Commission of Inquiry into the Decline of Sockeye Salmon in the Fraser River



Commission d'enquête sur le déclin des populations de saumon rouge du fleuve Fraser

Public Hearings

Audience publique

Commissioner

L'Honorable juge / The Honourable Justice Bruce Cohen

Commissaire

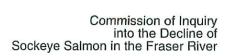
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Tuesday, September 20, 2011

le mardi 20 septembre 2011





Commission d'enquête sur le déclin des populations de saumon rouge du fleuve Fraser

Errata for the Transcript of Hearings on September 20, 2011

Page	Line	Error	Correction
103	18	does that be	does that by

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Pacific Salmon Commission ("PSC") No appearance

B.C. Public Service Alliance of Canada No appearance

Union of Environment Workers B.C.

("BCPSAC")

No appearance Rio Tinto Alcan Inc. ("RTAI")

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No appearance Seafood Producers Association of B.C.

("SPABC")

Aquaculture Coalition: Alexandra No appearance

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("AQUA")

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Nation

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Fisheries Society; Northern Shuswap Tribal

Council; Chehalis Indian Band;

Secwepemc Fisheries Commission of the Shuswap Nation Tribal Council; Upper Fraser Fisheries Conservation Alliance; Other Douglas Treaty First Nations who applied together (the Snuneymuxw, Tsartlip and Tsawout); Adams Lake Indian Band; Carrier Sekani Tribal

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No appearance Laich-kwil-tach Treaty Society

Chief Harold Sewid, Aboriginal Aquaculture Association ("LJHAH")

No appearance Musgamagw Tsawataineuk Tribal

Council ("MTTC")

No appearance Heiltsuk Tribal Council ("HTC")

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Vancouver, B.C./Vancouver 1 2 (C.-B.)3 September 20, 2011/le 20 4 septembre 2011 5 6 The hearing is now resumed. THE REGISTRAR: 7 THE COMMISSIONER: Good morning, Mr. Leadem. 8 THE REGISTRAR: Order. The hearing is now resumed. THE COMMISSIONER: Good morning, Mr. Leadem. 9 10 MR. LEADEM: Good morning, Mr. Commissioner. 11 morning, Mr. Marmorek. 12 Good morning. 13 14

DAVID MARMOREK, recalled.

CROSS-EXAMINATION BY MR. LEADEM, continuing:

- We left off yesterday, and we had been discussing the workshop that you had facilitated at the end of November of last year, the two-day workshop where you met with all of the scientists who prepared technical reports for this Commission, and I want to take you to the end of that workshop, because essentially I think there was a breakout session that we discussed last -yesterday, at which you examined the alternative hypotheses that had emerged originally from the PSC workshop in June of 2010. And then, as I understand it, you revisited those alternative hypotheses in the workshop that you facilitated in November of 2010; is that right?
- That's correct. We had a brief session where we Α broke into, I think, four subgroups and each of those subgroups randomly constituted -- made up -looked at the conclusions from the PSC report and then re-evaluated them in light of what they had just heard on the preliminary findings of the workshop.
- All right, thank you. Mr. Lunn, could we please Q pull up pdf 361 of Exhibit 1896. The first thing I wanted to do was confirm the list of participants, and I see that they are there, and you can confirm that for us, can you?
- That is correct.

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And then, if we go back to, I think it's, pdf 35 just bear with me for a moment - 367, thank you.

MR. LUNN: Five seven?

- MR. LEADEM: 367. Oh, sorry, it must be 357; there's no pdf 367. Thank you.
- So in these notes there is the Integrative workshop tasks, and that's the breakout session that you just described; is that right?
- A That's correct.
- And then after the breakout session, people reassembled, I gather, and going to the next page, under Discussion, there is an ability, then, for a free-ranging discussion on some of the alternative hypotheses, and what had changed, more or less, in people's minds, particularly people who may have participated in the PSC workshop in June, and then what had basically had started to reassemble in their minds as a result of hearing from the scientists who presented it at the workshop that you facilitated in November of 2010; is that a fair statement?
- Yes, that's correct. I think there was only maybe
 I'd have to check but maybe five or six people who had been at both workshops.
- Yes. I think Dr. Peterman, for example, was at both workshops and was a primary motivator of the PSC workshop in June of 2010; is that not correct?
- A Well, he wasn't a motivator of it, he was asked to lead it by the Pacific Salmon Commission.
- Q Right. So if I can then take you to some of the discussion, and Mr. Commissioner, I'm not going to go through this, but I commend this discussion to you, because it's rather informative in terms of the free-ranging discussion that ensues when scientists are allowed to speak their minds freely. If I could take you to the last -- 360, pdf 360, right before closing comments, right at the top of the page there it is Dr. Peterman says:

We're lacking the big picture because we're not thinking big enough. The proposal for a full-integrated Strait of Georgia study is not thinking big enough - everyone is studying small pieces.

And then Dr. Skip McKinnell says:

I think there is an opportunity.

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And then there's some discussion with Dr. Levy, and then Dr. McKinnell says:

7 8 9 Even something like the old Fisheries Research Board model. Look at what is failing and where advances could be made in a way that is cost effective and that provides new insights.

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And I think I want to come back, then, to the discussion that we left off yesterday, which was trying to find out a mechanism for moving forward in terms of how are we going to address the science that needs to be done in an efficient, cost-effective manner? And one of the proposals I've been advancing is something like the old Fisheries Research Board model, that's quasiindependent of government, but has some reign to sort of -- free reign to sort of focus in upon research questions. I see you're nodding your head, so am I striking a responsive cord there? Yes, I guess head-nodding doesn't get down in the transcript. So I think that's an interesting proposal, and one other model that might be examined is that of the international joint commission for the Great Lakes --

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Yes.

A -- where they drew scientists from different relevant entities, Environmental Protection Agency, Ministry of Environment, but when they went into the International Joint Commission they were forced to sign something. They were taking off their institutional hats. They were just there as independent scientists.

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That having been said, I think it's also important that a group like that focus their efforts on questions that are really important to people that are making management decisions. You know, it doesn't just become a theoretical academic exercise.

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46 47 I now want to not necessarily shift topics, but what I'm struggling with is a framework for decision-making. Given that there seems to be a lack of scientific certainty around what essentially is causing the decline, I mean, we can point to certain factors and say, "Well, this hypothesis is likely," or, "This hypothesis is

less likely," but basically are we not in an era where we have scientific uncertainty about what has caused the decline in the Fraser River sockeye?

A Certainly that's true, and there are methods for making decisions under uncertainty, which are wholly relevant to the situation.

Q Right. And that leads me to discussing with you

the precautionary principle and the precautionary approach. And just so that we're clear of what the precautionary principle is, I'm going to read you the Principle 15 from the Rio Declaration and make sure you agree with it. I just want to make sure that we're speaking about the same thing. So that principle says:

Where there are threats of serious or irreversible damage, lack of full scientific certainty shall not be used as a reason for postponing cost-effective measures to prevent environmental degradation.

That's the principle that I usually cite. Is that the one that you use as well, or do you use something other than that?

A Well, interestingly enough, I checked on this, this morning, and passed onto Ms. Baker, who passed onto Mr. Lunn, the paper that Randall Peterman had given at a salmon summit in, I think I was, 2008, organized by Simon Fraser University, where he talks about the precautionary principle applied to fisheries and the precautionary approach. So actually, I don't know if I could put that up very quickly, just to show you the way I would think about it?

> Well, since you mentioned it, I think that we're obliged to see it.

A Okay. It'll just take a moment.

 MS. BAKER: I do have a paper copy of this that he gave us this morning, so I'll just circulate that around.

 A Because I do think it's important to understand terms. I think precautionary principle has been applied differently in different contexts, and just so we're talking apples to apples.

MR. LEADEM:

Q So what you appear to have handed out to everyone

is Chapter 23, entitled, An Overview of the precautionary approach in fisheries and some suggested extensions, by Dr. Randall Peterman, from Simon Fraser University?

- A Yes. And the simplest summary of this is if you go down to, I think it's page 2, the first figure, I'm not sure, at the bottom.
- Q Page 234 of the extract?
- A Yeah. If you could expand that figure, Mr. Lunn, there. So he described the two things there as and I don't know if this is the same as your definitions, sir, but essentially the restrictions imposed on human activity, so severe restrictions, what he would refer to as applying the precautionary principle would involve a ban on something. So no longer dumping of waste in oceans, whereas precautionary approach is that you would allow the activity to continue, but use safety margins relevant to that activity. So that's just —
- Q All right. Those safety margins would, in effect, correspond to limit reference points, for example, in harvesting or establishing benchmarks for conservation units --
- A Exactly.

- Q -- something of that nature?
- A Yeah. So that was my understanding. So just I don't know if it's exactly the same as the quote you had from the Rio. A little bit further above is Randall Peterman's definition of a principle on this page. But anyway, that's -- so it sounds similar, but I think the implications here is that if you applied the principle the way he's presented it, then something -- activity doesn't happen at all, whereas with the approach an activity may happen.
- Q All right. So as long as we're not talking semantical differences, I understand that there's probably a spectrum at which you examine costeffective measures, and if it looks as though something's really going to be disastrous for the environment, you may want to invoke the principle, as Dr. Randall Peterman says here.
- A That's fine.
- 45 Q But in other approaches, you're going to take -46 or in other situations you're going to adopt an
 47 approach as opposed to a principle?

That's correct. 1 Α 2 Okay. So and the fisheries are a really good 3 example of that, in terms of we have a resource that's being utilized by many users, aboriginal 5 users, indigenous peoples have used this for 6 centuries, we have commercial fishing sectors, 7 we've got sport fishing sectors, so everyone is 8 dependent upon that resource, more or less, are 9 they not? 10 Yes. Α 11 And so in the context, then, where you put 12 conservation of the resource as a primary 13 objective, which we've done through the Wild 14 Salmon Policy, you start up with a concept that 15 you take measures to protect that resource, to 16 protect the salmon, do you not? 17 So this is where it gets interesting, because Α 18 there are trade-offs between different objectives. 19 So, for example, if you want to preserve the 20 Cultus Lake sockeye run and have a very high 21 probability of doing that, you would need to 22 curtail harvest by a substantial margin and some of Dr. Peterman's students have done that sort of 23 24 analysis. So it becomes a societal policy 25 question with respect to what level of certainty 26 you want to have at protecting that species at 27 risk versus what level of harvest you would like 28 to have, because obviously if you eliminated 29 harvest that would have some economic impacts. 30 I think there's a science aspect to this of 31 evaluating those risks, and then there's a policy aspect of those, which is making the trade-offs 32 33 between, for example, complete application of the 34 Wild Salmon Policy versus satisfying other 35 societal social and economic needs. 36 Right. From a scientific perspective, though, it 37 comes down to, as a scientist, basically if you agree with a concept of biodiversity and 38 39 biodiversity is to be preserved, when scientists 40 weigh in on that question, they often weigh in on 41 it by saying, "Well, biodiversity is an important

factor and we should do what we can in order to

Well, I think the statement you just made is

interesting. I think it was a fairly policy-

weighted statement. I mean, I think a lot of

As a conservation biologist, would

preserve it.

you agree with that?

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scientists who work on environmental things would like to see biodiversity preserved, okay? So as a scientist, I think your job is to, in a completely neutral way, say, "If you would like to preserve biodiversity," if society would like to do this, "here is what you need to do."

- Okay? And then it becomes a policy decision of, "Well, yes, we would like to preserve biodiversity, but we also want to preserve these other social and economic goals, and politically we're willing to make some trade-offs." So, for example, when Sakinaw Lake sockeye were petitioned for being listed under the **Species at Risk Act**, the decision was made not to list them, because the implications of doing so would have effects on the fishery, okay? So that was a societal decision between competing objectives, basically.
- Yes. And now, I just want to take it out of the context, because I'm a bit time limited but I want to take it out of the context of harvesting and then move it into another arena where we also seem to have scientific uncertainty, and that's in the arena of fish farming and aquaculture and whether aquaculture is or is not affecting the Fraser River sockeye return.

And firstly, would you agree with me that we do have scientific uncertainty in that field? Yes, I would agree with you.

- And so, then, if we're to apply a precautionary approach as opposed to a principle, where we're not necessarily going to shut down all the salmon farms everywhere along the coast, wouldn't it make sense, as a precautionary approach, to limit the farms that are actually capable of impacting the Fraser River sockeye salmon on their migratory pathway?
- A So in principle, I think managing those farms to limit impacts is a reasonable principle. The question then becomes the devil's in the detail, so how many farms do you allow in which locations, to which degree, and what are those risks? And so because there is substantial uncertainty, and we have two reports which came to some very different conclusions on disease, if you were to try to estimate what that risk is, as a scientist, my response would be, "Well, we need"

some data," as I said yesterday, on disease in order to make an intelligent estimate of that risk, okay?

And then, once you establish that risk and you say, "Well, if you have," and I'll just make a number up, "20 fish farms in this particular region, there's a high likelihood of disease getting transferred, and if you have three there's an extremely low likelihood," you know, just to make some numbers up, then it gives you some ability to make some decisions. In the absence of that information, I think it's very difficult for people trying to do these risk assessments to make those decisions. How are they going to evaluate those risks quantitatively?

- Q But if I come back to the precautionary approach as opposed to the principle, if we acknowledge that there is scientific uncertainty, and I fully accept that we need more science to be able to answer some of the questions that you're postulating there, but in the absence of that scientific knowledge, then we should be adopting a precautious approach with respect to the location of the fish farms along the migratory pathway of the Fraser River sockeye, should we not?
- I think the basic question here is, when you say "apply a precautionary approach," how do you apply that in the absence of accurate information on risk? So to just flip back for a moment to a harvest situation, if you said, "What limit reference point shall we set for this fish population about which we have absolutely no knowledge of its abundance or productivity?" And the answer would be, "We have no idea." And so fishery biologists would go out and say, "Well, let's get some basic information in order to understand something about this population so that we can make those -- set those cut-offs," okay?

So if you think about it, you know, the maximum allowable number of fish farms or the type of farms or the amount of activity or something is like a limit reference point, and so you need some basic information that allows you to assess those risks. And I think that's where I would come down on it, because right now we have disease information within the fish farms and that tells us something, but we don't have disease

information within the sockeye, so we don't actually know how much exposure there's been. If I can come back to that example you gave of

- limit reference points, and I fully accept that if you're in a fishery situation and you don't know what constitutes a lower reference point, you better find out very quickly. But supposing you're in a situation where you don't know that. Does it make sense to allow fishermen to simply go out and catch as many fish as possible? Doesn't it make more sense to be precautious and exercise some constraint over that?
- A Yes, I think it would make sense to exercise some constraint over that. So I guess the question, really, is, "What is the level of risk associated with different levels of fish farm activity?" And I think that we've seen in the two reports from Noakes and Dill very different descriptions of that level of risk. So that doesn't provide a decision-maker with a lot of guidance. You know, it's like coming to a T-junction and being told to turn left or turn right, essentially.

So I think in that kind of situation, I've encountered that before, where you have a very wide range of hypotheses about the level of impact, the best way to move forward and actually develop a tangible precautionary approach, is to get the information and use it.

Q All right.

- A So that would -- that, you know, is consistent with what I said yesterday.
- Q So we should be getting that information quickly, though?
- A Absolutely. And it should have been quite some time ago.
- MR. LEADEM: Yes, I agree with that. Thank you, those are my questions, Mr. Commissioner.
- MS. BAKER: Should we mark this document as an exhibit? MR. LEADEM: Oh sorry. Yes, we should mark that

extract from Dr. Peterman, and thank you for bringing it to my attention.

THE REGISTRAR: 1906.

EXHIBIT 1906: Chapter 23, An Overview of the precautionary approach in fisheries and some suggested extensions, by Dr. Randall Peterman, Simon Fraser University

MR. HARVEY: Yes, Mr. Commissioner, it's -- and Mr.
Marmorek, it's Chris Harvey from the West Coast
Trollers Association and the UFAWU.

CROSS-EXAMINATION BY MR. HARVEY:

- And Mr. Marmorek, you've been qualified as an expert in the area of adaptive management, and I think much of what you've been saying comes from that discipline. I must admit that I had to Google it last night to find out exactly what it meant. And what popped up, immediately, was something from the B.C. Forest Service website that defined it, and I'm guessing that maybe you had something to do with that definition that the Forest Services adopted?
- A Well, there's many definitions. We have done work with the Forest Service on developing a curriculum for teaching about adaptive management.
- O Yes.
- A I think that the specific definition didn't come from us --
- Q All right.
- A -- anyway.
 - Q Well, I won't ask you that because I got -something else came up, and that was your own final report to the National Commission on Science and Sustainable Forestry. I think that's a U.S. report.
 - A That's right.
 - Q May 15th, 2006. And it had your name on it as the lead author?
 - A Yes, that's correct.
 - Q Yeah, all right. And it opened with these words, introductory words:

Adaptive Management (AM) is a rigorous approach for learning through deliberately designing and applying management actions as experiments. It was first developed under the name "Adaptive Environmental Assessment and Management" in the 1970s by Dr. C.S. Holling and Dr. C.J. Walters and associates at the University of British Columbia and the International Institute for Applied Systems Analysis in Vienna.

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Pausing there, is that the same Dr. Walters who gave evidence here in February?

- A The very same.
- Q Yes. He seems to pop up everywhere.
- A He's a busy man.
- Q So he's one of the leaders in the field of adaptive management?
- A Yes, he is.
- Q And then your introductory paragraph continues:

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It has since been applied to a wide range of resource and ecosystem management problems throughout North America and elsewhere... AM is an approach to management that involves synthesizing existing knowledge, exploring alternative actions, making explicit predictions of their outcomes, selecting one or more actions to implement, monitoring to see if the actual outcomes match those predicted, and then using these results to learn and adjust future management plans and [policies]...

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And then you have a diagram outlining the six steps, one of which is evaluate. And then it carries on, in page 2:

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Adaptive management may be essential for achieving sustainable forestry...

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AM is enabled through consideration of the desire for fair and equitable treatment of tenure holders, other resource users, and communities (i.e. trying to ensure the costs and benefits of management experiments are borne equally); creative approaches to sharing the costs and benefits of AM; and compensation programs to mitigate losses associated with decisions based on AM. It can help to compare the real costs and benefits of traditional management (including the costs of litigation) versus the cost and benefits of an AM approach. Finally, there needs to be strong, explicit links between the results of management experiments and the use of those results to modify regulations and future practices-often referred to as

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"closing the loop." 1 2 3 So that basically defines the concept, does it? 4 Α Yes, I couldn't have said it better if I'd written 5 it myself. 6 Which I think you probably did. Q 7 At least partially. Α 8 Yes, all right. So you mean by that, do you, that 9 it's critical to the principle of adaptive 10 management that a retro -- well, first of all, a 11 prospective cost benefit analysis is done, and 12 then a retrospective cost benefit is done 13 afterwards, following the management experiment, 14 in order to determine its results? 15 In the design stage, which is the second step in that diagram that's in that report, the key thing 16 17 is to simulate through all of the following steps, 18 the implementation of the actions, the monitoring 19 of those actions, the evaluation, and the adjustment phase, if you have different outcomes. 20 21 And so part of that is looking at costs and 22 benefits for different objectives, such as in case 23 of fisheries conservation or harvest, ecosystem 24 integrity, all of those trade-offs. 25 And that's done on a prospective basis, and Q Yes. 26 then after the experiment it's done on a 27 retrospective basis; is that correct? 28 Well, I would say during the experiment you're Α 29 trying to get feedback continually so that you're 30 learning as it goes, and then as it's completed, 31 if it's a finite length experiment, then wrapping 32 that up and saying, "Well, here's what the 33 implications are for what actions we take 34 subsequently." 35 Q Yes. And the point of assessing the implications 36 is not to cast blame on the original decision-37 makers but to inform future decision-making; is 38 that correct? 39 Α That's correct. 40 Yeah. Now, many countries have applied the AM 41 process to fisheries management decisions; is that 42 right; principally, Australia and the U.S.? 43 The number of successful applications is fairly Α 44 small, but there have been a few. 45 Okay. And one other passage in the U.S. Forestry Q 46 report that I found useful is at page 51 of that 47 report. It deals with how science is conducted.

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Adaptive management combines science and management in order to learn from management experience. To enable adaptive management, both science and management have to combine in a way that transforms both. In doing so, management becomes more scientifically rigorous, and research becomes more policy relevant.

Without scientific rigor initiatives billed as adaptive management may be little more than undisciplined trial and error, a poor paradigm for effective learning.

So that brings in the role of science and the adaptive management approach; is that correct?

- Α Yes, I think that interaction is extremely important.
- Now, just so I fully understand it, in the Q Yeah. forestry context, if you're dealing with something like a request to defer cutting of trees in a certain watershed for, say, 20 years, you'd start off by doing a prospective analysis, bringing in the botanists to determine what the growth would be over that period of time, you'd bring an economist to do the cost side of the cost benefit analysis, and then the results of that would go forward to the policy decision that you mentioned a moment ago; is that how it would work?
- Α Well, the description that you just gave could apply to a situation where there wasn't a lot of uncertainty. Adaptive management is only appropriate where there's a lot of uncertainty. So in the example you gave, for you to apply adaptive management there would need to be some uncertainty about whether it was necessary, say, to protect a fish population in the stream near where the cutting was going to occur, whether it was necessary to defer that harvesting or not.
- Q Yes.
- Α Otherwise, you wouldn't be applying adaptive management, you might apply some other methods.
- Well, you might have uncertainties coming in through global warming changes and that sort of thing, would you not?

- Actually, global warming is an example I often use Α where you can't apply adaptive management because, first of all, there's no possibility of replication, there's only one planet, and it's going to take 50 or 60 years to learn what the consequences of our actions are, globally. you know, you could devise an experiment whereby you had some areas of a watershed that were not cut and other areas that were cut and look at that contrast, but both of those are going to be equally exposed to global warming effects.
 - Yes. But you'd attempt to predict in advance when you're setting up the experiment and then, in the retrospective, years later, you'd look back?
 - A That's correct.

- Yeah, all right. And you do the same sort of things in the fisheries context, I expect; is that right?
- A When you can, I think there have been opportunities, as you mentioned earlier.
- Yes. For example, if someone suggested reducing the harvest rate from 50 to 10 percent in a particular stream, prospectively you'd bring in expert biologists, you'd assess the carrying capacity, you'd assess other things to predict a result, and then you'd bring in an economist to do the simple arithmetic, to do the -- to assess the cost to the economy; is that basically how it would work?
- A I think what you would do, if you were contemplating that experiment, is predict the expected biological outcomes, given the various uncertainties that are there --
- O Yes.
- A -- the spawner recruit relationship, uncertainties, for example, in what sort of ocean conditions the population might be exposed to, as well as the economic costs you mentioned, so that you would try to work through all of those uncertainties and get a range of possible outcomes.
- Q And then the benefit of it is that with that scientific rigour being applied to all aspects of that, you'd have something to go forward to the policy decision-makers to assist them in decision-making, correct?
- A That's correct. For that sort of experiment it

might take you a decade to find out what the consequences were.

Yes. But after that decade, you certainly we

- Q Yes. But after that decade, you certainly want to do the retrospective?
- A Yes, that's correct.
- Q Yes. Now, are you aware of what's been referred to, here, as the 1987 Rebuilding Plan for Fraser River Sockeye?
 - A Not in detail, only in general terms.
 - Q All right. In general terms, do you understand it to be a program that was designed on the assumption that if you cut back harvest, increased escapement, you would rebuild the sockeye stocks?
- A Yes.

- Q All right. If you had been advising at that time, you would have advised an adaptive management approach, I expect?
- A Most likely.
- 19 Q Yes. And including the retrospective?
- 20 A You're correct.
 - And if the government, in its wisdom, had asked a royal commission to do the retrospective, you would have organized that according to adaptive management principles that you just explained; is that correct?
 - Well, it depends. Just as in the Cohen Commission retrospective ecological risk assessment that we've applied here, you can't apply an adaptive management approach to something which wasn't implemented with an adaptive management approach. So if, for example, you haven't had a rigorous, by design, set of contrasts by which you can evaluate alternative hypotheses, you can't retrospectively apply an adaptive management approach. What you do is you do your best job you can, just as we have in Technical Report 6, to assess alternative hypotheses using whatever contrasts you can find. So it's more opportunistic than deliberate.
 - Q Well, you wouldn't have the benefit of an original cost benefit analysis to compare with the respective cost benefit analysis, but you could still do a retrospective cost benefit analysis, could you not?
- 44 A Well, you're now using the term "cost benefit
 45 analysis" whereas a while ago you were using the
 46 term "adaptive management approach", so just to
 47 clarify what I mean, so if you -- and I don't, as

I said, I don't know exactly the details of how that policy were implemented, but it would seem to me that, in 20/20 hindsight, the best way to implement it would be to have some -- if you could manage it, given the mixed stock challenges, if you could manage it to have some stocks where you implemented the new approach and some other ones where you didn't, so you'd have some contrast and be able to compare it, that's not so much a cost benefit application as it is an adaptive management application where you're creating contrast to maximize the amount of learning of your management action. It's like a controlled treatment idea.

- Q But don't we have that in the Columbia, for example, the Canadian policy did not apply, and in Bristol Bay it did not apply?
- A I don't think you can use reference populations that are that far away from the Fraser, because there are too many differences and some of Randall Peterman's work has shown that if you get more than about 500 kilometres away the variation from year to year amongst stocks starts to be very different.
- Q Yes. At any rate, if you were advising on how to do a retrospective analysis, you'd want to do the best you could with respect to drawing in the science, the biologists, the population dynamics, the climate change scientists, and then also an economist to do the arithmetic?
- A That sound reasonable.
- Q All right. When you first learned of the topics chosen by David Levy for the science reports of this Commission, did you have a discussion about the nature of those science reports with him, by any change?
- A They were basically -- we were informed what those topics were, but we didn't discuss whether there was anything missing, for example.
- Q All right.

- A They just seemed pretty comprehensive to me.
- Q All right. Are you aware, by any change, that Dr. Walters made a request to Dr. Levy for a retrospective analysis?
- MS. BAKER: Mr. Commissioner, I'm not sure that this is relevant for the witness to talk about discussions that happened internally at the Commission between

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other scientists.
                               I would object to this line of
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            questioning.
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       MR. HARVEY: Well, the problem is --
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            I wasn't aware.
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            You weren't? All right, I'll leave it at that.
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            It was a short discussion.
       Α
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            Yes, all right. All right, let me return to your
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            report. Your object, if I understand it right,
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            was to determine the possible and likely causal
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            mechanisms for the 20-year decline, correct?
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       Α
            Yes, that's correct.
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            And you show that on page 29 of your report, which
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            shows the dropping productivity dropping,
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            actually, below a dashed line representing the
15
            level at which the population can replace itself?
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            That's correct.
       Α
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            That's a very serious situation, obviously?
       Q
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       Α
            Yes, it is.
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                 You examined five life history stages,
20
            concluded that coastal marine conditions and
21
            climate change were the primary likely causes?
22
            That's correct.
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            I couldn't help noticing in your report that the
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            stage, I think it's the second stage, which deals
25
            with fry in the rearing lakes --
            Actually, that's the first stage. The second
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       Α
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            stage is smolts from the lake down to the estuary.
28
            But the first stage ends with the fall fry, as far
       Q
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            as I could make out. It doesn't include
30
            overwintering and it doesn't include the period in
31
            the spring when you've got two-year classes
32
            occupying the same lake, the same (indiscernible -
33
            overlapping speakers) --
34
       Α
            Well, it does. There's two different things here.
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            One, is that the way we define the stage, it's up
36
            to the point where the smolts leave the lakes.
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            However, in the monitoring that's gone on to date,
            there are only nine of the 19 monitored stocks,
38
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            which is only 19 out of 36 conservation units, but
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            there's only nine that have any sort of juvenile
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            monitoring, and seven of those only monitor up to
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            the fall fry, as you've said, and then there's
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            Chilko and Cultus that have the smolt monitoring.
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            Which stage does overwintering and the period --
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            the short period in the spring before the
46
            migration starts, which stage does that fall
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under?

- A So that would fall into what we called Stage 1, even though we don't have data on that stage for all but two of the stocks, really.

 O So you conclude that there's no decline in that
 - Q So you conclude that there's no decline in that stage, but you haven't been able to assess mortality over the winter or in the spring during the migration; is that correct?
 - A That's true, and that's a data gap that we point out should be rectified. So if there were impacts that were happening over the winter, they would not show up in seven of those nine juvenile monitoring programs.
 - With respect to your conclusion about coastal marine conditions and climate change, you'd agree that those are matters that fishery managers can do little or nothing about, I expect?
 - Actually, I don't agree with that. If you look at Α the paper that was submitted by Kim Hyatt -sorry, I'm not sure who submitted it, but it's one of the 62 documents I got last week, the fisheries management of the Barclay Sound stocks have relied on monitoring of salinity and temperature conditions as those smolts are going out to sea, and then used that to modify expectations of the amount of harvest two years later. So if it's generally warmer waters and El Niño influence, they tend to get like one to two percent marine survival. And if it's fairly cool waters, they tend to have like six or seven percent marine survival. So it's an expectations management thing, which is valuable, I think --
 - Q Yes.

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- A -- for harvest managers.
 - Q Yes, of course. But --
 - A You can't change the ocean.
 - Q Yeah. And if a decline is caused by that, they can't reverse the decline, because they can't change the ocean.
 - A That's correct.
 - Q Would you agree with this, that if the coastal marine conditions are more challenging nowadays for Fraser River sockeye, it is very important that the smolts entering the estuary are as well nourished as possible and as strong in terms of size, state of health and energy levels?
- 46 A I think that's one of the things that's important.
 47 I think what's also really important, as was shown

in one of the papers by Dick Beamish and co-authors, is that you need as many -- you need a 3 wide diversity of life history types. So, for example, the Harrison sockeye that apparently are 5 still dong well, and the South Thompson Coho that 6 are still doing reasonably well - I think it was 7 South Thompson --8

- MS. TSURUMI: (Inaudible - off microphone).
- Chinook. Thanks.
- MR. HARVEY: Yes.

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- Have later entry time into the Strait of Georgia So I think when you have these highly variable marine conditions both from year to year and spatially, it's extremely important to have a wide diversity of life history types.
- All right. You accept, I think, the basic premises behind the Ricker model, namely that the productive capacity of the freshwater ecosystem limits the number of smolts that can be produced in any particular watershed?
- Yes, I think the way you said it in words is Α correct. The Ricker model isn't always the best fit for every population.
- And after a certain egg and fry abundance, the Ricker model assumes a limit that is graphically noted as the dome for the Ricker curve?
- That's correct. Α
- And that is the point at which something begins to operate to prevent a further increase, and it's that something that is responsible for killing off sockeye at a rate greater than the rate of increase of fry -- of eggs and fry?
- Yeah, there's a point at which competitive effects of one form or another, either for space or for food, or other effects, like disease, can come to occur if the density is too high.
- Starvation, pathogens, and predators Yes. have all been suggested, and there's some debate about the relative contribution of each, I think. But there's no doubt about the consequence, mainly a die-off greater than the rate of increase after the dome of the Ricker curve; is that basically correct?
- That's correct. Although, as noted in the paper that I think you submitted from Clark et al, from -- for Alaska sockeye, that's not a sustainable problem because when you have lower production you

1 then flip over to the other side of the curve --Q Yes. -- lower number of spawners. 3 Α 4 Perhaps we could turn to that. That is, let's 5 see, Mr. Lunn, that's out of the order that I gave 6 you, but it is Exhibit 184. I'd like to start at 7 page 36. 8 I don't think that's the paper you were looking 9 for. 10 This is -- it should be -- oh, I'm sorry. Q 11 This is Grant. You want --12 I'm sorry. Exhibit 419, Mr. Lunn. Yes, at page 13 Yes. This shows the basic Ricker stock 14 recruitment curve? 15 That's correct. Α 16 Smax is there at the top of the curve, shows the 17 maximum possible biomass for a given watershed on 18 this model; is that correct? 19 Α For given stock, I suspect, yeah. 20 Q Yeah. Seq --21 Α That's the point at which you have one-to-one. 22 Yes, one-to-one replacement. And below that you 23 -- this is equivalent to the dotted line in your 24 graph? The population is not replacing itself 25 after you pass that point? 26 That's correct. Α 27 All right. And Smsy, on the left-hand side of the 28 curve, contains the two biological reference 29 points, the escapement benchmarks marked with the 30 arrows, I think; is that as you understand it? 31 That seems to be correct. Α 32 Yes. And according to the Alaskan definition, 33 beyond the Smsy point they refer to as overescapement. Now, if you turn to page 5, if we can turn to that, Mr. Lunn, please, this gets to 34 35 36 the passage you're referring to. First of all, at 37 the top of the page: 38

Any generic theory of salmon production must include the two main ecological processes of an intrinsic rate of increase and a carrying capacity. Similar information can be found

in basic texts of fisheries science,

And Ricker's referred to, Hilbourn and Walters, et cetera. Then dropping down to the fourth paragraph:

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21 David Marmorek Cross-exam by Mr. Harvey (TWCTUFA)

The intrinsic rate of increase causes a 2 salmon stock to grow indefinitely, but there 3 must be a limit to this growth. The carrying 4 capacity describes the density dependent 5 survival of the salmon stock where the 6 survival stock is directly related to the 7 size of the escapement. 8

> And finally, at the beginning of the next paragraph:

> > The carrying capacity of a salmon stock is thought to be watershed and stock specific.

That's the sort of general elementary principle of salmon production; is that correct?

Α Yes.

If you turn to page 19. I'm sorry, this gets to the point you referred to. Page 19, toward the bottom, the paragraph beginning, "This result,":

This result is consistent --

I'm sorry, "This result" as you refer just to the previous sentence:

Although some stocks exhibited increases in yield when averaged across these 29 stocks, overescapement resulted in a decrease in yields and an increase in the variability of [risk] (sic).

This result is consistent with the generic theory of compensatory production, where spawning efficiency decreases with increasing escapement levels and stocks are limited by the carrying capacity of the habitat. Overescapement, in general, is not sustainable as it causes returns and yields to decrease in the next generation, which also result in lower escapements. Lower escapements then result in higher returns and yields in succeeding generations.

That's what you referred to a moment ago; is that correct?

Yes, that's what I referred to a moment ago. Α

September 20, 2011

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a really important caveat here is that all assumes 1 that the stock recruitment curve remains the same 3 over time. 4

Q Yes.

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- So if you have a major change in ocean conditions, for example, that curve might decrease.
- Yes. But also, does it not assume this, that fisheries managers do not cut off all harvest in the succeeding generations and thereby repeat the overescapement? Because you can repeat overescapement in succeeding generations, of course, simply by cutting off harvest.
- Α Yes, you can. I think it's important in this paper, also, to read the following paragraph, too:

For the remaining 11 stocks where observed exploitation rate is greater than exploitation rate at [maximum sustainable yield], we found that yields tended to increase as escapements increased, even when overescapement occurred.

And I believe out of the 40 Alaskan stocks they looked at, they only found evidence of delayed density dependence in five of them.

- Yes.
- So I think you have to put it in that larger Α context.
- But delayed density dependence is the Larkin model. I'm talking, here, about -- we're talking, here, about the Ricker model, are we not?
- That's correct. I'm just referring to the Α conclusions of the study at the beginning of the abstract. So I think -- I just think you need to -- it's easy to zoom in on particular cases where this happened, but it's also important to look at the proportion of cases where it happened.
- There's something similar to this produced by Kim Hyatt at our document number 5, Mr. Lunn. I don't think it's an exhibit yet. This is Hyatt, Rankin, Sue Grant and others, including Steve Cox, I see, Cox-Rogers.
- Yes, that was actually the document I meant Α earlier.
- 45 Oh, I see. All right. This document, at page 19 46 of 22, has a model. This is a general conceptual 47 model summarizing biophysical mechanism

interactions, et cetera. If we stop at the -- if we start at the bottom, left-hand corner, where it says Escapement increases, the arrows bring us to the right, stock fry recruitment increases, and then it goes up, slower fry growth and smaller smolts, and then it goes up and they enter the marine ecosystem. And on the right are certain conditions in the marine, and on the left are certain other conditions. If we go up the right, this is the challenging marine conditions.

The next one is early growth of juvenile salmon is slow, then it goes to the right, mortality above average for juvenile salmon, then it goes down, adult returns below the average. And then, if we take the arrow down to the right, escapement decreases, stock fry recruitment decreases, and then we get faster fry growth and larger smolts.

That's the concept you're referring to as it being -- as overescapement being not sustainable; is that correct?

A That's right.

- Q But would you agree that if, on the bottom -well, the bottom right says, escapement decreases.
 If that were adjusted by fishery managers so that
 we have overescapement again, we'd be back on the
 left-hand side, where it says, escapement
 increases, and we'd be going up, up the same cycle
 again, would we not?
- A Well, on the left-hand side of the diagram in this paper, he's contrasting State 1, which is La Niña-like conditions, which are more favourable ocean conditions. So the reason for higher escapement on the left-hand side is related to better marine conditions. And the reason for lower escapement on the right-hand side is either poor marine conditions or this oscillating fact that you get, you're sort of flipping back and forth between the peak of that curve that we talked about.
- Yes, well, I'm not disputing that marine conditions can be more challenging at times and less challenging at other times, but that, as you said a moment ago, is something we can't do much about, correct?
- A Well, as I also said a moment ago, I think you can adjust your habitat and harvest and even hatchery management actions to account for what kind of

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ocean regime you're in.

Yes. And you did say, and I think you agree, that you'd want to produce the largest and strongest smolts into a challenging marine environment, obviously?

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To the degree that you can. Α

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I was struck by something in a document that Mr. Timberg read to you yesterday, and I wonder if we could bring that up again, Exhibit 1903, Mr. Lunn, at page 522. I think it was the top righthand quadrant. I'm sorry, is this page 522? I'm looking for a passage beginning, "The NPC" --

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That's at the top right.

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Oh, top right, "the NPC (sic) noted that" -- oh yes, top right:

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The NPCC noted that while we cannot control the ocean, we can monitor ocean conditions and related salmon survival and take actions to improve the likelihood that Columbia River Basin salmon can survive varying ocean conditions. A better understanding of the ocean conditions that influence salmon survival should provide insight as to which management actions taken inland will provide the greatest restoration benefit.

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And then the next paragraph says:

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Recruitment success in the ocean environment is generally believed to occur largely during the first critical months at sea,

And then Ricker is cited for that. Now, there are two interesting points there, I think. First of all, that fishery managers should focus on what they can control in order to meet the challenges of what they cannot control. I expect you'd agree with that, would you?

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Yes. Α

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And secondly, that Ricker appears to have recognized that the salmon die-off that occurs at spawner abundance levels beyond the dome of the Ricker curve are experienced largely during the first critical months in the marine environment. I wonder if you have any reason to disagree with that?

- I think that's, in general, true, although as this
 paper outlines, the Petrosky and Schaller's paper
 outlines where you have a lot of dams in the
 Columbia River you can also have quite a lot of
 mortality before you get to the estuary.
 Yes. But I think it also discusses the delayed
 - Q Yes. But I think it also discusses the delayed effect of that experience, the migration experience, does it not?
 - A That's what that paper discusses, yes.
- 10 Q In other words, the mortality often occurs in the early marine stage?
- 12 A That's correct.
- 13 Q All right.

- A There's a considerable amount of debate which has gone over at least a couple of decades as to the proportion of that delayed mortality that is actually delayed mortality because of the dams the fish go through versus simply changes in ocean conditions or some combination thereof.
 - Q Yes. But the basic Ricker curve, well, let's put it this way; Ricker, in 1975, in that paper that's noted -- 1976, was not talking about dams, he was just talking about the --
- 24 A That's right.
 - Q -- general conceptual model, yes. In other words, the effects of excessive escapement, as the effects causing mortality in the first critical months in the ocean?
 - A I think what -- now, it's been a while since I looked at that Ricker 1976 paper, but I believe what he was talking about is that the conditions that fish experience when they first get to sea are very important to determine the level of survival. So it's a combination of how much density-dependent effects occurred from the amount of spawning that was happening in the spawning ground, as well as the conditions that they encounter. The two interact together cumulatively.
 - Q Yes. But the Ricker curve is based on -- solely on what happens in the fresh -- the spawner abundance levels in freshwater?
 - A Right. But what you have to recognize is that there's really a family of Ricker curves for any given population, so when the ocean conditions get worse, you have a much lower curve; when the ocean conditions get better, you have a higher curve.

- When the ocean conditions are worse, you get a 1 more severe curve, a sharper dome; is that 3 correct? 4
 - Α No, it's just the whole thing drops.
 - The whole thing drops? Oh, I see. All right. Now, am I correct that the Ricker model was further developed by Larkin in that Larkin found or hypothesized from stock recruitment data that the effects of excessive spawner abundance crossed cycle lines so that not only one generation was effected, as Ricker found, but one or more successive cycles could also be effected, that's --
 - Α That's correct.

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- Yeah. Now, the Larkin model is not accepted by everyone as being applicable to Fraser stocks, but everyone who knows anything about fish population dynamics accepts the basic Ricker model; would that be a fair comment?
- I don't know about anyone, but let's say those are the two most commonly used models.
- All right. Now, I've referred you to the Alaskan paper. And what I'm going to suggest, with respect to your conclusions, I'm going to suggest to you that the -- what we saw in the Kim Hyatt paper and we discussed a moment ago about overescapement not being sustainable, it can be sustainable if overescapement is repeated by harvest adjustment actions. And I'm going to suggest to you that that's basically what's happened over the course of the years that followed the 1987 building plan, namely, exploitation rates were cut back, increasing the excessive cycles to such an extent that it took until about 2006 for escapement levels to decrease to the left-hand side of the Ricker curve. That's where I'm coming from.

And I'm going to further suggest that added to the Ricker density dependence effects are the delayed density dependence effects consistent with the Larkin model in most major runs.

Now, you're aware, no doubt, that Dr. Peterman found that the Larkin model fit almost perfectly with the Quesnel run?

So what Dr. Peterman and Dorner found is that the Quesnel stock was the only one of the 19 Fraser stocks for which there was consistent evidence of

1 delayed density dependence --2 Q Yes. 3 -- and went through, I believe, three different 4 sets of indicators by which they evaluated the 5 likelihood of delayed density dependence. 6 Yes. Now, you consulted Dr. Walters on that, I 7 think, by email, and I'd just like to add to the record the final email that he sent you back last 8 9 week, and that's --10 So could I just clarify something on that? Α 11 12 So what I did in preparing for this week is the 13 previous lawyer talked a lot about the workshop 14 that we had had, November 29th and 30th, and in 15 that document at that time Dr. Peterman said, 16 well, Carl Walters seems to be coming around to 17 the belief that the Quesnel stock is really the 18 only one for which there's any evidence of delayed 19 density dependence. And so I was rereading that and I thought, well, that's interesting. I wonder 20 21 if Carl still thinks that way. 22 23 So I sent him an email and he sent me back an 24 email, actually more than one, and my response to 25 that is that what we have, if you go back, rewind 26 to the June 2010 PSC workshop where Carl Walters 27 presented his hypothesis that there was delayed 28 density dependence in several stocks and he had a 29 five-page handout. So that was a five-page 30 handout, not very detailed on methods, and then Drs. Peterman and Dorner went through their very 31 32 detailed analysis. And in the correspondence I 33 had with Dr. Walters, and also in the handout that 34 he'd done, it's clear that there's several things 35 which differ between what he's doing and what 36 Randall Peterman and Brigitte Dorner did. 37 So first of all, Dr. Walter is using a different method of fitting the curves, at least 38 39 he did before, and that he had -- not allowing 40 positive coefficients on those Larkin parameters.

Secondly, the different of way of weighting the

Peterman and Dorner fixed up some problems with

the dataset since the PSC workshop. And fourthly,

they're using somewhat different ways of deciding

whether delayed density dependence is happening or

different datasets, because I know that Drs.

Thirdly, they may be using somewhat

weak years.

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David Marmorek
Cross-exam by Mr. Harvey (TWCTUFA)

not. So they're both excellent fisheries 3 scientists. But what we have here is one very detailed report by Peterman and Dorner describing 5 all their methods, on the other hand we have an 6 email with a graph in it. So I think that if 7 you're going to have an apples-to-apples 8 comparison, what you really need is a very 9 detailed description of exactly the methods that 10 Dr. Walters used. Because I found in many 11 previous cases that unless you know exactly what 12 data and methods they're using, it's very hard to 13 compare the conclusions. 14 And one more thing I'd just mention is that 15 Dr. Peterman used the Kalman filter approach, and 16 said that it was unbiased, and Dr. Walters in his 17 email said, well, the Kalman filter approach is 18 biased. 19 So all of this needs to be worked through in 20 a collegial way, probably with a few other 21 independent scientists to examine the data and the 22 methods and the process by which the conclusions 23 are done. 24 In order to determine who is right, Dr. Peterman 25 or Dr. Walters; would that be the object? 26 Yeah, or the relative degree of rightness. 27 MR. HARVEY: Yes, all right. I'd like to mark, if we 28 could -- oh, I'm sorry, I don't think we marked 29 the previous exhibit. 30 THE REGISTRAR: That was Tab 5, Mr. Harvey. 31 MR. HARVEY: Yes. 32 THE REGISTRAR: And you wish that marked? 33 MR. HARVEY: Yes, please. 34 THE REGISTRAR: It will be marked as 1907. 35 THE COMMISSIONER: I'm sorry, what is that, Mr. 36 Registrar? 37 THE REGISTRAR: That's Tab 5 on his list. 38 MR. HARVEY: That's the Hyatt paper, Hyatt et al. 39 THE COMMISSIONER: Yes, thank you. 40 41 EXHIBIT 1907: Hyatt et al, ENSO induced 42 harmonic oscillations of marine survival 43 (HOMS) in Southern British Columbia sockeye 44 salmon populations: Adult sockeye returns 45 "in HOMS way"! July 27, 2010

MR. HARVEY: Entitled "ENSO induced harmonic

oscillations", sorry, that's -- what number did 1 you say that was? 3 THE REGISTRAR: 1907. MR. HARVEY: 1907. And the Carl Walters one at Tab 15, 5 could that be marked as 1908? Perhaps we'll just 6 have the witness identify it. 7 MS. GAERTNER: Mr. Commissioner, it's Brenda Gaertner 8 for the First Nations Coalition. I am objecting 9 to this being marked as an exhibit. As the 10 witness has already clearly said, we have no clear 11 indication of the source of the materials that Carl Walters -- it's not the email so much as the 12 13 attachments to the emails, which are a series of 14 graphs and materials. We have no clear indication 15 of the source or the methods that Dr. Walters has This has neither been peer-reviewed, which 16 used. 17 has been the test for getting in documents like 18 this from scientists, nor is it the subject of 19 evidence. Dr. Walters is not here and cannot be 20 here to be cross-examined on this. And so in my 21 view, it hasn't met any test, neither the 22 scientific test or the legal test and it should not be admitted. 23 24 MR. LOWES: Mr. Commissioner, Keith Lowes. 25 supporting marking the document. As I understand 26 the way that the questions went, the document 27 isn't tendered as an expert opinion. 28 tendered to show the existence of a controversy 29 between two competent scientists and it goes no 30 further than that. My friend's objections in that 31 sense, with respect to qualifications, I submit, 32 are unfounded, or at least they don't go to the 33 purpose that the document is tendered for. 34 THE COMMISSIONER: Well, once again, I think the 35 appropriate manner, Mr. Harvey, is to mark it for 36 identification purposes for perhaps this main 37 reason, that it cuts across a number of topics 38 that have been addressed at this Commission. 39 all counsel are here this morning that were privy 40 to that set of hearings where they did take 41 positions on many of the topics you've raised here 42 this morning. I think it would be prudent to mark 43 it for identification purposes and allow other 44 counsel to weigh in on whether or not they feel it 45 ought to be marked as an exhibit. So I think 46 we'll give it a -- assign it an identification

number at this point.

MR. HARVEY: And I gather then counsel will be invited 1 to submit --3 THE COMMISSIONER: Yes, as they have with other 4 exhibits. 5 MR. HARVEY: All right. 6 MS. BAKER: Yes, I was just going to mention that of 7 course that process is out now to you for 8 decision, so we will need to move any objections 9 or comments on this document along very quickly. 10 I would suggest we need to probably have it by 11 Thursday completed because we're going back into 12 hearings again on Thursday and then we're done. Well, I'll just leave it at this 13 THE COMMISSIONER: 14 point as marking it for the next letter for 15 identification, Mr. Giles. 16 That will be marked as GGG, triple "G". THE REGISTRAR: 17 MS. BAKER: And, Mr. Harvey, I believe your time is now 18 over. 19 THE REGISTRAR: I'm sorry, that should be III. 20 21 III FOR IDENTIFICATION: Email from Carl 22 Walters to David Marmorek re "a few more 23 comments about sockeye dynamics", September 24 15, 2011 25 26 I should say I've done some negotiating MR. HARVEY: 27 with time. I understand that Mr. Rosenbloom has 28 agreed, and I have also had discussions with Mr. 29 Eidsvik and Mr. Lowes, I also had discussions with 30 Mr. Leadem, but he didn't leave me any time, but I 31 think I can say that we will finish certainly by 32 -- in time to allow all the remaining people that 33 follow Mr. Lowest the allotted time. So I'm 34 grateful to my friends. If I could just carry on. Mr. Marmorek, I'm right, I think, that you didn't 35 have the benefit of Dr. Walters at the workshop 36

A That's correct, he wasn't there.

Q What about Mike Lapointe, Jin Woodey, and Jeremy Hume, were they there?

- A Mike Lapointe was there.
- Q Mike Lapointe.

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- A I believe. You can check on the participant list.
- 44 Q All right. Jim Woodey?
- 45 A No, Jim Woodey and Jeremy Hume were not there.

you conducted in the fall of 2010?

46 Q All right. The PSC workshop records a diversity 47 of use ranging from unlikely to likely for density

or delayed density dependent effects as the cause of the 20-year decline. I think that's right, 3 isn't it? 4 I'm just checking. That's from likely to unlikely 5 for the overall declines and very unlikely for the 6 7 Yes. Q 8 Α -- poor returns. 9 Yes. But there's no indication there that I can 10 see where there are those who know most about the 11 subject of population dynamics fell on the likely 12 side or the unlikely side. You haven't done any 13 qualitative separation or analysis; is that right? 14 Α I guess what I would say is I don't think it makes 15 a lot of sense to analyze in detail what was a 16 fairly short effort by a panel of scientists after 17 that PSC workshop -- during and after that PSC 18 workshop. I don't think you can compare that 19 effort, which probably amounted to, you know, a 20 week or two of time with the effort that was done 21 by Drs. Peterman and Dorner over several months, 22 over many stocks, to look at things in a lot of detail. Nor do I think you can compare an email 23 24 that you just discussed with the effort by Drs. 25 Peterman and Dorner. So I think you need to apply 26 some level of weight to these different types of 27 evidence, and I would put, as we did in our

Q All right. Dr. Riddell gave evidence here that the -- on this subject, and he said with respect to the delayed density discussion, many people were really encountering that discussion for the first time, and so you have a fairly wide range whether or not it was contributing to the longterm decline. Now -- well, I think I'll leave it at that.

technical report, a lot more weight on the very

thorough analysis by Peterman and Dorner in their

The difference of opinion on delayed density dependence was one thing, but I think you've said on density dependence no one is challenging the Ricker model.

A Well, as you've already described, sometimes the Larkin model fits better than the Ricker model, but I don't think there's any doubt that density dependence occurs. And in the analyses that we did that I described briefly yesterday there's

Technical Report 10.

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density dependence for all the stocks, that's correct.

Yeah. Would it have assisted you in your sea

- Q Yeah. Would it have assisted you in your search for a causal mechanism if an adaptive management process had been followed by DFO with respect to the '87 rebuilding plan, both prospectively and retrospectively?
- A Well, as I said earlier, I'm only familiar in general with that rebuilding plan, not specifically with the details.
- Q All right.

- A But I guess what I would say is for any hypothesis you're trying to analyze, the more contrast you have in your treatments over space or stocks or time the better.
- Q Yes. There's a note, if we could just turn to it for a moment, at Exhibit 73, which is the PSC document, and at page 51 of that document, the bottom paragraph I think discusses this concept. It says:

Clearly though, it is not sufficient to merely describe changes in indicators of productivity of Fraser sockeye salmon and attempt to attribute those changes to a cause by merely describing plausible-sounding hypothesized mechanisms. As noted in this report's introduction, statistical analysis of data gathered from carefully designed manipulative experiments is the best way to understand causal mechanisms in ecological systems, but in the case of Fraser River sockeye, such experiments are not practical, except perhaps with changing spawner abundance through altered harvest rates.

That would seem to refer to what was done following the '87 rebuilding plan, does it not?

- A I think it was -- Ronald Peterman wrote this paragraph, I think this was just in general, that with respect to the stressors that we were looking at some are more amenable to manipulation than others.
- Q Yes.
- A Now, I think it would be fair to say, because I know something about Dr. Peterman's work on this area that were you to explore what you're

proposing of changing harvest rates, you would want to do a very careful analysis as you talked 3 about earlier in the adaptive management approach, of what all the uncertainties are, both with 5 respect to stock recruitment relationships, 6 potential marine survival rates, ability to meet 7 target harvest rates, which is sometimes 8 difficult, implications for non-harvested --9 sorry, implications for co-migrating weaker 10 stocks, implications for the Wild Salmon Policy. 11 So there's a host of tradeoffs you need to examine 12 if you were to explore designing a manipulative 13 experiment to alter harvest rates. 14 Yes. And included in the tradeoffs, as you said 15 earlier, is the cost to the --16

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- Q -- to the economy. All right. Now, with respect to your goal of determining a likely mechanism, you went through four different criterion that you said you'd want to satisfy, causal mechanism, exposure, correlation, and corroborating evidence, heard something about that. That's your basic structure there?
- Α That's correct.
- Would you also wish to add that the Q Yes. hypothesized causal mechanism should account for both the 2009 disaster and the 2010 bonanza?
- Α Well, our terms of reference were to look primarily at the long-term decline and the poor returns in 2009. That's when we started.
- Q Yes.
 - Α And then the 2010 returns provided some very interesting contrast. So it's very helpful information.
 - Q All right. And also the drop in the early '60s, following the 1958, the large 1958 run in the Adams and the large escapement in 1958. Your model, your hypothesized causal mechanism should take that into account, too, should it not?
 - So the analyses that we did focused primarily on trends since 1980 which you showed in that earlier graph. We didn't go back to 1958, and the statistical analyses that we did, the earliest we went back was 1969.
- 45 I see.
- 46 Α Now, the work that Peterman and Dorner did, did, I 47 believe, go back all the way to the early '50s,

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because the time series they were working with goes back that far.

- Q I'd like to refer you to Exhibit 184 on the basic subject of correlations at page 12. At page 12 there are graphs, the bottom line shows the result of what I've called the 1987 rebuilding program, the escapement being run up, and it also shows on the top graph the productivity. Now, that shows in basic, very general terms, a co-variation or a correlation, does it not, I guess you'd call it a negative correlation, escapement increasing and productivity dropping.
- A It does, however, you have to be very careful in looking at graphs like this to put them in a larger context, and if I could reply with page 33 from our document; that's document page, not PDF. So this is the Kalman filter estimates of productivity from Peterman and Dorner's report. So the reason -- I'm sorry, it's one more page. Sorry, next page, pardon me.

So here we have a bunch of stocks that are not in the Fraser River, that we're not experiencing the rebuilding experiment, yet also show declining productivity. So I think that's why it's very important to look at a contrasting set of conditions to try to understand causes, and that's essentially what Peterman and Dorner did in their report. So when you look at that graph you just had up earlier, yes, it shows that as spawners go up following that one rebuilding experiment, recruits per spawner go down. But there's also many things going on in many other stocks, where productivity, recruits per spawner was also dropping, and yet there was not So I think you have to think rebuilding going on. about this in a broader context.

- Q Well, there were other things happening. For example, after the Exxon Valdez there was a few years of no harvesting in that area, increasing escapements and a subsequent decline in productivity. Are you aware of that?
- A Yes, I am, from that report we discussed earlier.
- Q All right. And the Columbia and the Bristol Bay show a different sequence, different productivity trend?
- 46 A That's right.
- 47 Q If you were to try to do something like this chart

here, and instead of the bottom line being
effective total spawners, it were environmental
changes, you could never draw a line that would
correlate with the top line of productivity, could
you? You never -I'm not sure what you're saying. You're saying

- A I'm not sure what you're saying. You're saying there's no environmental parameter that would follow that same curve and therefore this is the most likely explanation for the decline; is that where you're going with this? Because I don't agree with that.
- No, never mind where I'm going. And the question is -- the question is you couldn't take your hypothesis of marine conditions and produce a line like the bottom line of this chart?
- A Well, actually I think you could. And you could look in the report from Dr. McKinnell, if we want to go to it, let's flip to page 136 in the document -- sorry.

MS. BAKER: Exhibit 1291.

A So this isn't an exactly perfect figure, but it's one interesting example. That's the Word document, not the PDF number. Sorry, it's the -- yeah, it's going to further ahead. Page 136, another ten pages and you're there.

So this is the graph here, Figure 95, this is Dave Mackas's index of conditions off the coastal water off Vancouver Island. So it doesn't exactly apply because it's not Georgia Strait and Queen Charlotte Sound, but you could also see that there is warmer and unproductive period that starts in about 1991, 1992, and then there's a period around '99 through 2002 where things get better, but then they largely get worse again.

So, you know, there are many variables which -- environmental variables which could show a, let's say, increasing level of stress, in addition to the one that you just raised, namely increased spawners and therefore increased density dependence. So I think it comes back to what question we're trying to answer here, and the question we're trying to answer is what are the primary causes for the overall changes in Fraser River sockeye productivity over the last 20 years. And our conclusion was that with the exception of the Quesnel stock, delayed density dependence was unlikely to be a major factor.

1 MR. HARVEY: Well, let me just ask you this. At page 138 3 of your report. 4 Α Yes. 5 There's a reference to: 6 7 ...the lack of persistence of 8 environment[al]-recruitment correlations. 9 10 Oh, is this --Α 11 Q Somewhere at page --12 -- Sean Cox's review? Α 13 0 -- 138 of your report, and it doesn't seem to be 14 disputed by anyone else there. Second paragraph, 15 I'm told: 16 17 The linear, correlative approach taken [by 18 this | report has failed to explain much in 19 the way of salmon population dynamics despite 20 decades of work. In fact, prominent 21 scientists have doubted our ability to 22 recruitment to environmental factors for more 23 than two decades. 24 25 Et cetera. 26 Yes, and that's correct, and so it is difficult to 27 -- well, I think, Niels Bohr, the physicist, once 28 said "Prediction is very difficult, especially 29 about the future." And so it's easier to go back 30 because there's only one past and try to explain 31 what factors were likely responsible then is to be 32 able to go forward and make projections based on 33 past correlations, which may not be sustained in 34 the future. 35 Q Well, you were doing a past, you were doing a retrospective. 36 37 That's correct. Α 38 And somewhere on this page, and I'm sorry, I 39 realized my marked-up copy of the report I left 40 behind, is the statement that there's a lack of 41 persistence of environmental recruitment 42 I wonder if you agree with that? correlations. 43 I think that's what the evidence shows, that 44 people find certain correlations and then try to 45 apply them in the future and they don't work so 46 well.

Okay. And the question of correlations, you

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accept I think, would you, that the Columbia - I 1 note that you've done some work there, as well -3 had experienced record returns of sockeye in 2008-4 2009? 5

- Yes, particularly Okanagan sockeye, yeah. Α
- And that's an area where the spawner biomass Q in that system, the Okanagan system, was well below the carrying capacity of the rearing lakes?
- I'm not sure about that, I guess you mean prior to -- prior to that return.
- Q Yes.

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- I mean, Osoyoos Lake is a pretty tough place for a sockeye smolt. You have a temperature-oxygen squeeze: very high temperatures in the top, very low oxygen down below. So when you talk about the rearing capacity there, there's not a lot of volume for smolts. And so, you know, I'd have to go back to Kim Hyatt and the origin of that stock, going back to I guess it would be the 2005 brood year, and I guess it would be -- they would have been rearing in 2007 to try to -- or, yeah, rearing in 2006 in Osoyoos Lake to try to understand whether or not, you know, what the densities were like. That's a pretty detailed question.
- All right. At any rate, you agree that the trend line for the Columbia is far different than the trend line set out at page 29 of your report for the Fraser?
- Fortunately for the Okanagan stock, they appear to Α have gone around the West Coast of Vancouver Island and encountered much better environmental conditions than the Fraser stocks that went up through Georgia Strait and Queen Charlotte Sound.
- But, Mr. Marmorek, a moment ago you showed us that the Barkley Sound stocks, which are the West Coast of Vancouver Island, had the drop that other Canadian stocks do.
- Α That's correct.
- All right.
- 41 So nature giveth and nature taketh away.
- 42 All right. I'm sorry, I see it's --MR. HARVEY:
- 43 THE COMMISSIONER: On that note, Mr. Harvey, it might 44 be a good time to take a break.
- 45 MR. HARVEY: Yes.
- 46 THE REGISTRAR: The hearing will now recess for 20 47 minutes.

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(PROCEEDINGS ADJOURNED FOR MORNING RECESS)
(PROCEEDINGS RECONVENED)

THE REGISTRAR: The hearing is now resumed.

CROSS-EXAMINATION BY MR. HARVEY, continuing:

- Mr. Marmorek, I think you and I will have to agree to disagree on the cause of the 20-year decline. But would you agree to this, that if the 20-year decline had been caused by the B.C. harvest management strategy followed since 1987, there would be something seriously wrong with that strategy?
- A If that strategy -- if that strategy were the primary cause of the decline of the Fraser sockeye, then I would have expected lots of other stocks which did not experience that strategy to have not shown that decline.
- Q Like the Columbia, for example.
- A Like the Central Coast, like Southeast Alaska, like the Yakutat.
- Q All right. As I say, we disagree, but you would agree with me on this, that if that had caused a decline, there would be something seriously wrong with that strategy, correct?
- A So it's hard for me to disagree that if you could prove something which hasn't been proved, that you would then do something different, which is what you're saying.
- Q That's right. All right.
- A So it's a pretty hypothetical question.
- Q All right. And my second question is this, that if once the runs were restored, as they were in 2010, the DFO managers continued to follow that same harvest strategy, they would be making a serious error, correct?
- A I think that question requires a lot more analysis.
- 40 Q Yes.
- A And I think it's going to be interesting to observe what the effects are of the very high escapement returns to the 2014 returns.
 - Q Yes.
- But it's a lot more work to be done to assess whether or not that's a big problem or not.
 - Q But surely there are laws in the science of

population dynamics, just like there are laws that rocket scientists follow in physics. 1 3 Α Actually, there are not laws, there are theories. 4 There's a difference. I mean, I'd say that 5 there's a law of gravitation, but there isn't a 6 law of stock recruitment. There are various 7 theories of stock recruitment. 8 I've heard it described as a theory of 9 gravitation. 10 Α Well, it seems to be working pretty well so far. 11 All right. Let me ask you to turn to our document 12 number 13. This is something my junior came 13 across quite by accident in the Ringtail 14 disclosures. It's entitled "Are over-escapement 15 and delayed density dependent mortality important contributors to the Fraser sockeye situation?" 16 17 DFO Science Branch Fraser Sockeye Workshop, April 18 14 -15, 2011, as far as I know, we haven't heard 19 anything about this, Selbie, Hume, Grant and 20 others. You've had a look at this, I guess, in 21 the advance disclosure that you got? 22 Yes, I did look at it. 23 Did you know anything about this workshop Yes. 24 prior to seeing that disclosure? 25 Α No. 26 Have you investigated since then what this 27 workshop was about and the conclusions that they 28 (indiscernible - overlapping speakers). 29 No, all I've had a chance to look at is this Α 30 document, I just got it last week. 31 All right. At page 008, there's mention there Q 32 "Stationary Model Comparisons", these appear to be 33 topics that were discussed: 34 35 • Stationary Mode Residuals: Larkin vs. Ricker 36 37 Larkin fit better than Ricker in 12 of 38 19 Fraser stocks 39 40 You don't know which of the participants concluded 41 that, or whether they all concluded that. 42 Well, there's several summary statements here of 43 what was in Peterman and Dorner, and I was

thinking as I looked through this that what really

Peterman and Brigitte Dorner and just confirm that

this is exactly what they would have concluded, as

should be done is to sit down with Randall

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1 2 3 4 5		well. Because it's a fairly you know, this is the problem with PowerPoint, you have a bullet that summarizes a whole lot of information in several pages. So it seems generally consistent with what I had read, but sometimes the devil is in the details.
7 8	Q	Yes. Jeremy Hume is the DFO expert on carrying capacity of the rearing lakes, is he not?
9 L0 L1	A Q	That's what I understand. There's a conclusion at page 0011:
L2 L3		■ Conclusion - Where DDD
L4 L5		- which I think means delayed density dependence -
L6 L7 L8 L9 20		<pre> exists, it persists across the entire 4 year cycle (in agreement with Woodey, Lapointe and Hume); Causal mechanisms of DDD most likely stock-specific</pre>
21 22 23 24	A	Do you agree with that conclusion? Yes, and could you go to the next page, as well. So I just draw your attention to the last bullet there.
25 26	Q A	Yes.
27 28 29 30 31		 Density dependence] and [delayed density dependence] likely contribute to reduced productivity in a number of stocks, but are unlikely the cause of the widespread declines observed within and beyond the Fraser River
33 34		Which is consistent with the conclusions in our technical report.
35 36	Q	Up at the top it says:
37 38 39		 Many Fraser stocks are sensitive to simple density-dependence
10 11	А	Do you see that? Yes.
12	Q	And:
13 14 15 16		 Evidence exists of [delayed density dependence] in several stocks, and it is likely an important regulatory mechanism in specific stocks (i.e. Ouesnel), in relation

to increased spawner abundances.

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- A Yes, and that's "i.e.", meaning one.
- Q Well, it says it exists in several stocks, meaning more than one, correct?
- A Well, they actually found -- Peterman and Dorner found there were, I think three, Stellako was one, I can't remember the third. But Quesnel was the only one for which they concluded that there was definitely delayed density dependence operating.
- Yes, but the analysis and the work has continued since then and this is a two-day workshop on that entire subject, so you'd expect some development beyond what Peterman and Dorner determined, would you not?
- A Well, since I wasn't there, I really don't know what they discussed and what evidence they used for that discussion, so it's hard for me to comment on that.
- Do you see at -- turn to page 0014, there are discussion here of "Record Escapements", Quesnel 2001 2002, and the S_{max} figure is given, the range between 187 up to 334 percent beyond S_{max} . Shuswap 2010, high figure there is 481 percent beyond S_{max} according to the photosynthetic rate model. And Chilko, the highest number there, 547 percent beyond S_{max} .
- Yes, I see that. I also see on this graph, if you Α look at the top panel, what struck me about it for the Quesnel stock is despite being way beyond the photosynthetic level and the S_{max} , the fall fry production, when you get up to 1.5 million, was still quite high and in fact didn't follow the Ricker curve. It's actually above the Ricker curve. Now, it could be that those fall fry are small, or, you know, the quality is poor, or, you know, the condition of smolts isn't good. There's a bunch of questions you don't know. So that would be -- and maybe that work's been done. that would be one of the things I'd be interested in is to learn so what actually happened from that brood year and were there life history bottlenecks and, if so, where did they occur. And it's also going to be interesting to see in, I guess, 2011 or 2012 what the -- I guess it would 2012, what the fall fry are like in the Shuswap and the Chilko, both in numbers and quality.

Well, since you raised it, with respect to 1 Q Quesnel, are you not aware that the escapements in '01 and '02 affected juvenile growth and fry adult 3 4 survival? 5 I think there actually are some other diagrams in 6 here earlier which looked at those, yes. 7 Yes. And just for the record, I point out that 8 Exhibit 562, which is Technical Report 3 at page 9 113, that statement appears, high escapements in 10 '01-'02 affected juvenile growth and fry/adult 11 survival. And in Exhibit 399 at page 10 this 12 statement appears, out-migrating smolts in 2004 13 were the "smallest on record". That would be 14 consistent with density dependence effects, would 15 it not? 16 Right, that's -- that's interesting. Α 17 All right. Q 18 Α And Quesnel was the one stock that Peterman and 19 Dorner felt they were, there was evidence of both direct and delayed density dependence. 20 21 Now, at page 115, or 0015, I mean, the next page, Q 22 it refers to "Over-Escapement & Productivity", 23 refers to the Clark paper, that's the Alaska paper 24 that we referred to: 25 26 Declines in long-term productivity and 27 increased spawner abundance variability when 28 escapement goals were exceeded 29 30 Believed to be linked to surpassing nursery 31 ecosystem productive capacity 32 33 And then the next heading is "Delayed Density 34 Dependence", Clark et al: 35 36 ...[delayed density dependence] in 5 stocks 37 where over-escapement occurred 38 39 [Recruits/spawner] fell below replacement for 40 2-5 yr following consecutive over-escapements 41 42 And then the next page --43 Sorry, could I just comment on this? Α

Since you raised it. So a couple of things, first

of all, I don't think we have to go back to Clark

et al, but the first two bullets here is a little

September 20, 2011

All right.

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bit of the tyranny of PowerPoint here, because 1 they also mentioned that there were several cases 3 where escapement goals were exceeded where it did not result in decreases in productivity, in that 5 we were just looking at that earlier when you went 6 through that report. 7 Yes, all right. 8 And I pointed that out. And also, I think it's 9 also important that it was five out of 40 Alaskan 10 stocks where escapement occurred. Okay? So it 11 wasn't that widespread. 12 Mr. Marmorek, would you accept that those 13 attending this workshop had more experience and 14 knowledge in the area of salmon population 15 dynamics than you do? 16 I don't know everybody who was at that workshop, Α 17 so I can't comment on that. 18 All right. Q 19 Α I think I have a fair amount of experience and I 20 think those people also have a fair amount of 21 experience. 22 Population dynamics I don't think is one of your 23 areas of specialty or expertise, is it, fish 24 population dynamics? 25 I haven't had as much experience as Randall Α 26 Peterman or Carl Walters, but I have had a lot of 27 experience with fish population dynamics. 28 Q All right. And the next page, page 16, it says: 29 30 • 2010-2011 in the Fraser: Shuswap and Chilko 31 32 Potentially severe [density dependence] 33 in 2010 34 35 Depending on 2011 escapement, possible 36 repeat of the Quesnel/Alaskan examples 37 38 So these, all you know is that these were topics 39 discussed and possibilities expressed by this 40 panel is that correct? 41 Yes, I think the Quesnel is an interesting case

which should be further examined.

MR. HARVEY: Do you agree with this, Mr. Marmorek, that

-- I'm sorry, could I have this marked as the next

THE REGISTRAR: Exhibit 1908.

exhibit, please.

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EXHIBIT 1908: Selbie et al, Are over-1 2 escapement and delayed density dependent 3 mortality important contributors to the 4 Fraser sockeye situation? DFO Science Branch 5 Fraser Sockeye Workshop, April 14-15, 2011 6 7 MR. HARVEY: 8 And do you agree, Mr. Marmorek, that it is 9 important that fishery managers adhere, so far as 10 possible, to scientifically defensible escapement 11 qoals? 12 Yes. Α 13 Q All right. Now, I've spent some time discussing 14 the first three of your four criteria, plausible 15 mechanism, exposure, spatial correlation, I'd like to turn briefly to --16 17 Α Sorry, it's not just spatial. 18 Q Okay. 19 Α It's either correlation over space and/or time. 20 And time, I'm sorry. Now, let's turn to your 21 fourth criteria, where there is corroborating 22 evidence from cause/effect studies. Do you agree 23 that there is empirical proof firstly of a limited 24 carrying capacity in the rearing lakes for the 25 Fraser River sockeye system? 26 Yes, I think there is a limit of carrying capacity 27 in all sockeye lake rearing systems. 28 And specifically are you aware that in this Q Yes. 29 system in some areas zooplankton counts have been 30 done, fry size analyses have been done, and 31 photosynthetic rate calculations have been done to 32 determine carrying capacity? 33 I'm familiar with using photosynthetic rate 34 calculations to determine carrying capacity. 35 not as familiar with this specific application of 36 zooplankton biomass estimates. I know there's 37 correlations with carrying capacity, but I haven't 38 seen those --All right. 39 Q 40 -- applied to the Fraser. Α 41 Do you agree that there is empirical proof of 42 spawner abundance well in excess of the PR, 43 photosynthetic rate, calculated rearing capacity

in all or most of the major Fraser runs?

No, I actually don't, and if you want to go to the

Selbie et al exhibit, this was in the Appendix C

to Peterman et al, there is evidence for some of

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the Fraser River stocks that one time after 1990 1 the spawning escapements exceeded the 3 photosynthetic rate. So you'd have to open 4 Appendix C --5 My question related to major -- major stocks. 6 So one of the problems I have with the figure in Α 7 Selbie et al is -- and if we can find it -- that's 8 great, 573. So it shows the photosynthetic rate 9 calculation, and then it shows the maximum --10 yeah, we'll just go there. It's easier to 11 describe it once you see it, number 12. 12 So if you go down a bit further, this one. 13 So the green represents the maximum observed 14 spawners after the 1990 brood year, and the blue 15 hatched is the photosynthetic model optimum escapement. So you only have the maximum observed spawners. So if you look at the Shuswap, for 16 17 18 example, you can see that, yes, there was at least 19 one year in which it was greater than the carrying 20 capacity as estimated by the photosynthetic rate model. But you don't know how many years that 21 22 was. And so, you know, if you just -- we'll go across there, you see Chilliwack, Lillooet, 23 24 Shuswap, Chilko, Quesnel and Trembleur had at 25 least one year, but we don't know how many years, 26 where it was greater than the photosynthetic 27 escapement. 28 Yes, well, we have had evidence of that and I Q 29 won't -- of how many years, and I won't go into 30 that with you. Let me simply ask you this. With 31 respect to the Quesnel run, are you aware that it 32 was the Quesnel run that was expected to be the 33 largest run in 2009? 34 Yes, I am, and that's clear from the figure in our Α 35 report, page 37. 36 Yes. And that it failed. Q 37 Α Right. 38 And that according to Peterman and others it 39 failed through density dependent effects? 40 Well, I'd have to review Peterman and Dorner's Α 41 report to conclude that it specifically failed for 42 that reason. I don't remember that he discussed

that -- I remember that he ascribed that single

really lousy marine conditions in 2007 when all

those stocks went out there. So I don't remember

that he actually said that. If you can point me

cause to the failure, because they were also

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to the section where he actually says that --1 2 Q I'm sorry, I'm not --3 -- in Technical Report 10, that would be great. 4 I didn't mean to suggest it was the only cause. 5 Yeah, I don't believe that he actually 6 specifically discussed the 2009 returns. 7 can find that, that would be great. 8 Well, I don't have the time to do that. I just 9 want to do one housekeeping matter before I sit 10 down. At our document 11, there's a basic text 11 here, somewhat simplified text on the "Behaviour 12 and Ecology of Pacific salmon and Trout", Thomas 13 Quinn. You saw this in the disclosures, I expect? 14 Α Yes, I did. It was well written. 15 Well written, thank you. At page -- and the passage that I have included here shows pictures 16 17 of all the different food web organisms, among 18 others. At page 176 there's a passage I want to ask you about. Page 176, the paragraph beginning 19 20 "For anadromous sockeye" the middle of the page: 21 22 For anadromous sockeye, the negative effect 23 of fry density on growth may be offset, to 24 some extent, by the...positive, fertilizing 25 effect of the carcasses of the parents that spawned them... 26 27 28 Pausing there, there's studies that have been done 29 on that I expect you're aware of, or you'd accept. 30 All right. But this sentence: 31 32 In general, however, large escapements tend 33 to give rise to numerous but slow-growing 34 fry. 35 36 You'd agree with that as a general term, correct? 37 Yes, I don't -- I don't think we're disagreeing on Α the fact that density dependence can occur. 38 39 think we're disagreeing on the extent to which it 40 was a primary factor causing the declines. 41 MR. HARVEY: Could this be marked, please, as the next 42 exhibit. 43 THE REGISTRAR: Exhibit 1909. 44

EXHIBIT 1909: Quinn, The Behaviour and

Ecology of Pacific Salmon and Trout,

excerpts, 2005

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MR. HARVEY: Those are my questions. Thank you very much, Mr. Marmorek.

A Thank you.

MR. ROSENBLOOM: Mr. Marmorek, my name is Don

MR. ROSENBLOOM: Mr. Marmorek, my name is Don Rosenbloom. I appear on behalf of Area D Gillnet, Area B Seiner.

CROSS-EXAMINATION BY MR. ROSENBLOOM:

- Q I see you're looking for some paper, are you...
 - A I'm fine. It's okay.

- Thank you. I'll be very brief with you. I didn't have the privilege of hearing your testimony yesterday, but I gather you have said yesterday and you in fact stated in your paper, which is before us, the Technical Report, Exhibit 1896, that you call for more research in the marine environment in terms of both Strait of Georgia, Johnston Strait and up into the Gulf of Alaska, correct?
- A And including Queen Charlotte Sound, in particular.
- Q Indeed. My question to you is one of the intriguing stories of this inquiry is how the Harrison stock has had increasing productivity, and as a result, I want to focus very briefly in respect to Harrison. To what extent did you have data in respect to the Harrison migratory history?
- A So the Harrison stock has a different migratory history, as you know, and in the statistical analyses which we did, which are described -- summarized in section 4.7 of our report and described in detail in Appendices 3 and 4, we basically lined up the life histories of all 18 stocks that we analyzed. And so the Harrison stock, you know, which leaves early, doesn't spend as long rearing, has a different life history. And so when we lined up the environmental stressor variables, the Harrison stock is exposed to different years than, let's say, an Adams River stock, just because of their life history.
- Q Were you limited in terms of data information in respect of Harrison in terms of carrying out your -- discharging your responsibilities to this Commission, or your mandate?
- A Well, certainly there are limitations of data for all the stocks, including very detailed

- assessments of exposure to, for example,
 zooplankton abundance in the Strait of Georgia and
 other places. We used the variables that were
 available in our analyses, but as we've outlined,
 there are certainly gaps.
 - Q Am I right, sir, that there has not been telemetry work done on the Harrison?
 - A Not to my knowledge, and I'm not sure how easy that would be to do, given the size they are when they go out. Like, if you're thinking about the POST-style acoustic tags, I don't know if they're big enough to handle those.
 - Q I understand. Secondly, in terms of Dr. Kristi Miller's work that is before this inquiry in terms of genomic signatures, and so on, are you aware whether she did any analysis in respect to the Harrison stock in the context of her investigation?
 - A No, I'm not.

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- Q And you would agree with me, would you not, that if indeed that work was done by her or was to be done in the future, that would be an important component in terms of the puzzle, trying to answer some of these really challenging questions, would you not?
- A I think it would be an important component. I think the paper by Dick Beamish that we mentioned earlier that specifically discusses the Harrison stock and the South Thompson chinook stock, talked about one of the key differences is their later arrival into the Strait of Georgia, and that they may be hitting the second zooplankton bloom and therefore have more food than fish which get out there May-June time period. So I think it's one factor, but it's not the only...
- Q When you have given your plea, if I can describe it that way, for more research in respect to the marine environment, do you include the necessity of doing more work in terms of the Harrison --
- 40 A Absolutely.
- 41 Q -- which of course has a different migratory route 42 altogether.
- A Absolutely. I mean, it's very interesting, because it's done better and you wonder why. So I think it's a very valuable stock to focus on.
- 46 Q Yes. And had there been more information in that regard, it might have enhanced the substance of

1 your analysis as provided in your report. You
2 were lacking data?

- A Well, I would say that we used the data that we had, and certainly the Harrison stock had been examined at the PSC workshop and discussed by Dick Beamish in his presentation there, and I think Mark Trudel also talked about levels of food at different times during the year. So it's not as though we were completely without any information, and it was of particular interest at that PSC workshop.
- MR. ROSENBLOOM: Yes. I thank you very much. I have no further questions.
- MR. EIDSVIK: Good morning, Mr. Commissioner, Philip Eidsvik for Area E and the BCFSC.

CROSS-EXAMINATION BY MR. EIDSVIK:

Q Good morning.

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- A Good morning.
- It's interesting to listen to the discussion on science and whether there's a delayed density effect or not, and I was interested in your comment, it will be interesting to see what happens in 2014, given the high level of spawners in 2010. As a representative of commercial fishermen, we find that it's a little bit hard to listen to, because really people's lives are being affected, and so what we hear from science a lot of times is more research, more research, more research. In the meantime we have commercial fisheries close, like in 2005, 2002. Doesn't science have a role in -- if we're going to increase escapement, to do it in small steps, rather than take a radical jump from year to year, given that people's lives are being played with?
- A So there are two different parts to your question. One is how do you implement a management action so that you learn as much as you can about the effectiveness of that action, and I think we had a good discussion earlier with your colleague about adaptive management and designing experiments well. So I think that if you decide either to increase or decrease escapement, that you need to design that as a thorough management experiment, taking into account all the uncertainties.

The second part of your question is there are

a bunch of different objectives that have to be 1 balanced off in terms of Wild Salmon Policy 3 issues, First Nations concerns, fishermen, fish farmers. So science can help in articulating what 5 the risks are and potential benefits to each of 6 those objectives, but the tradeoffs amongst those, 7 that's a policy or political decision. That's not 8 a science decision. 9 Mr. Lunn, could I have exhibit -- or my tab by Mr. 10 Lackey, please. And I'm going to bring up this 11 tab and perhaps we can go to the second page and 12 just the abstract. And could you tell me if you 13 read quickly, do you agree with Mr. Lackey's 14 conclusion that science has to be performed 15 appropriately without a policy bias? Yes, I do. 16 Α 17 And scientific enterprise has much to lose by 18 doing otherwise? 19 Yes. And I think that's what I just stated. 20 MR. EIDSVIK: Thank you. Could I enter this as an exhibit, please, Mr. Commissioner. 21 22 THE REGISTRAR: Exhibit 1910. 2.3 24 EXHIBIT 1910: Lackey, Is Science Biased 25 Toward Natural? 2009 26 27 MR. EIDSVIK: 28 Now, at page 32 of your report, and I don't think 29 we need to go there, you comment that: 30 31 Over the past two decades an increasing 32 amount of en route mortality... 33 34 That: 35 36 This results in reduced harvest, as fishery 37 managers do their best to ensure... 38 spawners... 39 40 That's not -- and I think it's an unintentional 41 thing in your paper, you're not saying that DFO 42 managers did their best? 43 What I'm saying is that given that en route

mortality was occurring, they had to make

they were trying to meet.

adjustments for that in the harvest to ensure that

they were able to meet the escapement goals that

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But you really have no idea whether they did their 1 best or not, because there could have been a whole 3 bunch of other factors? 4 Α Yeah, I see you're focusing on --5 The point I'm getting at --6 -- whether they actually did as well as they could Α 7 Okay, so that's -- maybe a better way to 8 rephrase that sentence is that en route mortality 9 was taken into account in setting harvest rates. 10 I just didn't want --Q 11 I didn't want to take that little phrase out of 12 context and say that, you know, DFO could never do 13 better than they've done. That's not what the 14 intention was of that sentence. 15 Yeah. Just "best" is bit of an advocacy word, so 16 that's why I wanted to get that off the record. 17 Thank you. 18 Your firm does a fair amount of work for 19 government, either directly or by organizations 20 funded by government; is that correct? 21 We've done a lot of work for government, Α 22 institutions, we've done a lot of work for international institutions, for non-government 23 24 organizations, Crown corporations like BC Hydro, 25 Bonneville Power Administration that runs dams on 26 the Columbia River. So many of our projects 27 involve actually a mix of clients where we're 28 doing technical analyses and technical 29 facilitation that are attacked by all sides; maybe 30 not unlike here. 31 Yeah. Your firm in fact is -- has been retained 32 and continues to be retained by a couple of 33 organizations that are still in -- that are 34 parties in this inquiry; is that correct? 35 Α I don't know which ones you're referring to. 36 Tsawwassen and Haida. Q 37 Oh, I think we've done one study for the Tsawwassen First Nation. The Haida? I'm not 38 39 familiar with work that we've done for -- I think 40 the Tsawwassen First Nation we were critiquing an 41 environmental impact assessment of Delta Coalport 42 expansion, that's my recollection. I wasn't 43 involved on that project specifically. I don't 44 remember a project we did with the Haida, so ...

Thank you. If you were working for a party in

testified before the Commission, there would be

this Commission, say my organization when you

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concerns about the weight to give to your
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            evidence, given your relationship with a party
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            before the Commission, wouldn't there?
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            I don't think so, sir.
                                     I mean, everything we do,
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            we try to do to the highest possible standards of
 6
            neutrality, and throughout the 30-year history of
 7
            our company, we've avoided taking positions to
            benefit the position of a client, just because they're paying the bill. If that's what your --
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            intent of your question is, I thoroughly reject
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            So no problem with an appearance of conflict or
       Q
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            bias because --
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       Α
            No.
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            Thank you. Now, you said that the run in the --
       Q
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            that that water in the mid-Pacific was quite cold
17
            in 2008, which led to the -- may have led to the
18
            big run in 2010 of Fraser sockeye.
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       Α
            That's what Dr. McKinnell had in his report.
20
            said it was the coldest temperatures in 35 years.
                  Now, you're aware that from 1997 (sic) to
21
            Yes.
       Q
22
            '98 there was a 21-year warm-water phase with
            variances that were double in the years preceding
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            2008 in terms of warm water.
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            I'm sorry, you said in 1997 and 1998?
       Α
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            19 -- sorry, 1977 to 1998, that was a 21-year
       Q
            warm-water phase.
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28
            Oh, you're talking about the Pacific decadal
       Α
29
            oscillation --
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       Q
            Yes.
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            -- regime shift? Yeah.
       Α
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            And one of our biggest runs ever was of course in
33
            1993, and Fraser sockeye was rebuilt substantially
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            during the '70s and '80s; is that correct?
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       Α
            Right. Well, the thing is the Pacific decadal
36
            oscillations are not like an on/off switch in your
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                    They have oscillations within the
            house.
            oscillations, so it's roughly a 30-year
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39
            oscillation, like from the mid-'40s to the mid-
            '70s was generally cooler and wetter, and then
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            from mid-'70s through to the roughly 2000 was
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            generally warmer and drier. But within those time
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            periods there's -- there's La Niña events, like
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            the Hyatt paper talks about. There's various
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            events where things get better.
                                              So it's not like
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-- it's not just all bad and then all god.

So you don't know that during the 1977 to '94

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Q

period there was a 21-year warm period. That's
your testimony?

- A Within that time period there were fluctuations, and if you want to go back to the --
- Q I'll move on, thank you. You said in response to Mr. Harvey --
- MR. BAKER: Sorry. I would like the witness to be able to complete his answer.
- A Well, I just wanted to say that the Mackas index that we were looking at earlier, which is affected by both temperature and other indices shows fluctuations of both good and bad during some of that same time period.

MR. EIDSVIK:

- Q Okay. So you don't agree with me then, if I get this right, between 1977 and 1994 there was a warm-water period in the mid-Pacific that had double the variance in warm water, compared to your kind of period prior to -- immediately prior to 2008?
- A So I don't know what you mean by "double the variance". I don't know exactly what variance you're assuming. What I'm indicating is that I agree that generally the period from the mid-'70s onward was generally warmer and drier, but that within that period there were certainly better and worse years, and there's lots of evidence to show that. It's not like it's all bad every year, that's the point I'm trying to make.
- Q Now, you said in response to Mr. Harvey's question when he asked you about, you know, why was Columbia and Bristol Bay good, you said because they're not the same stock groups and we really shouldn't go beyond 500 miles in comparison --
- A Kilometres.
- Q -- kilometres in comparing stock groups. But I noted at page 34 of your report and we've gone to it a couple of times this morning you refer to Barclay Sound, Central Coast, Skeena River, those are more than 500 kilometres, certainly the Skeena is.
- A So I think you have to remember when Mr. Harvey was asking that question, he was asking it to me in the context of an adapted management experiment where you might want to change harvest policy for one set of stocks and compare it to another set of stocks. What I was saying there is that if you

were going to do an adaptive management experiment like that, you would want to choose stocks which 3 were fairly close so that they would have reasonably similar ocean entry timing and 5 reasonably similar migration routes so you could 6 isolate the effects of the harvest. 7 So now what you're talking about are the 8 broad scale patterns that Drs. Peterman and Dorner 9 looked at which are the patterns that we're trying 10 to explain, and that's a different question. 11 Well, let's look at Barclay Sound. In the 1990s 12 and early 2000s, we had a tremendous problem with 13 mackerel. They ate a huge amount of smolts; is 14 that correct? 15 I wasn't familiar with that, but I take --16 Not familiar with that. -- your word for it. 17 Α 18 And then you cite this Central Coast. In Owikeno 19 Lake, wasn't that one of our first areas where we 20 ran a large escapement program as an experiment? 21 Yes, and if you could just actually go to --Α 22 Thank you. I don't have time to go to it, so I'm 23 just trying to get my questions out. 24 MS. BAKER: You actually, in my submission --25 I just -- I just suggested --MS. BAKER: -- do have to let the witness --26 27 -- he put up page 34 --28 MS. BAKER: -- answer the question. 29 -- of my report while you're talking about Owikeno 30 Lake 'cause I think it's important. I won't use 31 any more time other than to have it up there while 32 you're talking about it. Thanks. 33 It's the upper right figure with the blue 34 triangles. 35 MR. EIDSVIK: 36 Yeah, and were you aware that fishermen were 37 protesting the spraying of the forests up there 38 with a herbicide to encourage forest growth at the 39 time? 40 No, I wasn't aware of that. Α 41 Now, you also cite the Skeena River. Wasn't the 42 Skeena River another river where we had massive 43 over-escapement and it resulted in IHN virus 44 viruses on the spawning grounds? 45 Now, I've heard about that. I don't know much Α 46 about it in detail. 47 I just wanted to point out that Owikeno Lake

is one of several stocks in the Central Coast and 1 in southeast Alaska who have shown similar timing 3 - and the Yakutat - of decline, so it wasn't unique. So the fact that there was increased 5 escapement on there is not sufficient explanation 6 for why -- on Owikeno Lake, sufficient explanation 7 for why the other stocks would decline. 8 If there was a reduction of fishing effort on 9 Owikeno, those other stocks fished -- are 10 basically run together up to that area, don't 11 they? 12 Some of them -- you're saying that all of these Α 13 stocks including southeast Alaska --14 Q I think the --15 -- and the Yakutat would have all increased --Α 16 No, no. 17 -- in escapement because of reduction in harvest Α 18 on Owikeno Lake? I don't think so, sir. 19 Given my limited time, I'll move on, thank you. Now, if ocean conditions -- and I want to 20 21 separate - and I think it's helpful for the 22 Commissioner to separate - our mid-Pacific Ocean 23 conditions from the estuary and Johnstone Strait 24 area and Queen Charlotte Sound. Would you agree 25 with that? 26 Α Yes. 27 So that might -- I mean, we had really good Okay. 28 pink salmon runs in the Fraser. 29 I'm sorry, when? Α 30 We've had very good pink salmon runs. Q 31 Α Oh, recently, yes. 32 That's a contrast to what's happened on Q Okay. 33 Fraser sockeye? 34 Α Yes. 35 Q Decent chum runs in the Fraser, past decade or so? 36 So I understand. I don't know the details of 37 that. 38 High Alaskan and Russian sockeye runs, last decade 39 or so? 40 In general over the last few decades, they've been Α 41 going up. 42 Large chum runs in Hokkaido? 43 Α I didn't know about that, but I take your word for 44 it. 45 And then Mr. Harvey and you talked earlier about Q 46 Harrison stock. And of course this year we have a

very large successful sockeye run in the Harrison

- Lake -- sorry, Somass River; is that correct?

 Sorry, I don't know the details of this year's run in the Somass River.
 - Q No. Now, in terms of the Pacific Ocean, one of the big factors is when the wind blows from the north, it's cold, and that affects the temperature of the Pacific; is that correct?
 - A The wind patterns and temperature patterns are pretty complicated as described in Skip McKinnell's report, so I wouldn't want to make just one generalization about wind patterns. Oceanographers have lots of discussion about these things.
 - Q In any event, the Minister can't change which way the wind blows, much as he'd like to.
 - A Yes, I wouldn't disagree with you on that.
 - Q So in terms of fishery management, let's say that you're correct that ocean conditions in the mid-Pacific affect what's come back in the last few years. You couldn't --
 - A Sorry, I just to clarify. It's not so much "mid-Pacific". It's the coastal migration that we're talking about.
 - Q So you're saying coastal migration?
 - A That's the life history stage that we've been talking about, not the mid-Pacific, as the primary cause of the decline. So it's Georgia Strait and Queen Charlotte Sound that we're talking, not the mid-Pacific.
 - Q That's quite helpful. Now, at page 48 of your report, amongst all the factors that science has looked at in this Commission, you conclude that climate change is really the only possible factor.
 - A No, that's not correct. On page 48, we -- oh, I'm sorry, you're at 48. Okay, I thought you were talking about the overall -- this is for one life history stage only. So this is just for the Fraser sockeye salmon as they're going -- this is eggs, alevins, fry and parr, so this is just the egg to parr life history stage.
 - Q So, at that point, the only factor is climate change?
- change?

 Right, and it's -- and you'll notice it's rated as a possible factor. The correlation and consistency was fairly weak evidence there, so it's not a very strong case, not as compared to the main factors that we talk about in the coast

1 migration stage, which would be life stage 3. 2

Q Right.

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- Where we say that marine conditions and climate change are the likely primary drivers of the longterm pattern.
- Now, I note on the ESSA website that you advertise Q climate change adaption and carbon accounting as two significant parts of ESSA's business.
- Α Adaptation, yes.
- Now, I don't see any mention of that in this technical report.
- No, because it isn't relevant. Α
- Isn't one of the ways -- I mean, as a layman, and Q I'm not a lawyer and perhaps there's rules here I don't understand, but as layman, isn't one of the ways to deal with where somebody would say you have a conflict would be to declare it and get it right up front. or a bias?
- Α Pardon me? What's the conflict? I don't understand what the conflict is.
- Well, if there's -- if somebody -- if you're Q making -- well, let's put it this way: If the Commission finds that climate change is to blame for the problems in Fraser sockeye, your firm would undoubtedly be bidding on jobs and earning revenues from helping fishery managers accommodate and adapt to climate change.
- So this is sort of akin to saying that if I were a Α carpenter and I put my foot through somebody's stairs because there was some rotten wood and I pointed that out to him, that I was really just trying to generate business? Is that your argument?
- I'm just pointing out that in terms of science and the key role that science has in forming political decision-makers and the really important necessity to separate advice and revenues or possible benefits. Do you understand that at all? Not a concern?
- The reports we write, sir, are reviewed by many Α different entities and because of the kinds of work that we do, those entities often are adversaries around particular issues. So we work to the highest possible scientific standards. Most of the -- you'll see in my c.v. that I've published many peer-reviewed articles. So the fact that we mention climate change as a possible

factor, and the fact that we also do work on 1 climate change adaptation, is completely 3 irrelevant. The two things are completely 4 disconnected. 5 So in your view, but to a fisherman on the dock, 6 it might not be. 7 Well, I can't claim to explain the reasoning by --Α 8 That's fair enough. 9 Α -- which you're linking these two things. 10 Thank you. Now, I want to go back, because if we 11 look at 1992, we saw the decline in productivity. We saw some management changes and I kind of want 12 13 to focus on the things that have changed. 14 Α Sorry, I just want to point out that the decline 15 in productivity happened a lot earlier than 1999. 16 It actually started --17 1992. Q 18 Α Yeah, and some of the stocks -- I won't show the 19 diagram, but as we talked about in section 4.1, 20 some of the declines started even earlier than 21 that for some of the stocks. 22 Okay. So let's talk about changes that happened 23 since 1992 that we can put a finger on, and that 24 the managers have some control over. So in '92, 25 we had the introduction of a very large, new 26 commercial fishery in-river. That's one aspect of 27 change, correct? 28 I don't know the full history of all the changes Α 29 in fisheries over this whole period of time. 30 We're looking at the pattern recruits per spawner 31 and trying to explain that. The recruits include 32 escapements, harvest and en-route mortality, so 33 the fact is that even after you've added in 34 harvest and en-route mortality, there's been a 35 decline in productivity and that's what we're 36 trying to explain. 37 The actual amount of harvest doesn't really affect the estimate of recruitment. It just gets 38 39 -- I mean, it does affect the total recruitment, 40 but it doesn't help to explain the pattern of 41 decline in recruits-per-spawner because it's

Q Yeah, I understand that, but I'm trying to focus on issues that have changed since 1992, 'cause it seems to me when I read through all the science reports, we don't have a lot of conclusive answers; is that fair to say?

already considered in recruitment.

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I think there's disagreement on various issues and
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       Α
            I actually think there's a fair agreement on a lot
 3
            of issues. For example, if you look at the
            overall conclusions of our report in terms of
 5
            which factors are unlikely to have been
 6
            responsible, I haven't heard from many other
 7
            people much disagreement about those. So we are
 8
            able to make some useful conclusions --
 9
            Yeah, I'm almost --
10
       Α
            -- despite the lack of evidence.
11
            I'm almost done my time. Let's just go quickly
12
            through the other factors.
                                        Fish farms are pretty
13
            big development since '92?
14
       Α
            Yeah, and earlier.
15
            Very large escapements on the Fraser sockeye since
       Q
            '92?
16
17
       Α
            Yes.
            Large pink abundance since '92, possible predator
18
19
            effects?
20
       Α
            With oscillations.
21
            Warm water in the Fraser in some years?
       Q
22
            Increasingly so. You're talking about the returns
            now, the en-route mortality, or...?
23
24
       Q
            No, I'm just talking about things that have
25
            changed, and if I was trying to --
            To a degree change, I think, over the last 40
26
       Α
27
            years, I believe, maybe 50 years.
28
            And of course we have 15 million sockeye lost
       Q
29
            between the spawning grounds and the mouth of the
30
            river during this period. So if you were --
31
            Do you mean it the other way around?
       Α
32
            Well, from the spawning grounds -- sorry, from the
       Q
33
            river to the spawning grounds.
34
       Α
            Sorry, are you adding the number up over many
35
            years, or what are you --
36
            I'm adding the number up since that's in evidence.
       Q
37
            Yeah, I'm sorry, I haven't seen that --
       Α
38
            Okay.
       Q
39
       Α
            -- evidence so I don't know what that is.
40
            So now my final question is: If you're a
41
            fisheries manager, you can't control which way the
42
            wind blows, but you can control to some extent
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            poaching in the Fraser, over-escapement, the
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            amount of fish you put on the spawning grounds.
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            Isn't that what you should focus on as a fishery
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            manager?
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            I think as a fishery manager you have a really
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tough job, because you have to balance many different objectives, including the Wild Salmon Policy. You're trying to also meet harvest objectives. You've got en-route mortality happening. You've got a difficulty of meeting harvest targets. So I think as a harvest manager, the best thing you can do is if you're contemplating alternative harvest approaches is that you systematically do a decision analysis of the possible outcomes of those different actions for all those different objectives, given all those different uncertainties, including the marine conditions.

I think that's the approach that modern-day risk assessment fish biologists and population dynamics experts would recommend.

- Q So you've done a cumulative effect analysis of science issues. Can you tell me why there hasn't been a cumulative effect analysis of the management decisions? Wouldn't that give us more light in what's happened to Fraser sockeye?
- A Well, I think the report by Karl English I can't remember the number for the Cohen Commission looks at some of those management questions and the ability to meet escapement targets, the ability to meet harvest targets. If that's what you're referring to, I think there has been a fair amount of work done. I wasn't at the hearings where Karl testified, so I don't know.
- MR. EIDSVIK: My time is done, Mr. Commissioner.

 There's one other issue that I quickly wanted to raise. Commission counsel and ourselves have been disputing whether a document from testimony before the Standing Committee on Fisheries and Oceans concerning set-net dropout rates should be admitted to the Commission. We haven't agreed on it, but I didn't want to take up a bunch of time today.

I wonder if you had a process in mind, because I think the document is quite important.

MS. BAKER: Well, it's inadmissible for parliamentary privilege reasons, and Parliament hasn't waived privilege over that document, so it's not admissible which is the point I've made to Mr. Eidsvik. So I am certainly not going to agree or recommend that there's a process in place to admit that document.

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MR. EIDSVIK: And there's a serious dispute on whether
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            the privilege belongs to the person who testified
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            or Parliament, and the law is not clear on that at
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            all.
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       MS. CALLAN:
                    Callan, C-a-l --
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       THE COMMISSIONER: I'm sorry. Mr. Eidsvik, I'm sorry,
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            obviously I can't respond to you because I'm
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            unaware of this contest between you and Commission
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            counsel.
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       MR. EIDSVIK: Yes.
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       THE COMMISSIONER: But obviously if you have positions
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            to express, I assume you've either expressed them
            to Commission counsel or you will express them so,
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            at some point, this issue that you've raised can
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            be resolved one way or the other.
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       MR. EIDSVIK: I have a feeling we've been going back
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            and forth for several months on it and I think, in
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            the end, you're going to have to decide, Mr.
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            Commissioner.
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       THE COMMISSIONER:
                         Well, I'm pleased to hear that, Mr.
            Eidsvik.
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MR. EIDSVIK: Thank you.

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MS. BAKER: Mr. Commissioner, in any event, the document hasn't been put to any witness, so there's this initial hurdle that needs to be dealt with in that there's been no witness here to speak about this document in the first place. In second place, there is a privilege that attaches to that document that's not been waived. So there's a couple of hurdles.

I don't know what point there is in carrying on this dispute. There's no mechanism right now to get this document before the Commissioner.

- MR. EIDSVIK: Mr. Commissioner, I didn't put the document before the Commission this morning. could see my friends were up and ready to go, and I know Canada will disagree, but it deals with the question of how many fish are dropping out of setnets and if the evidence was right by that biologist, it's a major factor in what's going on in the disappearance of Fraser River sockeye.
- THE COMMISSIONER: I think, Mr. Eidsvik, with respect, the issue that Commission counsel has raised initially is a key issue; that is to say, the privilege issue. So obviously that's not going to be resolved at this moment in time.

MR. EIDSVIK: Yes, I agree.

62 David Marmorek Cross-exam by Mr. Eidsvik (SGAHC) Cross-exam by Mr. Lowes (WFFDF)

Thank you. 1 THE COMMISSIONER: MR. EIDSVIK: Thank you.

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MS. BAKER: Sorry to keep delaying on this, but in the interests of time and efficiency, even if we said that there was no privilege attached to this document, there's nobody here to talk about what is in that document, to identify it or to speak to it. The weight that would be attached to that document is non-existent. So I don't know why we're going to continue to take up time on this particular issue. Thank you.

Thank you, Ms. Baker. THE COMMISSIONER:

MS. GAERTNER: Just for the record, Karl English's technical report was Technical Report number 7, Exhibit 718 which the witness just referred to.

THE COMMISSIONER: Thank you, Ms. Gaertner Ms. Callan?

MS. CALLAN: I just wanted to put on the record that the Province of British Columbia supports the Commission counsel's position.

MR. TIMBERG: And, just for the record, so does the Government of Canada support the Commission's position.

THE COMMISSIONER: Mr. Lowes, are you up next?

MR. LOWES: J.K. Lowes for the B.C. Wildlife Federation and the B.C. Federation of Drift Fishers

CROSS-EXAMINATION BY MR. LOWES:

You've been examined fairly closely on some fairly detailed issues this morning, Mr. Marmorek. You can relax. I'm going to give you an opportunity to exercise your pedagogical skills and talk about your report and particularly the notion of evidence. Like Mr. Leadem, I compliment you on the clarity of the report. Even I could understand it, I think.

You used some terminology with respect to evidence that I'd like you to open up a little bit on for the assistance of not only me, but especially the Commission. You use the terms "the breadth of evidence", "the depth of evidence", and the "weight of evidence". I'd like you to, if you would, expand a little bit upon those concepts and, in particular, on how they apply to the way you put together your report, and in particular,

the limitations that result, the kinds of limitations that result in your report on those areas.

A Okay, thank you for that interesting question. So maybe I could just go to page 24 of our report, because it'll help me to answer your question as I go through it. So if you could expand that figure, Mr. Lunn.

This is the decision tree that we used to decide on the relative likelihood that different factors were responsible for observed declines in sockeye productivity, so these are the different categories of evidence. Is there evidence of plausible mechanism, is there evidence of exposure to a stressor, is there evidence of correlation/consistency between the stressor and productivity, and then is there other evidence such as laboratory experiments, thresholds and the like.

So when it comes to the breadth of evidence, I think there we're talking about the breadth of evidence across different stressors that are affecting different life history stages. So for the freshwater habitat we have logging, mining, small scale hydro, large scale hydro, urbanization, agriculture, so that's kind of a breadth issue.

And then depth of evidence relates to how good is the evidence for each of those stressors. And so, as we talked about several times, for exposure, let's say we had very poor data for disease, so no conclusion was possible. And then on the other hand, for some water quality contaminants we had quite good data; not all of the water quality data. So there we have deeper In fact, we're able evidence over space and time. to examine the correlation of changes in contaminants with changes in productivity, and it turned out for the things that have been measured anyway, there wasn't a good correlation. was evidence against the idea that water quality contaminants were causing sockeye declines.

And then the weight of evidence, the last part is really looking at the relative degree of evidence across all these different stressors using this decision tree to try to pull out, at the bottom of the tree really -- well, which

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things fall out as being unlikely for one or more reasons. There's five different ways to get to the unlikely side, unlikely conclusion, or possible or likely or very likely. So we're basically weighing all of the evidence together for the different stressors to try to come to our conclusions.

- And do I read your report right when I get the impression that you see the strength of your analysis in the breadth of the evidence relative to the other two?
- Well, I think the strength of the analysis is that Α we went through a very systematic approach to all of the evidence that was there, so I would call that the third, the weight of evidence. For the evidence that we had, we looked at in a very systematic way. Where we didn't have evidence, we indicated what those limitations were, like I just mentioned, for disease.

In some cases, the depth of evidence is really great, like for example, en-route mortality. I mean, the story is sad, mortality is going up, but the evidence as to why it's happening and that it is happening is very strong.

- Well, the thrust of my question was what you're Q looking for is an explanation of an overall trend as distinct from stock-specific issues.
- Yes, that's correct. Α
- Right. And the strength of the analysis of an overall trend is, of course, the breadth of the evidence, the number of factors that it considers; is that --
- That's correct.
 - -- a fair way of putting it?
 - And I think the breadth is both the number of factors that are considered and also the very helpful contrast that you get by looking across both Fraser stocks and non-Fraser stocks.
 - Q Right. And of course the depth and the weighing of the evidence depends upon the strength of the individual reports that comprised the subject matter of your synthesis.
- That's correct. Α
- 43 44 You would agree with me, would you, that in a 45 perfect world, you would want to be, as a 46 scientist, a perfect scientific world, you would 47 want to be concerned with both the overall trend

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1 and stock-specific issues?

A Absolutely.

- Q And particularly with respect to important stocks, stocks which are significant for one reason or another, especially significant.
- A I think it's important to look at the major stocks which comprise the fishery as well as the other stocks.

MR. LOWES: Thank you. Those are my questions.

QUESTIONS BY THE COMMISSIONER:

THE COMMISSIONER: I wonder if I could just - if Ms.

Baker will permit me, and if somebody will give me
a minute of their time - I think this is -- it's
not directly dealing with -- excuse me for this
microphone awkwardness -- not dealing directly
with Mr. Lowes' question, but I just wonder if Mr.
Marmorek could just go to, just to explain to me
and just clarify, in the Executive Summary, it may
be the best place, Mr. Marmorek, to let me ask you
my question and I'm going to be brief. I just
need clarification.

I don't think there's a page number, but it's just where you deal with -- I'm going to call it page 2. It starts off, "Based on the available evidence...".

A Yeah.

THE COMMISSIONER: "...we then came to a conclusion whether the factor was unlikely...", et cetera.

Just adding on to Mr. Lowe's question and your answers, you give there the possible, likely, or very likely, representing the highest level of confidence, to have been the primary driving factor. Then you deal with, I believe, unlikely and so on. I just want to make sure I understand where that terminology comes from and just the weighting that you've ascribed. The word "probable" doesn't appear there, and lawyers and judges are often more comfortable with concepts like "balance of probabilities" that you've heard frequently, I'm sure, and that kind of thing.

I just want to make sure my head's in the right space from your perspective when you set this terminology.

A Right. So that first sentence there is we came to the conclusion whether the factor was unlikely,

representing the lowest level of confidence. So that's basically -- if you think of the lowest level of probability that the factor was a primary driver of the overall declines, whereas at the other extreme, very likely representing the highest level of confidence or highest level of probability. But it's all relative probabilities. It's not like we can say .93 or .02.

So we're making an ultimately qualitative judgment based on all of the evidence for each of those factors on the relative likelihood that they were a primary factor driving the overall declines in productivity.

THE COMMISSIONER: And the word "possible" in the context of likely or very likely, how does that fit together?

A So it fits within the decision tree that we were just putting up there in the sense that if, for example -- if we could just go to page 24, I think it'll be easier for me to answer that question 'cause there's a variety of ways by which we come to that conclusion.

So, for example, as you come down this decision tree and, let's say, you have some data on the exposure of sockeye to a given stressor so, for example, predators, we have some data that say Steller sea lions have changed this much, harbour seals have gone up. We have some data that way, so the answer to that exposure question is yes. It's possible some predators have gone up.

Then you come down to the correlation consistency box. Well, for predators, there were no analyses done, until we did our own, as to whether or not predators went up at the same time as sockeye productivity went down. So you drop down to where the left side of that diagram has other evidence, and then it says, "No data." Then you conclude "Possible."

So essentially it's following our decision tree where if, on the other hand, we had found evidence that was saying, yes, there is a correlation that predators went up and sockeye went down and it looks like these particular predators could well have been responsible, and there was other evidence as well, like we found sockeye in those predators' stomachs, we might well have ended up at the bottom right there with

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Questions by the Commissioner
Cross-exam by Ms. Gaertner (FNC)

likely or very likely. But we didn't have that evidence.

THE COMMISSIONER: That's very helpful. Thank you very much.

A Thank you.

- Mr. Commissioner, it's Brenda Gaertner, MS. GAERTNER: and with me, Crystal Reeves. for the First Nations Coalition. I am not going to try to be a rocket scientist today or any day, and I'm going to use a different metaphor that, I'm sorry, Mr. Commissioner, you've heard a couple of times, but at this point in this inquiry, I find it a little inspiring. I have been using the migratory route of the salmon and where we are in this inquiry, and I feel like the cumulative impacts of this inquiry have resulted in many multiple stressors, that I'm working very hard to avoid en-route mortality, and I can smell my natal stream and I'm keeping my focus and looking forward to getting home.
- A So that will reduce the temperature here?

 MS. GAERTNER: That would be helpful some days, that's for sure. But that's the metaphor I'm sticking with and that's where we are.

CROSS-EXAMINATION BY MS. GAERTNER:

- Q Mr. Marmorek, before I start my questions of you today, I just want to observe on the evidence, we've never worked together before. I met you for the first time yesterday, and I'm just very grateful for the amount of work that you've done to prepare to come here. I'm grateful that you've read all the documents in the short period of time that you have, and that you have a substantive answer for many of the hard questions, and I want to express the gratitude of my client for the work that you've done.
- A Thank you.
- Now, just to let you know, I've got about 45 minutes of my own time, and I understand from some of my friends that if I'm doing well and being useful, they'll give me a bit more of their time, so I may have a little bit more time after lunch. But we're going to start with that.

I've got a couple of themes that I'm going to let you know that I'm going to cover so you get a

1 sense of where I'm going to go. I want to talk about specific questions, both the specific 3 questions that you were asked and the questions that weren't asked. I need to touch a little bit 5 on biodiversity within the Fraser River sockeye 6 salmon and the importance of scale going forward. 7 I want to talk a little bit about cumulative 8 impacts and climate change, and then primarily, at 9 the end of it, I want to go into your 10 recommendations at the end of your paper and 11 unlock some of them, because on the face of it, I'm a little bit worried that we might get 12 13 confused in applying them, and I want to give you 14 an opportunity to help us as we go forward. 15 So let's start with specific questions. 16 going to ask an obvious one first just to lead up 17 to my more difficult question, I think. 18 agree with me that the question, "What happened in 19 2009", is a bit of a different question than 20 "What's the explanation for the long-term 21 declining trends?" You agree with me on that? 22 Yes, I would. 23 Now, apparently in your terms of reference, the 24 only question you were asked for the paper was 25 whether cumulative impact assessments could help 26 understand the pattern of change and productivity 27 in Fraser River sockeye salmon over the past 28 several decades, correct? 29 Well, actually, if we were to look at that 30 precisely, we'd have to look at the terms of 31 reference for our study which are in one of the 32 appendices, but it's a little broader than that. 33 But when I looked at your framework, you're 34 primarily approaching the question of how 35 cumulative impacts can assess (sic) understanding 36 the long-term patterns of change, correct? 37 Α We were looking at long-term patterns of change in 38 both productivity, but also as you'll note in some 39 of the footnotes to that final conclusions table 40 in section 4.7, we also considered effects on 41 escapement and effects on harvest as well.

All right. It was broader than -

Because the terms of reference of the Cohen

Right. Okay, so point well taken, thank you.

Commission are also the fishery, not just

MS. GAERTNER: But I want to ask another important

September 20, 2011

productivity.

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question of this inquiry and one that, Mr.
Commissioner, our clients made submissions right
at the beginning, which was when we asked to look
at the broad questions you were going to be asked.
We said right at the beginning that, in our view,
cumulative impacts was an important component of
explaining 2009.

I want to turn now to you to ask you, based on the

- I want to turn now to you to ask you, based on the work that you've done, all the reports that you've read, all the work that you've done in your career, is it reasonable to conclude that the cumulative of exposure of Fraser River sockeye salmon to multiple stressors caused or significantly contributed to the unexpected low returns in 2009?
- A So that question was addressed in both the PSC workshop report and actually they spent more time talking about 2009 specifically than we did in our report. But our conclusion was and it's in section 4.4 that the marine conditions were the most likely primary factor causing the declines, the poor return in 2009, both the conditions -- in 2007, the conditions in the Strait of Georgia and Queen Charlotte Sound. It's near the end of that section.

And that these other factors certainly could have contributed to that.

Q And so if --

- A But those are the primary factors.
- Q So for the 2009 return, cumulative or multiple stressors, most likely in the marine environment, were the primary causes.
- A That's what we concluded.
- Q Thank you. I want to make that clear on the evidence.

Now, is it also reasonable to conclude that the cumulative exposure of Fraser River sockeye salmon to multiple stressors is contributing to the long-term decline and productivity we are observing since at least the 1990s, if not earlier in some stocks.

A So this is where it gets tricky, because we don't have a cumulative stress meter that we can stick into sockeye and determine how that overall stress is going up, or what the contributors are to that overall stress. So it's reasonable to assume that there are interacting factors that affected those

trends, and what we tried to do in this report is to look at the best evidence we can of the relative likelihood and so, you know, I think if you look at -- I think it's probably fair to look at the table in section 4.7 because it's kind of an integrative result of all our conclusions, page 90 in the document. It's updated with aquaculture in the addendum, but this is fine for now.

This is our summation, really, of what we

This is our summation, really, of what we think the relative likelihoods are of these different factors. Those that are listed as being unlikely, it doesn't mean that they couldn't have contributed, but we don't think that they were the primary drivers.

Q Right. So there is quite a bit of difference, because they could, in any one given year, have a particular effect on a particular stock, but that all of them together could easily have a contributing effect to the long-term decline.

As scientists, you're looking for a primary driver at a mathematical level or otherwise, but from an aboriginal way of being, or a holistic perspective, the cumulative impacts are a valuable unique look at what might be driving the vulnerability of sockeye salmon.

- A I think it's important to consider the cumulative impacts, and I think it's also important to try to hone in, as much as you can, on the major factors as opposed to the minor factors, and that's what we tried to do through all of these analyses.
- Q In order to help make us, as managers, better
 decision-makers, right? I mean, that's the
 purpose --
- A Right. And so, for example, I don't think that adding more logs into streams that sockeye might spawn in is going to make much difference to the overall lifecycle productivity.
- Q Gotcha. I understand that. Okay, now, would you agree that given the complexity -- and I very much enjoyed studying the diagram that you have of the lifecycles and all the interactions that are possible in any one year, or a cycle for a salmon, would you agree that this complexity, and the unique combinations that are possible and the unique multiple stressors in any given one year, gives us cause to be very humble about what we think we know, and how we act when harvesting

1 sockeye.

- A Yes, I think humility is wise, and data can help to diminish humility.
- Q But you also agree, and I've read this in many critiques of scientific thought, that we have to be careful about what we think we know, what we know we don't know, and what we don't know we don't know.
- A Yes, I believe that was a former Department of Defence, Donald Rumsfeld, so I don't like quoting that for various other reasons.
- Q I didn't quote him either, I just -- I didn't quote him either. But it's an important component of the complexities we're dealing with.
- A No, absolutely. I mean that was one of the things he got right.
- Q All right. Thank you very much for those beginning questions.

Now I want to go to biodiversity within the Fraser River sockeye salmon, and I could take you to Exhibit 1855 if you want. This is a more recent study on the effects of river temperature and migratory Fraser River sockeye. You're aware of this study?

- A Yes.
- Q And you agree that this study highlights how climate change is impacting on sockeye in the Fraser River currently, and how it's expected to do so going forward. It has some analysis on a stock-specific level, correct?
- A Yes, it's a good paper.
 - Q All right. Would you agree that the type of research and data we are going to need going forward to study the interactions of multiple stressors, including the variety of possible climate change stressors on stock health, is at a conservation unit specific level.
 - A So there's 36 conservation units in the Fraser, and I think there are tough decisions to be made as to how you choose which of those you want to study really intensively. It's a breadth versus depth question, and what we recommended is that you choose a representative cross-section for detailed studies rather than trying to cover all 36.
- 46 Q Yeah, and I'm going to get to that because I'm going to take you right to your recommendation.

A Sure.

- Q But you'll agree that we need to take care on that, because there might be quite a different question as to what effect does climate change have on early or late summer Fraser River sockeye. Then a question that says how can my priority to fish for food, social and ceremonial purposes, be met on the Early Stuart runs. Those are very different questions, isn't it?
- A Absolutely and you have to look at multiple objectives.
- Q Do you agree that research and management decisions and conclusions regarding climate change and multiple stressors on Fraser River sockeye salmon could be more helpful if nested within an adaptive management strategy going forward?

A Yes.

- Now, yesterday you spoke about a number of comparison to the non-Fraser River stocks and there was some discussion on the Harrison. We pick this up a little bit, but I want to make sure the evidence is clear. When you were talking about the chinooks, you were talking about the Shuswap-South Thompson stocks of chinook; is that correct?
- A Yes, I think it's in one of the papers by Beamish that's included in the 62 documents. There's actually a map there. I don't remember the number. I could dig it out. So if that's an important detail, we can find it.
- What's important, as I understand it, is that both that stock and the Harrison stock are flourishing somewhat over the last two or three cycles and they have similar migration patterns from the fresh water; is that correct?
- A Yes, I think the key point of that paper was that they arrive late in the Strait of Georgia.
- Q All right. So now let's move to climate change. Do you agree that baseline data regarding sea surface temperature is critical to maintain and, if so, are there other data sources, baseline data sources regarding climate change that you would recommend for the purposes of management of Fraser River sockeye salmon?
- A So, yes, I would agree that sea surface temperatures are very important. Salinity is also important. Within the freshwater cycle, I think

it's very important to understand temperatures within rearing lakes and streams and how they may be changing. That data aren't too expensive to acquire.

Also the timing of smolt emigration towards

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the estuary, actually, and arrival in the Strait of Georgia, relative to the timing of zooplankton blooms, which are important for food. Thank you. That's very helpful. Would you agree that setting management targets for Fraser River sockeye salmon on the principle of maximum

sustainable yield historically assumed or depended on a constant and predictable ecosystem?
Yes, there is a paper by Peter Larkin called, "An Epitaph for the Concept of Maximum Sustainable Yield," so I think there has been an increasing recognition that stock recruitment relations vary over different periods and that fisheries

management needs to adapt accordingly.

Would you also agree that the MSY calculations, they're only potentially relevant for predictably strong stocks in a predictable ecosystem?

A I think theoretically maximum sustainable yield could apply to any stock provided that you have reasonable data on that stock recruitment relationship, not only strong stock. I mean, there is the challenge of mixed-stock fisheries and how you can allow harvest on a very strong stock while at the same time maintaining the weaker stocks. That's an implementation challenge for sure.

Q Now, you spoke briefly this morning about the precautionary approach and the precautionary principle. Before I get to your recommendations, which I promise I'll take you to, I want to take you to our Tab 7. Are you familiar with this article?

A Oh, yes, that was one that you passed around, yeah.

And you'll agree that this is a study that's been done out at UBC Fisheries Centre on cumulative impact mapping; is that correct?

A Yes.

Given our time allocations today, I regret I probably shouldn't take you to the whole article, but I'll take you to pdf page 8 which is page 883 of the actual article. In it, the author states

that:

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- Let's go to our Tab 6, which is Exhibit 1345 which is the Haida map that I'm not sure you had an opportunity to review.
- Mm-hmm, yeah, I looked at this. It's a nice --
- The Commissioner has seen this map on a number of occasions.
 - MS. GAERTNER: Maybe I'll just -- if you keep going,

In the absence of absolute field measurements, relative modeled measures can still be used to direct future management and field research actions.

- Would you agree with that? Yes, I would. I think the limitation of this Α paper really is that you have a whole lot of different cumulative impact scores for one point in time. You don't have any data over time. So for the purpose of what we're trying to work at here, it's difficult - as it was also in the freshwater report, Technical Report 3 - if you just have a snapshot, to be able to say, well, how has this changed over the last 20 years, and to what extent could that possibly explain the patterns that occur.
- So more for the methodology than the conclusions, but rather what the author there is saying that if we don't have absolute field measurements , relative modelled measures can still be used to direct future management.
- Yeah, I think in general, that's true. When I looked at this paper, I wasn't sure how you would use these maps to direct management. It wasn't clear to me.
- MS. GAERTNER: So I'm going to take you to -- maybe before I do that, may I have this marked as the next exhibit?

EXHIBIT 1911: Ban et al, Cumulative Impact Mapping: Advances, Relevance and Limitations to Marine Mgmt and Conservation, using Canada's Pacific Waters as a Case Study, 2010

MS. GAERTNER:

THE REGISTRAR: Exhibit 1911.

[Marine Policy]

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David Marmorek
Cross-exam by Ms. Gaertner (FNC)

1 sorry. Go right to the map. Α I think it's the last page, isn't it? 3 MS. GAERTNER: That's right. There it is. Now, what I'm going to suggest to you, and we're 5 going to get to this more in your recommendations, 6 but if you don't have all the particular 7 causation, the data to prove causation in a 8 scientific or a legal perspective, but you have a 9 map in which you have clearly set out areas of 10 importance, areas of potential sensitivities, and 11 the potential stressors, that that might be a 12 useful way of streamlining data and making 13 management decisions in the absence of evidence. 14 Α So, yes, so for example, for protecting 15 critical areas, yeah, I think that's a reasonable approach. You look at what are the special areas 16 17 you need to protect and what's the total 18 cumulative stress on those areas. 19 logical thing to do. 20 And potentially quicker than long-term data and 21 scientific analysis. 22 I think it depends on what question you're trying 23 to address. If you're trying to address, say, 24 land-use planning or marine planning, question as 25 to where you should allow certain kinds of 26 developments, just like as in the Fraser River 27 Estuary Management Program, they have certain red 28 areas that have zero development, no development. 29 There's certain green areas which are already 30 highly developed where they allow more development 31 to occur, so you're trying to focus the 32 development in that way. So I think from a land 33 or marine use planning point of view that's 34 useful. 35 As I said earlier, I think it's tough to use 36 this kind of snapshot information for the kinds of 37 questions that we were addressing retrospectively. 38 No, absolutely. What I'm looking for is helpful 39 ways in which we could do adaptive management in 40 the future, given all the unknowables. So we can 41 take step 1 and say, okay, we're just going to 42 rely on the scientific method and we'll wait for

five or 10 or 15 years when we have the confidence

outcomes. Or we can, at the same time, be mapping

in the experiments and the confidence in the

sensitive areas so we're very clear where we

shouldn't be taking risks.

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- Right. I would call that prudent management 1 Α rather than adaptive management. Adaptive 3 management's sort of become a plastic word where it means almost anything these days. So I think 5 of adaptive management as being rigorous 6 implementation of management using experimental 7 principles so you learn from them. So it's just a 8 qualification there. 9 I'll be happy with prudent management in this 10 case, that's for sure. 11 Α Okay. All right. Now, before turning to the science 12 13 management interplay, I want to pick up on an 14
 - Management interplay, I want to pick up on an example of what -- so far, I've identified as an uncertainty in your report, and I just want to see whether my read of this -- now, if you note your figure, 3.3-1, which is --
 - A Page 24?

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- Q -- the figure that has all of the different interplays and I note that pathogens and disease is a potential stressor in every life stage of the Fraser River sockeye salmon, you'll agree with me on that?
- MS. GAERTNER: The one just before that.
- 25 A I think I'm a little too far.
 - MR. LUNN: You don't by chance have a page number?
 - MS. GAERTNER: Sorry. For some reason right across that reference, I don't, Mr. Lunn, I apologize.
 - A I'll find it in a second.
 - MS. GAERTNER: There it is, just right next to it.
- 31 A Yeah, the document page 18.
- 32 MS. GAERTNER: There it is.
- 33 A This one, yeah.
 - MS. GAERTNER: Sorry, Mr. Lunn.
 - Q So I just note that pathogens and disease are identified as potential stressors for every single lifecycle of this Fraser River sockeye; is that correct?
 - A Yes, potential stressors for which we have no exposure data.
 - Q It's clear that one form of the evidence that you relied upon in making your assessments of this information are the technical reports, and I want to go to Technical Report 12, which I believe is Exhibit 735. Now, that's the technical report done by Dr. Johannes; is that correct?
- 47 A Yes, that's right.

And you would agree that he may have discounted 1 various factors as stressors prematurely or 3 without the appropriate amount of data?

Α I read some of that in the transcript. it's important to recognize that when we were looking at the freshwater habitat factors for this cumulative impact assessment, we relied mostly on Technical Report 3, because that was Nelitz et all, because that covered all of the 36 conservation units and looked in detail at correlation evidence for, I think, the 18 or 19 stocks.

So because we're looking at the whole Fraser as opposed to just the lower Fraser, we relied more on Technical Report 3 than we did on Technical Report 12 for our conclusions.

- Is that because you weren't comfortable with relying on Technical Report 12?
- Α No, it was just because of the spatial extent of the two studies. Basically Technical Report 3 was looking at all the stocks over all of the Fraser River and looking at contrast. They also had a cumulative stress estimate.

So as I was asked about earlier, there was a greater breadth and depth of evidence in Technical Report 3 for the retrospective ecological risk assessment that we were doing.

- Did you, when you were reviewing this report, also review the additional appendices provided by Dr. Rosenau?
- Oh, the reviews? Α

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- The reviews and --Q
- That's one of the --
- 34 -- he actually, in addition to his review, 35 provided specific appendices. I can bring those 36 forward to you. They're --37
 - No, I did not review those. Α
 - In the time remaining, I think I'll pick up Okay. on my questions on the recommendations. Before that, I just want to put before you our Tab 8, and I appreciate it's a basic -- I might call it science 101 and bear with us on that. But did you have any chance to look at this?
 - Oh, I've known this paper for a long time. Α
- 45 Oh, great, that's even better. Do you agree that 46 it's a good summary of the interactions of risks 47 and uncertainties with cumulative impacts?

1 Yes, I do. MS. GAERTNER: Could I have that marked as the next 3 exhibit?

THE REGISTRAR: Exhibit 1912.

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EXHIBIT 1912: Suter et al, Treatment of Risk in Environmental Impact Assessment, 1987 [Environmental Mgmt]

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MS. GAERTNER: Thank you very much.

- And I wanted to clear up something that I've heard a couple of times in your evidence, and I just want to make sure that I've heard this correctly, which is, a number of times you've talked about how scientists can help the risk analysis. Would you agree that it's not just scientists that need to do the risk analysis, that -- I mean, you've quite quickly put trade-offs as policy decisions, but I want to suggest to you that how people measure risk and how comfortable they are with risks and the impacts of those risks can be quite different, depending on the nature of the rights and interests that they're concerned with?
- Α Oh, absolutely. That's part of the process of discussion.
- So the risk analysis that you're talking about is not just a scientific inquiry. It requires a broader breadth of perspectives involved.
- That's right, and understanding of the willingness to accept different levels of risk by different groups.
- And so yesterday when you were talking about your Q suggestions as it relates to page 104, which is the setting of the research agendas, and then the questions that you have specifically set out on page 107 of your report, those are both decision trees and analysis that require participation by First Nations in the context of their rights; is that correct?
- As well as other groups, yes. Α
- But you'll agree that First Nations must be at the table, that this is not a scientific-alone discussion.
- Oh, I think the things that are listed at the bottom of page 107 require dialogue amongst all of the interested parties, and I guess what I would suggest is that just as in, for example, the

water-use planning process where there's a variety of stakeholder groups represented, as well as technical analyses that fed those discussions, that something roughly analogous would be helpful for doing this prioritization.

And also because in cumulative impact assessments, or multiple stressor situations like Fraser River sockeye salmon, the work of researchers needs to be very tightly interactive with the work of decision-makers; is that correct? And so you need an interplay between the science and the management that's quite consistent and iterive (sic).

A Yes, I agree with that.

So it says:

Q Okay. In the time that I have, I'm going to start with my questions on the recommendations, then. If we can go to your Table 5.2-1. I've just got to ask a couple of preliminary questions 'cause I heard how you described it yesterday, and your charts include explanatory importance and, as I understand it, to overall trends. Then you have a column called "Relevance to Management Actions". It's going to be that one that I'm going to spend a little bit of time with, with you.

But I just want to get how did you come to your conclusions in that one? I mean, you're a group of scientists working on your own here, and given our dialogue just now, I'm a little curious on how you were able to manage to weight relevance to management actions.

So we were building on the Pacific Salmon Commission Table 5-3, and you don't have to get it up right now, but essentially these column headings are similar to those, so there's a bit of an ancestral aspect to where these things came from. If you go up to the caption here -- if you could just go up, Mr. Lunn, a bit.

"Relevance to Management Actions", i.e., the value that such knowledge has for informing

potential management actions.

So there we're talking about things that managers could potentially change in, let's say, harvest or habitat or hatcheries, hydro in the case that there are some - there aren't that many

in the Fraser - as opposed to explanatory importance which are things that help you to understand what's going on, why trends are happening, which is relevant to management as well, but is a bigger question.

- And you'll agree with me, given the preliminary discussions we've had, that how people weight that might be quite different depending on their interests and concerns.
- A I think that's true.
- Q All right. So I think we don't have to then battle about which one's right, but I'll just try to get a sense of what your thinking was when you gave your thoughts on that.

So let's start with parental spawning success and incubation. Now, it's likely/unlikely (sic) to be the root of observed productivity declines, however highly relevant to management I would suggest, since spawning success and egg survival directly affect the development of spawning escapement goals and the conduct of fisheries. Would you agree with me on that?

A So parental spawning success and incubation, I mean, what's usually done to try to establish escapement goals as we talked about in quite a bit of detail earlier with Mr. Harvey was using spawner recruit data to try to understand that. Now, there's also some of the detailed information on, for example, condition and numbers of fry that can help to inform discussions about density dependence and so on.

If you go down a little bit in this table here so we can just see that whole row, if we're going to talk about that row. So it says, number 1 is:

Better estimates of both watershed conditions over time...to better understand current status, causative mechanisms and risk thresholds.

So that's important.

Q

Better understanding of the status of smaller conservation units, consistent with implementation of the Wild Salmon Policy.

1 Like those are three very important components to management and to how we're moving forward. 3

Α Right.

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- And so just so that we can be clear, we had difficulty with your relevance to management actions being low. We actually think the relevance to management actions of those steps is quite high, and the choices that we have to make around that quite high. So I'm just wondering if you might give us your thoughts on that.
- Α So I could understand that interpretation. guess the question is really the extent to which -- the way we're thinking about this is how can you -- what management actions might be needed to change in order to improve the current situation so we get better productivity?

Q Just --

Α I'm sorry, so that was affecting us -- and when I say "us", I include the Pacific Salmon Commission Science Panel who also gave this low. Basically what they're saying is, well, it didn't look like habitat was a likely explanation of the patterns, and so if you then -- and we certainly want to maintain habitat as much as possible. We don't want to ratchet back protection of habitat, for example.

But it's not as though -- if you suddenly had a lot more information about those early life history stages that you would then implement a bunch of actions which would recover those stocks, that's kind of why the --

I just --Q

- -- implication was that it's not as though there are management actions you can do that are really going to turn that around.
- So I guess maybe we'll take that. I mean, I did go back to look at the PSC report on this, and they also gave parental spawning success and incubation low, but they didn't place into that comments and issues for recommended research, all of the things that you have.

Α Mm-hmm.

They weren't placing the implementation of the Q Wild Salmon Policy into that.

Α Right.

46 Q And so I think that's where the potential mix-up 47 has happened.

1 A Yeah.
2 Q And I
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 And I really want to clear this up.

No, I think that's a good point, in that we expanded the recommended research and monitoring activities based on all the technical reports. So from the point of view of implementation of the Wild Salmon Policy and understanding the status of those, I think it's fair to say that it would be appropriate to raise the relevance for that particular recommendation.

In looking at this table now, you know, it would probably be better to actually go through each of the recommendations and have an explanatory importance/relevance to management actions like within each row. In other words, you'd split this thing and have three sub-rows within it. We didn't have time for doing that.

You know, I just want to say about these things is that this is a long list. There's like 20-something, 23 things, and it would be a lot of money to do all these things, right?

- Q Yes, we're going to get to that actually, also.
- A And we don't think it's necessarily going to have that much money.
- Q Exactly.
- A We also didn't think it was our call to start narrowing down the list, funnelling it down for the reasons you've just stated.
- Q No, and I appreciate all that, and I appreciated you saying that that's for a broader group of people to do.
- A Mm-hmm.
 - Q I just want to be very careful because this could influence that broader group and definitely could influence the Commissioner.
- A Right.
 - Q And so what I've heard you say is that having had this dialogue, you would change the relevance to management actions given that you've put the Wild Salmon Policy and the conservation units into this, and give it a higher score.
 - A Right. I think for the implementation of the Wild Salmon Policy, having a better understanding of the status of smaller conservation units has a high amount of relevance to implementing the Wild Salmon Policy.
- MS. GAERTNER: Thank you. Given the time, Mr.

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Commissioner, thank you. THE COMMISSIONER: Thank you.

THE REGISTRAR: The hearing is now adjourned till 2:00 p.m.

> (PROCEEDINGS ADJOURNED FOR NOON RECESS) (PROCEEDINGS RECONVENED)

THE REGISTRAR: Hearing is now resumed. MS. GAERTNER: Thank you, Mr. Commissioner. Within my own time allotment I have 15 minutes and I understand my friends will grace me with five more if I need it, but I'm hoping to finish within my own time.

CROSS-EXAMINATION BY MS. GAERTNER, continuing:

- So carrying on, Mr. Marmorek, with Table 5.2-1, I'm just about finished with the first box there, but there's something that you pick up in both the first box and the next box that I have to say had many of my clients and their technical advisors scratching their heads a little, and it's this concern. As you know, that -- or probably are familiar, the debate going into the Wild Salmon Policy had the government and stakeholders having a lot of discussions about on what level and scale management should be occurring, and the Wild Salmon Policy came down to conservation units and the work associated with conservation units. You're selecting -- you're suggesting something a little different in this -- you might be. I'm not This strategically selected cross-section of stocks. You use those words. You don't describe them in your report as to what you're after, and so I'm curious, is this a budgetary concern? Is this a management concern? Where are you going and what were you intending with that? Because we're hoping not to continue a historical debate about the importance of biodiversity.
- So the question comes back to the breadth versus depth of evidence that one is able to have, and so, you know, for example, you could get a great breadth of evidence for all 36 conservation units with a very shallow depth or at the other extreme, you know, you could have five stocks that you studied very intensively. Okay? And so what we

1 were saying here is strategically selected crosssection of stocks with varying conditions and 3 migration distance levels and types of watershed disturbance, so essentially you try to take a 5 sample, and this would include a range of sizes of 6 stocks and a range of strengths of stocks, like 7 not just the big ones, so that you're able to have 8 some depth of evidence for that subset, rather 9 than spreading yourself too thin. So if you had 10 an infinite amount of money, yeah, you could have 11 all the information on all the conservation units. 12 But you're not going to -- I don't think it's very 13 likely you're going to have that. 14 Q Let's assume we won't. 15 I think that's a safe assumption. Α 16 That's a good one, I think. 17 Α So that's the rationale. 18 Q All right. And so it's fair to say that if we --19 if we wanted to make sure this research was as 20 useful as possible the selection of the 21 strategically selected cross-section of stocks 22 would need to be done by a broad spectrum of 23 managers and stakeholders; is that correct? 24 Α Yes, looking at a wide variety of objectives. 25 All right. Then with those two questions, Q Okay. 26 I think I can now move down to the section on 27 downstream migration to the estuary, and here I'm 28 just more curious about helping to understand your 29 relevance to management actions on -- as high, I 30 appreciate that understanding the survival rate of 31 smolts during the downstream migration could be 32 quite useful for explaining the overall trends and 33 where we have -- and perhaps even the reduction in 34 overall productivity, so I was curious that you 35 thought it was only medium, because it might be a 36 bottleneck. But as it relates to relevance to 37 management actions, is your conclusion that it's 38 high based on the assumption that if we did learn 39 that it was a bottleneck that there would be 40 active steps to minimize pollution or other 41 habitat issues during this component of the life 42 cycle? 43 Α That's correct. Or it can -- like you say, Yes. 44 pollution issues or perhaps disease issues.

for example, at the Mission trap, smolt trap, you

could collect a bunch of smolts, analyze their

contaminant body burdens, analyze them for

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disease, do genetic stock identification. That
might be a good sort of screening kind of approach
to detect problems, particularly, say, as
temperatures go up or if there are certain stocks
that do have delay density dependent effects, you
might be able to detect that earlier.

All right. And then the question would be what

- All right. And then the question would be what could we do about them? That's the relevance to management. But again, you're dealing there with what we could do with it there as a habitat protection issue.
- A It -- for the case of contaminants, it would be a habitat protection issue. In the case of disease, it would depend on what the causes of that were, so if you found out that diseased fish were more likely to come from fish that -- from stocks where they greatly exceeded their escapement, that would suggest one direction. You could go look more closely at those stocks to try to understand them better. You could also help to eliminate that hypothesis, as well.

Q Okay.

- A So I think it just is a screening tool for a variety of potential actions.
- Q Right. That's helpful to understand. Let's move on then to the coastal migration, which you've rated as high for explanatory importance and high for management activities. And I just want to unlock some of the 9 to 13, for example. And let's just start with some of the easier components. Number 10 and number 13 seem identical to me with the exception that you've put -- you've mentioned Harrison Lake in 13. But otherwise, what we're talking about is really trying to get the residency and migratory path of the Fraser River sockeye post-smolts, including Harrison Lake; is that correct?

A Yes, that's right.

- Q All right. And then as it relates to number 9, you know, one of our observations is this would be a wonderful study, but it might take all of DFO's budget for research for the next little while to complete number 9. Am I correct that the number 9 is a potentially expensive approach to management? Maybe I'm not seeing it right or...?
- A Well, I think this comes back to and we don't have to flip back there, because we've already

talked about it earlier, but some of the questions -- or let's say the process by which we would 3 prioritize and further characterize exactly what you would do here, which is outlined on page 107. 5 And so the first question there is how exactly 6 will the information be used. Okay? So number 9, 7 if you were to try to get at a complete 8 fundamental understanding of everything that's 9 happening in all of those places, could be a lot 10 of dollars. If your intent was to say let's try 11 to understand well what the potential effects are 12 on returns two years hence, and say whether things 13 are likely to be lower than expected, higher than 14 expected, based on the number of spawners in the 15 parent generation, that defines a different level 16 of information which perhaps wouldn't cost as much 17 18 So being an applied ecologist, rather than an 19 academic one --20 Q Yes. 21 Α -- my approach is to outline, so how will the 22 information be used and what's the intended uses, 23 and then think about what's the level of 24 resolution that's appropriate, right? 25 often use the term bicycle, Volkswagen or 26 Cadillac --27 Yeah. Q 28 -- and maybe Cadillacs are extinct now, so -- but Α 29 what level is required --30 Right. Okay. Q 31 -- to answer the question. Α 32 So, in fact, paragraph 9 really needs that 33 qualification, when you say a fully-integrated 34 oceanographic and ecological investigation - and 35 don't get me wrong, my clients would love that, 36 but we're a little bit worried about whether we'll 37 be able to fund it. But the -- what you want to do is make sure that number 9 is very keyed to the 38 39 research question that's being asked. 40 Actually, that's true for all --Α 41 All of them.

-- of these recommendations.

All right. Would you agree, and we had

this dialogue earlier, that a companion to number

to, because if we're really going to get down to

9 is the marine use planning that I referenced you

understanding this, once we learn this we're going

Yeah.

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to have to figure out what we can do about it and 1 where the sensitive areas are and any of those. 3 So I agreed that the relevance to management actions is high, but the management actions we 5 have in the marine are pretty well habitat 6 protection; would you agree with me on that? 7 No, I think -- I think that's a reasonable 8 inference, as you find out more about where the 9 stressors are and look at what you can do about 10 them, then the logical sequence is to manage your 11 activities accordingly, assuming that you can. 12 Right. And so we would need to know where the, as 13 you call them, bottlenecks or stressors are and 14 determine what we can do about that. And so it 15 might be useful to make sure we're doing that at the same time as any of the larger studies. 16 17 That's reasonable. Α 18 Thank you. Then let's --19 Α Or, yes, that's reasonable. 20 Okay. Let's go on to growth in the North Pacific 21 and return to Fraser. You've put that as relevant 22 to -- the explanation -- explanatory is medium and the relevant to management actions is low, and I 23 24 just -- when I was reading that, I was hearkening 25 back to listening to Randall Peterman and his 26 evidence and particularly the interaction -- the 27 potential interaction that he saw in his 28 population dynamic work between international 29 production of pinks and fish farms and climate 30 change and the interaction between those three. 31 And so given that from his work that was his 32 caution and that concern to the group of us that 33 are helping the commissioner wade through all of 34 this, would you agree that relevance to management 35 actions may not be low? It may actually be 36 necessary to take some fairly strong management 37 actions as it relates to international work? Well, I think -- it's a little tricky to follow 38 Α 39 that I don't disagree with the points you just 40 raise with respect to factors that may be 41 important, like variation in pinks and potentially 42 fish farms. With respect to fish farms, as we 43 talked about earlier, I think the main focus is on 44 the coastal migration stage and trying to get 45 specifically recommendation 11 dealt with. 46 respect to competition from pinks and hatchery

pinks, the work that Greg Ruggerone and others

have done, I mean, you can get at those questions based on spawner recruit analyses. You don't need to go out and do open ocean research to get at those questions. The only part -- I mean, at least in terms of effects on recruitment.

He has done work in the Gulf of Alaska on effects of pinks on growth of salmon at sea, so in years -- it's odd, even years. I can't remember which way the story goes. But in the years where you get more pinks, you end up having less growth of Bristol Bay sockeye and that was, you know, getting information at sea.

So I think you can draw inferences based on returns rather than having to do detailed studies out in the North Pacific.

- Yes, the concern more is that you rated it low and given the goal -- the low to management actions, and --
- A But I'm rating low open ocean research. I think the --
- Q Oh, I see.

- A What may have been misleading here is that and I can understand why you would draw this conclusion. I think you can improve your understanding of competition of some pink sockeye interactions without actually having to do work in the North Pacific. There's other pink sockeye interactions like growth, maturity and overwintering survival, which would require going out there. So it's a question again of is this a Volkswagen or a Cadillac that you need in order to address the question.
- Q All right. But the management action --
- A It's probably not going to be a bicycle.
- Q The management actions could be quite relevant, the management --
- A Yes, that's true. The management actions could be quite relevant, so that's fair that the ultimate response that information could be medium or, yes, depending how you argue it. That's fair.
- Q Okay. Thank you. Let's go to the last one then, migration back to spawn. You'll agree, of course, that the assessment of high to relevance to management action is one of our strongest management actions is to decide when and where we're going to fish, correct?
- 47 A Yes. Can we just move to the next page? Sorry.

Thanks, Mr. Lunn.

- Q Now, I'm curious about number 19 and I'm just wondering, 20 wasn't given a bold, and it seems to me that management strategies to maximize the potential for persistence under stress from climate change is going to be very interactive with any kind of modelling on climate change; would you agree with that?
- Α Well, I think the -- you know, one of the problems with this table, I think it's been interpreted to mean that the bold ones are the only ones we're That's not the intent of the table. recommending. The intent of the table is to say the bold ones we'd like to make sure definitely get attention and the other ones should also get attention, so it's not as though they're -- climate change modelling is still important. Somewhere along the line you're going to have to make some choices and different groups would probably bold different parts of this table. So this is our first recommendation at it, but we suspect that if you went through that process you were describing earlier, that might come out differently.

You know, for example, that paper that you referenced earlier by Martins et al did some climate change modelling. The -- I think the thing there is that we know something about what kind of temperature changes might occur. Doing a lot more climate change modelling isn't going to gain us a lot more inferences on that. What's probably more challenging and what's the greater uncertainty is so what can you do about these temperature increases? Can you create cool water refugia? You know, what other things -- which stocks are most critical to preserve? And so I think that's a greater level of uncertainty, so that's why we bolded that one.

Q Okay. So there's two things that follow up on that what can we do question. The first one that I wanted to pursue with you is that given all of the work that you've done in summarizing these multiple stressors and given that climate change is neither predictable in terms of our coast, as Skip McKinnell was very good to remind us, or even Dr. Beamish when he said we have to expect the unexpected as it relates to that, would you agree that the opening and closing and placement of

fisheries in response to a returning stock is 1 going to ever-increasingly need to be 3 precautionary? 4 Α I would agree with that. 5 And that we will need to take very -- additional 6 care in trying to understand exactly the strength 7 of the run within a mixed stock fishery? 8 Yes, of the multiple stocks that are co-migrating. Α Thank you. And I just need to take a couple of 9 10 minutes to talk about migration back to spawn and 11 particularly your comments on en route mortality 12 and pre-spawn mortality. Hoping I can do this without taking you back to your report, but I 13 14 don't have any difficulty with that. When 15 describing the declining trend, you were careful in your analysis to say we don't have to examine 16 17 the correlations between en route mortality and 18 life cycle or post-juvenile productivity because 19 that's already included in the management. 20 you'll agree with me that en route mortality and 21 the relationship between disease or other 22 stressors and en route mortality could very much 23 be direct causative? 24 Α Of what? 25 En route mortality may be caused by --26 Direct cause of what? Α 27 By multiple stressors or the exposure to disease. 28 So en route mortality appears to be caused from 29 the work that Tony Farrell and others have done 30 most particularly by the higher temperatures and 31 the stress that that causes to various stocks and 32 associated with higher temperatures are also 33 various diseases and the work that Kristi Miller 34 has done has shown some correlation between those 35 fish that have genomic signal and those that die 36 during en route mortality, although as you've 37 discussed already in other hearings, exact disease isn't known. But I think the big issue is the 38 39 change in temperatures. That's the driving --40 that's the key driving factor, the change in 41 temperatures in the Fraser River as those fish are

Fair to say it's one of the most overt things that

we can see is the driving temperatures. We know

sockeye is very sensitive to temperature, so we

can see that it's an overt measurement that we

have. But we don't know the subtle interplays.

moving up.

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- A No, we don't know the subtle interplays and it's complex at the PSC workshop which was in 2010, Dr. Miller presented evidence saying that the outgoing smolts in 2008 had a high proportion of them also showed that genomic signal, and yet we had really good marine survival. So the fact that you have genomic signal, it may be that that's just a weaker portion of the population. If they hit a good ocean, they do fine; if they hit a lousy ocean, they die. Or --
- Q I'm going to -- sorry.

- A Or en route mortality.
- I'm sorry. I'm getting the nod, so I have to -- I think I can sneak in two quick questions. I guess just on that en route mortality, it's clear to you that we need to keep making that adjustment, that management adjustment, and we need to be very careful and keen to observe how much more of that adjustment we may need in the future, given climate change?
- A I agree with that.
- All right. And then finally, I just need to -oh, I've just been given five more minutes. I
 just need to understand one more thing about how
 you've integrated your recommendations, and
 particularly I'm concerned with some of the
 recommendations that we had an opportunity to
 discuss with Karl English, and the sort of
 management recommendations that came out of his
 work and others. Was it your intention to try to
 summarize all of those into these recommendations
 or were you more intending to summarize the work
 that needs to get on to really understand the
 long-term trend issue?
- A The latter.
- Q Thank you. And so the development of methodologies to design and evaluate stock health and production frameworks and fisheries deployment work that needs to get done isn't so critical for understanding the long-term trend, but may be very critical for the sustainability of the sockeye salmon; you'd agree with that?
- 43 A Yes, I'd agree with that.
- MS. GAERTNER: I think that concludes my question, Mr. Commissioner.
- THE COMMISSIONER: Thank you, Ms. Gaertner.
- 47 MR. GAILUS: Mr. Commissioner, John Gailus for Western

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Central Coast Salish First Nations.

CROSS-EXAMINATION BY MR. GAILUS:

Mr. Marmorek, just by way of background, I'll try and explain to you who the Western Central Coast Salish First Nations are. They're a group of First Nations mostly located on the East Coast of Vancouver Island, sort of central down to Victoria area. But it's also a First Nation with the community in Ladner that's part of that group, as well. Their traditional territories, fishing areas, are in the Strait of Juan de Fuca, Strait of Georgia and the mouth of the Fraser River.

Mr. Commissioner, I've given up some of my time to Ms. Gaertner, and I expect that she's done actually a very good job of laying the groundwork for my cross-examination, so I think I will probably only be about 20 minutes and possibly less than that.

Mr. Marmorek, I'm going to apologize ahead of time, because some of the questions that I'm going to ask you may seem a little bit redundant. We've covered some of these areas before, but I just want to lay out some context and we can have some discussion around that. I'm mostly interested in your recommendations, but I want to go back to some key principles, if I can. I'm only going to be referring to your report, so if we could go to page 6, Mr. Lunn.

And just under 2.2 and I must apologize for this, but I want to look at this definition, because I'm going to go back to it later, and it's the definition of cumulative effects assessment. And it:

... specifically refers to the process in which the effects of a proposed project are assessed together with the effects of other past, present or future projects to determine the overall cumulative effects on Valued Ecosystem Components (VECs).

Now, I know earlier that I think it was my friend, Mr. Prowse, took you to another definition of that, but -- and we've been throwing this phrase around quite a bit, but you'd agree that your

- technical report, and I think you used the term retrospective cumulative effects analysis. That would more accurately describe what your report is?
- A That's one term, and the other was retrospective ecological risk assessment.
- Q Okay. Thank you. Now, if we just go back to page 5 right at the bottom.
- A Sorry, could I just make a comment on what you were just pointing to, if you don't mind?
- Q Sure.
- A Just 'cause it's fairly important clarification here. So if we just go back to the section you were looking to, the first paragraph describes how cumulative effects assessment is generally done in Canada and the second paragraph points out that there are problems with the way that's done.
- Q Okay. Thanks. And let's take a look at the things that come before that when we're talking about cumulative effects and ways of looking at cumulative effects. So at the bottom of page 5, you talk about -- there's sort of two kinds of analysis. I want to look at -- if we go over to the next page, I want to talk a little bit about the second kind of analysis, so if we could just go there. So it's the first full paragraph on page 6.

Now:

The second kind of analysis looks at how multiple effects might combine (i.e., how multiple stressors might interact to produce a combined impact different (in form or magnitude) from each stressor acting independently). For example, how might increasing ocean temperatures have affected predators, diseases and parasites in a way that changes their overall impact? There are many ways in which individual effects might combine to form types of "cumulative effects".

Now, I want to explore that with you a little bit, that sort of analysis. And in cross-examination with Ms. Gaertner, and I appreciate her setting the table for me on this, you agreed that although marine conditions and climate change were the

- primary drivers, that other factors may have been 1 at play. And I want to delve into this a little 3 bit deeper, a little bit deeper with you. Α That's fine. 5 So yesterday in evidence you referred to 6 marine conditions impacting on other factors and I 7 think you made a reference to low food abundance 8 being one of those factors. 9 Α Yes, that's right. Low food abundance is 10 generally considered more of a problem as sea 11 surface temperatures go up for Fraser sockeye. And also I think there was a reference to 12 Q 13 possibly predators, as well? 14 Α Right, some of the things that are mentioned here. 15 That's right. They also change at the same -likely to change at the same time, as temperatures 16 17 get warmer, fish that are normally found off the 18 coast of California are more commonly found up in 19 B.C. 20 And there was quite a bit of discussion Okay. 21 we've had around climate change or global warming 22 or global weirdness I think is what a lot of the 23 scientists are calling it now. And one of those 24 impacts from climate change would be changes in 25 ocean temperature; is that correct? 26 Yes, that's right. Α 27 Okay. And possibly salinity, as well? Q 28 Mixing, salinity, pH, a bunch of things. Α 29 Okay. Now, I want to suggest, and please correct 30 me if I'm wrong in this, that perhaps we can view 31 climate change as a catalyst for among other 32 things changes in ocean conditions. 33 I don't know if catalyst is the term. I mean, I 34 think a key driver. Okay. 35 Q 36 It's basically the same concept, yeah. Α 37 So it's a key driver and major contributing 38 factor, you would agree? 39 Α Yes. 40 Okay. And now, just sort of going down that road, 41 changes in marine conditions could also act as a
- marine conditions and then climate change are interactive, as we talked about previously with Ms. Baker. They overlap.

factors; is that correct?

key driver as opposed to a catalyst for other

Yes, that's correct. And the two -- sorry, the

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So we talked about low food abundance would be one
 1
            of those factors that may occur as the ocean gets
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            warmer?
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       Α
            Right.
                    Both gradually over time and also
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            episodically with an El Niño or year-to-year
 6
            fluctuations.
 7
            Right. And then also increases in predators or
 8
            new predators coming --
 9
            Yeah, it could be increases or decreases.
       Α
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            could be changes in competitors, as well as
11
            changes in prey.
12
       Q
            Okay.
13
       Α
            All of the above.
14
       Q
            Colonization by invasive species perhaps?
15
       Α
            Also possible.
16
       Q
            And also harmful algae blooms?
17
       Α
            Yes.
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            How about development of pathogens?
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            So Dr. Kent in his report pointed out that
20
            pathogens are likely to become more common as
21
            temperatures increase, so I think it's reasonable
22
            to assume that in the areas where temperatures go
23
            up, it may be expected to see more pathogens.
24
       Q
            Okay.
                   Thank you. So when we're -- when we're
25
            looking at designing a science project, would you
26
            agree that all of these factors need to sort of go
27
            into that basket, you know, given your findings
28
            that climate change is its major driver, these are
29
            the types of factors we should be looking at?
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            Right. And that's, as I was just discussing
       Α
31
            previously, with your colleague, that's why
32
            recommendation number 9 talked about fully
33
            integrated oceanographic and ecological
34
            investigation on page 109.
35
       Q
            Well, you're jumping ahead, but -- so I might as
36
            well ask you that question now anyway.
            you agree that as part of that project, that
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38
            cumulative effects assessment would be one of the
39
            key, I guess, terms of reference or one of the key
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            approaches that one would have to take to complete
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            that study?
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                   So when you ask that question, cumulative
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            effects assessment as outlined by the Canadian
44
            Environmental Assessment Association or Agency
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            rather, is not necessarily what we would recommend
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            because it's project-focused rather than focused
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on the value of the existing component, in this

case sockeye. So we would rather recommend that you were looking at cumulative impacts on the different life history stages of the valued ecosystem component, so what we call VEC-focused, rather than project-focused.

- So I think we've still got it up there. Would it be -- would the second kind of analysis more accurately characterize the --
- A Right, the paragraph below --
- Q -- approach?
- A -- the one that's under -- beginning of s. 2.2, so the second paragraph. Mr. Lunn, if you could just go down a little bit there. Actually, I think it was a little earlier. If you go down further -- a little later, rather. So here we go:

CEA should be focused on VECs rather than projects because ultimately the cumulative effects on VEC sustainability are the effect of greatest concern.

Q Okay. Now, I want to talk a little bit about unknowns, unknowables, knowledge gaps and data limitations. And I know that Ms. Gaertner stole my thunder. I was going to reference this as a Rumsfeldian statement that you came up -- and let's go to that. It's on page 14 of your report.

Now, you've -- actually, let's go over to page 15, please. Now, you've identified three challenges under this section and the first was data limitations and I think we've beaten that horse to death, so I don't want to ask you about that one. And then there's the two others: the gaps in basic knowledge or understanding; and what you've termed as unknowables. The third challenge:

We cannot know --

That's the next page over.

We cannot know the explanatory influence of a factor that has not been monitored in a given year or location. When there are no data, one cannot make any inferences either in favour or opposed to a given hypothesis.

Now, you're -- you referred to that as an unknowable and I suppose if we're doing a retrospective analysis we could use that term, but I guess if we're looking forward, that those sort of factors may become knowable?

A If you have anticipated, for example, that some exotic species should suddenly appear and that you have a monitoring program which could detect that, then that becomes a knowable. If you didn't have a monitoring program which was capable of detecting that exotic species - and that's just one example - and it suddenly appeared but you had no data around it to explain that, then you wouldn't know that it was there. So you couldn't use it as an explanatory variable in your analyses to explain why something was happening.

So anyway, that -- it's just kind of by definition. If you don't have any knowledge of something you can't know what its explanatory importance is.

- Q Okay. Now, the recommendations that you have in your report and I think I'd like to go to page 109. I want to really focus on the marine conditions, given the interests of the folks that I represent. I want to ask you a little bit about whether -- well, I'll just ask you the question, whether or not these recommendations that you've set out here address some of the challenges, those being numbers 2 and 3, and to what extent.
- A Actually, if you could stay down where you were, Mr. Lunn, that's great, on the coastal migration stage, because I think that's the key one there. So the intent of number 9 is to get a good understanding both in terms of breadth and depth of the oceanographic conditions in the key environments along the coastal migration so Strait of Georgia, Strait of Juan de Fuca, Johnstone Strait, Queen Charlotte Sound.

So the reason for that is that over time, just as has been done motivated by the Cohen Commission, looking back to 2007 and what occurred that was anomalous, unusual in those years in those locations, as we acquire more of that information we'll be able to better understand, I think, or provide explanations for what causes better years or poorer years, just as Kim Hyatt had in the paper that we were talking about

earlier. So I think that covers -- fills some of the data gaps so that I guess it would be the first and second of the two types of challenges. It's hard to describe what you would -- which of the unknowables you would monitor, almost by definition.

- And that's, you know, that's fair comment. I think you already answered this question, but would you agree that a cumulative impacts paradigm and I'm not talking about the **CEAA**, **Canadian**Environmental Assessment Act paradigm, should play or guide a role in these research projects that we've set out here, the 23 recommendations?
- A Yes, very much so.

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- Q Okay. Now, your report obviously identifies a number of gaps in science. And I know that you're -- you or your firm has worked a lot with First Nations and First Nations organizations. Do you -- is it your opinion that there's a role for traditional and local ecological knowledge and if so -- actually maybe I'll ask you that first question and then...
- A Yes. I believe there is a role.
- Q Okay. So how do you see TLEK or TEK being integrated into the scientific research projects you've identified as priorities?
- Well, I think it's a very important form of Α knowledge, in particular because of the time span both in duration and also in terms of resolution, namely that people, First Nations, have been in particular locations for a very long period of time, have seen many fluctuations in resources, have amazing memories about -- well, hopefully recorded from elders and the like about what's changed, but also because they're there all the time during a given year. So if something really unusual happens, oh, we had these really weird algal blooms in May before any of you scientists got up there with your sampling gear, they're there. And so I think that -- and in other work that we've done in the north and elsewhere, for example, in Tuktoyaktuk Inuit noticed that the broad whitefish tasted funny long before anybody detected that there were some petrochemicals in them.
- $Q \qquad Mm-hmm.$
- A So there are many examples like that. We did a

report, actually, for the Pacific Fisheries 1 Resource Conservation Council on traditional 3 ecological knowledge, some of my colleagues did. Yes, I think we might be discussing that in our 5 next panel. Finally, well, actually not finally, 6 just taking off on that point, would you agree 7 that given the conclusion around the ocean 8 environment being a primary factor that there is a 9 role beyond TEK for First Nations to play in the 10 science, so in the data collection, as well? 11

I do agree with that.

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- Thank you. One last question for you, and we heard yesterday and looking at your c.v. you've had a lot of experience on the Columbia River. Perhaps in the brief time that we've got, maybe you could summarize the -- what we might be able to learn from what's occurred on the Columbia River and should we be cautious in adopting the Columbia River approach?
- Well, I guess one recommendation I'd have, which isn't new because the Fisheries Research Board back in the early '60s wrote a report which said it's probably not a good idea to build big dams on the Fraser 'cause they did that on the Columbia and they're having trouble. So I think that's pretty evident. There's obviously trade-offs I think one of the ironic benefits of there. those dams is that they now have hit tag detectors which can detect fish going over spill -- well, not going over spillways but going through bypass facilities and so they have very detailed information on life stage specific survival. So from spawners to smolts before they get to the first dam at Lower Granite and then from Lower Granite to the last -- or eighth dam at Bonneville and then back and that information has proven very valuable for disentangling freshwater versus marine versus combined versus delayed mortality effects.

It's a lot of money and in fact the power production generates the money that funds the fish and wildlife studies, so there's a real trade-off there. However, I guess what I would point out is that you can learn a lot without spending quite so much money, like the kinds of life stage specific survival studies that are in Dr. Hyatt's report, so I think we can learn a lot from those -- that

kind of work that tries to identify where the
bottlenecks are for different stocks in different
locations. That's something that's been valuable
learning from the Columbia.

MR. GAILUS: Thank you. Those are my questions.

MR. GAILUS: Thank you. Those are my questions.

MR. DICKSON: For the record it's Tim Dickson for the

Sto:lo Tribal Council and Cheam Indian Band

CROSS-EXAMINATION BY MR. DICKSON:

- Q Mr. Marmorek, Ms. Gaertner and Mr. Gailus just before me have covered a lot of territory, some of which I wanted to ask you about, so my questions are going to be brief. I just want to focus on one broad management implication of your report that I see and that's how we respond to climate change. Because when we look at the stressors that you cover in your report some of them we have quite a lot of control over, if we chose to exercise it and an example being, say, salmon farming. Others we have little control over. We might have some control over some kinds of marine conditions, but very little control over other kinds of marine conditions; is that fair?
- A Yes, that's fair. I think one of the implications, and perhaps we don't discuss it directly, is that even though you don't have any control over marine conditions, the fact that there can be a lot of variability in marine survival has implications for how you make other decisions, like for example for the Barkley Sound stocks, harvest decisions are anticipated two years before based on conditions for outgoing smolts. So you can learn things that help you subsequently.
- Yes. And you're going to have to respond to those marine conditions through such decisions as harvest management, that's exactly the point. Climate change, more broadly, is again a pattern over which we as a nation have extremely little control; that's fair, right?
- A Yes, I think that's true, though I like Al Gore's comment that political will is also a renewable resource.
- Q Yes. Yes. I agree. And so a troubling conclusion of your report is that the kinds of changes over which we have relatively little

control appear to be relatively responsible for 1 the decline in productivity. 3 Sorry? Could you repeat that? Α 4 Sure. The kinds of stressors over which we have 5 relatively little control are relatively central 6 to the decline in productivity and I mean marine 7 conditions --8 So it's --9 Q -- and climate change. 10 Right. Marine conditions and climate change we 11 have relatively little control over and they 12 appear to have had a major effect, that's correct, 13 yeah. 14 All right. And so the point I want to focus on is Q 15 that if we cannot prevent climate change, we 16 cannot prevent some adverse changes in marine 17 conditions and it becomes crucial that we protect 18 the ability of sockeye to adapt to these changes; 19 would you agree with that? 20 Yes, I think that's correct and to the degree that 21 you can maintain diverse life histories and 22 diverse habitats that potentially create across 23 all the stock conflicts greater resilience to 24 those varying conditions caused by climate change 25 and perhaps other actions. In the paper by Mike 26 Healey he lists a bunch. I think that paper has 27 been circulated before. 28 Q Yes, I think so. And that is the point that I 29 want to drive to, that in the face of such things 30 as climate change, we -- it becomes more and more 31 important to protect biodiversity. Diversity, 32 genetically and of life histories and habitat, 33 broad portfolio of stocks; do you agree with that? 34 Α Yes, I agree with it and so do lots of others. 35 There's a whole session on that at the American 36 Fisheries Society conference earlier this month 37 and that was one of the key recommendations. 38 And just for the commissioner, although I'm sure 39 he has the point, if I can just bring up Exhibit 40 This is Project 9, Mr. Marmorek, Hinch and

...one of the best examples of phenological changes presumably arising through evolution

Martin's paper and if you can go, Mr. Lunn, to PDF

page 35, and here Hinch and Martins are speaking

to adaptation of Pacific salmon to climate change

and in the first full paragraph there, they cite:

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1 in response to warming comes from the Columbia River, where sockeye salmon have 3 started their spawning migration ... earlier. 5 And that's an example of a kind of response to 6 climate change that is a successful adaptation, 7 successful to a point anyway. Is that fair? I 8 know it goes on to say --9 Α It goes both ways though --10 -- about not being enough --11 It goes both ways though because some of the, you 12 know, earlier return behaviour of some of the late 13 runs in the Fraser may well have been triggered by 14 unusual conditions, as well, which is not an 15 evolutionarily wise behaviour right now, coming 16 back earlier when the temperatures are hottest in 17 the summertime. So it can go both ways in the 18 sense that some of the responses may end up being 19 evolutionarily advantageous but it could go in 20 either direction. 21 Absolutely. That's how natural selection works; 22 isn't that right? I mean, there's broad variability and then --23 24 Α And I think there's -- sorry. 25 -- and then it selects for the successful. 26 And there's -- I think there's a limit to adaptation, as well, given that sockeye are near 27 28 the southern end of their range. 29 Yes. And that's discussed a little bit in Hinch and Martin's but I want to take you to just one of 30 their conclusions on page 33, just the -- I think 31 32 the next page, Mr. Lunn. And just at the bottom 33 of that paragraph they're describing management 34 responses that could be adopted to better cope 35 with climate change. And in the last few lines 36 they say: 37 38 ... adjust fisheries management practices so as to ensure the achievement of escapement 39 40 goals and thereby the conservation of genetic 41 diversity across stocks (e.g. shift the focus 42 from dominant to smaller stocks when 43 adjusting escapement targets). 44 45 And I take it you would agree with that as a 46 prudent management response?

Well, that's an example. I think, as I said

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earlier in response to a different set of questions, I think if you're going to consider what are the best harvest management strategies for giving sockeye the best possible chance to adapt to climate change, you have to do some pretty thorough analysis of that. Like I wouldn't want to just put out a general principle without actually working that through for each of the stocks and the various life history timings and the expected direction of changes in temperatures and a bunch of other things like that. these other reports that are mentioned here talk about other strategies, as well, like a little bit higher, yeah.

- Q Right. And protecting biodiversity is one of the core objectives of the Wild Salmon Policy?
- A That's correct.

- Q And it does that be defining conservation units according to genetic uniqueness and then setting benchmarks and then managing in response to those benchmarks --
- A Yes, that's right.
- Q -- is that a fair summary? And in broad terms, in your opinion, is managing according to CUs defined in that way as opposed to managing according to larger aggregates, is that a prudent and sensible framework in light of climate change?
- A So there's two parts to this and I think it's really important to tease apart those two components. So one is if you would like Fraser sockeye to be the most resilient with respect to climate change, what is it you would like to do? And then the second part is if you would like to maintain the objective of allowing harvest to various groups, commercial, recreational, First Nations, what is it you would want to do? And there are going to be trade-offs amongst those objectives with different policies. So the societal resolution of what's an adequate trade-off isn't a science question. It's a policy question.
- Q Absolutely. And just going back on the first of those prongs, which is what I'm focusing on, if your concern is resilience in the face of climate change, your aim is going to protect biodiversity in the range of conservation units; is that fair?
- A I think, as I said earlier, you would really need

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David Marmorek
Cross-exam by Mr. Dickson (STCCIB)
Re-exam by Ms. Baker

to work this through carefully based on what appear to be the relative sensitivity of different stocks. So if, for example, it turned out that the Chilko stock - I'm just saying this because I don't know if it's true - were far less sensitive to temperatures than let's say Stellako -- or the Early Stuart or something, then you might -- those -- there might be a win/win there in terms of -- Yes.

- Q -- your adaptation to climate change and your ability to meet the harvest objectives. On the other hand, if it turned out that most of the major stocks were much more vulnerable to climate change and some of the weaker stocks had a lot greater ability, then that would -- that may suggest that maximizing your diversity is the So I think it's combining some of the work best. that Scott Hinch and Tony Farrell and others have done with things like the stock management harvest models and putting them together and seeing what the combined implications are is what you really need to do to explore what are the most robust strategies to all those uncertainties.
- Q Right. And part of that is gathering more information about all the individual CUs?
- A Yes, I think that's right.
- You know, and taking into account available resources and I heard your evidence on strategically choosing CUs to focus on, but certainly we want to gather information at the CU level.
- A Right.

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- MR. DICKSON: Thank you. Those are my questions.
- MS. BAKER: Thank you, Mr. Commissioner. I just have a couple of re-examination questions.

RE-EXAMINATION BY MS. BAKER:

The first one was when Mr. Timberg for Canada was asking you about comparing the Pacific Salmon Commission 2010 report with your report he asked you if you agreed that the PSC report and your report came to similar conclusions, and you talked about a distinction that you would make based on more information that was available to you in doing your report. And I just wanted to clarify that there was another distinction, was there not,

in that the hypotheses that were looked at by the salmon commission workshop were actually -- while they overlapped with what was looked at in your reports, they were slightly different, so there was some difference in hypotheses in the two projects; is that right?

- A Yes, that's correct. For example, the harmful algal blooms was not looked at in our report but was examined in the PSC report.
- And then -- thank you. And then when Mr. Eidsvik, you remember, asked you a bunch of questions about changes, significant changes that had happened since 1992, and you ran through a list and one of the changes he asked you about was whether there were large escapements with respect to Fraser River sockeye and you agreed with that. Were all the stocks on the Fraser River system experiencing larger escapements in the 1990s or since 1992?
- A So to look at that what I'd really want to do, and I don't think you have time to do it, is to actually dig into the appendices of Peterman and Dorner's report number 10 and I think there was quite a bit of variation amongst the stocks in terms of what the escapements were, but I'd really have to look at that to answer that question well.
- So you wouldn't agree that it's true that all stocks have large escapements. You'd need to go and look at the data?
- A I'd agree that I'd need to look at the appendix to answer the question in detail.
- Okay. All right. And then when Mr. Prowse was asking you some questions about looking at different stressors in different areas and you answered a question and you said you can look at contaminants and you can say well, in certain pristine areas there were no contaminants noted, so we can kind of maybe rule out contaminants as being a big driver of declines in productivity because you can compare a more polluted area like the Fraser with areas that are further up the coast which are more pristine. And I just wanted to clarify, when you're talking about contaminants in that context, you're talking about, I quess, contaminants that are generated at ground level. You're not really talking about atmospheric deposition of contaminants; is that right? Because that would affect those pristine areas, as

1 well.

- A No, that's correct, and they have found atmospherically deposited contaminants, you know, way up in remote Alaska areas and so on. I think the point here is the fact that declines have occurred in areas which we suspect have very low levels of contamination, we don't actually have the data that -- we didn't have the data for the non-Fraser stocks, suggests that things other than contaminants are likely to be responsible for declines in productivity in those non-Fraser stocks. But that's not to say that contaminants, particularly those for which we don't have data, like the endocrine disruptors, had zero effect in the Fraser. You could have both things going on.
- MS. BAKER: Thank you. Mr. Commissioner, those are all the questions I have for Mr. Marmorek. I had a couple of housekeeping matters I wanted to cover over with you and counsel, which we don't need to have Mr. Marmorek stay for, so...
- THE COMMISSIONER: Well, I'm sure he'd be delighted to leave, so Mr. Marmorek, thank you very much for your attendance yesterday and today, for answering the questions of counsel and myself and for your assistance to this commission. Thank you very much.
- A Well, thank you very much for the opportunity.

 THE COMMISSIONER: And so Mr. Marmorek can be excused.

 And you wanted to take care of a couple of housekeeping matters?
- MS. BAKER: I did. Thank you very much, Mr. Marmorek.

(WITNESS EXCUSED)

MS. BAKER: Just a couple of points. I know I'm risking your irritation with this, but we have two matters that are outstanding from today. One of them is a document that was marked for identification and I'm wondering if we need to put some more structure around how we're going to deal with that because we have such limited time. Looking at how that document was treated, it wouldn't be going in for the truth of the document. It goes in simply as a foundation to questions that were asked and it's really the evidence that came from Mr. Marmorek which is the evidence that is relevant and can have some weight

attached to it. Given that it was, although Mr. Harvey didn't directly put it to him when he was answering his questions, it was clear that it was the document that was being referenced and the answers.

I would suggest that that should be marked as a full exhibit and given how close we are to the end of hearings, I'm concerned about adding more time to the process in moving that forward. know that you had indicated there may be other parties that would like to comment on that, but again, at the risk of it being extremely irritating, I would say that those parties who haven't shown up to the hearings, I'm not sure that we should be waiting on the process for them to weigh in on that issue. They were not here to weigh in on any other marking of exhibits when they're not in the room. So I would suggest we deal with that one first today. That would be my first point, which we could deal with, and then I have one other point.

MR. TIMBERG: Mr. Commissioner, I spoke to my client about this at the coffee break this morning and said that this issue would be coming up and I'd need to get instructions. I do not have any instructions with respect to that document, so I'm not in a position to take a position right now.

THE COMMISSIONER: Which document are we speaking of?
MS. BAKER: This was an email from Carl Walters to Mr.
Marmorek.

THE COMMISSIONER: Oh, yes. I'm sorry.

MS. BAKER: You recall it. Yes.

THE COMMISSIONER: I think what we could do, Ms. Baker, is Mr. Lunn will be sending out his usual reminder about the hearing on Thursday and I would suggest that he could include in that notice mention of this document and the fact that for one thing Mr. Timberg does not have instructions at this point, but that we will deal with it on Thursday, pending any matter or submission that a party wishes to make with respect to the document. And so we could cover it off in his notice to make sure people know that we're going to deal with it on Thursday.

MS. BAKER: Thank you. And then the last point is the document which was talked to -- which Mr. Eidsvik talked to you about which is the document which we

say is privileged. And I have some concerns about how we proceed with this document. At the moment 3 it is a document that hasn't been put to any witness. The author of the document has not been 5 called. I cannot imagine what evidentiary weight 6 could attach to this. It's not going to be able 7 to be used for the truth of the document. 8 really has no evidentiary value at all and I'm 9 wondering if we really need to go through the 10 process of submissions on the weight to be given 11 to this document, its admissibility and everything 12 else, given our very limited time and given the 13 fact that I don't see in my submission how any 14 weight can attach to this document in any event. 15 THE COMMISSIONER: Ms. Baker, I don't think I disagree with your position on the privilege matter that 16 17 you've raised with respect to its admissibility. 18 I think in fairness to Mr. Eidsvik, I can recall 19 him, suggesting that he had some position to take 20 on the matter of privilege. He hasn't expressed that position to me, nor -- I have not seen any 21 22 submission in writing from him. So at this point 23 in time, I don't think there's any need for me to 24 deal with it. If Mr. Eidsvik wishes to raise the 25 matter with some position or submission that I 26 ought to address, then I will address it, but at 27 the moment I don't intend to deal with it until he 28 takes some action with regard to expressing a 29 submission or position on whether or not it ought 30 to be admitted. 31 I understand your position. He seems to 32 suggest, at least he did today, that there was 33 some answer to that position, but he hasn't 34 expressed it. So at this point in time, it's not 35 going to be marked, but I'm content that if he 36 wants to raise it again, I'll see what he has to 37 say. 38 MS. BAKER: Thank you. And then the last one, 39 hopefully won't irritate you, which is simply 40 about Thursday morning. There's apparently a fire

drill in the building that day and we can't start

hopeful start time of 11:00, I think is my -- the

message I'm supposed to communicate to the room.

until, I think, 10:45 people are going to be

hopefully able to be back in the room with a

THE COMMISSIONER: Yes. I think I was forewarned about

the fire drill. I'm not sure why it takes an

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hour, but apparently it does. It's the federal 1 government. They take longer than the provincial 3 government to organize fire drills, so I understand we're starting at 11:00, I believe, on 5 Thursday morning and we may sit a bit later that 6 day to try to make up for lost time. I think 7 that's Mr. Wallace's intention. 8 Mr. Rosenbloom seems to know something. 9 MR. ROSENBLOOM: No, I don't know anything. In fact, 10 this is the first I've heard of it. Why don't we 11 start early that morning and then take our coffee 12 break during this one-hour fire drill? 13 MS. BAKER: I don't know the details, except I know 14 that they've been negotiating extensively with the 15 building on this is this was where they landed. 16 MR. ROSENBLOOM: Thank you. 17 MS. BAKER: So, sorry. 18 MR. HARVEY: Just wanted to know, for Mr. Lunn's 19 benefit, will his notice with respect to the 20 exhibit refer to written submissions or oral 21 submissions? 22 THE COMMISSIONER: I would prefer written submissions 23 by Thursday then Mr. Harvey, if anybody has one, 24 they might wish to submit. We'll have, I think, a 25 full day on Thursday without this matter. 26 we'll leave it at counsel coming at 10:45 on 27 Thursday morning so we can get underway as close 28 to 11:00 as possible. Thank you all very much. 29 Appreciate it. 30 THE REGISTRAR: Hearing is now adjourned until Thursday 31 at 11:00 a.m. 32 33 (PROCEEDINGS ADJOURNED TO SEPTEMBER 22, 2011 34 AT 11:00 A.M.) 35 36 37 38 39 40 41 42 43 44 45 46

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

Karen Hefferland

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

Pat Neumann

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

Diane Rochfort

I HEREBY CERTIFY the foregoing to be a true and accurate transcript of the evidence recorded on a sound recording apparatus, transcribed to the best of my skill and ability, and in accordance with applicable standards.

Susan Osborne