. WALTERS: I'm sorry, before you leave the seat, sir --

MR. EIDSVIK: Yes?

DR. WALTERS: -- Mr. Eidsvik, I'd just like to say, I'd be happy to come back. I'm going to be giving a lecture tomorrow to the University of Florida students about decision-making processes in fisheries, and I'm going to use the contrast between the Pacific Salmon Commission's management approach that you've asked Jim to describe, with relatively clear, relatively simple objectives. I'm going to compare and contrast that to what I heard about the DFO management system as described to the Cohen Commission this last fall, and I would really like to speak more to that. That DFO system is a structured decision-making process that, in my view, is pathological.

MR. EIDSVIK: Thank you, Dr. Walters.

MS. BAKER: The next participant is the West Coast Trollers Area G, with Mr. Watson.

MR. WATSON: Thank you, Mr. Commissioner. Again, it's Chris Watson, for the West Coast Area G and the United Fishers and Allied Workers Union.

## CROSS-EXAMINATION BY MR. WATSON:

- Q At the risk of blowing my time estimate out of the water, Dr. Walters, I'm very curious for you to pick up on the very last point that you made about the DFO system and it being pathological. Could you describe for the commission, please, what you meant by that?
- DR. WALTERS: That system is what we call a structured decision-making process in which a group of scientists, DFO staff and so on, work with stakeholders to try to reach some kind of consensus on matters like the TAM rules, the harvest policy rules, and at least as it was described by DFO staff last fall, also in inseason decision-making.

My experience with those kind of processes is that --

- MS. GAERTNER: Mr. Commissioner --
- DR. WALTERS: -- what happens is that --
- MS. GAERTNER: -- I wonder if I could --
- DR. WALTERS: -- in the science (indiscernible overlapping speakers)
- MS. GAERTNER: -- speak to this before he answers this question?
- MR. WATSON: Dr. Walters, just hold on a moment, thank you.
- MS. GAERTNER: I'm loathe to become argumentative on this matter, but we're having a difficulty staying on topic. That's been something we've been asked to be encouraged to do this entire commission. There are a zillion topics. If Mr. Walters' opinion on this is valuable to the commission, I suggest it be provided when we're dealing with this topic and not dealing with the topic of delayed density dependency. And we can't keep cross-examining on new topics, on new ideas, in the moment, and try to get finished today's topic, never mind others. We've been encouraged all along through this commission to stay on topic.
- MR. WATSON: Mr. Commissioner, I'm very much in your hands on that. This is a dynamic process, of course. Dr. Walters is here. If there's an opportunity for him to come back to elaborate, then that would be --
- DR. WALTERS: Well, let me make it really -- just to give you a really quick answer. It related to the

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issue of low exploitation rates in recent years and possible over-escapement. As I see the way that decision process works, people that are involved in it are thrown a huge variety of statistics and models and calculations and so on like that, a bewildering variety that I, even, as an analyst who develops those kind of models, would be at a loss to advise about policy in those settings. It's just too complex.

I think an outcome of that kind of process is extreme decisions. People grab onto simple objectives, like, "Let's protect Cultus," and they cling to those objectives, rather than looking broadly at the impact, economic and impacts on fishermen and other things. More than that, I think those processes are vulnerable to inadvertent or deliberate abuse by the science staff through the way the information is presented.

So, for example, the Wild Salmon Policy information, as it was presented to the commission this last fall, involves these red light/green light/yellow light things for a large number of stocks. That kind of way of presenting information invites misinterpretation. It invites poorly balanced decision-making. There's a need to return to simpler overriding objectives, clear priorities, a hierarchical objective and decisionmaking with regard to allocation among user groups, always with conservation first. There are a lot of ways to improve the decision process, simplify and improve the decision process to make it look -- work more like the Salmon Commission's process did.

Q Okay. Thank you, Dr. Walters. I have just a couple of questions for you, Dr. Walters, and really following from your evidence, yesterday, to bring clarification, at least to me. In direct examination of you by Ms. Baker, you were asked to:

...clarify the experiment to rebuild the off-cycle years, -

-- and that's the strategy that --

- is that the strategy, -

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-- you were asked --

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 - that we've heard being called the Rebuilding Strategy?

and you said:

That's right. The one that seems to be failing, and that if we had paid closer attention to [Dr. Woodey], and if we'd paid closer attention to other long-term analyses done by Pacific Salmon Commission staff, like Gilhousen, we probably would not have recommended.

So if you can recall, Dr. Walters, what was being said at that point in time - I understand this would be 1985, '86 or '87, in that range - by Gilhousen, Dr. Woodey, that if you had been paying attention to the rebuilding strategy would not have been recommended?

DR. WALTERS: The key mistake I believe we made came out in a paper by Jeremy Collie and I, and Randall Peterman, in 1990, and that's when we sort of officially recommended the off-cycle rebuilding experiment and talked about how to do that in terms of the timing groups. In that paper, we did a formal decision analysis, did a kind of cost benefit/risk analysis-type calculation of whether it was worth pursuing the experiment, because there would be immediate losses in fishing and so on.

And we overtly discounted the possibility of strong delayed density dependent effects. We said, "We just don't believe the Larkin model, we don't believe the delayed effects could be so large." And had I known about and had we looked at the Gilhousen order - I guess it wasn't out quite then - if we'd looked even more carefully at Ricker's older work and seen the violence of the original cyclic behaviour of these populations, I'd have taken Jim Woodey's warnings a lot more seriously. We'd have left the Larkin model in our decision analysis and it would have very likely told us that the downside of potential loss of the experiment exceeded its potential benefits.

Q Okay. Thank you.

- DR. WALTERS: We would have proceeded with much more cautious recommendations about the possible downsides of the experiment.
- Q Thank you, Dr. Walters. My other question stems from a question from Ms. Baker further along, yesterday, and it started being addressed to Dr. Woodey about maximum sustained yield, MSY, and after Dr. Woodey said what he had to say, you said that:

It was discovered in the early 1970s that, in general, maximum average yield is a better word than sustained yield. Maximum average yield for long periods of time is obtained by following a fixed escapement policy, not a fixed harvest rate policy, and not any other more complex rule.

So if we could underscore "fixed escapement policy", and I want to ask you, sir, what you mean by "fixed escapement policy"? Do you mean a hard cap on the number of fish escaping, or is a percentage, and if it's a percentage at what rate?

- DR. WALTERS: It's a spawning stock number, "X" million fish, and when the total run is less than that number, you take nothing; when the run is above that number, you take all of the surplus above the number.
- Q All right.

- DR. WALTERS: In other words, you try to hold the spawning stock at that single target level and let all of the variability and recruitment be absorbed by the fishery. So it turns out that the maximum average yield policy also maximizes variability seen by fishermen.
- Q All right.
- DR. WALTERS: It's a peculiar result. It can be proven mathematically that for a really wide range of population dynamics models and so on it's a very robust result. It's been confirmed through optimization and simulation studies repeatedly since then.

We also found, at that time, and we just published a couple of years ago an analysis of the Fraser River sockeye, in historical data, losing the historical variability to look at different harvest strategies, that with a relatively minor 64
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loss in long-term yield, about less than 10 percent, it can move to a fixed harvest rate policy, a fixed proportion harvest in each year.

So that causes over-harvesting in some years relative to the optimum spawning stock, and in other years you under-harvest a bit.

It results in a minor loss in yield, but it dramatically stabilizes fishing opportunities, and it's much simpler to implement in the field. We can implement fixed harvest rate strategies simply by fixing the times and areas of fishing at locations where we have reasonable confidence about what proportions of the stock will be at risk to harvest, and you let the fishermen take essentially everything that's in those areas at those times.

That's really what made the historical management system successful in the first place, was that fisheries took place in restricted times and areas that where they took a relatively stable and predictable proportion of the runs.

- Thank you, Dr. --
- DR. WALTERS: Long answer?
- MR. WATSON: Thank you, Dr. Walters. Those are my questions.
- MR. LOWES: It's J.K. Lowes, for the B.C. Wildlife Federation and B.C. Federation of Drift Fishers. Just a couple of questions.

## CROSS-EXAMINATION BY MR. LOWES:

Q First of all, some fundamentals upon which most of the discussion about over-escapement over the last couple of days has taken place. Population dynamics is a discipline that is not confined to fish; it's a basic biological discipline and the principles are well known biologically; is that correct, Dr. Walters?

- DR. WALTERS: Yes.
- Q And in fact, the discipline goes back to, I believe, the 17th century, with Malthus?
- DR. WALTERS: That's correct.
- Q And so the discussion that's taken place over the last two days or so is really a discussion about the application of principles that have been studies for a couple of centuries?
- DR. WALTERS: Yeah, Malthus pointed out that natural

populations of people or anything else cannot continue to grow indefinitely, that they must exhibit what we nowadays call density-dependence. He had a view that things are very catastrophic, that things would build up and catastrophically over-harvest food supplies or wars and famine and pestilence, bad things would bring populations back down.

We now understand that it's generally the case that as natural populations increase there's a progressive decrease in reproductive success and productivity as animals become more crowded they're forced to use less suitable reproductive sites, they encounter less food and their juveniles need to spend more time feeding, and they get eaten more by predators. So it's a bit different picture than his, but not fundamentally.

- Q And you've used the term, a couple of times, "violent cycles". Am I correct that "violent cycles", in nature, are not confined to salmon and not confined to Fraser River sockeye salmon?
- DR. WALTERS: No, there are cyclic sockeye populations up in Alaska as well, like the Kvichak, historically, was the biggest of them all. There's lots of cyclic animal populations. I guess in Canada the most famous are lynx-and-hare cycles. There's also a wolf-moose cycle of much longer, 35 to 40-year period. Generally, the belief amongst ecologists so it hasn't been proven is that these are associated with predator-prey relationships.
- Q And am I correct, Dr. Walters, that the densitydependent effects are primarily related to, or primarily impacts to survival rather than fecundity; in other words, the number of eggs that are laid are by and large the same amount from year to year; it's the survival of the eggs or the fry?
- DR. WALTERS: Yeah, that's right. We see dependence of body size on abundance, and with that a small change in fecundity at very high densities. But salmon seem to insist on translating competitive effects that would normally impact their growth. They transfer much of that effect into changes in survival rate, by changing their behaviour so as to try to maintain growth.

As Jim Woodey's pointed out, juvenile sockeye

certainly do show density-related changes in growth as well as survival, but those get pretty much wiped out by compensatory feeding as the animals get older. And at what stage of their lifecycle are the sockeye most vulnerable to density-dependent effects? DR. WALTERS: There's a few examples where we see 

- apparently strong density dependence in the eggto-fry stage, indicating probably limitations on
  the availability of good spawning habitat, but for
  the larger stocks most of the density-dependent
  mortality appears to occur early in the first
  summer of life in the lake. So by the time
  sampling of -- acoustic sampling of fry that have
  been in the lake for about four or five months in
  late summer in Quesnel, by that time we're already
  seeing quite a strong density-dependent survival
  relationship. And we think that continues on, at
  least through until a smolt stage, and may even be
  occurring in the ocean as well.
- Q Does anyone on the panel have a challenge to that evidence, or would like to express agreement?
- DR. RIDDELL: Well, I wasn't sure what Carl meant. You asked about fecundity. There are significant effects on fecundity with very large population sizes. The animals can get quite small. That is one of the extraordinary events of 2010, where you have an extraordinarily large run and very big fish. That is definitely an exception.

So the density effects can be carried through to fecundity. That definitely does occur.

DR. WALTERS: Yeah.

Q Dr. Woodey?

 DR. WOODEY: Just to follow up on Dr. Walters' comments about the lake, it's become my judgment, if you will, that most of what we're seeing in cyclic dominance is occurring in the lakes, and that's just from my personal perspective. It's where the resources are more limited, et cetera, and therefore, I believe, most of the density-dependent issues become expressed there.

What we see for fecundity is that the ocean environment changes are probably much more effective in determining fecundity, the number of eggs that each female has. When we look at the regime shift in 1977, fish size declined, mature,

1 adult sockeye fish size declined beginning at that point, after being fairly stable. And, in fact, 3 in the late '40s and early '50s, quite high, quite large fish. And size dropped off and, thus, 5 fecundity is dropping off. Fecundity is set 6 fairly early, well, a combination -- maybe I 7 shouldn't say this, because Brian will probably 8 correct me on it, but the fish try to maintain an 9 egg size, so if fecundity is going down in order 10 to put the resources into fewer eggs and maintain 11 egg size. But we've seen both very large runs 12 with large size and very small runs with small 13 size, so I think the expression of size and, thus, 14 fecundity, is something that is a marine-derived 15 issue, but not so much the density-dependent. 16 Dr. Walters, in another conversation with me, you 17 expressed the issue about reducing fishing or 18 increasing fishing in terms of the precautionary 19 approach; do you recall that discussion? 20 DR. WALTERS: Yes. 21 Could you perhaps put the debate or the issue that 22 we've heard about over the last two days in terms 23 of the precautionary approach? 24 DR. WALTERS: Well, the precautionary approach, it 25 appears in our management systems in two ways; the 26 original definition of it involves the avoidance 27 And in that traditional of irreversible harm. 28 definition, irreversibility refers primarily to 29 avoiding extinction in stocks. But we used the 30 term, also, to refer to adjustments we make in 31 management to reflect the uncertainties we have, 32 so there are adjustments to escapement goals on 33 the Fraser, routinely, that I don't think anybody 34 argues aren't needed, especially in recent years. 35

There's an escapement add-on to allow for the possibility of pre-spawning mortality. So the escapement goal would be set above what we think the fish will actually reach the grounds to allow for that. Those risk adjustments, or precautionary adjustments, are felt by the fishermen directly as a reduction in harvest. So you can think of them as essentially a risk premium, a loss catch risk premium that is imposed on the fishery.

I think one problem we have in our management systems is that we did not pay enough attention to whether the risk premiums that our fishermen must

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bear are reasonable and fair and necessary. They have been very large, in recent years.

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I was referring, Dr. Walters, to a question about the precautionary principle as it applies to the return to the historical levels of the production of the Fraser River.

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DR. WALTERS: You came to a seminar of mine, and you heard me say this, I believe, that I don't know what is precautionary right now in the Fraser. The simple fisheries idea of precautionary management is when you're not sure, reduce the harvest, try to increase the spawning stock size. But in the presence of possibly strong delayed density dependent effects, that may do more harm than good.

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And in Jim Woodey's world of cyclic dominance, precautionary management is not to increase escapement, it's to prevent the breakdown on the cycle. That's what he would call the risky decision option. I don't think we even know, anymore, what it means to be precautionary in the Fraser sockeye management system.

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Dr. Woodey, would you agree with Dr. Walters' description of what your description of the precautionary principle in these terms would be?

DR. WOODEY: Mr. Commissioner, from my perspective, the attempt to so-called rebuild stocks, off-cycle stocks, and so on, that carries, then, the precautionary line idea, is probably not wellfounded, at least, if not -- not wrong. There are certainly issues that need to be looked at seriously by good scientists as to whether or not risks are increased by not being precautionary in actuality. And as Dr. Walters is pointing out the protection -- well, I'll point out that the protection of the dominant line escapement should be the principle goal for any individual stock, and that attempting to modify fishing regulations in order to build up offline, particularly the lower lines of Shuswap and Quesnel, can backfire by the fact that you've got this delayed density dependence issue, and it can then feed back on us

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on affecting the dominant line production.

Just on that issue, evening out the four lines, which was part of the original strategy that DFO undertook several years ago, to our thinking would mean that you would simply be

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1 causing the predator populations to become more stable and larger and thus increase the overall 3 predation rate and reduce the productivity of the 4 stocks overall, compared to what we get with the 5 cyclic dominance pattern. 6 MR. LOWES: Thank you. Those are my questions. 7 may I say to the whole panel, it's been a 8 privilege and a pleasure to listen to you over the 9 last day and a half. 10 MS. GAERTNER: Mr. Commissioner, would you like to take 11 the afternoon break before I get started? 12 totally in your hands on that. 13 THE COMMISSIONER: I don't mind you starting, if you're 14 comfortable with that. 15 MS. GAERTNER: All right. 16 MR. TAYLOR: Mr. Commissioner, Ms. Gaertner's kindly 17 let me just mention and refer to you an exhibit. 18 A number of the panellists have, this morning, and 19 elsewhere in these hearings, referred to Sue Grant's paper, and I just wanted to have one of 20 21 the witnesses - I think Dr. Riddell might be the 22 easiest - to see the cover of Exhibit 184 and see 23 if he can identify that as what's been spoken of, 24 just so you've got a reference to what they were 25 referring to when they speak of Sue Grant's paper. 26 Now, I appreciate that's only the cover. 27 there something that we can take you to, Dr. Riddell, that would allow you to pick out whether 28 29 that's what you and others have been referring to? 30 DR. RIDDELL: No, the cover's fine. That's the paper. 31 MR. TAYLOR: All right. So that's Exhibit 184, Mr. 32 Commissioner. 33 DR. WALTERS: That's the WSP CSAP doc? MR. TAYLOR: 34 Yes. 35 MS. GAERTNER: Thank you, Mr. Commissioner. Brenda 36 Gaertner for the First Nations Coalition. Mr. 37 Commissioner, earlier in this hearing and the 38 inquiry, you've had an opportunity to hear a 39 number of aboriginal witnesses, and I just wanted 40 to bring some of their comments around delay 41 density dependency, or what the biologists call 42

You would have recalled you heard from the

that, to your attention and the attention of

surprise, but I think it's useful to have their

words and their ideas in our minds as I begin my

others. I don't think it will come as any

work today.

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chief, Willie Charlie, who's from Chehalis, who's at the mouth of the Harrison River. And you heard from Chief Fred Sampson, who's in the middle of the Fraser River, above Hell's Gate, and then you heard from Grand Chief Saul Terry from the Stl'atl'imc, whose village sits at the mouth of the Bridge River, you will recall that you spent time there. And then you also heard from Dr. Ron Ignace from the Secwepemc, and former Chief Alexis, whose territory is the homes of many of these spawning grounds the natal lakes are located in.

And you'll recall that evidence that from the perspective of these aboriginal people whose territories and water systems, and fisheries, and families, and communities that have relied on this system since time out of mind, they don't have a word for what the English call over-escapement. They weren't able to tell you stories of this notion of over-escapement. You heard that from their cultural perspective, salmon have a vital role in the entire ecosystem and that they are an indicator of the health of that ecosystem.

You also heard from them the stories that they do carry, which is that elders remember stories and times when fish was so abundant that they could walk across the river on the backs of the fish.

Now, I want to recall, also, that when Dr. Ignace gave evidence and that panel gave evidence and we spoke about this at a preliminary level deliberately because we anticipated panels like this, he wanted very carefully to bring to mind a Secwepemc story, an ethic story that all of their fishermen from a very young age and for a very long time are taught. And I'm not going to tell the whole story, we won't take that time, I'll just remind you of that story, and that was the story of the coyote who was a critical and extremely important part of bringing salmon to their people and to their villages. But that story also included the importance of learning that if you take salmon for granted and you harvest them too much, and you have a lot of pride about how well you can harvest them, the salmon, themselves, will respond to that and leave their relationship with people.

And it's with that in mind, and I ask you to keep that in mind, I'm going to turn to only a few matters as it relates to delayed density dependence, or those words that we hear in English called "over-escapement."

My questions, at the beginning, will

My questions, at the beginning, will primarily be addressed to you, Dr. Riddell, and I'm going to do something slightly different than the questions that have happened. I'd like to take Dr. Riddell through some work that was done in response to the 1999 collapse, and a meeting that occurred that Dr. Riddell was present, too. I'm going to take him through a number of these things and get to the conclusions around delayed density dependence, it might take a little time, and then I'll ask the other panel members to respond to it, but I'd like to get all the way from the beginning to the end of the conclusions before we turn to the other panel members. And so Mr. Lunn, could you call up Exhibit 73?

#### CROSS-EXAMINATION BY MS. GAERTNER:

- Q So Dr. Riddell, this was a "Synthesis of Evidence" at a workshop that occurred in June of last year, and I see that you were present at this workshop. Do you recall this workshop and do you recall your participation in this workshop?
- DR. RIDDELL: Yes, I do.

- Q And would you like to --
- MS. GAERTNER: Mr. Commissioner, you've heard a little bit about this workshop.
- Q But maybe you could refresh the Commissioner's memory on the purpose of this workshop and the goal of this workshop, Dr. Riddell.
- DR. RIDDELL: Well, the workshop was organized through the Pacific Salmon Commission. The United States and Canada both are, of course, concerned about the explanation for what occurred in 2009.

They struck a subcommittee to organize this workshop and what they did then is identify key people that could address a number of hypotheses that people had posed that might explain the loss of fish, or the fish not returning in 2009.

If I recall exactly, there were 12 hypotheses that were identified and a group of people were assigned, most of the people working in that area,

of course, to make presentations to the workshop. There was then, I guess, called a science panel that was working with Dr. Randall Peterman as chair, and David Marmorek as the facilitator, and a reporter. And we worked with that subcommittee for two or three days after to write reports on each of the hypotheses.

That advisory committee then discussed the reports of the participants and determined then what were the sort of ratings that you would assign for abilities to explain the 2009 loss and to then subsequently make recommendations back to the Pacific Salmon Commission on what might be done to follow-up from this workshop.

- I wonder if you could go first to page 33 of the document, itself, which is in the introduction. Dr. Riddell, you said 13, but I see nine items listed as the hypotheses. You might have started with 13. Did they get simplified to 9? They're just at 1.1.
- DR. RIDDELL: Yes, I think if you go to the tables, that there are a number of subcomponents to some of these.
- Q Okay. And you'll see, Mr. Commissioner, and Dr. Riddell, it's true, that delayed density-dependent mortality is one of those topics and it's found at number 7; is that correct?
- DR. RIDDELL: Yes, and presented by Dr. Walters.
- Q Thank you. And at page 35 of the document, I want to go to the first paragraph in 1.4. And the writers of the report begin with a qualification about realistic expectations, and I'm going to start with the second sentence of that:

The dynamics of any ecosystem, including the freshwater and marine ecosystems traversed by Fraser River sockeye, are affected by multiple, simultaneously operating natural and human sources of variability. It is therefore very unlikely that there has been a single cause of the long-term decrease in productivity of Fraser sockeye, or that there was a single cause behind the extremely low returns in 2009. Such reductions can arise from several mechanisms that occur in one or more places in the salmon life cycle, ranging from poor viability of eggs to reduced

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survival rates of juveniles, and mortality of 1 fish while at sea. It is also clear from the 3 previous research on salmon, as well as many 4 other species of animals, that changes in one 5 mortality agent can interact with other 6 mortality factors to produce complicated net 7 effects. 8 9 Do you still hold that as a true overview of some 10 of the challenges around Fraser River sockeye? 11 DR. RIDDELL: Yes, I do. That was written by the 12 Science Advisory Panel so that is the opinion of 13 several of us that contributed. 14 Then I'm going to ask if you could go to page 44 15 of that report and you'll see, at page 44, that we're now in the section of the document 3.1.1.2 16 17 "Residuals of productivity indicators to account 18 for density dependence." And I'm not going to try 19 to read the paragraph I'm going to turn you to, 20 it's the second large paragraph and there's a lot 21 of correlations that are discussed in it. 22 wonder if you could take your time to look at 23 that. And it's my understanding from that 24 paragraph that the stocks with the lowest 25 correlation between the residual indicators of 26 productivity and the standard non-residual 27 indicator tended to be those whose spawner 28 abundance has increased dramatically in the last 29 20 years or so, example, Quesnel, Stellako and 30 Pitt. And I wonder if you could explain that. 31 That's a bit of a challenge for me to understand. 32 DR. RIDDELL: Well, I think you have the author sitting 33 with us. This is a summary of Dr. Walters' 34 presentation so --35 All right. 36 DR. WALTERS: It is? 37 Dr. Walters, could you explain that sentence, 38 then? 39 DR. WALTERS: Could you repeat the sentence? I don't 40 remember writing it. Oh, you don't have it present. 41 DR. RIDDELL: 42 I'll read it again: 43 44 Stocks with the lowest correlation between 45 the residual indicator of productivity and

the standard non-residual indicator tended to

be those in which spawner abundance had

increased dramatically in the last 20 years or so (e.g., Quesnel, Stellako and Pitt).

- DR. WALTERS: That's not my writing. I'm sorry. I believe that's a review of Randall Peterman's work. And frankly, I can't answer you. I have no idea what that sentence means.
- Q Well, I feel complicated that I found a hard sentence.
- DR. RIDDELL: Well, yeah, I'm not sure that I can give you an easy answer either, unfortunately.
- DR. WALTERS: Yeah (indiscernible).
- Q All right. Perhaps, if it becomes relevant, we'll ask Randall Peterman, if that's who we think it is. I'm going to now take you to page -- I don't want to take further time with that today. I'll take you to page 85 of that report now.
- MR. LUNN: Ms. Gaertner, I just emailed the exhibit to Dr. Walters.
- MS. GAERTNER: I think he got the sentence and he had the same difficulty with it, but I'm glad that he had the report. Thank you.
- And again, I think you spoke to this a little bit earlier in the evidence, but I want to make sure we understand this going forward, at page 85, there's a sentence that says:

Delayed density dependence does not appear as significant in the smaller stocks ...

And is that primarily because the smaller stocks are unlikely to flood their spawning grounds or their nursery lakes?

- DR. WALTERS: Yeah, that's a point we've discussed several times on this panel.
- Q All right.
- DR. RIDDELL: Yeah, if they're small and they're in an environment that's still fairly healthy and productive, then you would not expect to see that effect.
- Q All right. So we're primarily talking about larger stocks that are of concern, and we're going to get to the Quesnel stock, in particular, in a few minutes.

And then at page 86 of the report, there is this sentence at the top of the page:

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The effects of delayed density dependence on changes in productivity will be most evident in stocks with a large range of abundance of spawners over years.

the optimum level to really see that interaction.

And then I want to take you finally to the panel's opinion on the effect of delayed density dependence on long-term decline and productivity in the 2009 event. For some reason, I don't have my page number. Right at the bottom of page 86, the last paragraph in 4.7.5:

DR. RIDDELL: Yes, we've talked about that extensively.

where you have to have some substantially above

This is the contrast through the range of spawners

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The Panel's opinions about the effect of delayed density dependence on the long-term decline of Fraser sockeye productivity ranged from likely to possible to unlikely as a contributing factor.

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So it's clear amongst that panel that in terms of its long-term effects, it's not clear, and:

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Panel members agreed, however, that delayed density dependence is very unlikely to have played a role in the 2009 event.

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Is that conclusion something that you still hold today, Dr. Riddell?

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DR. RIDDELL: Well, let me clarify, this is the opinion of about nine or 10 people. It's not my personal opinion, right, and that's why you see this range. All the participants that were at the workshop and heard the presentations of the hypotheses were asked at some point to clarify, well, in their opinion, to rate what's the level of information, then, would it suggest a contribution to the longterm decline, which we've been hearing about since the early 1990s as opposed to contributing to the sudden decline that we observed in 2009. And in something like the delayed density discussion that we've heard here, many people were really probably encountering that discussion for the first time and so you have this fairly wide range of whether or not that was contributing to the long-term

decline.

Whether it contributed specifically to 2009, most people felt much more strongly that it would not have. My personal opinion on this is that seeing some of Carl's work through the last year, I would suggest that it is a likely cause contributing to the long-term decline. Others didn't share the same opinion. I think most people there, obviously, the Science Panel, felt that it was unlikely to be a major cause of the sudden and large decline in 2009 alone.

Okay. And then I thank you for that and I just want to now take you to and bring to the attention of Mr. Commissioner, the proposed research in the management actions that were the outcome of the work that this panel did as it relates to delayed density dependence, and in particular, you'll see that at the bottom of page 86 and over to page 87. As I understand it, this panel of experts recommended research in four areas, in making adjustments to the FRSSI model, better fitting the Larkin and Ricker models, having better measures of abundance of different lifecycles, having a better understanding of food supply dynamics and better research into predator, prey, disease and food supply relationships. And finally, another area where proposed research was made was in contrasting management strategies should be applied to different stocks over enough time to observe the responses.

Now, those are all proposed research items that seem abundantly useful given the evidence that we've heard today. Does anybody -- Dr. Riddell, do you have any clarifications with respect to that proposed research? And I would welcome the panel as it relates to those five items.

DR. RIDDELL: Yeah, well, as one of the committee writing it, I mean, this was a representation of what the Science Panel felt was stated on this particular topic by the participants. I would point out, though, that later in the document, where you're looking at all of the hypotheses and a very long list of research because every one of them had four or five, then we had a much more focussed discussion about what are the immediate priorities and where would you target your studies

to answer those two questions, the long-term decline and 2009.

Yes, I appreciate that this is only as it re

Yes, I appreciate that this is only as it related to delay density dependence.

DR. RIDDELL: Right.

- Q And I'm going to stick on topic as it relates to that today. And then of that list of the five, it seems 1 to 4 are automatically, in my mind, precautionary. You're not -- you're doing research, you're not in any way interacting directly with management strategies that may affect one or other stocks. Those are all just good-sense kinds of things that could be useful to do; would you agree with me on that? And that item number 5, if we're going to introduce management strategies to specifically understand at an adaptive level, preferably, rather than at an experimental level, we're going to need to take some precaution with that?
- DR. RIDDELL: Well, the first four really area recommendations that we've talked about in the past. I mean, they're all about better information in the lakes and looking at what the causes are. The final one, as you're pointing out, involves management strategy changes, or an adaptive management study, as Carl referred to, and that would take more planning because that could cause, you know, impacts on fisheries as we've talked about already, as well.
- Q And impact on fish?
- DR. RIDDELL: On fish, yeah.
- And then you went on, or the group went on to talk about management actions. Under that, Mr. Commissioner, at 4.7.7, they spoke about particular items. One is as it relates to the FRSSI model and we've heard a number of people directly involved in the FRSSI model already. And exploring the total allowable mortality rules that result from that, in particular, making sure that cyclic dominance patterns are considered in the context of total allowable mortality.

And then particularly, the Wild Salmon Policy benchmarks need to account for cyclic -- the nature of some of the conservation units. And then if the mechanisms for delayed response can be determined, mitigation of those factors may be possible. Again, those are all management actions

that seem reasonable in the circumstances given 1 the evidence that we've heard in the last two 3 days? DR. RIDDELL: I would still say so, yes. 5 Now, this might be an appropriate time to ask from 6 the panel whether there's any of the other members 7 of the panel have any comments as it relates to 8 those recommendations or the responses of Dr. 9 Riddell on these topics? 10 Mr. Commissioner, I'm going to go to two 11 other items. One will take a little bit of time, 12 and the other, even shorter. I'm happy to keep 13 going, but it may be an appropriate time to take a 14 short break. 15 MR. COMMISSIONER: I wonder if I could just ask the 16 panel, on these last few points, just to assist 17 me. I don't have a glossary of terms, 18 necessarily, that is one that perhaps all of those 19 in the field have agreed upon. 20 MS. GAERTNER: There's one in this one. 21 MR. COMMISSIONER: In this document, but I'm talking 22 about this document, outside this document. 23 terms of using the term "cyclic dominance" and the 24 term "over-escapement" and the term "delayed 25 density dependence, " and there's one -- I saw another one, it was "delayed density 26 independence," was that -- I saw another term used 27 28 in one of the documents here recently. And 29 there's other terminology used in the FRSSI model 30 documents that I won't go to, but my question is 31 can I be satisfied that all of you who've been in 32 the field for as long as you have been, when you 33 use those terms, you're all talking about the 34 exact same phenomena? In other words, there's a common ground amongst those in the field when you 35 36 talk about cyclic dominance, over-escapement, 37 delayed density dependence, and so on. So when I see those terms in different documents, I can 38 39 reflect back on your answers and understand that 40 you did have a common-ground understanding about 41 those phenomena or those terms?

DR. RIDDELL: Well, let me start. I think, amongst the

would have a common understanding. But our

people involved with these science reviews and the

discussion we've had here that I would say that we

communication with many people means we probably

should provide you a glossary and that might be

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actually quite a useful thing to contribute. 1 Those are not all that easy to write and get that 3 clarity so we could certainly do that in the future. But I think amongst the people involved 5 with this professionally, that we would have a 6 common understanding. 7 MR. COMMISSIONER: If I see the term "delayed density 8 dependence, " can I equate that to cyclic 9 dominance? 10 DR. WALTERS: No. 11 MR. COMMISSIONER: Why? 12 DR. WALTERS: You can have delayed density dependence 13 effects. That term "delayed density dependence," 14 you can look up in ecology textbooks, right? 15 mean, this is not our word. It's a textbook term in the science of ecology, but "cyclic dominance" 16 is a particularly strong expression of the 17 18 ecosystem interactions that lead to delayed 19 effects. So you have delayed effects and still 20 have a relatively stable population size. Our 21 estimated delayed effects are quite strong on the 22 Early Stuart, but it doesn't cycle. DR. RIDDELL: So cyclic dominance is a particular type 23 24 of the delayed density dependence and a very 25 strong example. 26 MR. COMMISSIONER: So that would be a consistent ground 27 of understanding throughout these different 28 research papers that I've been presented with? 29 DR. RIDDELL: Well, I don't know, I quess my opinion 30 would be I would hope so, I would think so. 31 MR. COMMISSIONER: All right. 32 DR. RIDDELL: I don't know. Carl, what do you think? 33 DR. WALTERS: Yeah, I mean, I haven't heard anything, 34 certainly around this table, that would indicate 35 any inconsistency. There used to be a bit of 36 confusion about what we meant by "cyclic 37 dominance," and there's the thing, the DSLL definition that Jim Woodey and I published in a 38 39 paper some years ago. That seems to be the one 40 that everybody pretty much uses now in reference 41 to the phenomenon. You know, there's things that 42 are sort of cyclic and things that are regular, 43 and the cyclic dominance being the regular ones

with a particular pattern. Jim defined this for

Right.

MS. GAERTNER: Mr. Commissioner --

you as we use the term today early in this panel.

MR. COMMISSIONER:

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MR. COMMISSIONER: Thank you.

- MS. GAERTNER: -- it actually was a line of questioning I was going to ask. I just have one follow-up to that, if I may?
- I just want to make sure I understand it, it's a regular response by, in this case we'll talk about sockeye salmon, to the environment they're experiencing. That's how we get strong cyclic patterns for some of the Fraser sockeye that have been around for a long time. In fact, there seems to be some debate that that's a natural phenomenon and may actually explain the predator/prey relationship that salmon have worked out. But it also could be salmon's response or sockeye salmon's response to a changing environment; is that correct?
- DR. WALTERS: No, it's too regular. When we see patterns with such regular periods and regular pattern in nature, we assume that there's a set of repeatable ecological feedbacks involved in producing them. Other kinds of variation don't produce a regular pattern.
- DR. RIDDELL: And let me just add, too, that, I mean, I think we spent quite a bit of time on this yesterday, that there was a consideration that were we seeing cyclic dominance because of fishing pressures in the response of the fish, and there were questions to us about do we think it has a biological basis now? I think that all of us came back and said, "Yes, we believe that it has a biological basis."

Now, we do know it can be changed because it has actually changed in the Fraser system. Because of an enormous environmental event, we did shift by one year from a 1913 cycle to a 1914 cycle. And so, I mean, it can change biologically, but the extreme nature of a very strong, very modest, and then much smaller, that pattern has certainly re-established itself through time. So it can change, but it's coming back to a biologically-based cycle.

MS. GAERTNER:

All right. And so, in fact, that biological response to Hell's Gate was it may have taken the salmon quite a long time to re-establish their cycles, but that may be one of the observed things that we'd watched over the last century, is a huge

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change by Hell's Gate. We also have the Quesnel logging incident that affected Quesnel Lake. Those are two large impacts on the runs and we're watching salmon, with human assistance, or otherwise, respond to those very strong impacts in their environment, correct?

- DR. RIDDELL: Well, yeah, I think we're saying the same thing, that the animal is able to come back from these major events that we've caused, but they come back, in the Fraser sockeye, in this cyclic dominance in these particular lakes. And I think Carl made a good point about that yesterday in terms of we do see this pattern re-establishing.
- Q And so it's quite possible that cyclic dominance is a very good thing for salmon?
- DR. RIDDELL: I think that's what we were coming to.
- MS. GAERTNER: Do you want me to keep going, Mr. Commissioner?
- DR. WALTERS: I'm sorry, could I ask for clarification, a very good thing for salmon?
- DR. RIDDELL: For Fraser sockeye.
- Q Fraser sockeye salmon, sorry.
- DR. WALTERS: What one was --
- Q For those Fraser sockeye salmon that had cyclic dominance.
- DR. WALTERS: So things that (indiscernible) that we understand in general to be negative feedback, i.e. predators that are eating them, or parasites, or diseases that are attacking them, or they're running out of food. It's hard for me to imagine how anyone would call that positive for salmon.
- MS. GAERTNER: Your question was how much longer I'll be?
- MR. COMMISSIONER: Ms. Gaertner -- right.
- MS. GAERTNER: I have one item that may take about 10 minutes and one that will take five. I would say 15 minutes.
- MR. COMMISSIONER: All right. Madam Reporter, are you comfortable with that?
- THE REPORTER: I'm comfortable, yeah.
- MR. COMMISSIONER: Mr. Registrar, is that -- all right. Well, if the witnesses are comfortable, we'll go with that. Thank you, Ms. Gaertner. Ms. Baker, will there be more questioning after that?
- MS. BAKER: There might be a couple of minutes of questioning after that.

# MS. GAERTNER:

I just wanted to make sure, we've heard from the panel around the Quesnel returns and the concerns that have been raised around Quesnel, with a little bit more precision, if I may, Mr.

Commissioner, because I expect that if this becomes important, that I'll seek to have Peter Nicklin, who's a biologist in the upper Fraser watershed speak to this on it, and I just want to make sure that what he says might be something this panel can respond to. And I think we're dealing with the same numbers so I just want to make sure that that's true.

Dr. Woodey, you referred to the Quesnel returns in 2001 as being around 3.5 million, and '02, around 3 million, in your evidence yesterday, that's correct?

- DR. WOODEY: Those were the level of escapements to the spawning grounds in those two years, yes.
- And that was in response to a peak, actually, in '97, if that's correct, if I've got my numbers right. There was a peak escapement in all of the areas of the upper Fraser in '97 that would have brought us to 2001 and there was also -- and that actually was -- the response to the '97 peak was good escapement in '01 and that was largely because the Quesnel numbers were quite large in '01; is that correct?
- DR. WOODEY: That's correct.
- Now, in 2009, which is the complementary years, we have the collapse in 2009, but we also have the evidence that suggests delayed density dependence was not a cause of that collapse, or not a significant cause of that collapse. And just today, we've learnt that the Department of Fisheries and Oceans have now publicized the escapement updates for 2010, and I just want to, if I may, Mr. Lunn, these are on the website, and I'd like to take you just to the paragraph on Quesnel and have you speak on this. So you'll see Mr. Lunn has taken you to the Department of Fisheries and Oceans website of 2010 and he's now going to take you to the component of that site that takes us to the 2010 summer run sockeye salmon near-final escapement estimates. the third page of that, we have the report on Quesnel. And I expect that you may not have seen

 this, gentlemen, at all, and so if you could just take a moment and read that paragraph, it appears that there's been spawning success for the Quesnel system in the 2010 year, well over the long-term system average of 84, we've got a 95.5 percent spawning success, is how I read the concluding line of that paragraph. And that the escapement in 2010 represents the first increase relative to the brood year for this system since 2004, 69 percent greater than the 2009.

And so I'm just wondering, given that positive return in 2010 and, in particular, as it relates to Quesnel, how that might inform your earlier comments, concerns around the Quesnel and its return, it appears that it did have trouble in 2009. Well, it had trouble in '05 and '06, and '09, but it didn't seem to be responding well in 2010. The returns in 2006 were 169,000, roughly, of spawners, and now in 2010, we have 249,000 spawners.

Dr. Woodey, would you like to respond to that? That would be helpful to us.

DR. WOODEY: The dominant line has for over 60 years been larger both in terms of the total return and the escapement, larger than the sub-dominant for following year run. So now we come to 2009 and for the first time, the sub-dominant line run and escapement are larger than the dominant line. And this puts us into a point of we don't know what's going to happen in terms of the maintenance of that long-term cycle dominance that is the 2001/2009 line.

The escapement in 2009 was small enough that it would be commensurate with, almost as low as some of the low off years when it was cyclic dominance in the '80s, '90s, and up until now. There's certainly the possibility that the stock is going to switch dominant line, that is become dominant on the 2010 and the possibility is there, I can't forecast that at all, but it will be very interesting to see. I have requested from Jeremy Hume, who is a biologist in charge of the lake survey program, the data from the 2010 juvenile surveys, to look at size and numbers of juveniles and that will tell me quite a lot as to whether or not there will be much in the way of carryover effects, delay density-dependent effects on the

2010 run.

It wouldn't take much more than a low marine survival in the 2013 run to get that switched to the 2010. It may be a little up in the air right now, but we're certainly -- when we did the simulation modelling of the work that I did in 2005, and Carrie Holt, who had been a witness for you, but not on that subject, we presented two papers to the Pacific Salmon Commission Southern Endowment Fund, one on the I call cyclic dominance issues and models for that, and then the second one is a simulation of those results. And her simulation results indicated that you would expect to get not infrequent switches as to what the dominant line would be. And so, you know, in 10,000 runs, something like 50, 60 percent, 70 percent would maintain that cyclic dominance and then the other times, it would switch. it's another part of the experiment, I guess you would have to say, experiment that's been carried out because of the Late Run sockeye issues that are being managed for.

- Q I'm not sure if anybody else has a comment on that. Dr. Riddell?
- DR. RIDDELL: Well, I think Jim's pointed out that it's going to be interesting to really monitor this lake system because the dominant line and this sub-dominant line, those are very, very small escapements in an enormous lake, right? I mean, they could easily carry the capacity of that spawn. And so who knows, maybe we'll see two lines competing with each other and we can sort this out.
- Mr. Wilson, I don't want to overlook the fact that you have, of course, worked in the Upper Fraser, also, and know Mr. Nicklin. His comment as it relates to these numbers is it's hard for him to understand the concern around delayed density dependence. There appears to be lots of spawning capacity in the Quesnel system and abilities in the lake. Do you have anything to add to that at this point in time?
- MR. WILSON: Well, I would just say, Mr. Commissioner, that I view cyclic patterns and returns in Fraser sockeye for many stocks to be an entirely natural circumstance, and I'm sure we all agree with that. So from a biological perspective, it doesn't

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concern me that the dominant cycle might shift from one year to another. And I don't see that we should necessarily be managing to maintain a particular dominance pattern. I think we should let the Fraser sockeye do what Fraser sockeye do and adapt to those changes.

MS. GAERTNER: Ms. Baker, do you know the exhibit number for Dr. Walters' CV? Sorry.

MS. BAKER: 401.

MS. GAERTNER: Thank you.

- I just have one more line of questions and they will be very brief, and they're for you, Dr. Walters. I got a little confused yesterday when you gave evidence in response to Ms. Baker's question, in particular, her question was so how do you balance the protection needs for weak stocks like Cultus against the risks of overescapement. I don't want to go through that whole debate again, but we heard from each of the panel speaking about the biological issues around biodiversity and various different responses, but in your response, and I find that at page 61 of the transcript, your first response to this question is, "I have to wear two hats in answer that question." You began as a biologist, to say that you're in favour of biodiversity. I'm just curious, I looked at your resume last night, and I wonder what other hat you're wearing in answering this question, what other expertise you were speaking from?
- DR. WALTERS: My other response to you was speaking to you as a member of the public who is concerned about the people who are impacted by these policies.
- All right. And then earlier today, you gave evidence about some numbers you've run around the socio-economic impacts of some of these decisions. We've heard from Dr. Riddell that he felt that the numbers you have ran within your models would require scientific review, and your suggestion is that that go to FRSSI. So those are the first set of numbers you gave, but then you threw out another number that troubles me and I want to make sure that we don't hear about it for the rest of the commission, which is this \$200 million number as it relates to the impacts that these decisions are having. And, again, I don't see in your

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resume any expertise for running that type of
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            number. I wonder if you could explain that
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                     Is that a number that you've done --
            number.
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       DR. WALTERS: That's the number of fish I calculate to
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            have been lost from the catch.
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            Yeah, but how do you get to 200 million, and do
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            you have expertise in running socio-economic
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            impacts associated with the loss of fish to
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            aboriginal communities or to other communities,
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            socio-economic impacts? From my --
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       DR. WALTERS: Well, this biological loss of catch,
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            which I calculate to be in the order of 20 million
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            fish from the stock recruitment analysis times $10
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            a fish --
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            And so you --
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       DR. WALTERS: -- is a very simple indicator of landed
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            value loss.
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            And but you'll --
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       DR. WALTERS: I don't think that it's the only economic
            or social performance indicator, but it does bring
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            home that there was substantial loss in income to
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            people, wherever they are, and whoever they are.
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            Dr. Walters, there isn't an indicator in your CV,
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            and that's at Exhibit 415, of the socio-
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            economic -- expertise in developing socio-economic
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            analysis of the value of fish; do you agree with
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            me on that?
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       DR. WALTERS: Well, yeah. I actually did a graduate --
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            Can you point to me --
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       DR. WALTERS: -- minor in resource economics, but that
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            was a long, long time ago. About all I can
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            remember how to do these days is to multiply the
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            price of the fish times the number of fish. As I
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            told you, that's what I did, and I don't think
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            that requires a huge amount of expertise in
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            economics.
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            No, but I will suggest to you, from the work that
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            I have done with experts on socio-economic impacts
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            associated with the loss of fish in aboriginal
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            communities, it's just not a simple number and so
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your perspective, is that correct?

DR. WALTERS: Oh, no, no, I gave you one simple -Q Could you let me finish my question, please? And
that you do not have expertise in running socioeconomic impact analysis on the loss of fish?

you're suggesting that it is a simple number from

DR. WALTERS: No.

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MS. GAERTNER: Thank you. Those are my questions.

MR. COMMISSIONER: Ms. Gaertner, would you like to mark
that last document, the -
MS. GAERTNER: Yes.

THE COURT: -- final escapement estimates?

THE COURT: -- final escapement estimates?
MS. GAERTNER: Thank you. Yes, I would.

MR. COMMISSIONER: It will be marked as Exhibit number 420.

EXHIBIT 420: 2010 Summer Run Sockeye Salmon - Near Final Escapement Estimates (DFO)

MS. GAERTNER: That's correct, you've marked the document that came from the DFO website, 2010

Summer Run Sockeye Salmon -- MR. COMMISSIONER: That's right.

MS. GAERTNER: -- Near Final Escapement Estimates?

MR. COMMISSIONER: That's correct.

MS. GAERTNER: Thank you.

MS. BAKER: Thank you. Actually, Mr. Leadem is entitled to re-examine. No? And is Canada doing a re-exam of Dr. Riddell? I'm not sure exactly what your capacity is with Dr. Riddell on this panel so --

MR. TAYLOR: I think that we've accepted that, on this panel, Dr. Riddell is an imminently qualified scientist and he's here in that capacity as distinct from a former DFO employee so I'm not proposing to re-examine.

MS. BAKER: Thank you. I have just a couple of reexamination questions.

#### RE-EXAMINATION BY MS. BAKER:

Q Dr. Walters, when Don Rosenbloom was asking you questions about whether there were any recommendations any of the panel members would have to resource managers, you said that you felt there should be a return to high harvest rates and that there should be -- you should try to reestablish the cyclic dominance of some stocks, but you put a caveat on there and you said but first there are severe environmental effects on some stocks and survival declines in the high watershed need to be reversed before you would go to the recommendation that you made. Hopefully, I've captured what you said. My question is can you,

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first of all, identify which stocks you're referring to when you say that there are these environmental effects that need to be studied and understood, first, and then second, what actually needs to be done to understand why there are these survival declines and to get the data needed, I guess, to satisfy you on that front?

- DR. WALTERS: Yeah, the Commission has engaged Randall Peterman to do a review of the stock productivity trends, and he's produced a very nice picture of where in the system these downward trends in productivity are occurring. And he will be complementing his earliest analysis with two improvements, one showing the residual deviations in survival variation not accounted for by the Larkin model, as well as the Ricker model, and he'll also be correcting a problem with use of a thing called a Kalman filter, a statistical method for examining the trends in the data. His early reports underestimated the severity of the trends so you'll see corrections on that, but my recollection, just off the top of my head is that it's basically all of the upper basin stocks, all of the Stuart complex, to some degree the Quesnel beyond what can be accounted for with any cyclic dominance effects and on down. And it includes some lower system stocks, too, like the Weaver Creek.
- Okay. So can I take it, then, from that answer that the caveat that you were referring to is something that we'll get more information on when Randall Peterman's report is tendered in evidence and he's here to talk about it?
- DR. WALTERS: Absolutely. You know, I can go through and describe stock by stock, but I think if you're willing to wait for his report, he has a very nice graphical way of summarizing a picture of where the survival declines are occurring and which stocks they're affecting.
- Q Okay. Thank you.
- DR. WALTERS: And the geographic organization of this decline.
- Q All right. Well, we'll wait for Dr. Peterman to give us a bit more detail on that, then. And then just to follow up a question that was put to you -- I'm not sure if it was a direct question on this, but in answer to some questions from Mr.

Rosenbloom, you talked about this retrospective analysis of loss and Brenda Gaertner has also talked to you about that just now. I just wanted to be clear, the numbers that you presented, which was you had done a calculation of \$200 million loss in the '95 to 2009 period, plus maybe 40 million for 2010, those calculations were done in an effort to get somebody to actually look at what the real loss would be, to actually engage in a full study to understand the loss, that's why you did those numbers; is that right?

- DR. WALTERS: Well, the actual numbers were done in terms of numbers of fish, added numbers of fish that could have been caught.
- Q Right, but I think you indicated that it was fairly rough and there was things in there that you hadn't taken into account. And your point was really to go to DFO, you said you went to Jeff Grout, and others, and said, "Look, this is a problem, you need to look at this more carefully --
- DR. WALTERS: Right.
- Q -- and you need to take it into account." That was why you did those numbers, is that right?
- DR. WALTERS: Yeah. I've been involved in publishing a couple of papers in recent years using this retrospective approach to analysis of past harvest management performance, and I now recommend it as a critical part of every fisheries management agency's practice.
- Q Right. But you're --
- DR. WALTERS: To go back and look at how much better they could have done and to learn from that how to improve their management.
- Q All right. But the point I just want to make sure we're clear on is the numbers that you talked about, you're not asking our commissioner, today, to rely on those as true numbers of loss, there hasn't been a study that actually has quantified that. You did some calculations to say, "Look, it's a problem, you should look at it more carefully," but you're not saying that the commissioner should be relying on any of those numbers that you presented here today as an accurate or true measure; is that fair?
- DR. WALTERS: No number of that kind is guaranteed to be completely accurate. It involves predictions

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ahead that are highly variable and data that are -- but yeah, I think the calculations are correct, but Brian Riddell is absolutely right, that this needs to go through a peer review process, it needs to be redone by DFO scientists using a larger set of stocks and better information.

- Q Okay. Thank you. Also, some questions were asked to Dr. Woodey about the Early Entry, Late Run issue and Mr. Watson asked some questions -- or, sorry, Mr. Eidsvik asked some questions about the experiment that was done, and I just wanted to confirm whether Dr. Riddell had anything to add to that discussion?
- DR. RIDDELL: Actually, on that, I do, because I think that as much as the response from Jim was correct in terms of what I think they referred to as the elephant in the classroom, that was not the experiment and so I think we're confusing two very important considerations here. The experiment that I believe Carl was talking about, and Carl was very involved with in the late '80s, and he referred to a paper that he wrote with Jeremy Collie, that was the experiment. The experiment was developed to test whether we could restore -not restore, but increase the production of Fraser sockeye for everyone's potential use, commercial fishing, First Nations, ecosystems.

Q This was the rebuilding strategy?

DR. RIDDELL: This was the rebuilding strategy. a very deliberate set of discussions following the 1985 treaty and Canada, at that time, thinking that we should test whether we can improve sockeye production. And that experiment is why we were increasing the escapements. Now, what we subsequently heard from Dr. Woodey was really a discussion about the way the environment changed in the midst of this study. The change in the sockeye biology in 1985, the change in the environmental conditions in the river and the inriver mortalities that we subsequently had to deal with and develop the environmental watch program to compensate. Through the 1990s, these changes substantially increased the complexity of inseason management. This became a much more difficult task than had been faced previously, but those are not the experiments. The experiment had to go on in the face of that uncertainty.

PANEL NO. 18 Re-exam by Ms. Baker

think I agree with the way Carl described it yesterday in the sense that we've done the experiment, we need to move on. I absolutely agree to that. We can move on to a new experiment. We are not going to fix the sockeye behaviour quickly, nor are we going to change the conditions in the river. We're still going to learn how to deal with those. So the experiment and the subsequent environmental conditions really are two different elephants.

- Right. And the FRSSI model and the TAM rules that have been created using the FRSSI model, those -- again, how does that relate to some of those concerns that you're talking about? Because I understand some of those constraints that have been put in place since the '90s operate -- they're not independent of the escapement goals, obviously, but they're not generated through the FRSSI model and, in fact, the rules for harvest on the Late Run are not even generated using the FRSSI model, they're derived separately; is that fair?
- DR. RIDDELL: I would have to admit to being a couple of years out of date on the FRSSI model, but the FRSSI model last I was really involved with it did try to take into account in-season -- or, I'm sorry, in-season, in-river mortalities and project those so it does have the capability of incorporating those. It does add a great deal of uncertainty in how you do the assessment, then, because your success in management in a year is confounded with the environmental quality of the year so it still makes it more difficult.
- And the experiment that you talked about in 1987, the rebuilding strategy were trying to even out the cycle years. The FRSSI model is not doing that either; is that right?
- DR. RIDDELL: No, the FRSSI model moves on from that. This is more -- Carl described earlier today the fixed escapement policy versus the fixed harvest rate policy. Well, you can also have a variable harvest rate policy, which is more what the FRSSI model is about. What's an appropriate harvest rate policy for what we want to test in the Fraser and what's the best way to manage our fisheries.
- Q All right. But the point of FRSSI is not to try and even out cycle lines and build up those off-

cycle years?

- DR. RIDDELL: No, unless I'm very mistaken, I don't believe that that's part of the discussion at all. Q All right. Thank you.
- MS. BAKER: Does anybody else --
- DR. WALTERS: It can make predictions about policies that would reinforce cycles, but it's not an objective of it to do anything like that.
- DR. RIDDELL: Yes.
- MS. BAKER: Is there any other panel member which wants to respond to anything that's been raised in reexamination? No? Thank you. Those are my questions. I guess I shouldn't be sitting down just yet. Sorry. So we are at four o'clock. This panel has been completed. Thank you very much for coming.

Tomorrow, we are going back to some of the decision-making panels that we started earlier this month and on that note, if my friends could just stay after and talk to me about what you're —— I'm not clear who still needs to examine the first decision-making panel so I'd appreciate it if you could just touch base with me on that front before you leave. And then I can also make some decisions about whether we can get Mr. Ryall on, who is the last witness that we have on this large topic of harvest management. So we may not be able to complete him tomorrow, but I'd like to make some judgment of that after I hear from people's estimates for tomorrow's panel.

MR. COMMISSIONER: Thank you, Ms. Baker. Silence must mean that counsel are out of questions or just simply exhausted. I just want to do a couple of things. I want to thank the members of this panel, including Dr. Walters, who was kind enough to come to us and is now leaving us. It is late in Florida. I don't know whether to thank the panel, or not, because we started off this Commission studying sockeye salmon, it appears we're now also examining and investigating elephants, but I'll stick with the sockeye for I wanted to thank all of the panel members now. very much. I was remiss yesterday. Ken Wilson was kind enough to lend us his expertise on not just this panel, but an earlier panel. I think I forgot to thank him, and Mike Staley, and Rob Morley, and Al Cass when we completed that panel

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so I do that now, belatedly. I apologize for not doing that yesterday, and to express my deep appreciation to all the panel members, particularly Dr. Riddell, who seems to show up on a lot of panels, but thank you again for your patience. And I thank all counsel for meeting your time commitments. Again, I'm very grateful for that. And thank you very much to our -- oh, Dr. Walters is back. I hope you'll accept our appreciation, Dr. Walters. Yes. Yes. Yes, I thought as much. We wished you had started drinking a little earlier in the day, actually, but thank you very much for being available to us on this link. And I gather we're back tomorrow morning again at 10:00 a.m.; is that right, Ms. Baker? Yes.

MS. BAKER: That's right.

MR. COMMISSIONER: Thank you. And thank you to our courtroom staff who were willing to sit straight through. Thank you very much.

THE REGISTRAR: The hearing is now resumed for the day and will resume at 10 o'clock tomorrow morning.

(PROCEEDINGS ADJOURNED TO FEBRUARY 11, 2011, AT 10:00 A.M.)

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

## Diane Rochfort

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

## Karen Acaster

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

## Karen Hefferland

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

Irene Lim