

**APPENDIX 5
ABORIGINAL AQUATIC RESOURCE AND OCEANS MANAGEMENT (AAROM)
CONTRIBUTION PROGRESS / SUPPLEMENTARY / FINAL REPORT
CAPACITY-BUILDING**

Agreement Number: ACB2009-1001
 Fiscal Year: 2009 – 2010
 Name of Aboriginal Organization: First Nations Fisheries Council
 Amount of Approved Contribution: \$802,970.00
 Funds Received to Date: \$62,970.00
 Reporting Period: April – September 2009

TYPE of Report (check one):	PURPOSE of Report (check one):
<input checked="" type="radio"/> Monthly Progress Report - complete Appendix 5 <input type="checkbox"/> Quarterly Progress Report - complete Appendix 5 <input type="checkbox"/> Supplementary Report - complete Appendix 5 <input type="checkbox"/> Final Report - complete Appendices 3 and 5 - complete an audit (if required)	<input checked="" type="radio"/> Request for reimbursement <input checked="" type="radio"/> Request for advance - for agreements over \$250,000, Monthly reports required - for other agreements, Quarterly reports required <input type="checkbox"/> Release holdback - Final report required <input type="checkbox"/> Other. Please specify _____

1. FINANCIAL SUMMARY

Funding Source	Year to Date Expenditures	Commitments	Forecast to Year End	Total Forecast for Activity
DFO - AAROM	\$56,727.19	\$70,000.00	\$376,242.81	\$502,970.00
PICFI	\$0	\$0	\$300,000.00	\$300,000.00

Type of Expenditures	Funds Received Last Period	Eligible Expenses for Current Reporting Period	Amount Required for Next Period
AAROM:			
I. Aquatic Resource Management and Stewardship		\$56,727.19	
II. Economic Opportunities		\$	
III. Aquatic Resource Management Compliance and Accountability		\$	
Agreement Total	\$62,970.00	\$56,727.19	\$150,000.00

Regional note: Funds rec'd to date \$62,970.00 – YTD expenditures \$56,727.19 = surplus \$6,242.81.
 October advance \$150,000 – surplus \$6,242.81 = payment of \$143,757.19.

Type of Expenditures	Funds Received Last Period	Eligible Expenses for Current Reporting Period	Amount Required for Next Period
PICFI			
I. Aquatic Resource Management and Stewardship		\$0	
II. Economic Opportunities		\$0	
III. Aquatic Resource Management Compliance and Accountability		\$0	
Agreement Total	\$0	\$0	\$200,000.00

Regional note – the region has verified the amount required for next period based on the submitted Appendix 4

2. DETAIL OF WORK OR ACTIVITIES COMPLETED TO DATE

(Itemized by Activity category) See below.

I. AQUATIC RESOURCE MANAGEMENT AND STEWARDSHIP

Section Summary:

Project Deliverables – As negotiated under Appendix 2

FNFC Member Fees, Travel, Meeting Costs, and Conference Calls

Monthly meetings of Fisheries Council (Council)

The First Nations Fisheries Council met in person in mid-March. The Council followed up with telephone conference calls and electronic correspondence in April and May of 2009. A face-to-face meeting was held in Port Hardy June 16 & 17. The Council met on July 17, and the Council also attended a meeting with the newly appointed Deputy Minister of Fisheries and Oceans Canada, Claire Dansereau, on that day. (Further information can be found under 'meeting agendas.') The Council met via conference call on September 9th and in coordination with the Aquaculture strategic planning session hosted by the Council and the Aquaculture Working Group on September 15 at Harrison Hot Springs. The agendas for these meetings are appended below.

Reporting to Assembly meetings

The First Nations Fisheries Council provided regular reports to First Nations leadership at regular quarterly meetings of the Union of B.C. Indian Chiefs and the First Nations Summit (in June and September). An update was also provided on the Fisheries Council to the semi-annual meeting of the BCAFN in September.

The Fisheries Council provided regular written briefings which were included in the Monthly Leadership Council Bulletins. These communications go out to all Chiefs and Councils and are distributed through the Leadership Council organizations. A link to all archived copies of the Leadership Council Bulletins is available on the First Nations Summit website at:

<http://www.fns.bc.ca/info/pdf.htm> .

First Nations Regional Fisheries Organizations Working Group

The First Nations Fisheries Council hosted conference calls for the First Nations Regional Fisheries Organizations Working Group every two weeks from April through September. The membership of the group continued to expand, with many First Nations and aggregate bodies receiving ongoing information and participating in the conference calls. The group has good representation from existing AAROM bodies, commercial First Nations fishing interests, and First Nations fisheries staff across B.C. We have recently had some transboundary groups start to participate in these processes as well. First Nations Fisheries Council members also regularly participated in the calls, although the nature of the calls is usually technical in nature. Information about these calls, including agendas, attendance, and action items are considered Tier 1 (internal to First Nations). The Council does not want information about the internal discussion that the Council facilitates being construed as consultation with a specific First Nation or on a specific issue in the future. A list of the dates of the bi-weekly conference calls is available on our website at: <http://www.fnfisheriescouncil.ca/index.php/mission-a-work-of-council/working-groups/regional-first-nations-fisheries-organizations> (The region has been provided with a conference call record to verify discussion frequency.)

This information is collected and summaries of these meetings are posted on a password protected web page on the FNFC website: <http://www.fnfisheriescouncil.ca/index.php/mission-a-work-of-council/working-groups/regional-first-nations-fisheries-organizations>.

If necessary the FNFC could allow DFO or an outside auditor limited access to these files in order to ensure accountability related to these calls.

Commitment to Action with DFO

The Council had ongoing communications with DFO with respect to the status of the Commitment to Action and work plan. After substantive agreement on the Commitment to Action a joint FNFC/DFO workshop was held on May 14 to agree on priority areas for Working Group development. A large group of First Nations and DFO managers and staff met at DFO regional headquarters and agreed on four priority working group areas: co-management, salmon shares, aquaculture, and FSC fisheries. DFO organized these meetings and hired a facilitator to assist in the progress of the meeting.

The agenda is attached below:

DFO/FNFC Workplan Development Workshop **May 14th, 2009**

Meeting Objectives:

- To develop a workplan which links with the FNFC –DFO Commitment to Action document, the B.C. First Nations Fisheries Action Plan, and the collective priorities of B.C. First Nations Fisheries Council and DFO.
- To establish specific deliverables for 09/10 FNFC Capacity Building AAROM agreement.
- To establish clear, concise, agreed upon action items that would be realized through the 09/10 FNFC AAROM agreement and would be reviewed/approved at the RDG/FNFC Executive table.

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Agenda

9:00am -	Introductions	
9:15am -	Context - What are we here to do?	Sue Farlinger Chief Thomas Alexis Brenda McCorquodale
9:30am -	Update from FNFC (Mandate, Structure & Function)	
10:00am -	Fisheries Management/Policy (Objectives and Discussion)	Paul Ryall/Bonnie Antcliffe
11:00am -	Break	
11:15am -	PICFI Items - <ul style="list-style-type: none">• Catch Monitoring• Defined Shares• Co-Management	Jennifer Nener Colin Masson
12:00pm -	Lunch	
1:00pm -	Aquaculture (Objectives and Discussion)	Andrew Thomson
1:45pm -	Science (Objectives and Discussion)	Mark Saunders
2:30pm -	List – Action Items	David McCutcheon
3:00pm -	Next Steps	David McCutcheon
3:30pm -	Meeting Adjourned	

Meeting with Minister Shea

Grand Chief Doug Kelly was invited, as a co-chair of the Fisheries Council, to attend a meeting focused on Fraser sockeye with Minister Shea on September 11, 2009. This meeting was held in Vancouver at Library Square, and involved a number of various stakeholders related to pacific salmon. There was no follow up as a result of this meeting.

Executive Director Salary and Benefits

The First Nations Fisheries Council has retained an Executive Director during this period who is hired through a negotiated interchange with DFO.

Communications Coordinator & Policy Analyst

The Fisheries Council retained their existing Policy Analyst and Communications Coordinator during this period. The Communications Coordinator continues to be involved in minute-taking in meetings, managing the FNFC website, and is involved in various other communications activities. The Policy Analyst has been involved in issues such as work relating to the four priority areas the Council is working on under the Commitment to Action.

Accounting: The FNFC retains a contract for accounting services as per the agreement.

Reception and Administration Services: The FNFC has not incurred any costs thus far within this fiscal year under this category.

Audit: These expenses will be required at the end of the fiscal year.

Legal Fees: No action with respect to these issues was undertaken during the reporting period.

Website and E-Maintenance: The FNFC updated the architecture of its website and added e-mail during this period, incurring expenditures in this category.

Computer Hardware: The FNFC did not purchase any computer hardware during this reporting period.

Postage and Courier: The FNFC did incur moderate postage and courier expenses during this period.

Office Supplies and Printing: Modest expenditures were incurred during this period. Expenditures are on track.

Telephones and Fax: Modest expenditures were incurred during this period. Expenditures are on track.

Computer Software: The FNFC purchased a copy of Simply Accounting for accounting purposes during this period.

Meeting Agendas

Agenda for Aquaculture Meeting - September 15th, 2009– Harrison Hot Springs (Linking with the UBCIC meeting – resolution on the agenda Sept 16, 2009) Hosted by the First Nations Fisheries Council and the Aquaculture Working Group

1:00 – 1:15 Opening Prayer and Welcome

- Opening Prayer
- Welcome

1:15 – 1:30 Overview

- Introductions and background – Chief Bob Chamberlin
 - Why we are gathering – seeking the guidance of Chiefs to develop a position on how to move forward (resolution); window of opportunity; why this issue needs to be examined
- Detail the purpose – a resolution to guide future efforts
- Go through the agenda
- Go through what is included in the Briefing Packages

1:30-2:15 Explain the review of jurisdiction and regulation

- Using information provided by DFO – go through the status of jurisdictional changeover from the perspective of First Nations and Rights and Title
- What impacts it can have to First Nations
- How DFO plans to consult with First Nations, etc.

2:15-2:45 Discussion about the Jurisdictional Change

- First Nations expectations of process and outcomes

2:45- 3:15 Break for Snack

3:15-3:45 – Policy Analysis/ Resolution

- Walk through the proposed resolution and seek input/suggestion/amendments
- Highlight related policy analysis

3:45 – 5:00pm Recommendations

- Open floor discussion and final recommendations on resolution of a Statement of Solidarity and on a mandate for the First Nations Fisheries Council and the Aquaculture Working Group

First Nations Fisheries Council Meeting Agenda June 16 & 17		
	Opening prayer	
	Review of last meeting minutes & Record of Decisions	
	Correspondence	
	2008/2009 Reporting and Status Update	
	Society incorporation	
	Implementation of new Fisheries Council structure and appointments	
	Commitment to Action and Work Plan with DFO - Update	
	New Relationship Trust Consultation and Accommodation Project – update	
	Pacific Salmon Treaty Fraser Annex Renewal - Update	
	2009 Salmon IFMP	
	Integrated Salmon Dialogue Forum	
	Early Timed Chinook	
	Marine Stewardship Certification	
	Aquaculture Working Group and Update on Release of PSF Report	
	PICFI Update, issues and next steps	
	Visions Conference	
	Next Fisheries Assembly	
	Tour of Port Hardy area	
	Visit to FNFC office and with Kwakiutl	

First Nations Fisheries Council Meeting Agenda July 17		
	Meeting with Minister – key issues and discussion points	
	Update on Skeena Salmon	
	Update on Fraser Salmon	
	Issues of leasing salmon licenses	
	Update on FNFC Fisheries Assembly and Date change	
	NTC Court Case update	

Financial Details Relevant to this Category (Aquatic Resource Management and Stewardship)

AAROM Approved Budget	AAROM Year to Date Expenditures	PICFI Approved Budget	PICFI Year to Date Expenditures
\$502,970.00	\$56,272.19	\$300,000.00	\$0

ECONOMIC OPPORTUNITIES

Section Summary: Funding has not been allocated to this category per the negotiated Appendix 2

Project Deliverables – N/A

III. AQUATIC RESOURCE MANAGEMENT COMPLIANCE AND ACCOUNTABILITY

Section Summary: Funding has not been allocated to this category per the negotiated Appendix 2

Project Deliverables – N/A

3. REPORT ON ALLOWABLE COSTS (Itemized In Relation To Each Type and Separated according to funding source): allowable Costs for reimbursement may include reasonable and properly itemized costs for:

AAROM LIST OF ALLOWABLE COSTS	AAROM THIS PERIOD	AAROM CUMULATIVE TOTAL
(i) office lease or rent	\$1,200.00	\$1,200.00
(ii) administrative expenses (e.g. phone, fax, internet, other utilities, bank fees, office supplies and materials)	\$5,155.98	\$5,155.98
(iii) costs incurred in carrying out an audit associated with the Agreement	\$	\$
(iv) human resource costs, including salaries and benefits (in-house)	\$42,519.65	\$42,519.65
(v) contracted and professional service costs other than travel or litigation costs	\$	\$
(vi) travel and related expenses for contracted professional service providers or other non-employees	\$	\$
(vii) insurance expenses related to Activities under the Agreement	\$	\$
(viii) travel and related expenses for employees	\$5,771.73	\$5,771.73
(ix) purchase of equipment, supplies and materials	\$96.76	\$96.76
(x) lease or rental of equipment, supplies and materials	\$	\$
(xi) repair and maintenance of equipment, facilities, vessels and vehicles related to the Agreement	\$	\$
(xii) meeting expenses (e.g. hall, rent, supplies, hospitality)	\$1,983.07	\$1,983.07
(xiii) consultation costs	\$	\$
(xiv) GST or HST paid that is not eligible for reimbursement or exemption	\$	\$
(xv) training fees and expenses, including materials	\$	\$
(xvi) legal fees related to the Agreement (excluding litigation costs)	\$	\$
(xvii) outreach materials (e.g. printing costs, web sites)	\$	\$
(xviii) bank interest fees if incurred where DFO is at fault	\$	\$
(xix) purchase or lease of equipment and equipment upgrades, vessels and vessel upgrades, commercial licences, vehicles and/or gear in support of the Agreement Activities	\$	\$
(xx) operating expenses for equipment, vessels and vehicles for non-commercial enterprises	\$	\$
(xxi) purchase, lease, rent or construction of facilities (e.g. storage and mobile accommodation, but excluding rolling stock, wharfage and administrative buildings) in support of Agreement Activities	\$	\$
(xxii) construction of new vessels and/or gear	\$	\$
AGREEMENT TOTAL	\$56,727.19	\$56,727.19

LIST OF ELIGIBLE COSTS	PICFI – Expenses for the reporting Period	PICFI –YTD Expenses
1. office lease or rent		
2. administrative expenses (e.g. phone, fax, internet, other utilities, bank fees, office supplies and materials)		
3. costs incurred in carrying out an audit associated with the Agreement		
4. human resource costs, including salaries and benefits (in-house)		
5. contracted and professional service costs other than travel or litigation costs		
6. travel and related expenses for contracted professional service providers or other non-employees		
7. insurance expenses related to Activities under the Agreement		
8. travel and related expenses for employees		
9. purchase of equipment, supplies and materials		
10. lease or rental of equipment, supplies and materials		
11. repair and maintenance of equipment, facilities, vessels and vehicles related to the Agreement		
12. meeting expenses (e.g. hall, rent, supplies, hospitality)		
13. consultation costs		
14. GST or HST paid that is not eligible for reimbursement or exemption		
15. training fees and expenses, including materials		
16. legal fees related to the Agreement (excluding litigation costs)		
17. outreach materials (e.g. printing costs, web sites)		
18. bank interest fees if incurred where DFO is at fault		
19. purchase or lease of equipment and equipment upgrades, vessels and vessel upgrades, commercial licences, vehicles and/or gear in support of the Agreement Activities		
20. operating expenses for equipment, vessels and vehicles for non-commercial enterprises		
21. purchase, lease, rent or construction of facilities (e.g. storage and mobile accommodation, but excluding rolling stock, wharfage and administrative buildings) in support of Agreement Activities		
22. construction of new vessels and/or gear		
AGREEMENT TOTAL	0	0

APPENDIX 4

AAROM COLLABORATIVE MANAGEMENT CONTRIBUTION AGREEMENT

Cash Flow Projection

For the 2009-2010 Fiscal Year

MONTH	AAROM	PICFI
April		\$
May		\$
June		\$
July		\$
August		\$
September	\$ 56,727.19	\$
October	\$ 150,000.00	\$ 200,000.00
November	\$ 50,000.00	
December	\$ 55,000.00	\$ 25,000.00
January	\$ 52,970.00	\$ 25,000.00
February	\$ 68,272.81	\$ 25,000.00
March	\$ 70,000.00	\$ 25,000.00
TOTAL	\$ 502,970.00	\$ 300,000.00