

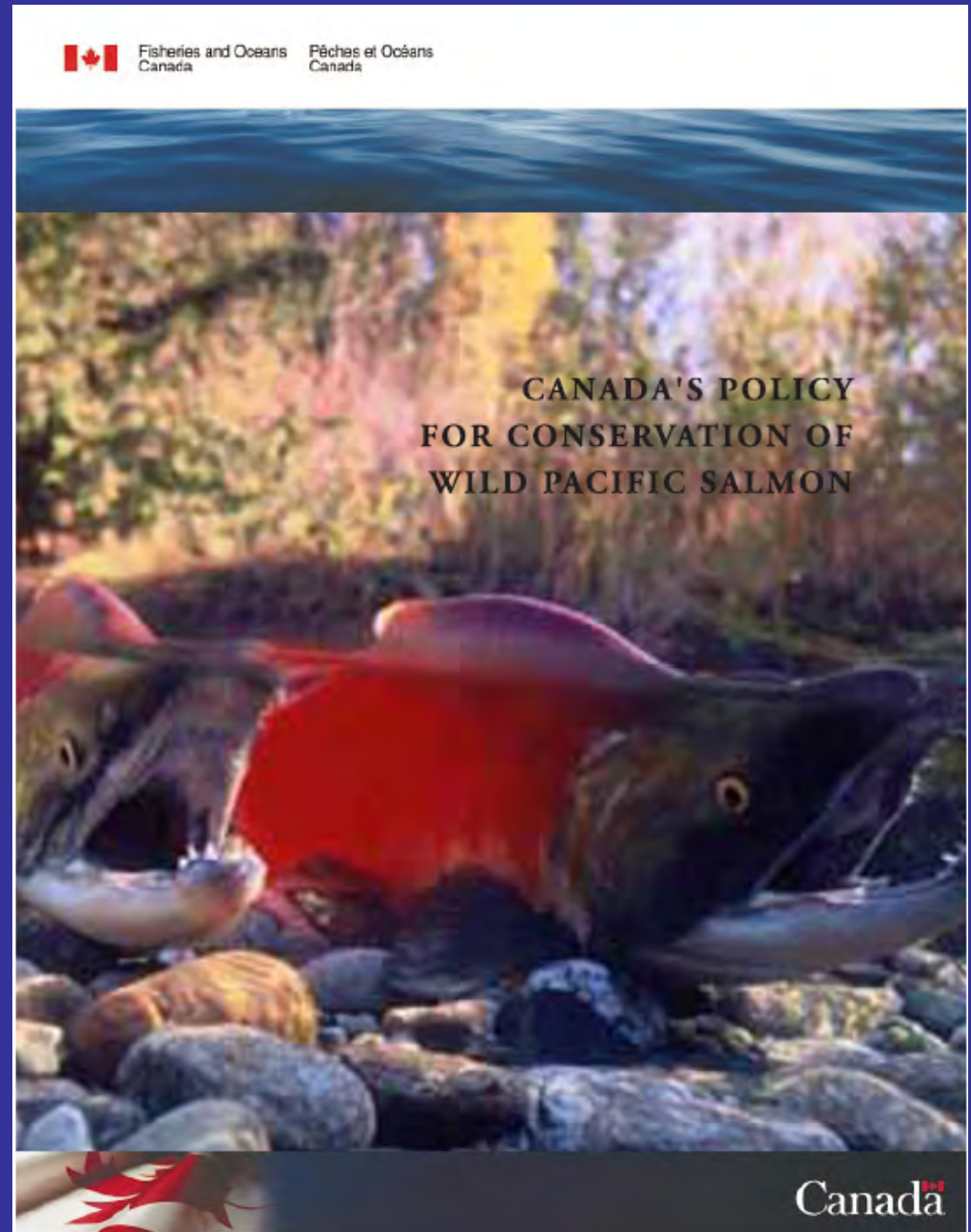
DRAFT, V1

WILD SALMON POLICY

Strategy 6 – Performance Review

Operations Committee

April 14, 2011





Outline

- Purpose
- Background
- Proposed Evaluation Framework
- Discussion
- Annex
 - WSP Strategies and Action Steps
 - Proposed Performance Measures





Purpose

Seek direction on the proposed evaluation framework for the independent review as required by Strategy 6.2 of the Wild Salmon Policy.



Background

- Action Step 6.2: Conduct regular reviews of the success of the WSP
 - An independent review of the success of the WSP in achieving its broad goals and objectives will be conducted within 5 years of its adoption. On the basis of the review, the implementation of the policy will be revised to address shortcomings that may be reducing its effectiveness.





Background

- December 2010 Operations Committee discussion on WSP gap analysis and evaluation approach
- Recognized importance of moving forward with independent review in 2011 and importance of external engagement
- Independent review should consider the changing context, DFO's mandate and scope of WSP implementation, and integration of WSP within our programs and operations
- Recommended development of evaluation framework with performance indicators to track progress against Strategies





Background

Steps taken to develop evaluation framework:

- WSP WG discussion on scope and objectives
- Review of evaluations of other DFO programs and initiatives
- Review of existing performance measurement frameworks, e.g. DFO Performance Measurement Framework, Sustainable Fisheries Framework
- WSP WG members identified proposed performance indicators for respective strategies





Evaluation Framework - Scope

Scope of the Review

- Assess the extent to which WSP is making progress in achieving its goal and objectives (Action Step 6.2)
- Examine Department's role in implementation of WSP and extent of integration of WSP within DFO
- Proposed Timeframe: June 2005 to March 31, 2011





Evaluation Framework - Objectives

1) WSP Success

- Progress in achieving WSP goal and objectives
- Progress against Strategies and Action Steps (see Annex for proposed Performance Measures)

2) WSP Relevance and Influence

- Awareness and understanding of WSP internally and externally
- Does WSP meet the needs of DFO and Canadians?
- Integration of WSP within DFO operations
- Is WSP a catalyst for new initiatives?





Evaluation Framework - Objectives

3) Policy Design and Implementation

- Factors that influence the success of WSP
- DFO's engagement with First Nations, partners and stakeholders
- Process for planning and priority-setting for WSP implementation in the context of available resources





Evaluation Framework

Methodology Considerations

- Collection and analysis of data on performance measures to assess progress against Strategies (see Annex)
- Collection of qualitative information through existing documentation and from staff, First Nations, partners, and stakeholders to capture WSP story
- First Nations and stakeholder engagement during the review (multi-sectoral forum, interviews, etc.)





Evaluation Framework

Selection Process for Independent Reviewer

- Open bidding process to solicit an independent consultant with Terms of Reference
- Seek advice from NHQ/other DFO Regions on potential independent consultants
- Solicit an external partner to complete review (e.g., PFRCC, PSF, US Wild Salmon Center, University)





Evaluation Framework

Selection Criteria for Independent Reviewer

- Experience in conducting evaluations of government programs and/or policies
- Knowledge of fisheries resource issues
- Experience in First Nations and stakeholder engagement
- Experience in policy research, analysis and report writing
- Value for money





Other Considerations

- Timing – propose to start review in May 2011 and aim for completion by November 2011
- Implications for Departmental response and timing of Cohen report in June 2012?
- Estimated cost of review \$40-60K





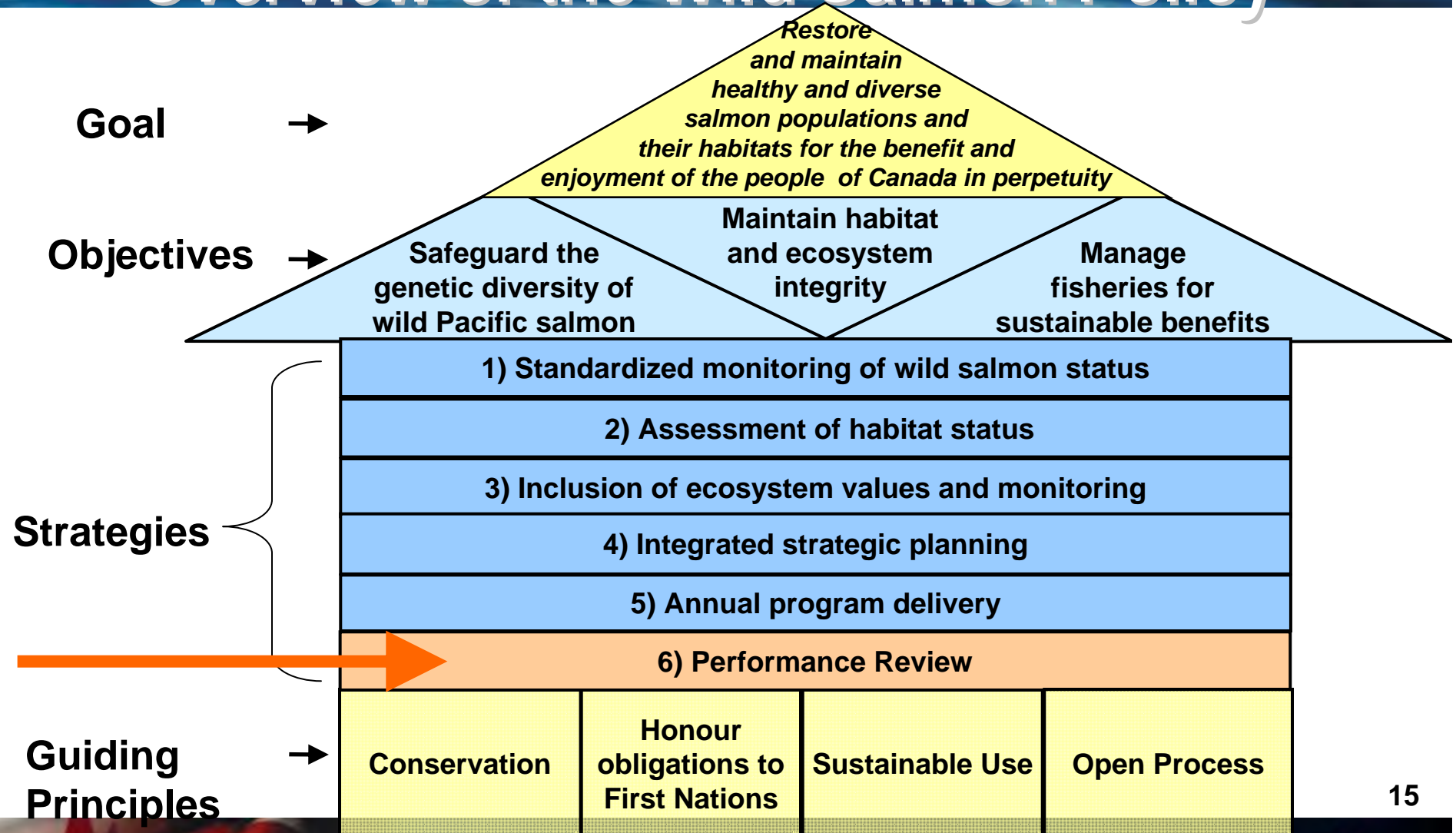
Discussion Questions

- Comments on the proposed scope and objectives?
- Concurrence on the selection process and criteria for an independent review? Who should be involved in selecting the reviewer?
- How to engage partners and stakeholders in the review?





Overview of the Wild Salmon Policy





WSP Strategies & Action Steps

1. **Standardized monitoring of wild salmon status**
 1. Identify Conservation Units
 2. Develop criteria to assess CUs and identify benchmarks to represent biological status
 3. Monitor and assess status of CUs
2. **Assessment of habitat status**
 1. Document habitat characteristics within CUs
 2. Select indicators and develop benchmarks for habitat assessment
 3. Monitor and assess habitat status
 4. Establish linkages to develop an integrated data system for watershed management
3. **Inclusion of ecosystem values and monitoring**
 1. Identify indicators to monitor status of freshwater ecosystems
 2. Integrate climate and ocean information into annual salmo management processes
4. **Integrated strategic planning**
 1. Implement an interim process for management of priority CUs
 2. Design and implement a fully integrated strategic planning process for salmon conservation
5. **Annual program delivery**
 1. Assess the status of Conservation Units and populations
 2. Plan and conduct annual fisheries
 3. Plan and implement annual habitat management activities
 4. Plan and implement annual enhancement activities
6. **Performance review**
 1. Conduct post-season review of annual workplans
 2. Conduct regular reviews of the success of the WSP





Evaluation Framework - Performance Measures

Strategy 1: Standardized monitoring of wild salmon status	Outputs	Performance Measures
1.1 Identify Conservation Units 1.2 Develop criteria to assess CUs and identify benchmarks 1.3 Monitor and assess CUs	<ul style="list-style-type: none">- Definition of CUs- Methodology to establish benchmarks- Stock assessment framework- Tools to assess CUs- CU assessments	<ul style="list-style-type: none">- Tools have been developed to assess CUs and identify benchmarks (i.e. CU methodology, benchmark methodology, stock assessment framework, software, etc)- % of CUs that have been assessed- Methods put into place for stakeholder/ partners' engagement on CU assessments





Evaluation Framework - Performance Measures

Strategy 2: Assessment of habitat status	Outputs	Performance Measures
2.1 Document habitat characteristics 2.2 Select indicators and develop benchmarks for habitat 2.3 Monitor and assess habitat status 2.4 Linkages to develop integrated data system for watershed mgt	<ul style="list-style-type: none">- Habitat status reports for watershed or CUs- Suite of habitat indicators and benchmarks- Habitat indicator monitoring data or reports that assess indicators against benchmarks- Integrated data system for sharing information	<ul style="list-style-type: none">- # of habitat status reports produced that identify relevant habitat indicators- Tools to assess habitat status (i.e. list of data sources for indicators, benchmarks, habitat indicators and habitat status monitoring frameworks) are produced- Approaches for prioritizing areas for Strategy 2 status reporting and monitoring are established- Partnership arrangements are fostered to support Strategy 2 implementation- Tools for sharing and displaying CU spatial information including habitat status reports are developed



Evaluation Framework - Performance Measures

Strategy 3: Inclusion of ecosystem values and objectives	Outputs	Performance Measures
3.1 Identify indicators to monitor status of freshwater ecosystems 3.2 Integrate climate & ocean info into annual salmon mgt	<ul style="list-style-type: none">- Methodology approach to identify ecosystem values and objectives and indicators- Ecosystem monitoring framework- Ecosystem reports- Ecosystem information is incorporated into annual salmon management processes	<ul style="list-style-type: none">- Tools that have been developed to assess ecosystems- Stakeholder/ partners' satisfaction in ecosystem monitoring engagement- # of reports produced with integrated climate and ocean information to inform salmon management (i.e. State of the ocean reports)





Evaluation Framework - Performance Measures

Strategy 4: Integrated strategic planning	Outputs	Performance Measures
4.1 Implement an interim process for management of priority CUs 4.2 Design & implement integrated strategic planning process	<ul style="list-style-type: none">- Tools to implement strategic plans- Stakeholder engagement in planning process	<ul style="list-style-type: none">- Tools are developed to implement strategic plans- % of CUs captured in pilots (and/or priority CUs captured in pilots)- # of strategic plans initiated- Stakeholder satisfaction on pilot engagement- DFO engagement on other integrated planning processes (i.e. watershed planning tables)





Evaluation Framework - Performance Measures

Strategy 5: Annual program delivery	Outputs	Performance Measures
5.1 Assess the status of CUs	-CU assessments	-% of CUs that have been assessed
5.2 Plan and implement annual fisheries management activities	-Annual fisheries management plans (IFMPs)	-Annual fisheries management measures are identified and documented in IFMPs -Decision rules are developed in collaboration with First Nations, partners and stakeholders





Evaluation Framework - Performance Measures

Strategy 5: Annual program delivery	Outputs	Performance Measures
5.3 Plan and implement annual habitat management activities	<ul style="list-style-type: none">-Priority-setting for habitat rehabilitation or restoration-Annual reports to Parliament on habitat management regulatory functions	<ul style="list-style-type: none">-Priorities for habitat rehabilitation or restoration are identified-Annual reports to Parliament on HM regulatory functions are prepared-Habitat assessment and monitoring informs the Habitat Management Program



Evaluation Framework - Performance Measures

Strategy 5: Annual program delivery	Outputs	Performance Measures
5.4. Plan and implement annual enhancement activities	<ul style="list-style-type: none">-Salmon enhancement plans-Annual fisheries management plans (IFMPs)	<ul style="list-style-type: none">-Annual production targets and strategies are documented in IFMPs-Priorities are identified where enhancement can contribute to CUs in the red or amber zone





Evaluation Framework - Performance Measures

Strategy 6: Performance review	Outputs	Performance Measures
6.1 Post season reviews of workplans & regular reviews of WSP	-Post season reviews of annual workplans for stock assessment, fisheries management, and enhancement in consultation with First Nations and others	-Post season reviews of annual workplans for stock assessment, fisheries management, and enhancement are completed in a timely manner in consultation with First Nations and others

